Transition to Tailored Care Management for Children/Youth Served by the Child Welfare System: A Resource for County DSS and Other Stakeholders

November 29, 2022
Agenda

- Terminology
- Overview of Tailored Care Management
- Eligibility for Tailored Care Management as of 12/1/22
- Warm Handoffs & Transition of Care (TOC) Policy Highlights
- Transition of Care Forms
- Questions & Additional References
Terminology
• **NC Medicaid Direct**: North Carolina’s health program for Medicaid beneficiaries who are not enrolled in health plans.

• **Care Management**: Team-based, person-centered approach to effectively managing patients’ medical, social and behavioral conditions.

• **Tailored Care Management**: The care management model for Medicaid beneficiaries who meet clinical eligibility criteria. Tailored Care Management will be delivered by a care manager who is based at a health plan or in a community provider setting at an Advanced Medical Home Plus (AMH+) practice or Care Management Agency (CMA).

• **Tailored Care Management Assignment Letters**: Assignment letters that will be sent to beneficiaries who are eligible for Tailored Care Management.

• **Transition of Care**: The process by which a beneficiary’s healthcare coverage moves between service delivery systems, including between health plans.

• **NC Innovations Waiver**: A Federally approved 1915 C Medicaid Home and Community-Based Services Waiver (HCBS Waiver) designed to meet the needs of Individuals with Intellectual or Development Disabilities (I/DD) who prefer to get long-term care services and supports in their home or community, rather than in an institutional setting.
• **Warm Handoff**: Time-sensitive, member-specific planning for care-managed members or other members identified by either the transferring or receiving entity to ensure continuity of service and care management functions. Warm Handoffs require collaborative transition planning between both transferring and receiving entities and as possible, occur prior to the transition.

• **Transferring Entity**: The entity (e.g., CCNC) that is disenrolling the transitioning member and transferring the member’s information.

• **Receiving Entity**: The entity (e.g., LME/MCO) that is enrolling the transitioning member and receiving the member’s information.

• **Beneficiary Consent Form**: Form that beneficiaries or their guardian sign to provide permission for their information to be transferred.

• **Transition of Care (TOC) Warm Handoff Summary Form**: This form is required for beneficiaries with high needs to be completed to support the transition from CCNC to LME/MCO.
Overview of Tailored Care Management
Upon Tailored Care Management launch, County Child Welfare Workers will only have to coordinate care management with a single care manager for children who are eligible for Tailored Care Management.

Tailored Care Management will allow eligible children/youth to receive integrated care management. Integrated care management places the person at the center of a multidisciplinary care team and recognizes interactions across all their needs, developing a holistic approach to serve the whole person.

With Tailored Care Management, care managers:

- **Coordinate a comprehensive set of services** addressing all of the member’s needs; members will not have separate care managers to address physical health, behavioral health, TBI, and I/DD-related needs.
- **Provide holistic, person-centered planning.** Members receive a care management assessment that evaluates all of their health and health-related needs and drives the development of a care plan that identifies the goals and strategies to achieve them.
- **Address unmet health-related resource needs** (e.g., housing, food, transportation, interpersonal safety, employment) by connecting members to local programs and services.
- **Are part of multidisciplinary care teams** made up of clinicians and service providers who communicate and collaborate closely to efficiently address all of the member’s needs.
- **Utilize technology** that bridges data silos across providers and plans.

What is Tailored Care Management?

Under Tailored Care Management, members will have a single care manager who will manage all of a member’s needs, spanning physical health, behavioral health, I/DD, TBI, pharmacy, LTSS, and unmet health-related resource needs.
December 1, 2022
Tailored Care Management Launch

April 1, 2023
Tailored Plan Launch

**Reminder:** Tailored Care Management is NC’s specialized integrated care management model targeted towards individuals with a behavioral health condition, intellectual/developmental disability (I/DD), or traumatic brain injury (TBI).

**Reminder:** A Tailored Plan is a NC Medicaid integrated health plan for individuals with significant behavioral health needs and I/DDs. It offers physical health, pharmacy, care management and behavioral health services.

*Individuals enrolled in the EBCI Tribal Option who meet Tailored Care Management Eligibility must opt-in to Tailored Care Management if they wish to receive that service. Otherwise, Tailored Care Management launch will not impact populations in the EBCI Tribal Option or in the EBCI Family Safety Program.
Key Dates for Tailored Care Management Launch on 12/1

11/10/22: Warm Handoffs between CCNC & LMEs Begin

11/14/22: LME/MCOs begin sending Tailored Care Management assignment letters to members

12/1/22: Tailored Care Management Launch

12/8/22: CCNC & LME/MCOs complete Warm Handoffs
Eligibility for Tailored Care Management as of 12/1/22
NC Medicaid Direct is North Carolina’s health care program for Medicaid beneficiaries who are not enrolled in health plans.

NC Medicaid Direct provides beneficiaries with physical health, pharmacy, long term services and supports, and behavioral health services (including for mental health disorder, substance use disorder (SUD), intellectual/developmental disability (I/DD) or traumatic brain injury (TBI)).
Medicaid Coverage and Care Management for Children Ages 0-3

Children Ages Zero Up to Age Three in Foster Care or Receiving Adoption Assistance

will receive care management as follows:

**Not Tailored Care Management-Eligible**
- These children will continue to receive care coordination/care management as they do today.
- For example, children in foster care receiving Care Management for At-Risk Children (CMARC) program provided by Local Health Departments will continue to receive CMARC.

**+ Tailored Care Management-Eligible**
- Only children ages 0 - 3 on the NC Innovations Waiver* will be eligible for Tailored Care Management on December 1, 2022.
- All other eligible children ages 0 - 3 will get Tailored Care Management beginning April 1, 2023.
  - Until then, they will continue to receive care coordination/care management as they do today.

*The NC Innovations Waiver is a Federally approved 1915 C Medicaid Home and Community-Based Services Waiver designed to meet the needs of Individuals with Intellectual or Development Disabilities (I/DD) who prefer to get long-term care services and supports in their home or community, rather than in an institutional setting. Individuals eligible for Tailored Care Management include those with a serious mental illness (SMI), a serious emotional disturbance (SED), a severe SUD, an I/DD, or those who are receiving services for a TBI.
Children in Foster Care

Children Receiving Adoption Assistance

Former Foster Youth Under Age 26

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**Not Tailored Care Management-Eligible**

These children and youth will continue to receive care coordination provided by Community Care of North Carolina (CCNC).

- CCNC will serve as the lead in coordinating physical health, behavioral health, and social services with DSS
- CCNC will work with each LME/MCO to coordinate the delivery of needed behavioral health services
- LME/MCO will support coordination of behavioral health services at the request of CCNC or the DSS Child Welfare Worker and must assign a care coordinator to a member if requested by the DSS caseworker

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**+ Tailored Care Management-Eligible**

These children and youth will receive Tailored Care Management primarily provided by a LME/MCO.*

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Tailored Care Management-eligibility is not static; a child’s eligibility for Tailored Care Management is monitored via Medicaid system data runs.

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*Children and youth may otherwise receive Tailored Care Management provided by an Advanced Medical Home Plus (AMH+) or Care Management Agency (CMA); children receiving North Carolina Health Choice or considered a legal immigrant will not be eligible for Tailored Care Management on 12/1.
Brayden is 3-years-old and in foster care. He is on the NC Innovations Waiver.

Scenario 1

Is Brayden eligible for Tailored Care Management on 12/1?

- Yes
  - Brayden will receive Tailored Care Management.

- No
Cyrus is 24-years-old and a former foster care youth. Cyrus has a serious mental illness (SMI).

Scenario 2

Cyrus will receive Tailored Care Management provided by a LME/MCO.
Ruth is 2-years-old and in foster care. Ruth is showing signs of developmental delays.

Is Ruth eligible for Tailored Care Management on 12/1?

Yes

Ruth will continue to receive Care Management for At-Risk Children (CMARC) through the local health department.

No
David is 7-years-old and in foster care; he has no known behavioral health concerns.

Is David eligible for Tailored Care Management on 12/1?

David will continue to receive care coordination through Community Care of North Carolina (CCNC) in partnership with a LME/MCO.

7-year-old in Foster Care
## Children & Youth Served by the Child Welfare System Care Management Summary

<table>
<thead>
<tr>
<th>Children &lt; age 3</th>
<th>Is the Child/Youth Enrolled in the NC Innovation Waiver?</th>
<th>Is the Child/Youth diagnosed with a SMI, SED, a severe SUD, an I/DD, or receiving services for a TBI?*</th>
<th>Is the Child/Youth Eligible for Tailored Care Management on 12/1?</th>
<th>Care Management Model on 12/1</th>
</tr>
</thead>
<tbody>
<tr>
<td>Yes</td>
<td>Yes</td>
<td>Yes</td>
<td>Tailored Care Management</td>
<td></td>
</tr>
<tr>
<td>No</td>
<td>Yes</td>
<td>No</td>
<td>CMARC or CCNC</td>
<td></td>
</tr>
<tr>
<td>No</td>
<td>No</td>
<td>No</td>
<td>CMARC or CCNC</td>
<td></td>
</tr>
<tr>
<td>Ages 3 +</td>
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<td>Yes</td>
<td>Tailored Care Management</td>
<td></td>
</tr>
<tr>
<td>No</td>
<td>Yes</td>
<td>Yes</td>
<td>Tailored Care Management</td>
<td></td>
</tr>
<tr>
<td>No</td>
<td>No</td>
<td>No</td>
<td>CMARC (Children &lt;5) or CCNC</td>
<td></td>
</tr>
</tbody>
</table>

* Full diagnosis list for Tailored Care Management Eligibility can be found here: [BH-IDD-TP-EligibilityUpdate-AppendixB-REVFINAL-20190802.pdf](https://nc.gov)
Assignment letters will be sent to a child’s Authorized Representative(s) as identified in the child’s 834-eligibility file, a child’s standard Medicaid enrollment file.

Depending on each child’s individual circumstance, the Authorized Representative may be the County DSS Director, County Child Welfare Worker, or other individual (e.g., foster or kinship parent).

The Department also will send assignment letters to the Authorized Representative of any child who enters foster care after December 1, 2022 and who is Tailored Care Management eligible.
This letter is to be sent to JC Medicaid Direct members who qualify for Tailored Care Management.

For extra support to get and stay healthy, you have access to Tailored Care Management at no cost to you. Tailored Care Management provides you with a care manager, who is trained to help people with mental health, substance use, intellectual/developmental disability and/or traumatic brain injury needs. Your care manager works with you, your team of medical professionals and your approved family members (or other caregivers) to consider your unique health-related needs and find the services you need in your community.

Your care manager can:

- Do a full assessment of your needs and help develop a set of health goals and a plan to achieve those goals
- Help arrange your appointments and transportation to and from your provider
- Answer questions about what your medications do and how to take them
- Follow up with your doctors or specialists about your care
- Connect you to helpful resources in your community

Your Tailored Care Management provider may be your primary care provider (PCP) (also called an Advanced Medical Home, or AMH), a Care Management Agency (CMA) or [LMT/HCAP Name]’s Care Management department.

Your Tailored Care Management provider is:

[Tailored Care Management Provider Name]
[Contact Information]

You can choose or change your Tailored Care Management provider during the year. If you want to choose or change your Tailored Care Management provider, you can call Member Services at [Member Services Toll-Free Number] or submit the form: [Form name/submission mechanism].

You can also choose not to have a care manager and not receive the Tailored Care Management benefit. [LMT/HCAP Name] will help you coordinate services, but the coordination will be more limited than Tailored Care Management. For example, you will not meet with a care manager on a regular schedule. This will not impact which providers you can see or what services are covered for you through [LMT/HCAP Name]. You can choose not to have Tailored Care Management at any time by calling Member Services at [Member Services Toll-Free Number] or submit the form: [Form name/submission mechanism].
Warm Handoffs & Transition of Care Policy Highlights
Overview of Warm Handoff Process at Tailored Care Management Launch

• CCNC must complete a “Warm Handoff” to a LME/MCO for children and youth ages 3+ served by the child welfare system who are Tailored Care Management-eligible and actively receiving care management from CCNC.
• These members will be identified on the DHHS “Warm Handoff List” and a CCNC “TOC Warm Handoff summary form.”
• The Transferring Entity (CCNC) share the Warm Handoff member list with the Receiving Entity (LME/MCO) on November 10, 2022.

The Warm Handoff transfer sessions must begin three weeks prior to Tailored Care Management launch on 12/1 and must be completed no later than one week after launch (11/10-12/8).

A Warm Handoff is a member specific meeting/knowledge transfer session. CCNC must fill-out a two-page “warm handoff summary sheet” on each transitioning member.

LME/MCOs must:
• Directly contact the member or their Authorized Representative to confirm continuity of services
• Receive most up to date demographic information on the beneficiary

The Warm Handoff transfer sessions must begin three weeks prior to Tailored Care Management launch on 12/1 and must be completed no later than one week after launch (11/10-12/8).
Transition of Care Policy Highlights

The transferring entity (CCNC) is expected to produce a TOC Warm Handoff Summary Form for each member identified for a Warm Handoff and a TOC summary page for **ALL** members disenrolling from the transferring entity (CCNC). This summary should include, the following details:

- List of current providers
- List of current authorized services
- List of current medications
- Foster Care Information
- DSS Child Welfare Worker
- Active diagnoses
- Known allergies
- Existing or prescheduled appointments, including Non-Emergency Medical Transportation (NEMT), as known
- Any urgent or special considerations about a member’s living situation, caregiving supports, communication preferences or other Member-specific dynamics that impact the Member’s care and may not be readily identified in other transferred documents
- Additional information as needed to ensure continuity of care
Care Manager Engagement with DSS Child Welfare Workers

All LME/MCO-based care managers providing care management and care coordination to children and youth served by the child welfare system are required to collaborate with DSS Child Welfare Workers, by:

- Closely coordinating, regularly communicating and sharing information
- Responding to inquiries within three business day, or earlier, if necessary, to appropriately manage the behavioral health needs
- Coordinating services and supports to meet the child/youth’s care planning needs
- Supporting development and implementation of treatment and crisis plans
Transition of Care Forms
The following forms need to be completed by CCNC for every member who is considered a high priority by DHHS, for them to be transitioned to a LME/MCO.

**Beneficiary Consent Form:** This is a form that is required to be completed in order to share the member information from the transitioning entity (CCNC) to the receiving entity (LME/MCO). It also includes a section on the member’s right to revoke consent and given that this is a voluntary action, the member has the right to refuse signing this form which by default would mean that the member’s information cannot be shared with the receiving entity.

**TOC Warm Handoff Summary Form:** This is a form required to be completed for those members who have been determined as highly vulnerable and helps to reduce the risk of service disruptions. This form includes sections on member’s current services, discharge plan, immediate risks, among others.
# Beneficiary Consent Form

## Consent to Share Confidential Health Information

**Name of Member (printed):**

**Member's Date of Birth (printed):**

**Clinician/Provider Representative (print):**

### An Explanation of this Form

You will soon have a different health plan to manage your Medicaid healthcare benefits. To help make sure your new health plan has the information it needs to continue to provide access to and payment for your health care, we need your consent to share records about your treatment with your new health plan. You can take back your consent any time you want by signing the revocation section on this form and giving it to your provider. You can tell us how long you want this consent to be valid, or you can tell us an event or condition upon which it will expire. If you don’t give us a different time frame your consent is good for one year. You will be given a copy of this form to keep.

### Giving Your Permission to Share Your Records

To ensure that my current services are not interrupted and that my new health plan can support me effectively, I name of member/patient or legally responsible person hereby authorize name of PHP to transfer and share information related to my prior authorizations, treatment received and care plans with:

<table>
<thead>
<tr>
<th>Name of Prepaid Health Plan (&quot;PHP&quot;)</th>
<th>Initials next to applicable PHP. If Member does not know PHP, provider may reflect PHP assignment with X and secure member’s initial to confirm consent.</th>
</tr>
</thead>
<tbody>
<tr>
<td>AmeriHealth Caritas</td>
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<tr>
<td>Carolina Complete Health</td>
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<td>Healthy Blue</td>
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<td>United Healthcare</td>
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<td>Alliance</td>
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<td>Eastpointe</td>
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</tbody>
</table>

Transition of Care: Crossover Consent under 42 CFR Part 2

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FINAL 3/2021

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### Partner

- Sandhills
- Trillium
- Vaya Health

Local Health Department (please specify):

Click to enter text.

By signing this form, I authorize name of PHP to share the following specific information with the health plan identified above, which may include information relating to my substance use disorder diagnosis, condition and treatment:

1. My name, address, and other personal identifying information, including social security and Medicaid identification number
2. Substance use treatment information, including diagnosis, treatment, services, person centered plans, utilization review information, prior authorizations for services, and care plans
3. Substance use treatment progress and compliance reports
4. Medications and reason for prescription
5. Reportable communicable disease information, including HIV/AIDS, sexually transmitted infections, hepatitis, and tuberculosis
6. Financial information, including health plan or health benefits information
7. Other (specify, if any):

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**Revocation and Expiration**

I understand that I have the right to end this authorization at any time, except to the extent that a person or agency that is permitted to make a disclosure has already taken action in reliance on it. If not revoked sooner, or by the date, event, or condition set out below, this authorization expires automatically one year from the date it is signed or upon my disenrollment from the NC Medicaid Program.

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**Voluntariness**

I understand that I have the legal right to refuse to sign this authorization form. If I choose not to sign this form, I understand that healthcare providers and health plans cannot deny or refuse to provide treatment, payment for treatment, enrollment in a health plan, or eligibility for health plan benefits because of my refusal to sign.
Redisclosure and Confidentiality
My signature below indicates that I understand what information will be released and the need for the information to be released to my new health plan. I further understand that the information to be released may include information regarding my substance use disorder diagnosis, condition or treatment or HIV infection, AIDS, or AIDS related conditions. Information relating to HIV infection, AIDS or AIDS related conditions shall be released only in accordance with N.C.G.S. §130A-143. In addition, information related to my substance use disorder diagnosis, condition or treatment in my records is protected under federal regulations and cannot be released without my written consent unless otherwise provided in 42 CFR Part 2. The federal rules restrict any use of the information to investigate or prosecute with regard to a crime any patient with a substance use disorder, except as provided at §1321(c)(5). Once information is disclosed pursuant to the signed authorization, I understand that the federal privacy law (45 CFR Part 164) protecting health information may not apply to the recipient of the information and, therefore, may not prohibit the recipient from redisclosing it. Other laws, however, may prohibit redisclosure. I understand that when you disclose my mental health, intellectual and developmental disabilities information protected by state law (N.C.G.S. §122C-52) or substance use disorder diagnosis, condition or treatment information protected by federal law (42 CFR Part 2), you must inform the recipient that redisclosure is prohibited except as permitted or required by these two laws.

Signature of member under age 18 (if required for substance use disorder information) Date

Signature of member Date

Signature of legally responsible person Date

Full name and relationship of legally responsible person

☐ Verbal consent received from the above listed member/legally responsible person.

Signature of person who received verbal consent Date Time

Beneficiary Consent Form Cont’d.
TOC Warm Handoff Summary Form

1. Why was the member identified for Warm Handoff?
   - [ ] Currently Inpatient at Transition
   - [ ] High Risk/Acute/Complex Treatment Interventions
   - [ ] Currently/recently in Care Management/Care Coordination
   - [ ] Currently/recently in Care Management for At-Risk Children (CMANC)
   - [ ] Currently/recently in CCNC Care Management
   - [ ] Currently/recently in Integrated Care for Kids (ICK) Care Management
   - [ ] Currently receiving Community Guide
   - [ ] Currently/recently in Other Care Management
   - [ ] Other: Choose an item. Click or tap here to enter text.

2. List of current Risk: Click or tap here to enter text.

3. Foster Care information (if applicable):
   - Child Welfare Worker name: Click or tap here to enter text.
   - Child Welfare Worker Name phone number: Click or tap here to enter text.
   - Child Welfare Worker County: Click or tap here to enter text.
   - Foster Placement name (foster family, group, or children's home): Click or tap here to enter text.
   - Foster Placement number: Click or tap here to enter text.

4. Current Care Manager/Care Coordinator Information:
   - Current Care Manager/Coordinator name: Click or tap here to enter text.
   - Current Care Manager/Coordinator/Navigator phone number: Click or tap here to enter text.
   - Member's preferred communication method: Click or tap here to enter text.

5. What are the current Services? Click or tap here to enter text.
   - Service: Click or tap here to enter text.
   - Date of Service: Click or tap here to enter date.
   - Provider: Click or tap here to enter text.
   - Length of Stay (LOS): (Duration in service): Click or tap here to enter text.

6. List of current providers: Click here to add text

7. Date of last care coordination contact with member (e.g. in-person visit, telephonic or virtual):
   - [ ] In-person
   - [ ] Telephonic
   - [ ] Virtual (online with camera)

8. List of medications: Click or tap here to enter text.

9. Known medication issues/Concerns (e.g. member recently changed pharmacy, has not filled Rx's, adherence, allergies, etc.):
   - [ ] Yes
   - [ ] No
   - [ ] Other: Choose an item. Click or tap here to enter text.

10. Known barriers or immediate risks? Click or tap here to enter text.
    - Safety risks/Injuries: Click or tap here to enter text.
    - SDOH needs: Click or tap here to enter text.
    - Medically Complex/Fragile: Click or tap here to enter text.
    - NEMT Needs: Click or tap here to enter text.
    - Current level of care recommended: Click or tap here to enter text.
    - Recent hospitalization/episodes: Click or tap here to enter text.

11. Discharge plan, upcoming appointments, or next steps: Click or tap here to enter text.

12. Additional Information/Other comments: Click or tap here to enter text.
Questions & Additional References
Questions & Additional Resources

Please Email Questions to:
Medicaid.NCEngagement@dhhs.nc.gov

- NC Medicaid Website: https://www.ncdhhs.gov/
- Transition of Care Website: https://medicaid.ncdhhs.gov/care-management/transition-care
- Transition of Care Policy: https://medicaid.ncdhhs.gov/media/8498/download?attachment
- Medicaid Beneficiary Portal: https://www.nctracks.nc.gov/content/public/providers.html
- Provider Support: https://www.ncdhhs.gov/providers
- Reports Dashboard: https://medicaid.ncdhhs.gov/reports/dashboards