### NORTH CAROLINA ADULT PROTECTIVE SERVICES COMMUNITY EVALUATION

County Case #								
1. IDENTIFYING INFORMATION								
Last Name of A	<b>dul</b> t	Fii	rst	st Middle Initial Alias Family's Primary Lang				
Birth Date	Age	Ge	ender	r Race Marital Status Social Security #				
Current Living	Arrangeme	nt	Facility Name			County (Wh	ere	the adult is located)
Address Telephone Number					ber			
						Length of S	tay a	at this Address
Address of Cur	rrent Locatio	on i	f Different Than	Residence Add	ress	Telephone I	Num	ber of Current Location
						Length of S	tay a	at this Address
Driving Direction	ons to Curre	ent l	_ocation/Reside	ence				
Education Leve	Education Level:       Able to Read: Yes / No         Able to Write: Yes / No							
History of APS	History of APS Reports? Yes / No I If Yes, provide dates of previous APS reports: (Within last two years)							
Photo's Taken	Photo's Taken 🗌 Yes / No 🗌 Location of Photos in Record:							
Same Perpetra		/ No			,			
Religious Prefe	erence:			isually impaired			us, s	speaks foreign language,
	Dat	e of	Report:	/ /		Time		am / pm 🗌
First Face-to-F	Face Contac	ct w	ith Adult	/ /		-		am / pm 🗌
First Private Interview with Adult / / / am / pm					am / pm 🗌			
Date of Initial L	Date of Initial Letter to Reporter: Date of Final Letter to Reporter:						r:	
			on:					J:
Other (list)								
Type of Alleged         Abuse Causi         Abuse- other         Caretaker Ne         At Substantia	ing Pain / Inji eglect	ury				erson's Assets erson	5	

Summary of Allegations:			
Were there any problems accessing the adult			
			a a a h va tha ana
If Yes, document dates of attempts to see the ad	uit and desc	cribe problems and efforts taken to i	resolve them.
Did a life-threatening situation exist?	es / No 🗌		
If Yes, describe situation and action(s) taken to a		danger:	
		danger.	
2. PHYSICAL HEALTH			
Diagnosis / Symptom	*Source	Notes (e.g., onset, severity, history, f condition, needs professional asses	
Arthritis / osteoporosis / gout			
Asthma / emphysema / other respiratory			
Bladder / urinary problems or incontinence			
Bowel problems or incontinence			
Cancer			
Dental problems			
Diabetes			
Dizziness / falls			
Eye disease or conditions			
Headaches			
Hearing difficulty			
Heart disease / angina			
Hypertension / high blood pressure			
Kidney disease / renal failure			
Liver diseases			
M. Sclerosis / M. Dystrophy / C. Palsy			
Pain			
Paraplegia / quadriplegia / spinal problems			
Parkinson's disease			
Rapid weight gain / loss			
Seizures		-	
Shortness of breath / persistent cough			
Skin Condition			
Speech impairment			
Stroke			
Other:			
* Source Codes:	•	M = FL-2, M.D., other medical pro	fessional
<b>C</b> = Client's statement		<b>S</b> = Social worker observation / ju	
<b>F</b> = Family member/guardian/responsible par	tv	$\mathbf{O} = \text{Other collateral}$	-g
(specify)	.,		
Give source and location of medical care and	treatment:		
Date of last medical visit:			
			<b>n</b> <i>i</i>
APS worker contact with medical provider?		Name	Date

Findings											
******	*****				ATTACH MED	ICAL REPO	RTS		******	****	
Has Adul	t recentl	y been	hos	pitalized	? 🗌 Yes / No 🗌		If yes,	, give date and I	ocation:	_	
lf yes, giv	ve reaso	n:									
3. MEDI											
Name Medic	of	OTC		rescription Pate Filled	Dosage/ Administra- tion	Prescribi M.D.	ng	Pharmacy	Purpose	Comp	liant
											]
			-								] 1
											<u>]</u> 1
											<u>j</u>
											]
											] 1
											1
											1
											j
											]
4. MEDI	CATION	ASSE	SSM	ENT							
					edication and its						
					ribed doses at p						
	Can prepare and take medication with reminder										
	Can take medication if assisted with preparation										
	Unable to take medication correctly without assistance										
	Refuses to take medication as prescribed										
If YES	<b>3</b> , from w	hom? _			ation, is he/she e medication as		sistanc	ce needed? 🗌 Y	es / No 🗌		
5. ACTIV					asic and Instru	mental)					
וחא	Tasks		leed: 'es	s Help No	Who Pr	ovides Help	<b>`</b>	*Source	Codes C	omments	
Ambulatio					WIIO FI	STINES HEI		Source			,
Bathing											
Dressing											
Eating											
Grooming				$  \downarrow  $							
Toileting Transfer				┝╞┥┝							

To / from bed         Image: Control of the second sec				
To / from chair				
Into / out of car				
IADL Tasks				
Home Maintenance				
Housework				
Meal Preparation				
Money management				
Shopping / enalids        Telephone use				
* <b>Source Codes: M</b> = FL-2, M.D., other medical professional				
C = Client's statement       S = Social worker observation / judgment         F = Family member/guardian/responsible party       O = Other collateral         (specify)       O = Other collateral				
6. Mental / Emotional Functioning A. Orientation				
Complete the following questions to review the adult's orientation.				
TIME: Does the adult know the correct day of the week? the correct month?YesNoNon-ResponsiveRefused to AnswerWesYesYesNoNon-ResponsiveRefused to AnswerWesYesYesNoNon-ResponsiveRefused to Answer				
PLACE: Does the adult know the street and town where he/she is residing? Yes No Non-Responsive Refused to Answer				
Does the adult know in whose home he/she is residing?  Yes  No  No  Responsive  Refused to Answer				
SELF: Does the adult know his/her name? Yes No Non-Responsive Refused to Answer				
Does the adult know his/her relationship to significant others? Yes No Non-Responsive Refused to Answer				
B. Memory				
Assess distant, recent, and immediate memory. Responses to many of the other questions asked during the interview can be used to complete the ratings. Enter one of the responses below on the line in front of each area. 1 - Good 2 - Some Loss of Detail 3 - Total or Marked Impairment 4 - Non-responsive 3 - Total or Marked Impairment 4 - Non-responsive				
<b>DISTANT:</b> Discuss early events in the adult's life (e.g., childhood, date of birth, school, marriage, birth of children) to assess distant memory.				
<b>RECENT:</b> Discuss recent events (e.g., community/family events, doctor's visits, medical treatments, taking of medication) to assess recent memory.				
<b>IMMEDIATE:</b> Assess immediate memory by returning to topics covered earlier (e.g., Did I remember to ask where you were born?) and in talking about what the client was doing earlier in the day.				
C. Judgment Assess the client's response to both routine and emergency situations. Enter one of the responses below on the line in front of each area.				
<ol> <li>Good decision-making/problem-solving skills</li> <li>Mild deficit: can handle everyday decisions/problems, but not good with complex issues</li> <li>Moderate deficit: questionable decision-making/problem-solving skills; may be able to handle some</li> </ol>				

3. Moderate deficit: questionable decision-making/problem-solving skills; may be able to handle some

A Sovoro doficit: difficulty with simr		
	ole issues; o	cannot make decisions or solve problems
5. Non-responsive		
6. Refused to answer		
medicine bottle; in-home aide and purchase decisions must gather and accept facts, weigh <b>EMERGENCY:</b> Describe seve money to buy food / medicine;	does not co be made; ca h advice, ma eral emergen ; client falls; e, discern ur	ions that may occur (e.g., light bulb burns out; cannot open me to the home; stranger knocks at the door; money is low innot read medicine bottle instructions) and assess ability to ke decisions, and understand their consequences. acy situations that may occur (e.g., power goes out; no food catches fire on the stove) and assess ability to gather isafe/threatening situations, make decisions, and
between depression and dementia, and funds. Assessment of this area can be p	is linked to t performed as ent to perform	provides an indication of mental function, distinguishes he capability to perform other such tasks as managing part of other areas such as the assessment of economic n simple tasks as subtracting by two's from twenty. Enter ithmetic ability.
4 Client con norferm cimple tooks		
1. Client can perform simple tasks w		
2. Client cannot perform simple task	s with relia	Die accuracy
3. Client non-responsive		
4. Refused to answer		
Arithmetic Ability		
E. Mental/Emotional Assessment		
E. Mental/Emotional Assessment	1	
		Notes (e.g., onset, severity, functional impact, history,
		NOLES (e.g., OISEL, SEVEILLY, TUTCHONAL IMPACL, MISLOLY,
Diagnosis / Symptom	Source*	untreated condition, needs professional assessment, current treatment)
Diagnosis / Symptom Aggressive/abusive behavior	Source*	untreated condition, needs professional assessment,
Aggressive/abusive behavior	Source*	untreated condition, needs professional assessment,
Aggressive/abusive behavior Agitation/Anxiety/panic attacks	Source*	untreated condition, needs professional assessment,
Aggressive/abusive behavior Agitation/Anxiety/panic attacks Change in activity level (sudden/extreme)	Source*	untreated condition, needs professional assessment,
Aggressive/abusive behavior Agitation/Anxiety/panic attacks Change in activity level (sudden/extreme) Change in appetite	Source*	untreated condition, needs professional assessment,
Aggressive/abusive behavior Agitation/Anxiety/panic attacks Change in activity level (sudden/extreme) Change in appetite Cognitive impairment/memory impairment	Source*	untreated condition, needs professional assessment,
Aggressive/abusive behavior Agitation/Anxiety/panic attacks Change in activity level (sudden/extreme) Change in appetite Cognitive impairment/memory impairment (specify)	Source*	untreated condition, needs professional assessment,
Aggressive/abusive behavior Agitation/Anxiety/panic attacks Change in activity level (sudden/extreme) Change in appetite Cognitive impairment/memory impairment (specify) Developmental disability/mental retardation	Source*	untreated condition, needs professional assessment,
Aggressive/abusive behavior Agitation/Anxiety/panic attacks Change in activity level (sudden/extreme) Change in appetite Cognitive impairment/memory impairment (specify)	Source*	untreated condition, needs professional assessment,
Aggressive/abusive behaviorAgitation/Anxiety/panic attacksChange in activity level (sudden/extreme)Change in appetiteCognitive impairment/memory impairment(specify)Developmental disability/mental retardation(specify)	Source*	untreated condition, needs professional assessment,
Aggressive/abusive behaviorAgitation/Anxiety/panic attacksChange in activity level (sudden/extreme)Change in appetiteCognitive impairment/memory impairment (specify)Developmental disability/mental retardation (specify)Hallucinations/delusionsInappropriate affect (flat or incongruent)Impaired judgment	Source*	untreated condition, needs professional assessment,
Aggressive/abusive behaviorAgitation/Anxiety/panic attacksChange in activity level (sudden/extreme)Change in appetiteCognitive impairment/memory impairment (specify)Developmental disability/mental retardation (specify)Hallucinations/delusionsInappropriate affect (flat or incongruent)Impaired judgmentMental anguish	Source*	untreated condition, needs professional assessment,
Aggressive/abusive behaviorAgitation/Anxiety/panic attacksChange in activity level (sudden/extreme)Change in appetiteCognitive impairment/memory impairment (specify)Developmental disability/mental retardation (specify)Hallucinations/delusionsInappropriate affect (flat or incongruent)Impaired judgmentMental anguishMental illness (specify)	Source*	untreated condition, needs professional assessment,
Aggressive/abusive behaviorAgitation/Anxiety/panic attacksChange in activity level (sudden/extreme)Change in appetiteCognitive impairment/memory impairment (specify)Developmental disability/mental retardation (specify)Hallucinations/delusionsInappropriate affect (flat or incongruent)Impaired judgmentMental anguishMental illness (specify)Orientation impaired:	Source*	untreated condition, needs professional assessment,
Aggressive/abusive behaviorAgitation/Anxiety/panic attacksChange in activity level (sudden/extreme)Change in appetiteCognitive impairment/memory impairment (specify)Developmental disability/mental retardation (specify)Hallucinations/delusionsInappropriate affect (flat or incongruent)Impaired judgmentMental anguishMental illness (specify)Orientation impaired: Person, self, place, time	Source*	untreated condition, needs professional assessment,
Aggressive/abusive behaviorAgitation/Anxiety/panic attacksChange in activity level (sudden/extreme)Change in appetiteCognitive impairment/memory impairment (specify)Developmental disability/mental retardation (specify)Hallucinations/delusionsInappropriate affect (flat or incongruent)Impaired judgmentMental anguishMental illness (specify)Orientation impaired: Person, self, place, timePersistent sadness	Source*	untreated condition, needs professional assessment,
Aggressive/abusive behaviorAgitation/Anxiety/panic attacksChange in activity level (sudden/extreme)Change in appetiteCognitive impairment/memory impairment (specify)Developmental disability/mental retardation (specify)Hallucinations/delusionsInappropriate affect (flat or incongruent)Impaired judgmentMental anguishMental illness (specify)Orientation impaired: Person, self, place, timePersistent sadnessSleep disturbances	Source*	untreated condition, needs professional assessment,
Aggressive/abusive behaviorAgitation/Anxiety/panic attacksChange in activity level (sudden/extreme)Change in appetiteCognitive impairment/memory impairment (specify)Developmental disability/mental retardation (specify)Hallucinations/delusionsInappropriate affect (flat or incongruent)Impaired judgmentMental anguishMental illness (specify)Orientation impaired: Person, self, place, timePersistent sadnessSleep disturbancesSubstance abuse (specify)	Source*	untreated condition, needs professional assessment,
Aggressive/abusive behaviorAgitation/Anxiety/panic attacksChange in activity level (sudden/extreme)Change in appetiteCognitive impairment/memory impairment (specify)Developmental disability/mental retardation (specify)Hallucinations/delusionsInappropriate affect (flat or incongruent)Impaired judgmentMental anguishMental illness (specify)Orientation impaired: Person, self, place, timePersistent sadnessSleep disturbances	Source*	untreated condition, needs professional assessment,

Other: Other:

# \* Source Codes: **M** = FL-2, M.D., other medical professional **S** = Social worker observation / judgment **C** = Client's statement **F** = Family member/guardian/responsible party **O** = Other collateral (specify) **Describe any Memory Impairments:** 7. SOCIAL SUPPORT **Household Composition** Name Relationship Assistance Provided Age Significant Others Outside the Home (Family, friends, neighbors, church, etc.) Type & Frequency of Contact Name Age Relationship 8. Ask the adult the following questions to assess caretaker support status? A) When there is a problem, who do you usually call first? Who is helping you now and what do they do? How do you contact them? B) How do you feel about what and how much your helpers are doing? C) How do you think they feel? D) E) Who do you come in contact with on a typical day? Do you receive any help from any community agencies? Yes F) ∏ No If Yes, list: **Contact Person** Service(s) Provided Agency 9. Based on the above information, does the adult have a caretaker? (A caretaker is one who has the comprehensive hands on day-to-day care and responsibility of the disabled adult). If Yes, give the following applicable information about the Primary Caretaker

Name:						Relations	ship to Adult
Address:						Telephon	e Number(s):
Is the Caretaker one of the fol	lowing:				I		
🗌 Legal Guardian: 🗌 Pe	erson 🗌 Est	tate	G	eneral	Date of A	Appointment: _	
Power of Attorney: Health Care General Durable Living Will Representative Payee fo	Date Authori	ity Given:			Register	ed in: 🗌 Cour check(s)	nty □ State
If someone else other than the	Primary Caretak					attorney, pay	ee ship for the
adult, or other caretaking resp authority/responsibility below		r name, a	address	, telepho	one number,	and type of	
Name		Address			Telen	hone #	Туре
	<u> </u>						
10. The following are indicato mistreatment and requires needed to determine the a	careful evaluatio	n. "Yes"	to any	of these		equires that i	
A. Caretaker Indicators		Yes	<u>No</u>	If "Yes	", comment	on affect on	adult's safety
Alcohol / Drug Misuse		<u> </u>					
Mental / Emotional Impa		<u> </u>					
Financially Dependent of							
No Contacts Outside the Blaming Adult/ Hypercrit							
Deterioration of Physica		<u> </u>	╎┝┥				
Reluctance to Provide C		<u> </u>					
Inexperienced / Unrealis							
of Adult and/or Self							
B. Family Systems Indica Lack of Family Support	tors	Yes	No	lf "Yes	", comment	on affect on	adult's safety
Disagreement over Sha	red						
Responsibility							
History of Violence in Ha Stress/Conflict	andling						
Economic/ Financial Pro	blems						
Overcrowding							
Marital Conflict							
Intergenerational Conflic	ct in the second s						
Comments:							
11. Environmental Situation							
Adult's Living Arrangement:	Owns Home Family	🗌 Rer	nts 🗌	Homeles	s 🗌 Othe	r (specify):	
	ouse barding room		oile Hom er (spec		🗌 Apar	tment	

Environmental Ris	k Factors (Chec	ck all that apply)					
<ul> <li>Threatened Evict</li> <li>Inadequate heati</li> <li>Deteriorating Stru</li> <li>Neighborhood</li> <li>No electricity</li> <li>Accumulated Del</li> <li>No water</li> <li>Animal Infested Ii</li> <li>Inadequate toilet</li> <li>Insect Infested Iiv</li> <li>No food or storage</li> <li>Household areas</li> <li>Fire Hazards (spe</li> <li>Other (specify):</li> </ul>	ng/cooling source ucture bris iving quarters facilities ving quarters ge facilities inaccessible ecify):	<ul> <li>Safe Water Supply</li> <li>Unsanitary conditions (specify)</li></ul>					
Level of Endangerr	reat us harm k	<ul> <li>Adult understa</li> <li>Adult does not</li> </ul>		🗌 Ad	dult willing to accept assistance dult unwilling to accept assistance ot Applicable		
	Does the home have a working smoke detector?						
12. ECONOMIC FU Adult's financia Self Other: Nam	al affairs are ma	naged by:	Re	elations	ship		
INCOME	Monthly	EXPENSES	Monthly		BENEFITS RECEIVED		
Social Security	\$	Rent	\$		Medicare Part A		
SSI	1	Mortgage			Medicare Part B		
VA Pension		Utilities			Medicaid		
RR Retirement		Taxes			Other Health Insurance		
Other Pension		Medicine			Food Stamps \$		
Public Assistance		Life Insurance					
Interest/dividends		Health Insurance					
Other, specify		Medical Expenses Other (specify)					
TOTAL	\$	TOTAL	\$				
ASSETS Provide va		and location of assets,		I			
Checking Account							
Savings Account							
Real Estate	1						
Securities							
Personal Other (specify)							

Does the adult experience financial p	problems in meeting basic needs	s such as (check all that apply): Fuel 🗌
	—	—
Shelter	Medicine	Clothing
Other (specify):	Other (specify):	Other (specify):
Adult/Family's perception of Adult's	financial situation and ability to	manage finances:
of mistreatment. Collaterals can in	with others who have knowledge of clude but are not limited to: relative	of the adult's functioning and/or the allegations s, friends, neighbors, medical and/or mental rtinent information, law enforcement, etc. (Use
Date of Contact:	Name of Collateral:	
Address (optional)		Telephone Number(s):
Information Given:		
Date of Contact:	Name of Collateral:	
Address (optional)		Telephone Number(s):
Information Given:		
Date of Contact:	Name of Collateral:	
Address (optional)	I	Telephone Number(s):
Information Given:		
Date of Contact:	Name of Collateral:	
Address (optional)		Telephone Number(s):
Information Given:		
Date of Contact:	Name of Collateral:	

Address (optional)			Telephone Number(s	5):	
Information Given:					
Date of Contact	The second sec			Others Present	
<b>15. EVIDENCE OF ABUSE, I</b> as allegations that do not conditions exist, that if not	indicate that a disabled a	adult has been, or is	being abused, neglecte		
A. ABUSE BY CARETA	KER – check all that ap	ply			
Hitting, slapping or kicking	Objects thr	rown at adult	Demonstrat	tes fear of caretaker	
Broken bones or wounds	🗌 Verbal ass	aults, threats	Willful Deprivation		
Multiple or severe bruises,	olonged interval bet jury and treatment	ween 🗌 Other (Spec	cify):		
Restrained, locked in, isolated Threatened weapon		d/injured with	cify):		
Non-consenting sexual act	ivity 🗌 Injuries in o	odd places	🗌 Not Applica	ble	
Level of Endangerment					
<ul> <li>Immediate life threat</li> <li>Potential of serious harm</li> <li>At substantial risk</li> <li>No evident danger</li> </ul>	Adult unde	rstands not understand	<ul> <li>Adult willing to ac</li> <li>Adult unwilling to</li> <li>Not Applicable</li> </ul>	cept assistance accept assistance	
Comments/Description (if no	eeded):				
B. NEGLECT – Check C	Conditions Present				
Dirt, fleas, lice on person	Inadequate cloth	ing 🗌 Untre	ated medical needs(spe	cify):	
Malnourished or dehydrate	d 🗌 Decayed teeth	Doesi	n't get to medical doctor		
Ecal/urine smell	Bedsores or othe	er ulcerated sores	Homeless		
Doesn't get/take medicatio	ns 🗌 Lack of glasses/ł or other prosthe		No utilities (specify):		
Medical/Psychiatric Needs	Other (specify):				

Level of Endangerment		
<ul> <li>Immediate life threat</li> <li>Potential of serious harm</li> <li>At substantial risk</li> <li>No evident danger</li> </ul>	<ul> <li>Adult understands</li> <li>Adult does not understand</li> </ul>	<ul> <li>Adult willing to accept assistance</li> <li>Adult unwilling to accept assistance</li> <li>Not Applicable</li> </ul>
Comments/Description (if needed):		
C. SELF ENDANGERING BEHAV	/IORS – check all that apply	
Suicidal acts	Refuses medical treatment	Wandering
Self-inflicted injuries	Threats of suicide	Denial of problems
Frequenting dangerous places (specify):	Abuse of medications	<pre>Other (specify): Other (specify)</pre>
Refuses MH Treatment     S	ubstance Abuse	SA Treatment  Not Applicable
Level of Endangerment Immediate life threat Potential of serious harm At substantial risk No evident danger	<ul> <li>Adult understands</li> <li>Adult does not understand</li> </ul>	<ul> <li>Adult willing to accept assistance</li> <li>Adult unwilling to accept assistance</li> <li>Not Applicable</li> </ul>
Comments/Description (if needed):		
D. EXPLOITATION OF ASSETS		
Unexplained disappearance of funds or valuables	Inappropriate use of adult's telephone, food, other resource	Caretaker refuses to use adult's funds to meet essential needs
Excessive payment for care and/or services	Chronic failure to pay for service and/or bills	es Depleted bank account
Transfer of real property	Transfer of other assets	Adult unaware of income amount
Sudden appearance of previously uncaring relatives or friends	Changes in payee or Power-of-attorney	Parasitic relationship of other toward adult
Significant Debt	Other (specify):	Not Applicable
Level of Endangerment Immediate life threat Potential of serious harm At substantial risk No evident danger	<ul> <li>Adult understands</li> <li>Adult does not understand</li> <li>Not Applicable</li> </ul>	<ul> <li>Adult willing to accept assistance</li> <li>Adult unwilling to accept assistance</li> </ul>
Comments/Description (if needed):		

E. EXPLOITATION OF THE PER	SON	
☐ Forced to work without pay	Sexual exploitation	Other (specify):
Other (specify):	Other (specify):	Not Applicable
Level of Endangerment Immediate life threat Potential of serious harm At substantial risk No evident danger	<ul> <li>Adult understands</li> <li>Adult does not understand</li> <li>Not Applicable</li> </ul>	<ul> <li>Adult willing to accept assistance</li> <li>Adult unwilling to accept assistance</li> </ul>
Comments/Description (if needed):		
Summary of adults understanding a	nd willingness to accept assista	nce as indicated in 7A-G above:
16. Adult previously ONO	One time Source	everal times over a short period of time
17. Alleged Perpetrator Information		
a) Current alleged perpetrator's r	ame:	Date of Birth:
Address:		Telephone Number(s):
Relationship:		Date of Contact
b) Alleged perpetrator's contact v	vith client: Daily Deily	ekly Other: Explain
c) Alleged perpetrator's Employment:		Shift Worked: Days Worked:
d) Alleged perpetrator's reaction APS Worker	to Cooperative Refused to be interview	Uncooperative ved Interviewed with legal counsel
e) Summary of perpetrator's state	ement concerning APS allegations:	
f) Criminal records checked:	(optional): Date: / /	
Relevant Information obtained	:	
g) Do contact made with perp	etrator and reason:	

CASE FINDINGS DISABLED ADULT:
An individual 18 years of age or a lawfully emancipated minor, present in North Carolina and has a disability that physically or mentally incapacitates them.
Is a disabled adult.  Yes No Why or Why Not?
CARETAKER:
An individual who has the responsibility for the care of the disabled adult as a result of family relationship or who has assumed the responsibility for the care of the disabled adult voluntarily or by contract. A caretaker would have comprehensive responsibility for the adult's day-to-day well being.
Has a caretaker.
□ Yes □ No
ABUSE:
The willful infliction of physical pain, injury or mental anguish, unreasonable confinement, or the willful deprivation by a caretaker of services which are necessary to maintain mental and physical health.
Has been abused by their caretaker.
Yes No If yes, state how.
NEGLECT:
A disabled adult who either living alone and not able to provide for himself services which are necessary To maintain his mental or physical health or is not receiving the services from his caretaker.
Has been neglected by their caretaker.
☐ Yes ☐ No If yes, state how.
Is self-neglectful.
Yes No If yes, state how.
EXPLOITATION:
The illegal or improper use of a disabled adult or his resources for another's profit or advantage.
Has been exploited.
Yes No If yes, state how.
IN NEED OF PROTECTIVE SERVICES:
A disabled adult shall be in need of protective services if that person, due to his physical or mental incapacity, is unable to perform or obtain for himself essential services and if that person is without able, responsible, and willing persons to perform or obtain for him essential services.
Abuse, Neglect, Exploitation or Substantial Risk <u>has</u> been Confirmed

#### **RECOMMENDED SOCIAL WORK PRACTICE FOR MAKING A CASE DECISION:**

- 1. Do not consider the disabled adult's capacity to consent to APS at the case decision juncture; this may result in denying the victim access to APS.
- Do not unsubstantiate the need for APS for the disabled adult due to lack of a thorough evaluation, lack of staffing resources, lack of formal resources, lack of access to the court system, or prior history with same victim who has historically refused APS services.
- 3. Do not unsubstantiate the need for APS for the disabled adult due to elements of the case decision being unclear; more information may need to be obtained.
- 4. Do not assume that because it appears that the disabled adult can perform an essential service but chooses not to, that they are in fact capable. There may be many reasons why a "seemingly" capable adult chooses to not act on their own behalf. "Learned Helplessness", fear, and/or cognitive issues may be present.
- 5. Unless the social worker is reasonably assured that the identified "able, willing, and responsible" person will be able to act comprehensively on the adult's behalf to provide essential services, the determination must be made that this person is not able, willing and responsible. Consider these questions:
- a. Is the person physically capable and have the skill to provide or mobilize all protective services needed?
- b. Is the person emotionally mature, trustworthy, reliable, and have a history of using good judgment and sound thinking?
- c. Does this identified person understand the protective services needs and willing to make sure that all of the needs are met?
- d. Does the identified person have a physical or mental illness, substance abuse or other issues that may impact their ability to mobilize all protective services?
- e. Are there any legal or relational issues between the identified person and the victim that may present as problematic, i.e., victim had been an abusive parent to identified person?

Need for APS is substantiated

Need for APS is unsubstantiated

If Need for APS is substantiated, then complete Attachment A: Determination of Ability to Consent to Protective Services

#### SUBSTANTIAL RISK OF ABUSE, NEGLECT, OR EXPLOITATION

Allegations that do not indicate that a disabled adult has been or is being abused, neglected, or exploited but conditions exist, that if not addressed may result in abuse, neglect or exploitation.

Is a substantial risk	Services Offered	Client Referred to:
🗌 Yes 🔄 No		
Refused Accepted	Accepted Refused	
Signature of APS Worker		Date
0		
		Dete

Signature of Supervisor

## SUMMARY

(Additional notes or narrative should indicate which section of the evaluation it refers to. May list agency staff involved in the case decision here.)