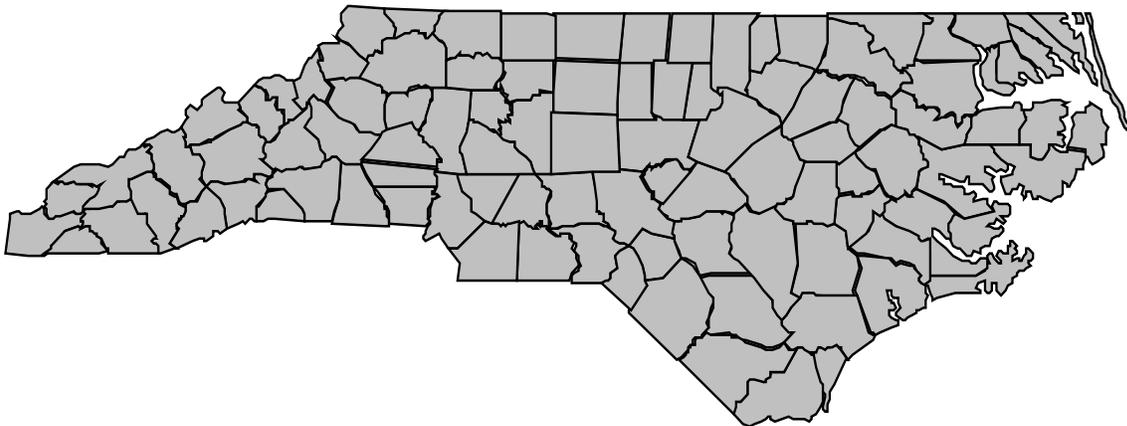


**North Carolina Department of Health and Human Services
Division of Mental Health, Developmental Disabilities,
and Substance Abuse Services**

**SFY 2023 Performance Contract
With Local Management Entities - Managed Care Organizations
Report/Data Submission Requirements**

**Fourth Quarter Report
April 1, 2023 - June 30, 2023**



Prepared by

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August 2023



NC DEPARTMENT OF
**HEALTH AND
HUMAN SERVICES**
Division of Mental Health,
Developmental Disabilities
and Substance Abuse Services



Introduction

This is the **Fourth Quarter Report** for SFY 2022-2023 under the Performance Contract between the LME-MCOs and NC DHHS.

This report tracks LME-MCO performance (timeliness, completeness, accuracy) in submitting required data/reports to the Division of MH/DD/SAS. Some requirements are quarterly while others are semi-annual or annual requirements. For reasons of economy, only those requirements with a report due in the current quarter are included in this report.

The tables on the following pages list the report schedule, provide the performance requirements, and show LME-MCO performance for the current quarter. Data submission/report requirements that have been met are depicted with a star (★) in the standard met column for each report. If the requirement was not met, this column will be blank, and the element that caused the standard not to be met will be shaded red. Graphs at the end show each LME-MCO's overall performance compared with the state average over the past three state fiscal years on meeting reports and data submission requirements.

Overall, the LME-MCOs met **100 percent** of the eight report submission requirements and **93 percent** of the nine data submission requirements measured this quarter. **Three** LME-MCOs met all 17 of the 17 report and data submission requirements this quarter. **Two** LME-MCOs met 16 of the 17 report and data submission requirements this quarter. **One** LME-MCO met 15 of the 17 report and data submission requirements this quarter.

Items that are marked "N/A" on the Summary of Performance matrix indicate reports or data submission requirements that do not apply to a specific LME-MCO.

Map of LME-MCOs and the Counties they Serve



Questions or Concerns

If staff of an LME-MCO have questions about any of the individual requirements or believe that information contained in this report is in error, they should contact their LME-MCO liaison within 30 days of the report date. The LME-MCO liaison will assist in getting answers to questions and/or having errors corrected. The Division will publish a revised report at the time of the next quarterly report if corrections are necessary due to Division errors.

SFY 2023 Performance Contract
Report/Data Submission Requirements
Fourth Quarter Report

Table of Contents

	<u>Page</u>
Introduction	2
Table of Contents	3
Summary of LME-MCO Performance	4
Report/Data Submission Requirements	
Quarterly Reports	
1. Monthly Financial Reports	5
2. Substance Abuse/Juvenile Justice Initiative Quarterly Report	6
3. Work First Initiative Quarterly Reports	7
4. Traumatic Brain Injury (TBI) Services Quarterly Report	8
5. Quarterly Complaints Report	9
6. Client Data Warehouse (CDW) - Admissions	10
7. Client Data Warehouse (CDW) - ICD-9 Diagnosis	11
8. Client Data Warehouse (CDW) - Unknown Data (Admissions)	12
9. Client Data Warehouse (CDW) - Unknown Data (Discharges)	13
10. Client Data Warehouse (CDW) - Identifying and Demographic Records	14
11. Client Data Warehouse (CDW) - Drug of Choice	15
12. Client Data Warehouse (CDW) - Episode Completion Records (SA Clients)	16
14. NC Treatment Outcomes and Program Performance System (3-Month Update).....	17
15. NC Treatment Outcomes and Program Performance System (6-Month Update).....	18
16. NC Treatment Outcomes and Program Performance System (12-Month Update).....	19
Semi-Annual Reports	
17. System of Care Report	20
18. SAPTBG Compliance Report	21
Annual Reports	
19. National Core Indicators (NCI) Consents and Pre-Surveys	22
20. Traumatic Brain Injury (TBI) Services Annual Report	23
LME-MCO Trend Graphs	
Percent of Report and Data Submission Requirements Met Each Quarter From SFY2014 To Current Quarter ...	24
Performance Contract Reports/Data Requirements	30

**SFY 2023 Performance Contract Report/Data Submission Requirements Summary Of Performance
Fourth Quarter Report
April 1, 2023 - June 30, 2023**

LME-MCO	Report Submission Measures											Data Submission Measures												
	Number of Report Submission Measures Met	Total Number of Report Submission Measures *	Percent of Report Submission Measures Met	1. Monthly Financial Report	2. SAJJ Initiative Quarterly Report	3. Work First Initiative Quarterly Report	4. TBI Services Quarterly Report	5. Quarterly Complaints Report	17. System of Care Quarterly Report	18. SAPTRC Compliance Semi-Annual Report	19. National Core Indicators Consents, Pre-Surveys and Mail Surveys	20. TBI Services Annual Report	Number of Data Submission Measures Met	Total Number of Data Submission Measures	Percent of Data Submission Measures Met	7. CDW - Diagnosis Record	8. CDW - Unknown Data (Admissions)	9. CDW - Unknown Data (Discharge)	10. CDW - Identifying and Demographic Records	11. CDW - Drug of Choice	12. CDW - Episode Completion Records (SA Clients)	14. NC TOPPS - 3 Month Update	15. NC TOPPS - 6 Month Update	16. NC TOPPS - 12 Month Update
Alliance Health	8	8	100%	★	N/A	★	★	★	★	★	★	★	7	9	78%	★	★	★	★	★				★
Eastpointe	8	8	100%	★	N/A	★	★	★	★	★	★	★	8	9	89%	★	★	★	★	★		★	★	★
Partners Health Management	8	8	100%	★	N/A	★	★	★	★	★	★	★	9	9	100%	★	★	★	★	★	★	★	★	★
Sandhills Center	8	8	100%	★	N/A	★	★	★	★	★	★	★	9	9	100%	★	★	★	★	★	★	★	★	★
Trillium Health Resources	8	8	100%	★	N/A	★	★	★	★	★	★	★	9	9	100%	★	★	★	★	★	★	★	★	★
Vaya Health	8	8	100%	★	N/A	★	★	★	★	★	★	★	8	9	89%	★	★	★	★	★		★	★	★
STATEWIDE - Number			100%	6	0	6	6	6	6	6	6	6			93%	6	6	6	6	6	4	5	5	6
STATEWIDE - Percent				100.0%	N/A	100.0%	100.0%	100.0%	100.0%	100.0%	100.0%	100.0%				100.0%	100.0%	100.0%	100.0%	100.0%	66.7%	83.3%	83.3%	100.0%

- * This column shows the total number of **report submission** measures that apply this quarter. Some requirements are quarterly while others are semi-annual or annual requirements.
- ★ Indicates the LME-MCO met the performance standard for the measure.
- % Percents that are highlighted green indicate the LME-MCO met the performance standards for at least 65% of the measures in the respective category (e.g. report submission and/or data submission). Meeting the performance standards for at least 65% of the measures is one of the factors considered in LME-MCO monitoring decisions.
- N/A Indicates measures that were not applicable this quarter.

SFY 2023 Performance Contract Data/Report Submission Requirements
Fourth Quarter Report
April 1, 2023 - June 30, 2023

1. Monthly Financial Reports

Performance Requirement: LME-MCO submits all required monthly financial reports in acceptable format, completeness, and accuracy by the 20th of the month (or next business day if the due date is a weekend or holiday) following the month covered by the report. For example, the financial report covering the month of Jan is due by Feb 20.

SFY 2023 Standard: Reports are accurate, complete, and received by the due date.

LME-MCO	MAR Report Due 4/20/23		APR Report Due 5/22/23		MAY Report Due 6/20/23		Standard Met ¹
	Date Received ²	Accurate, Complete	Date Received ²	Accurate, Complete	Date Received ²	Accurate, Complete	
Alliance Health	4/20/23	Yes	5/20/23	Yes	6/20/23	Yes	★
Eastpointe	4/20/23	Yes	5/20/23	Yes	6/20/23	Yes	★
Partners Health Management	4/20/23	Yes	5/20/23	Yes	6/20/23	Yes	★
Sandhills Center	4/20/23	Yes	5/20/23	Yes	6/20/23	Yes	★
Trillium Health Resources	4/20/23	Yes	5/20/23	Yes	6/20/23	Yes	★
Vaya Health	4/20/23	Yes	5/20/23	Yes	6/20/23	Yes	★

Number and Percent of LME-MCOs that met the Performance Standard:

6 (100%)

Notes:

1. ★ = Met the Performance Contract Standard.

2. Red shading indicates reports that are not received by the due date or are not accurate and complete.

SFY 2023 Performance Contract Data/Report Submission Requirements
Fourth Quarter Report
April 1, 2023 - June 30, 2023

2. Substance Abuse/Juvenile Justice Initiative Quarterly Reports

Performance Requirement: LME-MCO submits a quarterly SA/Juvenile Justice Initiative Report by the 20th of the month following the end of the quarter (or next business day if the due date is a weekend or holiday).

SFY 2023 Standard: All reports are accurate and complete and are received no later than 10 days after the due date.

LME-MCO	4th Qtr Report Due 7/20/23				Standard Met ¹
	Juvenile Detention		JJSAMH Partnership		
	Date Received ²	Accurate And Complete	Date Received ²	Accurate And Complete	
Alliance Health					N/A
Eastpointe					N/A
Partners Health Management					N/A
Sandhills Center					N/A
Trillium Health Resources					N/A
Vaya Health					N/A

Number of Percent of LME-MCOs that Met the SFY2023 Standard:

#DIV/0!

Notes:

1. ★ = Met the Performance Contract Standard. **N/A** = Not Applicable this quarter.
2. Reports that are not complete or that were received >10 days after the due date are shaded red.
3. Reports with ***Italicized*** dates and yellow shading were received within 10 days after the due date.
4. Reports that are shaded gray do not have a program and do not have a reporting requirement.

SFY 2023 Performance Contract Data/Report Submission Requirements
 Fourth Quarter Report
 April 1, 2023 - June 30, 2023

3. Work First Initiative Quarterly Reports

Performance Requirement: LME-MCO submits a quarterly Work First Initiative Report by the 20th of the month following the end of the quarter (or next business day if the due date is a weekend or holiday).

SFY 2023 Standard: All reports are accurate and complete and are received no later than 10 days after the due date.

LME-MCO	4th Qtr Report Due 7/20/23			Standard Met ¹
	Date Received ²	³	Accurate And Complete	
Alliance Health	7/19/2023	✓	Yes	★
Eastpointe	7/17/2023	✓	Yes	★
Partners Health Management	7/17/2023	✓	Yes	★
Sandhills Center	7/18/2023	✓	Yes	★
Trillium Health Resources	7/14/2023	✓	Yes	★
Vaya Health	7/18/2023	✓	Yes	★

Number and Percent of LME-MCOs that met the SFY 2023 Standard: 6 (100%)

Notes:

1. ★ = Met the Performance Contract Standard.
2. Dates that are shaded red indicate reports received >10 days after the due date.
Dates with yellow shading are within 10 days after the due date.
3. ✓ = An extension was granted.

SFY 2023 Performance Contract Data/Report Submission Requirements
Fourth Quarter Report
April 1, 2023 - June 30, 2023

4. Quarterly Traumatic Brain Injury (TBI) Services Report

Performance Requirement: LME-MCO submits all required Traumatic Brain Injury (TBI) Services reports in acceptable format by the following due dates (or next business day if the due date is a weekend or holiday):

- First quarter report = Nov 30.
- Second quarter report = Feb 28.
- Third quarter report = May 31.
- Fourth quarter report = Aug 31.
- Annual report = Jul 31.

SFY 2023 Standard:

Reports are accurate, complete, and received by the due date.

LME-MCO	3rd Qtr Report Due 5/31/23			
	Date Received ²	³	Accurate, Complete	Standard Met ¹
Alliance Health	5/30/23		Yes	★
Eastpointe	5/30/23		Yes	★
Partners Health Management	5/31/23		Yes	★
Sandhills Center	5/21/23		Yes	★
Trillium Health Resources	5/30/23		Yes	★
Vaya Health	5/31/23		Yes	★

Number and Percent of LME-MCOs that met the Performance Standard:

6 (100%)

Notes:

1. ★ = Met the Performance Contract Standard.

2. Red shading indicates reports that are not received by the due date or are not accurate and complete.

3. ✓ = An extension was granted.

SFY 2023 Performance Contract Data/Report Submission Requirements
Fourth Quarter Report
April 1, 2023 - June 30, 2023

5. Quarterly Complaints Report

Performance Requirement: LME-MCO submits all required Complaints reports in acceptable format by the following due dates (or next business day if the due date is a weekend or holiday):

- First quarter report = Nov 15.
- Second quarter report = Feb 15.
- Third quarter report = May 15.
- Fourth quarter report = Aug 15.

SFY 2023 Standard:

Reports are accurate, complete, and received by the due date.

LME-MCO	4th Qtr Report Due 8/15/23		
	Date Received ²	Accurate, Complete	Standard Met ¹
Alliance Health	8/14/23	Yes	★
Eastpointe	8/15/23	Yes	★
Partners Health Management	8/15/23	Yes	★
Sandhills Center	8/14/23	Yes	★
Trillium Health Resources	8/15/23	Yes	★
Vaya Health	8/15/23	Yes	★

Number and Percent of LME-MCOs that met the Performance Standard:

6 (100%)

Notes:

1. ★ = Met the Performance Contract Standard.

2. Red shading indicates reports that are received before the quarter has ended, not received by the due date, or are not accurate and complete.

SFY 2023 Performance Contract Data/Report Submission Requirements
Fourth Quarter Report
April 1, 2023 - June 30, 2023

**6. Client Data Warehouse (CDW)
Admissions**

Performance Requirement: LME-MCO submits required CDW record types by the 15th of each month. Submitted admission records (record type 11) are complete and accurate.

The table below shows the number of admissions for which data was submitted to the CDW as of July 31, 2023.

LME-MCO	Facility Code	APR	MAY	JUN	Fourth Quarter Adm SFY2023	Fourth Quarter Adm SFY2022	Monthly Average SFY2023	Monthly Average SFY2022
Alliance Health	23141	660	677	179	1,516	1,596	505	532
Eastpointe	43081	210	225	91	526	873	175	291
Partners Health Management	13141	677	754	651	2,082	1,827	694	609
Sandhills Center	33031	651	704	580	1,935	2,891	645	964
Trillium Health Resources	43071	1,244	1,573	10	2,827	4,177	942	1,392
Vaya Health	13010	0	0	0	0	2,074	0	691
TOTAL ADMISSIONS		3,442	3,933	1,511	8,886	13,438	2,962	4,479

Data that are shaded are incomplete or appear to be inaccurate (e.g. <100 or <40% of the prior year's quarter total).

SFY 2023 Performance Contract Data/Report Submission Requirements
Fourth Quarter Report
April 1, 2023 - June 30, 2023

**7. Client Data Warehouse (CDW)
Diagnosis Records**

Performance Requirement: LME-MCO submits required CDW record types by the 15th of each month. Open clients who are enrolled in a benefit plan and receive a billable service will have a completed diagnosis in CDW within 30 days of the beginning date of service (1 quarter lag time is allowed for submission). A missing diagnosis is defined as DHHS not being able to secure a diagnosis from a service claim (NCTRACTS or Medicaid) or a Record Type 13.

The table below shows the percentage of clients admitted during the prior quarter (January 1, 2023 - March 31, 2023) with a diagnosis completed within 30 days of beginning date of service.

SFY 2023 Standard: 90% of open clients who are enrolled in a target population and receive a billable service have a diagnosis in CDW within 30 days of beginning service.

LME-MCO	Number of Admissions	Number Missing Diagnosis	Number Completed within 30 days	Percent With Records Completed Within 30 Days ²	Standard Met ¹
Alliance Health	2,293	4	2,289	100%	★
Eastpointe	654	8	646	99%	★
Partners Health Management	2,305	2	2,303	100%	★
Sandhills Center	2,285	0	2,285	100%	★
Trillium Health Resources	4,015	1	4,014	100%	★
Vaya Health	2,083	0	2,083	100%	★
TOTAL	13,635	15	13,620	100%	★

Number and Percent of LME-MCOs that met the SFY 2023 Standard:

6 (100%)

Notes:

1. ★ = Met the Performance Contract Standard.

2. Percentages less than 90% are shaded red.

SFY 2023 Performance Contract Data/Report Submission Requirements
 Fourth Quarter Report
 April 1, 2023 - June 30, 2023

**8. Client Data Warehouse (CDW)
 'Unknown' Value In Mandatory Fields (Admissions)**

Performance Requirement: LME-MCO submits required CDW record types by the 15th of each month. Mandatory fields contain a value other than 'unknown' and Living Arrangement contains a value other than 'unknown' or 'other'.

The table below shows the percentage of clients admitted during the prior quarter (January 1, 2023 - March 31, 2023) where all mandatory data fields contain a value other than 'unknown' and Living Arrangement contains a value other than 'unknown' or 'other'.

SFY 2023 Standard: 90% of all mandatory data fields for the prior quarter contain a value other than 'unknown' and Living Arrangement contains a value other than 'unknown' or 'other'.

LME-MCO	Admission Records	County	Race	Ethnicity	Gender	Marital Status	Education	Employment	Veteran Status	Family Income	Family Size	Arrests 30 Days	Health Med Ins	Primary Language	Attendance Self Help	Standard Met ¹
Alliance Health	2,293	100%	100%	100%	100%	100%	100%	100%	100%	100%	100%	100%	100%	100%	100%	★
Eastpointe	654	100%	100%	100%	100%	100%	100%	100%	100%	100%	100%	100%	100%	100%	100%	★
Partners Health Management	2,305	100%	100%	100%	100%	100%	100%	100%	100%	100%	100%	100%	100%	100%	100%	★
Sandhills Center	2,285	100%	100%	100%	100%	100%	100%	100%	100%	100%	100%	100%	100%	100%	100%	★
Trillium Health Resources	4,015	100%	100%	100%	100%	100%	100%	100%	100%	100%	100%	100%	100%	100%	98%	★
Vaya Health	2,083	100%	100%	100%	100%	100%	100%	100%	100%	100%	100%	100%	100%	100%	100%	★
TOTAL	13,635	100%	100%	100%	100%	100%	100%	100%	100%	100%	100%	100%	100%	100%	99%	★

Number and Percent of LME-MCOs that met the SFY 2023 Standard:

6 (100%)

Notes:

- ★ = Met the Performance Contract Standard.
- Percentages less than 90% are shaded red.

SFY 2023 Performance Contract Data/Report Submission Requirements
Fourth Quarter Report
April 1, 2023 - June 30, 2023

**9. Client Data Warehouse (CDW)
'Unknown' Value In Mandatory Fields (Discharges)**

Performance Requirement: LME-MCO submits required CDW record types by the 15th of each month. Mandatory fields contain a value other than 'unknown' and Living Arrangement contains a value other than 'unknown' or 'other'.

The table below shows the percentage of clients discharged during the prior quarter (January 1, 2023 - March 31, 2023) where all mandatory data fields contain a value other than 'unknown' and Living Arrangement contains a value other than 'unknown' or 'other'.

SFY 2023 Standard: 90% of all mandatory data fields for the prior quarter contain a value other than 'unknown' and Living Arrangement contains a value other than 'unknown' or 'other'.

LME-MCO	Discharge Records	Discharge Reason	Employment Status	Arrests Prior 30 Days	Referral To	Living Arrangement	Attendance Self Help	Standard Met ¹
Alliance Health	72	100%	100%	100%	100%	100%	100%	★
Eastpointe	20,057	100%	100%	100%	100%	100%	96%	★
Partners Health Management	1,131	100%	100%	100%	100%	100%	100%	★
Sandhills Center	4,859	100%	100%	100%	100%	100%	100%	★
Trillium Health Resources	1,850	100%	100%	100%	100%	100%	99%	★
Vaya Health	883	100%	100%	100%	100%	100%	100%	★
TOTAL	28,852	100%	100%	100%	100%	100%	97%	★

Number and Pct of LME-MCOs that met the SFY 2023 Standard:

6 (100%)

Notes:

1. ★ = Met the Performance Contract Standard.
2. Percentages less than 90% are shaded red.

SFY 2023 Performance Contract Data/Report Submission Requirements
Fourth Quarter Report
April 1, 2023 - June 30, 2023

**10. Client Data Warehouse (CDW)
Identifying and Demographic Records**

Performance Requirement: LME-MCO submits required CDW record types by the 15th of each month. Open clients who are enrolled in a benefit plan and receive a billable service will have a completed identifying record (record type 10) and a completed demographic record (record type 11) in CDW within 30 days of the beginning date of service on the paid claims record.

The table below shows the percentage of clients admitted during the prior quarter (January 1, 2023 - March 31, 2023) with an identifying record and demographic record completed within 30 days of the beginning date of service.

SFY 2023 Standard: 90% of open clients who are enrolled in a benefit plan and receive a billable service have completed identifying and demographic records within 30 days of the beginning date of service.

LME-MCO	Number of Claims ³	Number Missing Records	Number Completed within 30 days	Percent With Records Completed Within 30 Days ²	Standard Met ¹
Alliance Health	15,505	27	15,478	100%	★
Eastpointe	7,112	11	7,101	100%	★
Partners Health Management	15,505	27	15,478	100%	★
Sandhills Center	10,532	8	10,524	100%	★
Trillium Health Resources	15,925	25	15,900	100%	★
Vaya Health	16,340	209	16,131	99%	★
TOTAL	80,919	307	80,612	100%	★

Number and Percent of LME-MCOs that met the SFY 2023 Standard:

6 (100%)

Notes:

1. ★ = Met the Performance Contract Standard.
2. Percentages less than 90% are shaded red.
3. Only includes NCTRACKS claims.

SFY 2023 Performance Contract Data/Report Submission Requirements
Fourth Quarter Report
April 1, 2023 - June 30, 2023

**11. Client Data Warehouse (CDW)
Drug Of Choice Data**

Performance Requirement: LME-MCO submits required CDW record types by the 15th of each month. A drug of choice record (record type 17) is completed within 90 days of the beginning date of service for clients enrolled in any of the benefit plans: ASCDR, ASTER, ASWOM, CSSAD and ASOUD.

The table below shows the percentage of open clients in the designated benefit plans (January 1, 2023 - March 31, 2023) with a drug of choice record completed within 90 days of the beginning date of service.

SFY 2023 Standard: 90% of open clients in the designated benefit plans have a drug of choice record completed within 90 days.

LME-MCO	Number of Claims ³	Number Missing Records	Number Completed within 90 days	Percent With Records Completed Within 90 Days ²	Standard Met ¹
Alliance Health	3,355	2	3,353	100%	★
Eastpointe	1,416	26	1,390	98%	★
Partners Health Management	12,088	24	12,064	100%	★
Sandhills Center	1,171	1	1,170	100%	★
Trillium Health Resources	3,509	96	3,413	97%	★
Vaya Health	4,038	35	4,003	99%	★
TOTAL	25,577	184	25,393	99%	★

Number and Pct of LME-MCOs that met the SFY 2023 Standard:

6 (100%)

Notes:

- ★ = Met the Performance Contract Standard.
- Percentages less than 90% are shaded red.
- Only includes NCTRACKS claims.
- Effective 12/20/18, the completion period changed from 60 to 90 days.

Key To Benefit Plan Abbreviations

ASCDR – Adult Substance Abuse IV Drug Communicable Disease Risk
ASTER – Adult Substance Abuse Treatment Engagement and Recovery
ASWOM – Adult Substance Abuse Women
CSSAD – Child with SA Disorder
ASOUD – Adult Substance Opioid Use Disorder

SFY 2023 Performance Contract Data/Report Submission Requirements
Fourth Quarter Report
April 1, 2023 - June 30, 2023

**12. Client Data Warehouse (CDW)
Episode Completion (Discharge) Record - Substance Abuse Clients**

Performance Requirement: LME-MCO submits required CDW record types by the 15th of each month. An episode completion (discharge) record (Record Type 12) is completed for all Substance Abuse consumers who have had no billable service for at least 90 days. This report separately focuses on **Substance Abuse clients** who are identified for reporting to TEDS (Treatment Episodes Data System).

The table below shows the percentage of Substance Abuse clients admitted since October 1, 2006, when this measure began, who during the prior quarter (January 1, 2023 - March 31, 2023) have had a billable service, administrative activity, or if neither occurred for at least 90 days, have submitted an episode completion record.

SFY 2023 Standard: 90% of Substance Abuse clients admitted since October 1, 2006, who have had no billable service, administrative activity, or if neither occurred for at least 90 days, have an episode completion record.

LME-MCO	Number of Clients Admitted Since October 1, 2006	Number <u>without</u> Appropriate Activity or an Episode Completion Record ³	Number <u>with</u> Appropriate Activity or an Episode Completion Record ⁴	Percent <u>with</u> Appropriate Activity or an Episode Completion Record ²	Standard Met ¹
Alliance Health	654	22	632	97%	★
Eastpointe	225	29	196	87%	
Partners Health Management	646	0	646	100%	★
Sandhills Center	500	0	500	100%	★
Trillium Health Resources	1,107	43	1,064	96%	★
Vaya Health	664	249	415	63%	
TOTAL	3,796	343	3,453	91%	★

Number and Pct of LME-MCOs that met the SFY 2023 Standard:

4 (66.7%)

Notes:

1. ★ = Met the Performance Contract Standard.
2. Percentages less than 90% are shaded red.
3. Number without a billable service or administrative activity for at least 90 days, and an Episode Completion Record was not submitted.
4. Number with a billable service, administrative activity, or if neither occurred for at least 90 Days, an Episode Completion Record was submitted.
5. Effective 12/20/18, the completion period changed from 60 to 90 days.

SFY 2023 Performance Contract Data/Report Submission Requirements
Fourth Quarter Report
April 1, 2023 - June 30, 2023

**14. NC Treatment Outcomes and Program Performance System (NC-TOPPS)
3 Month Update Assessments**

Performance Requirement: The LME-MCO, through providers, will collect outcomes information on its consumers following sampling methods and reporting schedules for the instrument being used. The instrument used will depend on the type of consumer. The NC-TOPPS is required for all MH/SA consumers ages six and older and shall be entered in the web-based system within 30 days of completion of the assessment as specified in the NC-TOPPS Implementation Guidelines. An update assessment must be completed within two weeks before or after the required update month (e.g. 3-months, 6-months, 12-months, 18-months, etc). All update assessments shall be complete and accurate. The DMH/DD/SAS shall annually sample consumers with initial assessments to determine the timeliness and accuracy of 3-month update assessments. The 3-month update assessments shall be administered between 76 and 104 days after the initial assessment. To ensure accuracy and completeness, the updates reported below were for initial assessments that occurred 6 months ago¹.

SFY 2023 Standard: 90% of the expected update forms are received and are timely.

LME-MCO	Expected # of Update Instruments	Receipt		Timeliness		Standard Met ²
		# of Update Assessments Received	% of Expected Assessments Received ³	# of Update Assessments Received On-Time	% of Expected Assessments Received On-Time ³	
Alliance Health	1,113	1,026	92.2%	948	85.2%	
Eastpointe	598	598	100.0%	598	100.0%	★
Partners Health Management	1,068	1,009	94.5%	965	90.4%	★
Sandhills Center	911	906	99.5%	854	93.7%	★
Trillium Health Resources	1,422	1,413	99.4%	1,386	97.5%	★
Vaya Health	1,776	1,738	97.9%	1,663	93.6%	★
Totals	6,888	6,690	97.1%	6,414	93.1%	★

Number and Percent of LME-MCOs that met the SFY 2023 Standard:

5 (83.3%)

Notes:

1. Based on initial assessments that occurred Oct - Dec 2022.
2. ★ = Met the Performance Contract Standard.
3. Percentages less than 90% are shaded red.

SFY 2023 Performance Contract Data/Report Submission Requirements
Fourth Quarter Report
April 1, 2023 - June 30, 2023

**15. NC Treatment Outcomes and Program Performance System (NC-TOPPS)
6 Month Update Assessments**

Performance Requirement: The LME-MCO, through providers, will collect outcomes information on its consumers following sampling methods and reporting schedules for the instrument being used. The instrument used will depend on the type of consumer. The NC-TOPPS is required for all MH/SA consumers ages six and older and shall be entered in the web-based system within 30 days of completion of the assessment as specified in the NC-TOPPS Implementation Guidelines. An update assessment must be completed within two weeks before or after the required update month (e.g. 3-months, 6-months, 12-months, 18-months, etc). All update assessments shall be complete and accurate. The DMH/DD/SAS shall annually sample consumers with initial assessments to determine the timeliness and accuracy of 6-month update assessments. The 6-month update assessments shall be administered between 166 and 194 days after the initial assessment. To ensure accuracy and completeness, the updates reported below are for initial assessments that occurred 9 months ago¹.

SFY 2023 Standard: 90% of the expected update forms are received and are timely.

LME-MCO	Expected # of Update Instruments	Receipt		Timeliness		Standard Met ²
		# of Update Assessments Received	% of Expected Assessments Received ³	# of Update Assessments Received On-Time	% of Expected Assessments Received On-Time ³	
Alliance Health	1,523	1,436	94.3%	1,363	89.5%	
Eastpointe	772	772	100.0%	772	100.0%	★
Partners Health Management	1,217	1,176	96.6%	1,140	93.7%	★
Sandhills Center	927	925	99.8%	918	99.0%	★
Trillium Health Resources	1,657	1,648	99.5%	1,628	98.2%	★
Vaya Health	1,454	1,440	99.0%	1,406	96.7%	★
Totals	7,550	7,397	98.0%	7,227	94.8%	★

Number and Percent of LME-MCOs that met the SFY 2023 Standard:

5 (83.3%)

Notes:

1. Based on initial assessments that occurred Jul - Sep 2022.
2. ★ = Met the Performance Contract Standard.
3. Percentages less than 90% are shaded red.

SFY 2023 Performance Contract Data/Report Submission Requirements
Fourth Quarter Report
April 1, 2023 - June 30, 2023

**16. NC Treatment Outcomes and Program Performance System (NC-TOPPS)
12 Month Update Assessments**

Performance Requirement: The LME-MCO, through providers, will collect outcomes information on its consumers following sampling methods and reporting schedules for the instrument being used. The instrument used will depend on the type of consumer. The NC-TOPPS is required for all MH/SA consumers ages six and older and shall be entered in the web-based system within 30 days of completion of the assessment as specified in the NC-TOPPS Implementation Guidelines. An update assessment must be completed within two weeks before or after the required update month (e.g. 3-months, 6-months, 12-months, 18-months, etc). All update assessments shall be complete and accurate. The DMH/DD/SAS shall annually sample consumers with initial assessments to determine the timeliness and accuracy of 12-month update assessments. The 12-month update assessments shall be administered between 351 and 379 days after the initial assessment. To ensure accuracy and completeness, the updates reported below were for initial assessments that occurred 15 months ago¹.

SFY 2023 Standard: 90% of the expected update forms are received and are timely.

LME-MCO	Expected # of Update Instruments	Receipt		Timeliness		Standard Met ²
		# of Update Assessments Received	% of Expected Assessments Received ³	# of Update Assessments Received On-Time	% of Expected Assessments Received On-Time ³	
Alliance Health	1,482	1,413	95.3%	1,387	93.6%	★
Eastpointe	693	693	100.0%	693	100.0%	★
Partners Health Management	1,156	1,129	97.7%	1,113	96.3%	★
Sandhills Center	885	882	99.7%	877	99.1%	★
Trillium Health Resources	1,408	1,401	99.5%	1,389	98.7%	★
Vaya Health	1,537	1,520	98.9%	1,510	98.2%	★
Totals	7,161	7,038	98.3%	6,969	97.3%	★

Number and Percent of LME-MCOs that met the SFY 2023 Standard:

6 (100%)

Notes:

1. Based on initial assessments that occurred Jan - Mar 2022.
2. ★ = Met the Performance Contract Standard.
3. Percentages less than 90% are shaded red.

SFY 2023 Performance Contract Data/Report Submission Requirements
Fourth Quarter Report
April 1, 2023 - June 30, 2023

17. System of Care

Performance Requirement: LME-MCO submits a semi-annual System of Care Report by the 31st of the month following the end of the 2nd and 4th quarters (or next business day if the due date is a weekend or holiday).

SFY 2023 Standard: All reports are accurate and complete and are received no later than 7 days after the due date.

LME-MCO	4th Qtr Report Due 7/31/23		Standard Met ¹
	Date Received ²	Complete	
Alliance Health	7/17/23	Yes	★
Eastpointe	7/13/23	Yes	★
Partners Health Management	7/14/23	Yes	★
Sandhills Center	7/26/23	Yes	★
Trillium Health Resources	7/20/23	Yes	★
Vaya Health	7/24/23	Yes	★

Number and Percent of LME-MCOs that met the SFY 2023 Standard:

6 (100%)

Notes:

1. ★ = Met the Performance Contract Standard.

2. Dates that are shaded red indicate reports received >7 days after the due date.

Dates with yellow shading are within 7 days after the due date.

SFY 2023 Performance Contract Data/Report Submission Requirements
 Fourth Quarter Report
 April 1, 2023 - June 30, 2023

18. SAPTBG Compliance Report

Performance Requirement: The LME-MCO shall submit a semi-annual SAPTBG Compliance Report by the 20th of the month (or next business day if on a holiday or weekend) following the end of the semi-annual period. Reports are accurate and complete and show at least 48 hours of Synar activity for the period.

SFY 2023 Standard: All reports are accurate and complete, show 48 hours of Synar activity, and are received no later than 10 days after the due date.

LME-MCO	End of Year Report Due 7/20/23			Standard Met ¹
	Date Received ²	Accurate and Complete	48 Hours Of Synar Activity ²	
Alliance Health	7/19/23	Yes	Yes	★
Eastpointe	7/18/23	Yes	Yes	★
Partners Health Management	7/19/23	Yes	Yes	★
Sandhills Center	7/19/23	Yes	Yes	★
Trillium Health Resources	7/19/23	Yes	Yes	★
Vaya Health	7/19/23	Yes	Yes	★

Number and Percent of LME-MCOs that met the SFY 2023 Standard:

6 (100%)

Notes:

1. ★ = Met the Performance Contract Standard.

2. Dates received more than 10 days after the due date and Synar Activities < 48 hours are highlighted red.

Dates received within 10 days after the due date are highlighted yellow.

SFY 2023 Performance Contract Data/Report Submission Requirements
Fourth Quarter Report
April 1, 2023 - June 30, 2023

19. National Core Indicators (NCI) Consents And Pre-Surveys

Performance Requirement: The LME-MCO, through providers, will submit a consent form and a pre-survey for each person selected to participate in the NCI project within the specified timeframes. The LME-MCO will also submit information needed for the mailed survey. All submissions are complete.

SFY 2023 Standard: 75% of the pre-surveys, consents, and mail survey information are received by the due date and complete.

LME-MCO	Timeliness of Submission		Completeness (# Forms Received / # Expected)			Standard Met ¹
	Pre-Surveys & Consents ²	Mailed Surveys ²	# Received	# Expected	% Complete ²	
Alliance Health	Received On-Time	Received On-Time	193	184	104.9%	★
Eastpointe	Received On-Time	Received On-Time	91	79	115.2%	★
Partners Health Management	Received On-Time	Received On-Time	142	142	100.0%	★
Sandhills Center	Received On-Time	Received On-Time	93	90	103.3%	★
Trillium Health Resources	Received On-Time	Received On-Time	119	116	102.6%	★
Vaya Health	Received On-Time	Received On-Time	109	90	121.1%	★
Totals			747	701	106.6%	

Number and Percent of LME-MCOs that met the SFY 2023 Standard:

6 (100%)

Notes:

1. ★ = Met the Performance Contract Standard.

2. Surveys not received on-time and percentages less than 75% are shaded red.

SFY 2023 Performance Contract Data/Report Submission Requirements
 Fourth Quarter Report
 April 1, 2023 - June 30, 2023

20. Annual Traumatic Brain Injury (TBI) Services Report

Performance Requirement: LME-MCO submits all required Traumatic Brain Injury (TBI) Services reports in acceptable format by the following due dates (or next business day if the due date is a weekend or holiday):

- First quarter report = Nov 30.
- Second quarter report = Feb 28.
- Third quarter report = May 31.
- Fourth quarter report = Aug 31.
- Annual report = Jul 31.

SFY 2023 Standard:

Reports are accurate, complete, and received by the due date.

LME-MCO	Annual Report Due 7/31/23			
	Date Received ²	³	Accurate, Complete	Standard Met ¹
Alliance Health	7/31/23		Yes	★
Eastpointe	7/31/23		Yes	★
Partners Health Management	7/13/23		Yes	★
Sandhills Center	7/14/23		Yes	★
Trillium Health Resources	7/28/23		Yes	★
Vaya Health	7/14/23		Yes	★

Number and Percent of LME-MCOs that met the Performance Standard: 6 (100%)

Notes:

1. ★ = Met the Performance Contract Standard.

2. Red shading indicates reports that are not received by the due date or are not accurate and complete.

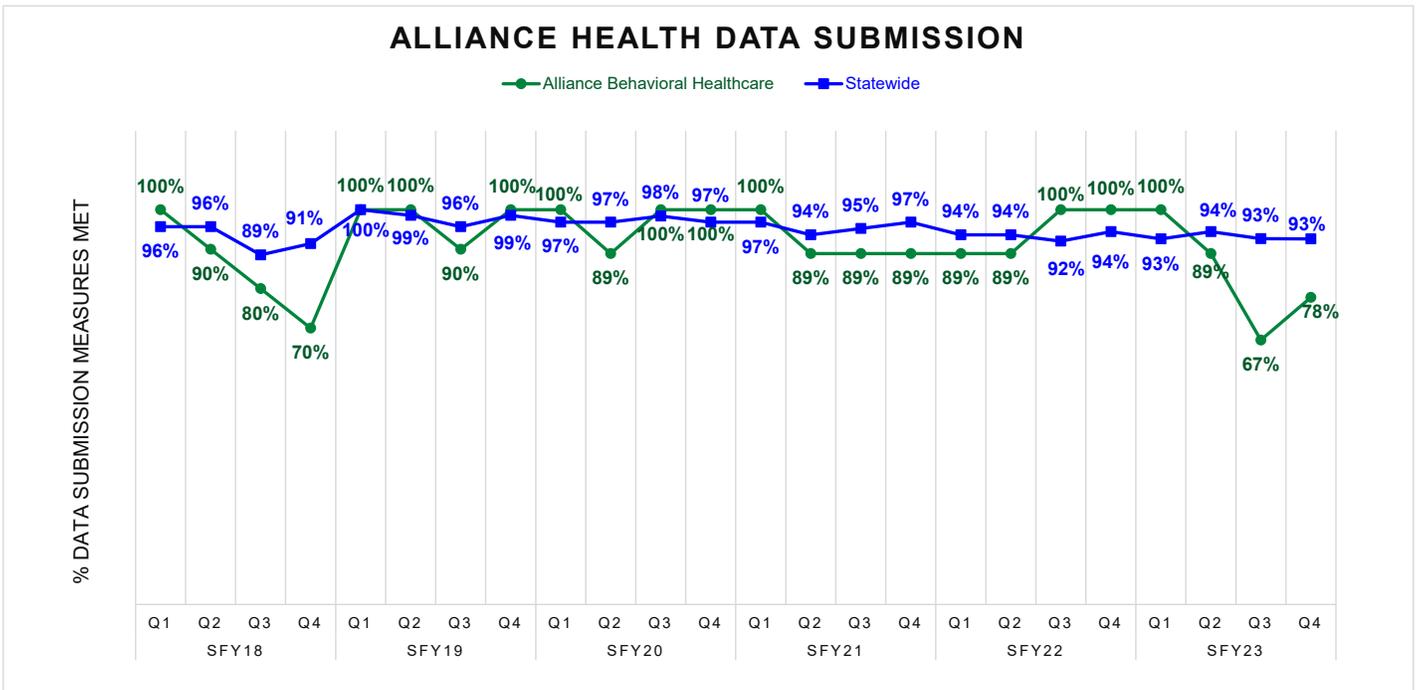
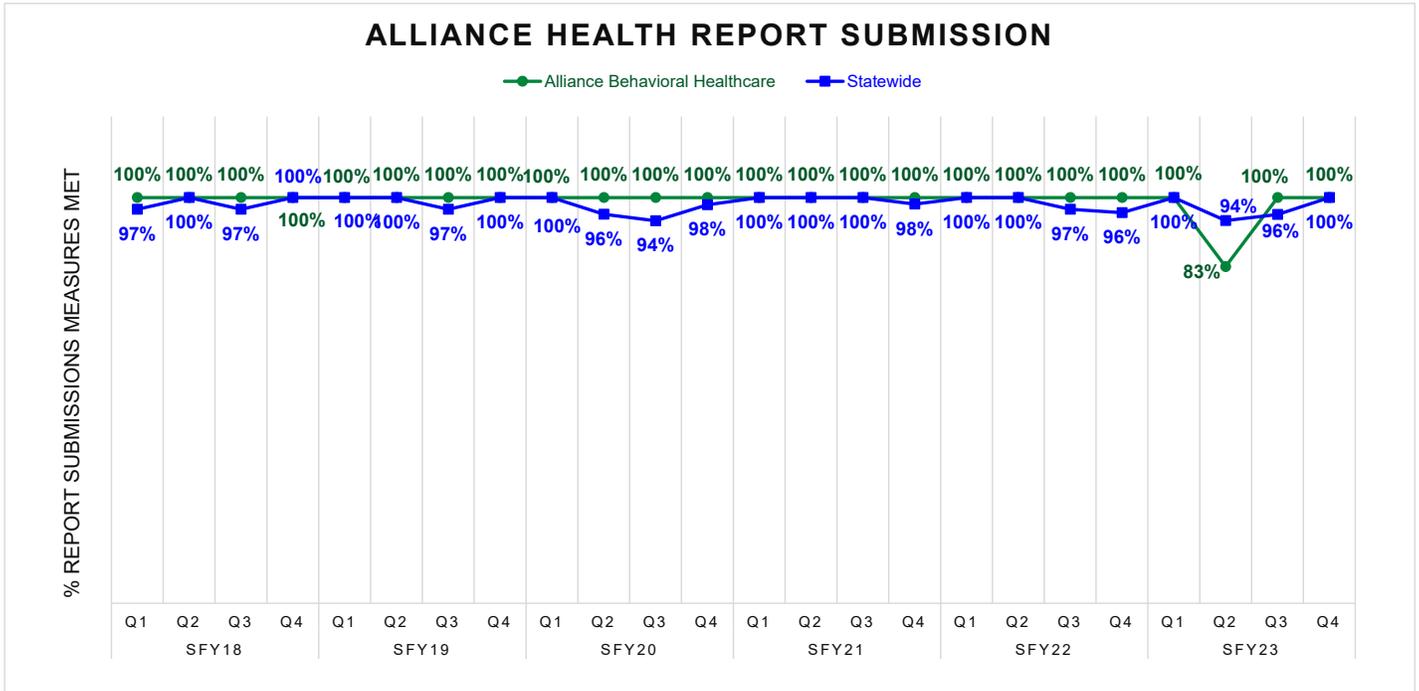
3. ✓ = An extension was granted.



SFY 2023 Fourth Quarter LME-MCO Compliance with Reports & Data Requirements

Percent of Report and Data Submission Requirements Met

SFY2018 - SFY2023 Q4



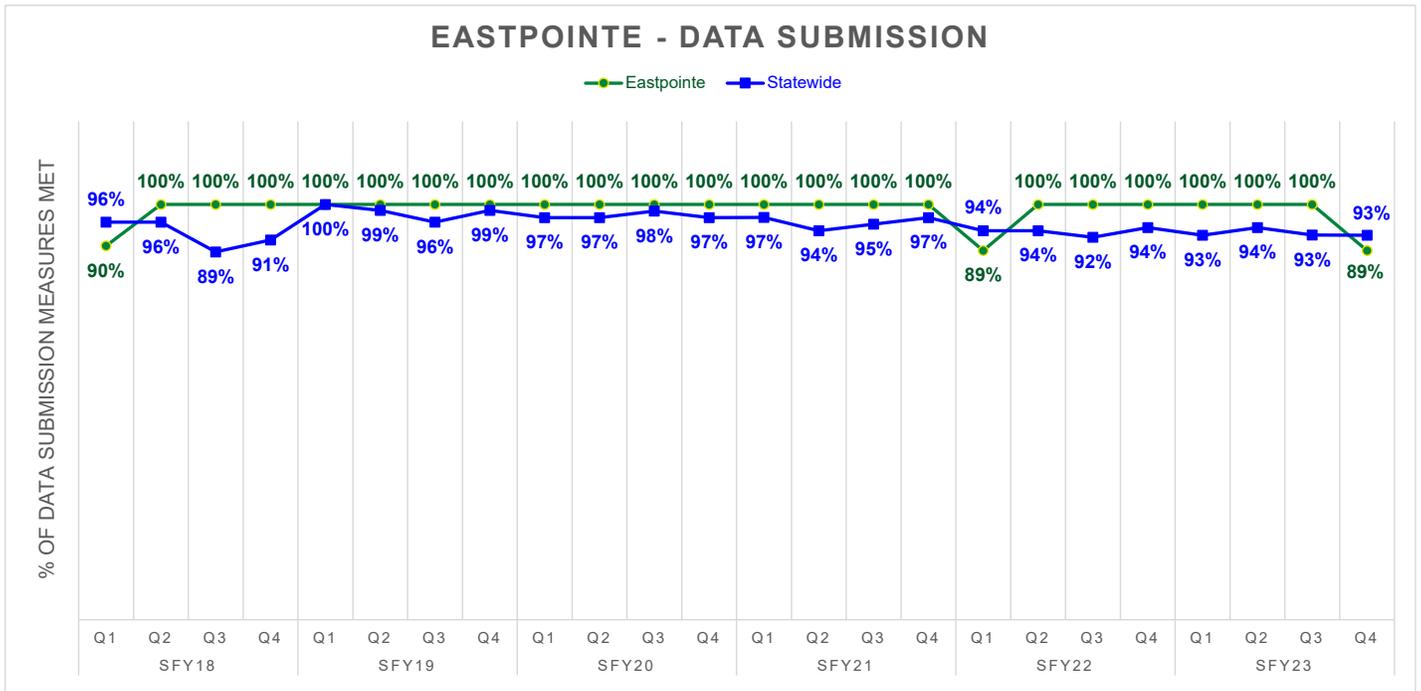
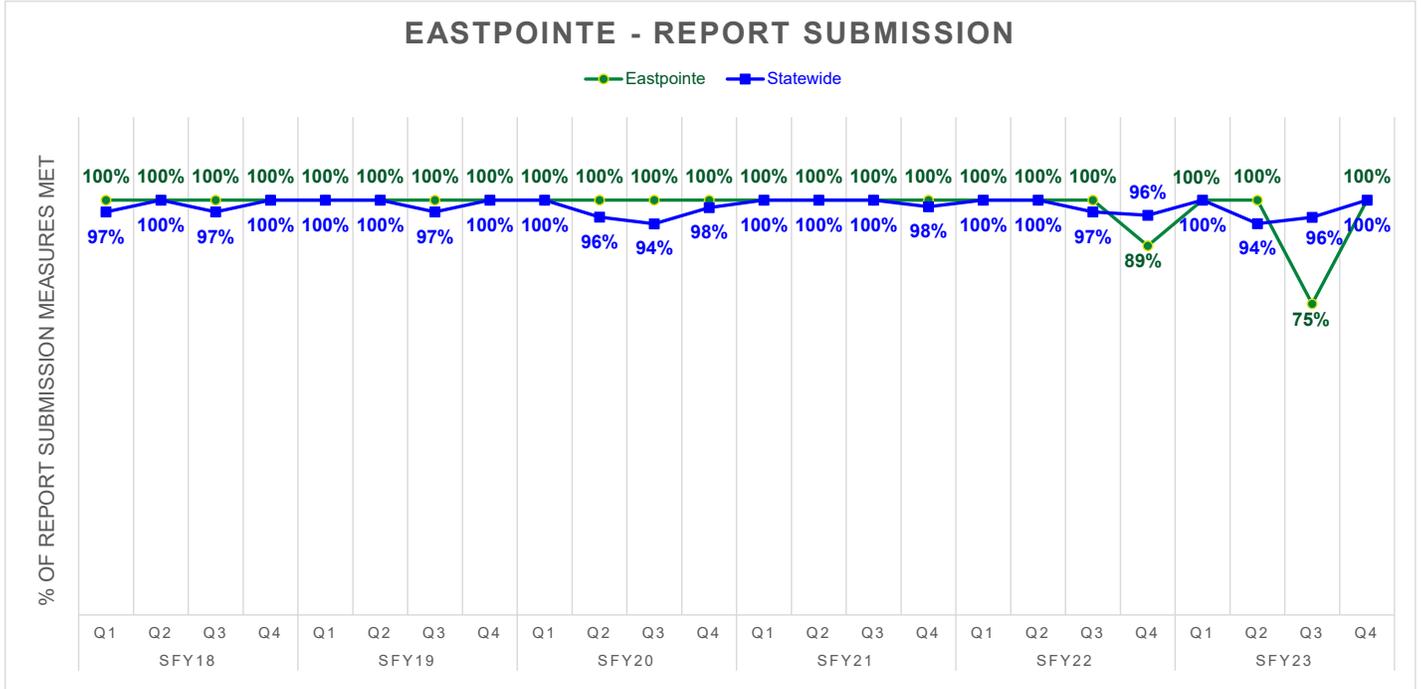
These graphs show Alliance Behavioral Healthcare's overall performance compared with the state average (timeliness, completeness, accuracy) on submitting reports and data to the Division of MH/DD/SAS each quarter for the time period indicated as required by the DHHS - LME-MCO Performance Contract. Reporting requirements are attached to this report. The first set of graphs shows the percentage of report submission measures that were met, and the second set of graphs shows the percentage of data submission measures that were met.



SFY 2023 Fourth Quarter LME-MCO Compliance with Reports & Data Requirements

Percent of Report and Data Submission Requirements Met

SFY2018 - SFY2023 Q4



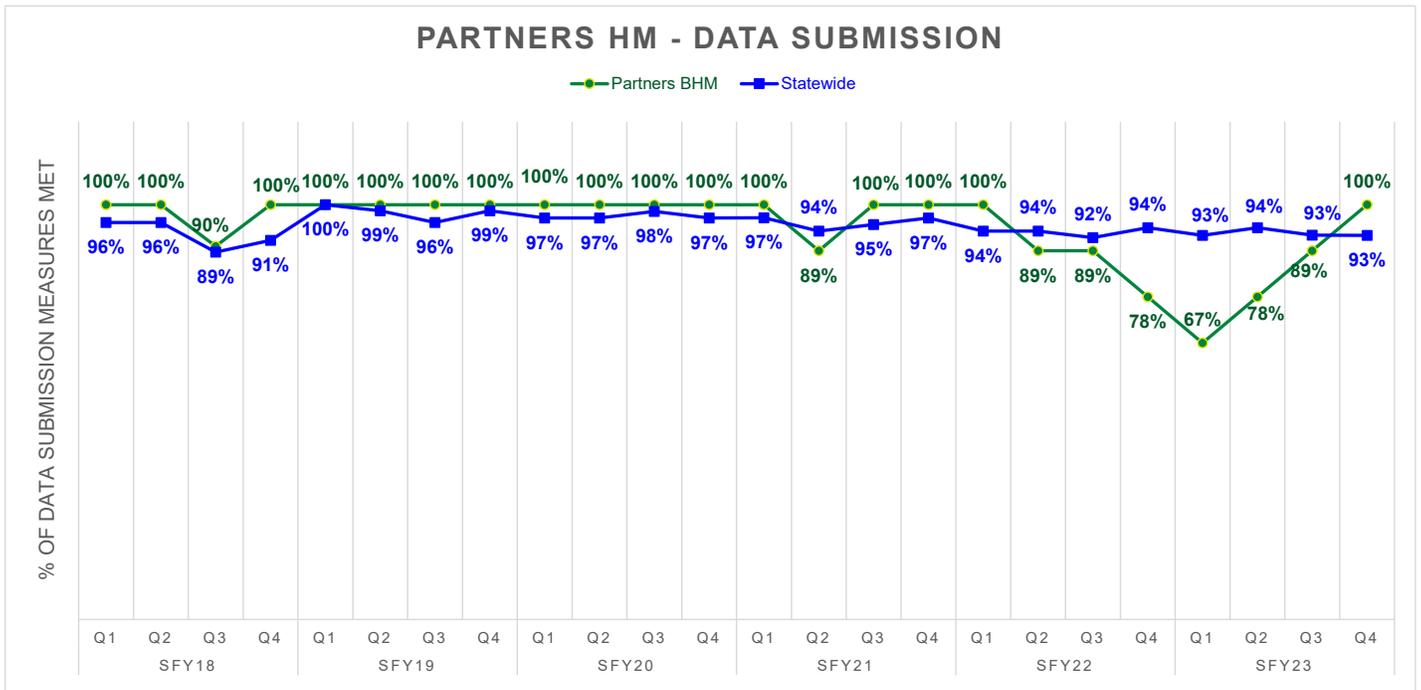
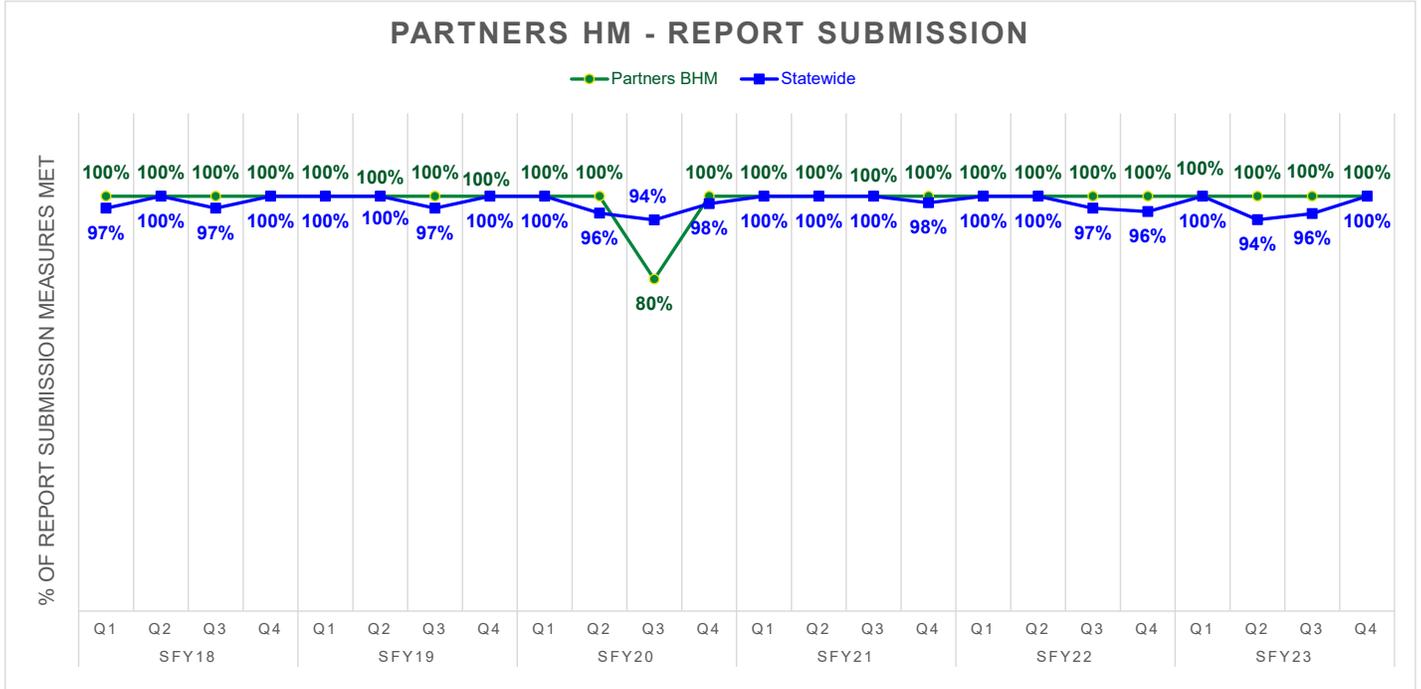
These graphs show Eastpointe's overall performance compared with the state average (timeliness, completeness, accuracy) on submitting reports and data to the Division of MH/DD/SAS each quarter for the time period indicated as required by the DHHS - LME-MCO Performance Contract. Reporting requirements are attached to this report. The first set of graphs shows the percentage of report submission measures that were met, and the second set of graphs shows the percentage of data submission measures that were met.





SFY 2023 Fourth Quarter LME-MCO Compliance with Reports & Data Requirements

Percent of Report and Data Submission Requirements Met SFY2018 - SFY2023 Q4



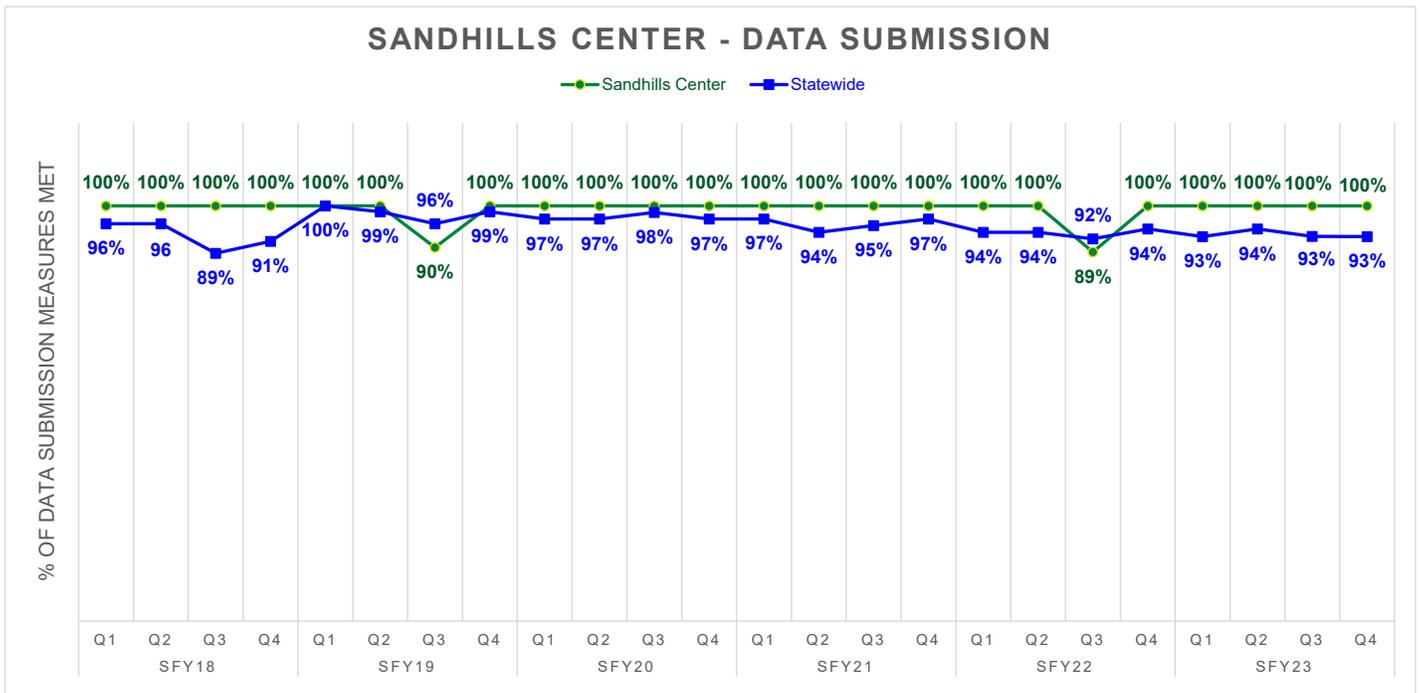
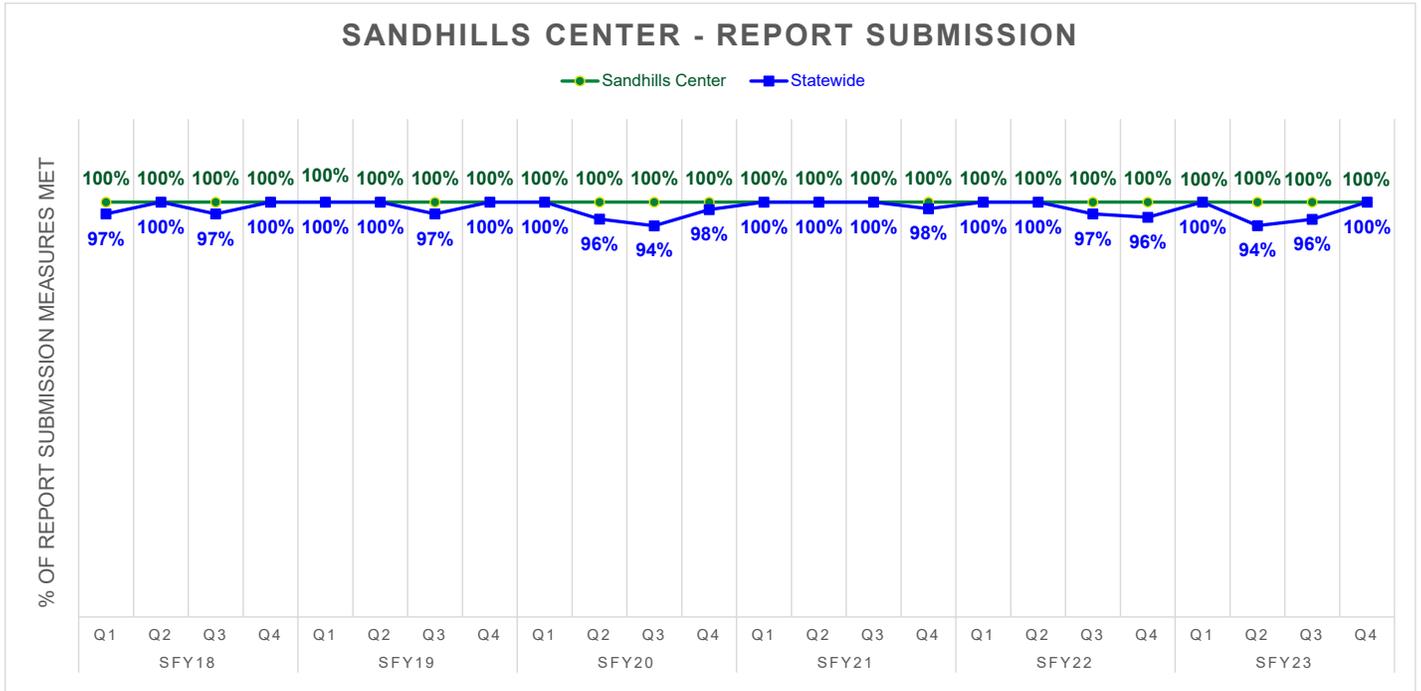
These graphs show Partners Health Management's overall performance compared with the state average (timeliness, completeness, accuracy) on submitting reports and data to the Division of MH/DD/SAS each quarter for the time period indicated as required by the DHHS - LME-MCO Performance Contract. Reporting requirements are attached to this report. The first set of graphs shows the percentage of report submission measures that were met, and the second set of graphs shows the percentage of data submission measures that were met.





SFY 2023 Fourth Quarter LME-MCO Compliance with Reports & Data Requirements

Percent of Report and Data Submission Requirements Met SFY2018 - SFY2023 Q4



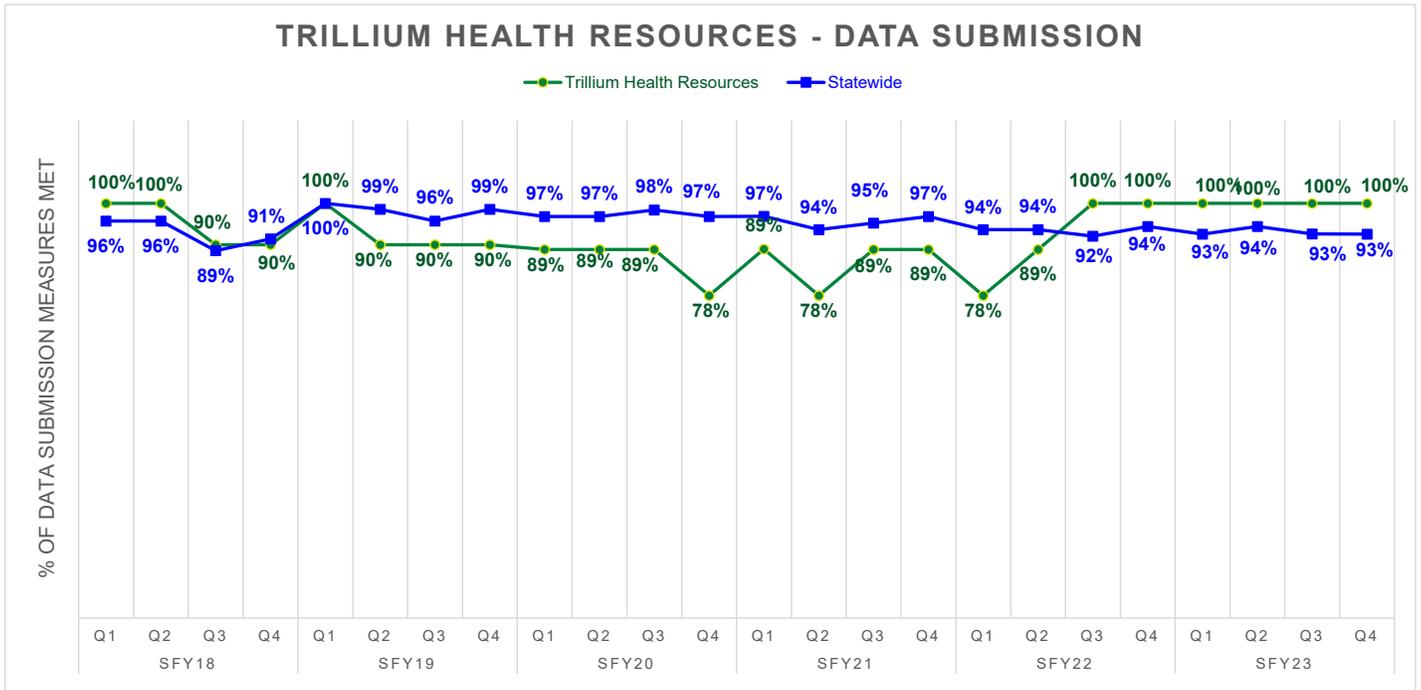
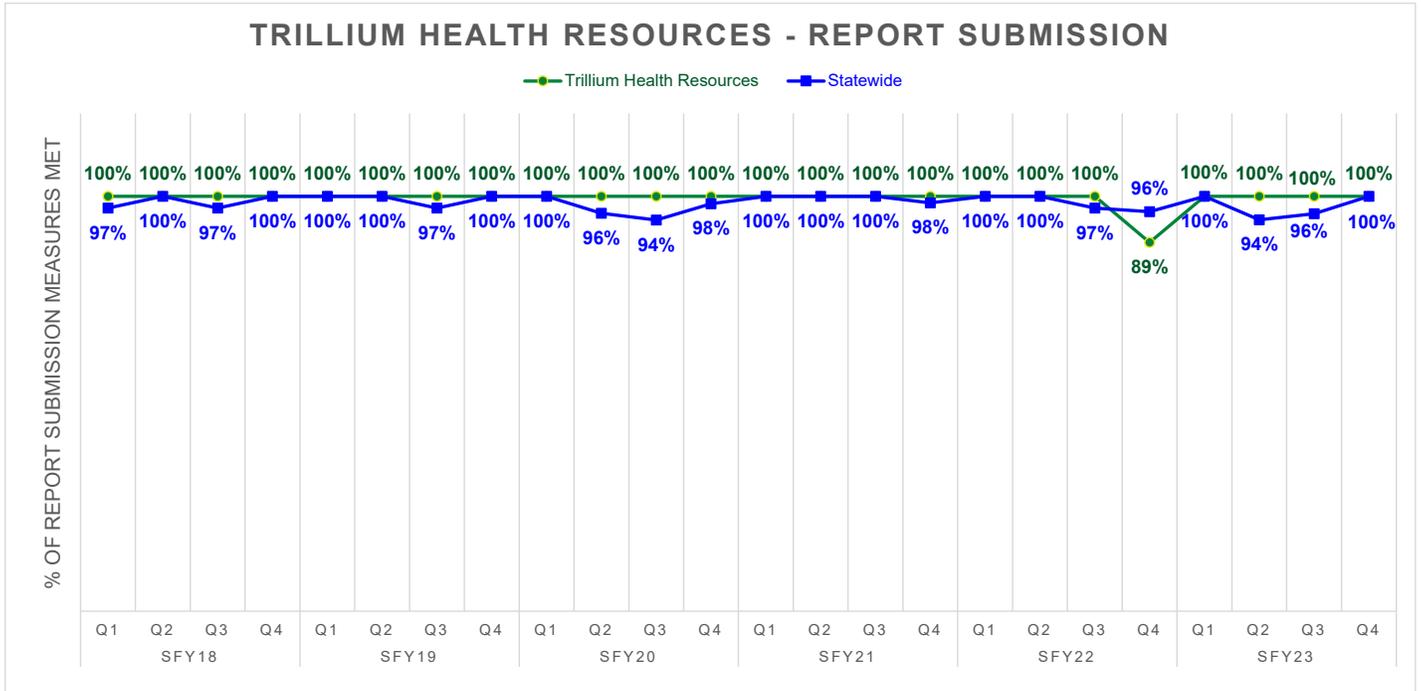
These graphs show Sandhill Center's overall performance compared with the state average (timeliness, completeness, accuracy) on submitting reports and data to the Division of MH/DD/SAS each quarter for the time period indicated as required by the DHHS - LME-MCO Performance Contract. Reporting requirements are attached to this report. The first set of graphs shows the percentage of report submission measures that were met, and the second set of graphs shows the percentage of data submission measures that were met.





SFY 2023 Fourth Quarter LME-MCO Compliance with Reports & Data Requirements

Percent of Report and Data Submission Requirements Met SFY2018 - SFY2023 Q4



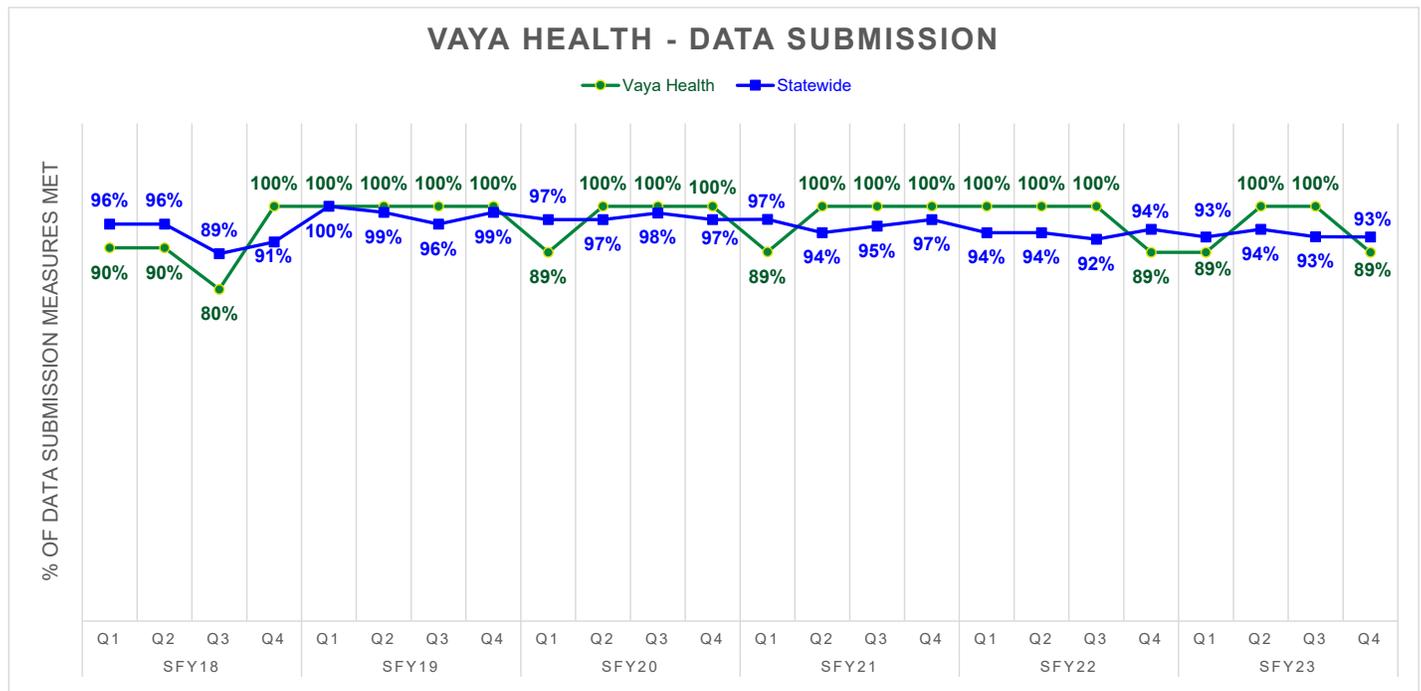
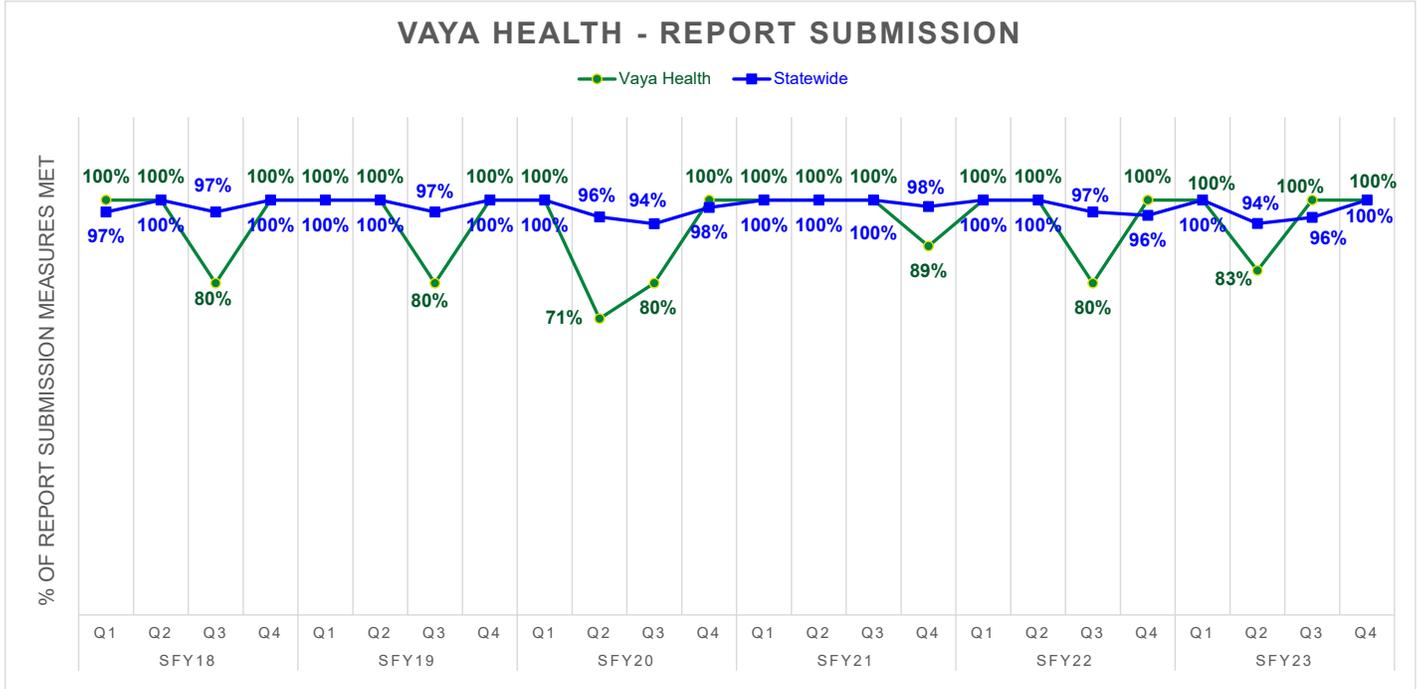
These graphs show Trillium Health Resources's overall performance compared with the state average (timeliness, completeness, accuracy) on submitting reports and data to the Division of MH/DD/SAS each quarter for the time period indicated as required by the DHHS - LME-MCO Performance Contract. Reporting requirements are attached to this report. The first set of graphs shows the percentage of report submission measures that were met, and the second set of graphs shows the percentage of data submission measures that were met. Data for SFY2015 represents the average of ECBH and CoastalCare the year prior to their merger to form Trillium Health Resources.





SFY 2023 Fourth Quarter LME-MCO Compliance with Reports & Data Requirements

Percent of Report and Data Submission Requirements Met SFY2018 - SFY2023 Q4



These graphs show Vaya Health's overall performance compared with the state average (timeliness, completeness, accuracy) on submitting reports and data to the Division of MH/DD/SAS each quarter for the time period indicated as required by the DHHS - LME-MCO Performance Contract. Reporting requirements are attached to this report. The first set of graphs shows the percentage of report submission measures that were met, and the second set of graphs shows the percentage of data submission measures that were met.



Reports and Data Monitored in the Quarterly Performance Contract Data/Report Submission Requirements Report

Requirement	DMH/DD/SAS Report Contact	LME Actions	Reporting Schedule	Guidelines & Reports	Legislative citation for the requirement to collect the information or Allocation letter.	Description of how DMH staff uses the information.	Who uses the summary information/report.
Monthly Financial Reports	Jay Dixon (919) 715-2051 Jay.Dixon@dhhs.nc.gov	LME submits all required monthly financial reports in acceptable format, completeness, and accuracy by the 20th of the month (or next business day if the due date is a weekend or holiday) following the month covered by the report.	Monthly	Audits for all LME's are required to go to the Local Government Commission for Review and Approval.	Financial Status Report required by APSM 75-1, T10:14C.1102, report requested by the DHHS Controller's Office.	The data is monitored to determine County funding provided to the LME/MCO. The data is monitored to determine if revenues are exceeding expenditures. It also assists the budget office in determining whether an LME needs some level of financial monitoring.	Budget Office
Substance Abuse/Juvenile Justice Initiative Quarterly Report	Raquelle Hawkins 984-236-5261 raquelle.hawkins@dhhs.nc.gov	LME-MCO submits a quarterly SA/Juvenile Justice Initiative Report by the 20th of the month following the end of the quarter (or next business day if the due date is a weekend or holiday). All reports are accurate and complete and are received no later than 10 days after the due date.	Quarterly	Monthly Reports are submitted electronically at: https://uncg.qualtrics.com/SE/?SID=SV_e7EAp3eCOVqeBD&RID=MLRP_e9B5sBU39wccUKN&Q_CHL=email Reports available on DMH/DD/SAS website: http://www.jjsamhp.org/publications/	NC General Statute 122C-115.4	Report is submitted to federal office as part of block grant reporting	DMHDDSAS Office; NCDHHS Secretary's Office
Work First Initiative Quarterly Reports	Starleen Scott-Robbins (919) 715-2415 Starleen.Scott-Robbins@dhhs.nc.gov	LME-MCO submits a quarterly Work First Initiative Report by the 20th of the month following the end of the quarter (or next business day if the due date is a weekend or holiday). All reports are accurate and complete and are received no later than 10 days after the due date.	Quarterly	Website under re-construction.	NC General Statute 108A-25.2; G.S. 108A-29.1; NC DSSFNS 290	Report is submitted to federal office as part of block grant reporting and to State DSS for legislative reporting.	DMHDDSAS Office; NCDHHS Secretary's Office; NC Legislature; NC Division of Social Services
TBI Services Quarterly & Annual Reports	Scott Pokorny (919) 715-2255 Scott.Pokorny@dhhs.nc.gov	LME-MCO submits all required Traumatic Brain Injury (TBI) Services reports in acceptable format by the following due dates (or next business day if the due date is a weekend or holiday): ● 1st quarter report = Dec 31. ● 2nd quarter report = Mar 31. ● 3rd quarter report = Jun 30. ● 4th quarter report = Aug 31. ● Annual report = Jul 31.	Quarterly & Annually	Recent reports are not available on the web but are available upon request.	NC Senate Bill 704 and TBI specific allocation	Information is used to report data to the Brain Injury Advisory Council and to monitor performance of the use of services.	DMHDDSAS Leadership. Brain Injury Advisory Council
LME-MCO Quarterly Complaints Report	Glenda Stokes (919) 715-3197 Glenda.Stokes@dhhs.nc.gov	LME-MCO submits all required Complaints reports in acceptable format by the following due dates (or next business day if the due date is a weekend or holiday): ● 1st quarter report = Nov 15. ● 2nd quarter report = Feb 15. ● 3rd quarter report = May 15. ● 4th quarter report = Aug 15.	Quarterly	Reports can be found at: http://www.ncdhhs.gov/mhddsas/statpublications/Forms/index.htm#formsme	NC General Statute 122C-115.4. 10A NCAC 27G .0609	Looks at LME performance trends. Summary of LME-MCO activities reported concerning complaints and consumer rights.	DMHDDSAS Central Office and NC Medicaid
Client Data Warehouse (CDW) Admissions	Madhavi Gannem (919) 715-2468 madhavi.gannem@dhhs.nc.gov	LME-MCO submits required CDW record types by the 15th of each month. Submitted admission records (record type 11) are complete and accurate.	Monthly	CDW Reporting Requirements Manual website: http://www.ncdhhs.gov/mhddsas/statpublications/Publications/CDW/cdwtechspecv1.12.pdf Reports available on DMH/DD/SAS website: http://www.ncdhhs.gov/mhddsas/providers/CDW/index.htm	NC General Statute 122C-115.4. APSM 70-1. CDW Reporting Requirements Manual	Report is submitted to federal office as part of block grant reporting	DMHDDSAS Central Office; NCDHHS Secretary's Office; NC Legislature; Federal Reporting
Client Data Warehouse (CDW) Diagnosis Record		LME-MCO submits required CDW record types by the 15th of each month. 90% of open clients who are enrolled in a benefit plan and receive a billable service will have a completed diagnosis in CDW within 30 days of the beginning date of service (1 quarter lag time is allowed for submission). A missing diagnosis is defined as DHHS not being able to secure a diagnosis from a service claim (NTRACKS or Medicaid) or a Record Type 13.					
Client Data Warehouse (CDW) Unknown Data (Admissions)		LME-MCO submits required CDW record types by the 15th of each month. 90% of all mandatory fields contain a value other than 'unknown' or 'other'.					
Client Data Warehouse (CDW) Unknown Data (Discharges)		LME-MCO submits required CDW record types by the 15th of each month. 90% of all mandatory data fields for the prior quarter contain a value other than 'unknown' or 'other'.					
Client Data Warehouse (CDW) Identifying & Demographic Records		LME-MCO submits required CDW record types by the 15th of each month. 90% of open clients who are enrolled in a benefit plan and receive a billable service will have a completed identifying record (record type 10) and a completed demographic record (record type 11) in CDW within 30 days of the beginning date of service.					
Client Data Warehouse (CDW) Drug of Choice		LME-MCO submits required CDW record types by the 15th of each month. 90% of open clients enrolled in any of the benefit plans: ASCDR, ASTER, ASWOM, CSSAD and ASOUD have a drug of choice record (record type 17) completed within 60 days of the beginning date of service.					
Client Data Warehouse (CDW) Episode Completion Record (SUD Clients)		LME-MCO submits required CDW record types by the 15th of each month. An episode completion (discharge) record (Record Type 12) is completed for all Substance Abuse consumers who have had no billable service for at least 60 days. 90% of Substance Abuse clients admitted since October 1, 2006, who have had no billable service or administrative activity for at least 60 days, have an episode completion record.					
NC-TOPPS Update Interviews	Jennifer Bowman, (919) 715-2358 Jennifer.Bowman@dhhs.nc.gov	LME-MCOs are responsible for assuring that service providers conduct Initial and Update Interviews at appropriate intervals with consumers who qualify for NC-TOPPS. 90% of expected 3-month, 6-month, and 12-month update forms are received within ± 2 weeks of the required update month.	Quarterly	NC-TOPPS Guidelines and Dashboard is available on DMH/DD/SAS website: http://www.ncdhhs.gov/mhddsas/providers/NCTOPPS/index.htm	NC General Statute 122C-115.4.	Report is submitted to federal office as part of block grant reporting	DMHDDSAS Office; NC Medicaid; NCDHHS Secretary's Office; NC Legislature; Federal Reporting
System of Care Report	Stacie Forrest 984-236-5027 stacie.forrest@dhhs.nc.gov Kristin Jerger 919-714-2774 Kristin.Jerger@dhhs.nc.gov	LME-MCO submits a semi-annual System of Care Report by the 31st of the month following the end of the 2nd and 4th quarters (or next business day if the due date is a weekend or holiday). All reports are accurate and complete and received no later than 7 days after the due date.	Semi-annually	SOC information: http://www.ncdhhs.gov/mhddsas/services/serviceschildfamily/index.htm	NC General Statute 122C-115.4.	Data is used in the System of Care Year end Activity report. In addition the data is reported as a part of the MH Block Grant	DMHDDSAS Leadership; Internal staff.
SAPTBG Compliance Report	DeDe Severino (919) 715-2281 Dede.Severino@dhhs.nc.gov	The LME-MCO shall submit a semi-annual SAPTBG Compliance Report by the 20th of the month (or next business day if on a holiday or weekend) following the end of the semi-annual period. Reports are accurate and complete and show at least 48 hours of Synar activity for the period and are received no later than 10 days after the due date.	Semi-annually	The SAPTBG Compliance Report template available on DMH/DD/SAS website: http://www.ncdhhs.gov/mhddsas/statpublications/Forms/index.htm#formsme SAMHSA Synar report includes NC data: SAMHSA Synar report includes NC data: http://www.samhsa.gov/prevention/2011-Annual-Synar-Report.pdf	NC General Statute 122C-115.4	Aggregate data from the report is submitted to federal office as part of block grant reporting	DMHDDSAS Office; NCDHHS Secretary's Office

Reports and Data Monitored in the Quarterly Performance Contract Data/Report Submission Requirements Report

Requirement	DMH/DD/SAS Report Contact	LME Actions	Reporting Schedule	Guidelines & Reports	Legislative citation for the requirement to collect the information or Allocation letter.	Description of how DMH staff uses the information.	Who uses the summary information/report.
National Core Indicators (NCI) Consents, Pre-Surveys, and Mail Surveys	Lisa Jackson (984) 365-6242 lisa.jackson@dhs.nc.gov	The LME-MCO, through providers, submit a consent form and a pre-survey for each person selected to participate in the NCI project within the specified timeframes. The LME-MCO will also submit information needed for the mailed survey. All submissions are complete. 75% of the pre-surveys, consents, and mail survey information are received by the due date and are complete.	Annually - Fourth Quarter	DM/DD/SAS-Community Policy Management Section annually sends correspondence to LMEs explaining the NCI process and what is required (e.g. database, consent forms, pre-surveys, refusal forms, and names and addresses of legal guardians/family members). For reports go to the National Core Indicator website: http://www.nationalcoreindicators.org/	NC General Statute 122C-115.4.	Data is sent to HSRI as a part of the NCI national project. Measures are generated as a part of the Innovations Waiver.	DMHDDSAS Office; NC Medicaid; NCDHHS Secretary's Office; Office of Disability and Health; NC Legislature; Federal Reporting.