

Reports by Non-State Entities on the Use of Directed Grant Funds

Session Law 2023-134, Section 9B.1



**Report to the
Joint Legislative Oversight Committee on
Health and Human Services**

and

The Fiscal Research Division

by

North Carolina Department of Health and Human Services

February 25, 2026

BACKGROUND

Session Law 2023-134, Section 9B.1 directs the Department of Health and Human Services to submit to the Joint Legislative Oversight Committee on Health and Human Services and the Fiscal Research Division all reports received by non-state entities on their use of directed grant funds.

REPORTS BY NON-STATE ENTITIES ON THE USE OF DIRECTED GRANT FUNDS

SECTION 9B.1. The Department of Health and Human Services shall submit to the Joint Legislative Oversight Committee on Health and Human Services and the Fiscal Research Division all reports received under 9 NCAC 03M .0205 from non-State entities, as defined in G.S. 143C-1-1, that are recipients of nonrecurring funds allocated in this Part as a directed grant according to the following schedule:

- (1) By November 1, 2024, all reports on the use of directed grant funds received under this Part for the 2023-2024 fiscal year.
- (2) By November 1, 2025, all reports on the use of directed grant funds received under this Part for the 2024-2025 fiscal year.

QUARTERLY STATUS REPORT

Please use this reporting template for each of the quarterly reports

Recipient Name:	North Carolina Association of Free & Charitable Clinics, Inc. (NCAFCC)
Recipient Tax ID #	56-2062170
Project/Activity Title:	SL 2023-134 Directed Grant
Reporting Period (Quarter):	October 2024 (from 7/1/24 – 9/30/24)
Report Completion Date:	10/15/24
Preparer of This Report:	Allison Kelly

Were grant funds expended during this reporting period? ☒ Yes ☐ No

Do you certify that all expenses to date have been submitted for this grant? ☐ Yes ☒ No (There are costs incurred during this time period that we may apply to the grant at a later time.)

Was any interest earned on these grant funds during this reporting period? ☒ Yes ☐ No

If Yes, specify the amount of interest earned over the life of the grant through this reporting period: \$44,924.05

Do you certify that all funds expended to date were used for the purposes for which they were awarded and in compliance with your contract? ☒ Yes ☐ No

Did you make any subawards of this funding during this reporting period? ☒ Yes ☐ No

If yes, attach a list of subawards including subrecipient entity name, tax ID number, subaward amount, and an indication that the subrecipient is not on the [State Suspension of Funding List](#) or suspended or debarred from receiving federal funds if applicable. Please note that per the contract terms and conditions and State law, your organization is responsible for ensuring that any subrecipients comply with all requirements of your contract and provide you with adequate and timely information to fulfill your reporting obligations.

Final reports should be submitted once all funds are expended and grant activities are completed. Is this your final quarterly report? ☐ Yes ☒ No

If yes, please complete and sign below indicating that all expenses have been submitted and all funds and any interest earned were used for the purposes for which they were awarded and in compliance with the contract. Please note that all records pertaining to this grant must be retained for a minimum of five years or until all audit exceptions have been resolved, whichever is longer. If the contract is subject to federal policy and regulations, record retention may be longer than five years. Recipients are required to maintain reports and accounting records that support the allowable expenditure of State funds and shall make available all reports and records for inspection by the NC Department of Health and Human Services, the Office of State Budget and Management, and the Office of the State Auditor for oversight, monitoring, and evaluation purposes.

April Cook, Chief Executive Officer
Name and Title of Organization Official



Signature of Organization Official

October 15, 2024
Date

1. Provide a brief report of activities and accomplishments related to your Grant Project during this reporting period.

During this reporting period, NCAFCC provided support to its 69 Member free and charitable clinics, enabling them to serve uninsured and underinsured patients across North Carolina. Highlights during this reporting period include further progress with launching our regional mobile dental program comprised of NCAFCC member clinic patients in and around the Charlotte-Mecklenburg area and surrounding counties. In this reporting period, we started serving regional clinic patients with dental services. The mobile dental unit visited Cook Community Clinic, Matthews Free Medical Clinic, Community Free Clinic, HealthReach Community Clinic and Hope Community Clinic of East Charlotte.

Additionally, in September, NCAFCC hosted its second quarterly dental networking groups for all member clinics who are engaged in dental services now or would like to be. In the September meeting, Dr. Saba Mokry, a Dentist at NCAFCC Member Wake Smiles and Assistant Professor at UNC Adams School of Dentistry, shared about providing oral health services with limited resources.

The NCAFCC team is also working on several initiatives that align with our strategic objectives. Currently there are plans to evaluate access to free and charitable clinics through mobile health services for the uninsured and underserved in the 15 North Carolina counties where no free and charitable member clinics currently exist. Several funders have expressed interest in supporting free and charitable mobile expansion in the northern and northeastern section of the state for a number of counties where no free and charitable care currently exists. Additionally, NCAFCC's efforts to expand behavioral health regionally continue to develop as well. Specifically, we are exploring tele-psychiatry and tele-behavioral health services for regional expansion as well in the coming years. These regional efforts have potential for increased cost-efficiency through the sharing of services among clinics in each region. Our vision is to ensure that North Carolinians in all 100 counties of the state have access to free and charitable medical, dental, behavioral health, pharmacy and social services. Additionally, NCAFCC continues to provide significant support to its Members during Medicaid expansion. NCAFCC has not only extensively prepared Members leading up to expansion with resources and guidance, but also continue to support them through the transition, as they make decisions that will affect their operations, staff and volunteers in the years to come.

The NCAFCC 2024 Annual Conference will occur on October 24 – 25, 2024. During this reporting period, a great deal of planning has occurred to line up sponsors, exhibitors, speakers and attendees for the conference. As it is our first in-person annual conference since 2019, we are excited for this time together with Members and Partners.

We are also in the process of mobilizing resources and relief funding to our Member clinics who are in counties declared in the Hurricane Helene disaster declaration. Thankfully, none of our Member clinics in the western part of the state sustained major damage, but they are navigating exceptionally difficult circumstances and strong community need as they try to recover and rebuild.

2. Provide an update on the objectives, as outlined in your Scope of Work, of the grant project. Have you accomplished any of your objectives?

The objectives outlined in our Scope of Work were the following and which are still underway and have been updated in the October 2024 reporting period after the publication of the NCAFCC 2023 Annual Report in August 2024:

1) Uninsured and underinsured patients in NC will have necessary medical, dental, pharmacy, behavioral/mental health and social services care provided at no cost or minimal cost.

As of the publication of NCAFCC's 2023 Annual Report, NCAFCC Members served more than 75,814 uninsured and underserved patients across 85 of North Carolina's 100 counties (as compared to 80,090 in 2022; 82,480 in 2021 and 76,911 in 2020). Additionally, 200,108 total provider visits were conducted in 2023, representing a slight increase in total visits over 2022. Improvement was made with diabetes outcomes in 2023, with 25.2% of patients in 2022 with a Hemoglobin A1c over 9.0% or no A1c performed (as compared to 26.4% in 2022, 29% in 2021 and 30.9% in 2020). Improvement was also made with hypertension outcomes in 2023 with 62.6% of patients with blood pressure under 140/90 (as compared to 58.6% in 2022, 55.6% in 2021 and 55.3% in 2020). The Total Value of Services to Community-At-Large (patients and others in the community) was \$364,214,235 and the Value of Services per Patient was \$3,940.18. For every \$1 spent, \$5.57 in healthcare services were provided. Finally, the Value of Prescription Medications provided to patients was \$186,312,023.

2) Regional expansion of integrated dental and behavioral/mental health care services within free and charitable clinics to better meet the needs of uninsured and underinsured patients across NC.

NCAFCC's 2023 Annual Report also showed that 31 Members provided behavioral/mental health services to patients and 22 provided dental services. New outcomes measures for both behavioral health and dental have been introduced into NCAFCC's 2023 Annual Survey to better define progress with expansion of these services.

3) Emergency department diversion savings - patients eligible for free and charitable clinic care will receive health care at free and charitable clinics rather than emergency departments.

In 2023, estimated hospital emergency department diversion savings was \$372,625,810 for NCAFCC Members. This estimate is based on averting one emergency department visit for each free and charitable clinic patient served according to the cost of a treat and release emergency department visit per the Sheps Center:

<https://www.shepscenter.unc.edu/data/nc-hospital-discharge-data/descriptive-statistics/>

3. Provide an update on your expected results, as outlined in your Scope of Work, of the Grant Project(s). Have you accomplished any of your expected results?

The expected results outlined in NCAFCC's Scope of Work were the following and are still underway and have been in the October 2024 reporting period after the publication of the NCAFCC 2023 Annual Report in August 2024:

1) Sustainability of Member organizations' ability to continue to provide free and charitable care in their communities.

NCAFCC Members are currently navigating changes in their operations related to Medicaid expansion and our Western NC Members are now struggling with the aftermath of Hurricane Helene. Many patients have become eligible for Medicaid in the last 9 months and staff at Member clinics continue to support their transition to new medical homes, as most NCAFCC Members are not Medicaid providers. At the same time, our Members are engaging in new outreach to bring new individuals into their patient populations, focusing on those who are not eligible for Medicaid and remain uninsured after expansion. NCAFCC Members are now predominantly serving those who are uninsured at 139% - 250% (or 300%, in some cases) of FPL. We are seeing stabilization of patient volume at clinics over the last couple of months, as we understand Medicaid enrollment has peaked.

2) Growth in total active patient population for NCAFCC membership to impact as many as possible of the estimated 700,000 who will remain uninsured after Medicaid expansion in NC.

Active patient population declined in 2023, over 2022, 2021 and 2020. Our Members continue to seek out those who remain uninsured after Medicaid expansion.

3) Greater diversity in race/ethnicity of patients served to contribute to health equity efforts at member organizations.

With approximately 30% of patients served by our Members being Non-Hispanic/Latino White, greater diversity in our Members patient populations is occurring. Also, nearly 44% of patients served by our Members are Hispanic/Latino.

4) Improved health outcomes for diabetes/hypertension, as measured by annual diabetes and hypertension outcomes.

Health outcomes measures for diabetes and hypertension have improved steadily since 2020.

5) Increased emergency department diversion savings.

Emergency department savings have grown year over year since 2020.

4. Provide an update on your performance measures, as outlined in your Scope of Work, for the Grant Project(s).

During the last reporting period, NCAFCC Members reported the following patient outcomes in their grant reporting:

Reporting Period	# of Members Reporting	Total # of Unduplicated Patents	Total # of Established Patients Seen	Total # of New Patients Seen
January 2024 (07/01/23 – 12/31/23)	47	53,861	40,274	10,805
April 2024 (01/01/24 – 03/31/24)	54	61,417	34,965	5554
July 2024 (04/01/24 – 06/30/24)	55	53,867	22,015	4296
Total				20,655

At this time, NCAFCC has received 61 grant agreements from our Members and 58 of these have final, executed agreements. We are projecting 60-64 Member organizations to engage in this directed grant. At this time, we are distributing amended member contracts to all of our Members per the legislative change in SL2024-1. We expect this process to be complete by the end of 2024.

Quarterly Grant Reporting Measures:

- 1) Active patient population (total number of unduplicated, uninsured and under-insured patients at the time of reporting): 53,867
- 2) Total number of established patients served since the beginning of the period of performance: 169,145 (please note, this is not an unduplicated count)
- 3) Total number of new patients served since the beginning of the period of performance: 20,655

Measures reported on the NCAFCC Annual Survey Reports for 2023, 2024 and 2025 (reports will be available in July 2024, July 2025 and July 2026, respectively) on end of year reporting, to include:

- 1) Total patients served annually by race/ethnicity
- 2) Total annual medical/dental/behavioral health visits performed
- 3) Annual diabetes control by race/ethnicity (if applicable to Member based on diagnosis and treatment, as defined in Annual Survey methodology)
- 4) Annual hypertension control by race/ethnicity (if applicable to Member based on diagnosis and treatment, as defined in Annual Survey methodology)
- 5) Annual estimated emergency department diversion savings

Please see summary of Annual Survey Report for 2023 in question #2 above.

5. Provide a summary of expenditures during the reporting period (quarter).

Expenditure Category	Amount of Expenditure
Personnel/Contracted Services Expenses (e.g., program-related staffing)	\$86,408.52
Supplies and Materials Expenses (e.g., office supplies):	\$
Non-Fixed Operating Expenses (e.g., travel, utilities):	\$3723.60
Fixed Operating Expenses (e.g., office rent, dues and subscriptions):	\$7973.93
Property and Equipment Expenses (e.g., buildings and improvements):	\$
Services/Contracts Expenses (e.g., purchase of services, contracts with service providers):	\$3102.75
Other Expenses (Specify): Member Subawards	\$1,119,764.49
Total Expenses:	\$1,220,973.29

6. Provide a summary of all grant expenditures through the end of the current reporting period. The total expenditures on this table should equal the cumulative expenditures from all completed quarterly reports to date.

Expenditure Category	Amount of Expenditure
Personnel/Contracted Services Expenses (e.g., program-related staffing)	\$351,471.16
Supplies and Materials Expenses (e.g., office supplies):	\$1000.00
Non-Fixed Operating Expenses (e.g., travel, utilities):	\$5304.25
Fixed Operating Expenses (e.g., office rent, dues and subscriptions):	\$49,332.69
Property and Equipment Expenses (e.g., buildings and improvements):	\$
Services/Contracts Expenses (e.g., purchase of services, contracts with service providers):	\$15,102.75
Other Expenses (Specify): Member Subawards	\$3,655,316.63
Total Expenses:	\$4,077,527.48

Please contact your Contract Administrator with any questions.

NCAFCC Member Sub Awards – Confirmed None are On Suspension List

NCAFCC Member	Award Amount as of 9/30/24
ABCCM Medical Ministry	\$ 147,500.00
Alliance Medical Ministry	\$ 147,500.00
Blue Ridge Free Dental Clinic	\$ 39,770.00
Broad Street Clinic Foundation	\$ -
Camino Clinic	\$ -
Campbell University Community Care Clinic	\$ 58,970.00
Cape Fear Clinic	\$ 44,270.00
CARE Clinic, Inc, The	\$ 70,775.00
Care Ring	\$ 147,500.00
Chatham CARES Community Pharmacy	\$ 16,270.00
Christ Community Clinic	\$ 14,570.00
Community Care Center - Winston-Salem	\$ 118,670.00
Community Care Clinic - Boone	\$ 49,870.00
Community Care Clinic of Dare	\$ 58,470.00
Community Care Clinic of Franklin	\$ 23,270.00
Community Care Clinic of Highland-Cashiers	\$ -
Community Care Clinic of Rowan County	\$ 90,575.00
Community Clinic of High Point	\$ 86,977.50
Community Free Clinic	\$ 37,770.00
Community Health Services of Union County	\$ 47,070.00
Clinic)	\$ 102,267.93
Crisis Control Ministry Pharmacy	\$ 33,970.00

QUARTERLY STATUS REPORT

Please use this reporting template for each of the quarterly reports

Recipient Name:	North Carolina Association of Free & Charitable Clinics, Inc. (NCAFCC)
Recipient Tax ID #	56-2062170
Project/Activity Title:	SL 2023-134 Directed Grant
Reporting Period (Quarter):	January 2025 (from 10/1/24 – 12/31/24)
Report Completion Date:	1/14/25
Preparer of This Report:	Allison Kelly

Were grant funds expended during this reporting period? ☒ Yes ☐ No

Do you certify that all expenses to date have been submitted for this grant? ☐ Yes ☒ No (There are costs incurred during this time period that we may apply to the grant at a later time.)

Was any interest earned on these grant funds during this reporting period? ☒ Yes ☐ No

If Yes, specify the amount of interest earned over the life of the grant through this reporting period: \$51,020.63

Do you certify that all funds expended to date were used for the purposes for which they were awarded and in compliance with your contract? ☒ Yes ☐ No

Did you make any subawards of this funding during this reporting period? ☒ Yes (see last page of report)
☐ No

If yes, attach a list of subawards including subrecipient entity name, tax ID number, subaward amount, and an indication that the subrecipient is not on the [State Suspension of Funding List](#) or suspended or debarred from receiving federal funds if applicable. Please note that per the contract terms and conditions and State law, your organization is responsible for ensuring that any subrecipients comply with all requirements of your contract and provide you with adequate and timely information to fulfill your reporting obligations.

Final reports should be submitted once all funds are expended and grant activities are completed. Is this your final quarterly report? ☐ Yes ☒ No

If yes, please complete and sign below indicating that all expenses have been submitted and all funds and any interest earned were used for the purposes for which they were awarded and in compliance with the contract. Please note that all records pertaining to this grant must be retained for a minimum of five years or until all audit exceptions have been resolved, whichever is longer. If the contract is subject to federal policy and regulations, record retention may be longer than five years. Recipients are required to maintain reports and accounting records that support the allowable expenditure of State funds and shall make available all reports and records for inspection by the NC Department of Health and Human Services, the Office of State Budget and Management, and the Office of the State Auditor for oversight, monitoring, and evaluation purposes.

April Cook, Chief Executive Officer
Name and Title of Organization Official



Signature of Organization Official

January 15, 2025
Date

1. Provide a brief report of activities and accomplishments related to your Grant Project during this reporting period.

During this reporting period, NCAFCC provided support to its 69 Member free and charitable clinics, enabling them to serve uninsured and underinsured patients across North Carolina. Highlights during this reporting period include further progress with our regional mobile dental program comprised of NCAFCC member clinic patients in and around the Charlotte-Mecklenburg area and surrounding counties. In this reporting period, we further expanded our service to regional clinic patients with dental services, making second visits to clinics in the Charlotte Mecklenburg area. The mobile dental unit has visited Cook Community Clinic, Matthews Free Medical Clinic, Community Free Clinic, HealthReach Community Clinic and Hope Community Clinic of East Charlotte. Additionally, NCAFCC hired a part-time Regional Dental Coordinator who coordinates the activities of the mobile dental clinic and also provide dental hygiene services to our Members dental patients in/around Charlotte/Mecklenburg County. As of mid-January 2025, she will come on as a full-time employee and her responsibilities will expand into other clinical support areas for our member clinics.

The NCAFCC 2024 Annual Conference occurred on October 24 – 25, 2024. During this reporting period, a great deal of planning occurred to line up sponsors, exhibitors, speakers and attendees for the conference. As it was our first in-person annual conference since 2019, we enjoyed this time with Members and Partners learning and collaborating together.

Additionally, in December, NCAFCC hosted its third quarterly dental networking groups for all member clinics who are engaged in dental services now or would like to be. In the December meeting, we welcomed Crystal Adams, Director of the North Carolina Oral Health Collaborative and Bobby White, CEO and legal counsel for the North Carolina State Board of Dental Examiners. The group learned about their respective organizations, statewide oral health initiatives, changes in the law that can impact free and charitable clinics such as limited supervision dental hygiene, common issues coming before the Board of Dental Examiners and how free/charitable clinics can avoid them.

The NCAFCC team will gather in February 2025 to engage in a multi-year strategic planning effort. Our work continues to work on several initiatives that align with our strategic objectives. Currently, there are plans to evaluate access to free and charitable clinics through mobile health services for the uninsured and underserved in the 15 North Carolina counties where no free and charitable member clinics currently exist. Several funders have expressed interest in supporting free and charitable mobile expansion in the northern and northeastern section of the state for a number of counties where no free and charitable care currently exists. Additionally, NCAFCC's efforts to expand behavioral health regionally continue to develop as well. Specifically, we are exploring tele-psychiatry and tele-behavioral health services for regional expansion as well in the coming years. These regional efforts have potential for increased cost-efficiency through the sharing of services among clinics in each region. Our vision is to ensure that North Carolinians in all 100 counties of the state have access to free and charitable medical, dental, behavioral health, pharmacy and social services.

We also continue to support our Member clinics who were in counties declared in the Hurricane Helene disaster declaration. Thankfully, none of our Member clinics in the western part of the state sustained major damage, but they are navigating exceptionally difficult circumstances and strong community need as they try to recover and rebuild.

As we enter 2025, our team is energized and excited to support our members now and into the future with the important task of ensuring that all in North Carolina receive the healthcare they need!

2. Provide an update on the objectives, as outlined in your Scope of Work, of the grant project. Have you accomplished any of your objectives?

The objectives outlined in our Scope of Work were the following and which are still underway and have been updated in the October 2024 reporting period after the publication of the NCAFCC 2023 Annual Report in August 2024:

1) Uninsured and underinsured patients in NC will have necessary medical, dental, pharmacy, behavioral/mental health and social services care provided at no cost or minimal cost.

As of the publication of NCAFCC's 2023 Annual Report, NCAFCC Members served more than 75,814 uninsured and underserved patients across 85 of North Carolina's 100 counties (as compared to 80,090 in 2022; 82,480 in 2021 and 76,911 in 2020). Additionally, 200,108 total provider visits were conducted in 2023, representing a slight increase in total visits over 2022. Improvement was made with diabetes outcomes in 2023, with 25.2% of patients in 2022 with a Hemoglobin A1c over 9.0% or no A1c performed (as compared to 26.4% in 2022, 29% in 2021 and 30.9% in 2020). Improvement was also made with hypertension outcomes in 2023 with 62.6% of patients with blood pressure under 140/90 (as compared to 58.6% in 2022, 55.6% in 2021 and 55.3% in 2020). The Total Value of Services to Community-At-Large (patients and others in the community) was \$364,214,235 and the Value of Services per Patient was \$3,940.18. For every \$1 spent, \$5.57 in healthcare services were provided. Finally, the Value of Prescription Medications provided to patients was \$186,312,023.

2) Regional expansion of integrated dental and behavioral/mental health care services within free and charitable clinics to better meet the needs of uninsured and underinsured patients across NC.

NCAFCC's 2023 Annual Report also showed that 31 Members provided behavioral/mental health services to patients and 22 provided dental services. New outcomes measures for both behavioral health and dental have been introduced into NCAFCC's 2023 Annual Survey to better define progress with expansion of these services.

3) Emergency department diversion savings - patients eligible for free and charitable clinic care will receive health care at free and charitable clinics rather than emergency departments.

In 2023, estimated hospital emergency department diversion savings was \$372,625,810 for NCAFCC Members. This estimate is based on averting one emergency department visit for each free and charitable clinic patient served according to the cost of a treat and release emergency department visit per the Sheps Center:

<https://www.shepscenter.unc.edu/data/nc-hospital-discharge-data/descriptive-statistics/>

3. Provide an update on your expected results, as outlined in your Scope of Work, of the Grant Project(s). Have you accomplished any of your expected results?

The expected results outlined in NCAFCC's Scope of Work were the following and are still underway and have been in the October 2024 reporting period after the publication of the NCAFCC 2023 Annual Report in August 2024:

1) Sustainability of Member organizations' ability to continue to provide free and charitable care in their communities.

NCAFCC Members are currently navigating changes in their operations related to Medicaid expansion and our Western NC Members are now struggling with the aftermath of Hurricane Helene. Many patients have become eligible for Medicaid in the last 9 months and staff at Member clinics continue to support their transition to new medical homes, as most NCAFCC Members are not Medicaid providers. At the same time, our Members are engaging in new outreach to bring new individuals into their patient populations, focusing on those who are not eligible for Medicaid and remain uninsured after expansion. NCAFCC Members are now predominantly serving those who are uninsured at 139% - 250% (or 300%, in some cases) of FPL. We are seeing stabilization of patient volume at clinics over the last couple of months, as we understand Medicaid enrollment has peaked.

2) Growth in total active patient population for NCAFCC membership to impact as many as possible of the estimated 700,000 who will remain uninsured after Medicaid expansion in NC.

Active patient population declined in 2023, over 2022, 2021 and 2020. Our Members continue to seek out those who remain uninsured after Medicaid expansion.

3) Greater diversity in race/ethnicity of patients served to contribute to health equity efforts at member organizations.

With approximately 30% of patients served by our Members being Non-Hispanic/Latino White, greater diversity in our Members patient populations is occurring. Also, nearly 44% of patients served by our Members are Hispanic/Latino.

4) Improved health outcomes for diabetes/hypertension, as measured by annual diabetes and hypertension outcomes.

Health outcomes measures for diabetes and hypertension have improved steadily since 2020.

5) Increased emergency department diversion savings.

Emergency department savings have grown year over year since 2020.

4. Provide an update on your performance measures, as outlined in your Scope of Work, for the Grant Project(s).

During the last reporting period, NCAFCC Members reported the following patient outcomes in their grant reporting:

Reporting Period	# of Members Reporting	Total # of Unduplicated Patents	Total # of Established Patients Seen	Total # of New Patients Seen
January 2024 (07/01/23 – 12/31/23)	47	53,861	40,274	10,805
April 2024 (01/01/24 – 03/31/24)	54	61,417	34,965	5554
July 2024 (04/01/24 – 06/30/24)	55	53,867	22,015	4296
October 2024 (07/01/24 – 09/30/24)	27*	28,298	15,148	2682
Total				23,337

At this time, NCAFCC has received 61 grant agreements from our Members and 58 of these have final, executed agreements. We are projecting 60-64 Member organizations to engage in this directed grant. At this time, we are distributing amended member contracts to all of our Members per the legislative change in SL2024-1. We expect this process to be complete by the end of 2024.

*Due to new NCAFCC membership standards enacted July 1, 2024 and the subsequent requirement of members to complete a membership attestation prior to receiving an amended contract for the SFY24-25, we had fewer members submit expense reporting in the October 2024 reporting period. However, we are making progress with members executing the attestation and amended member agreement and should return to normal reporting levels in the January 2025 reporting period. All patient outcomes for this timeframe will be required of members and updated in the chart above as they're available.

Quarterly Grant Reporting Measures:

- 1) Active patient population (total number of unduplicated, uninsured and under-insured patients at the time of reporting): 53,867
- 2) Total number of established patients served since the beginning of the period of performance: 169,145 (please note, this is not an unduplicated count)
- 3) Total number of new patients served since the beginning of the period of performance: 20,655

Measures reported on the NCAFCC Annual Survey Reports for 2023, 2024 and 2025 (reports will be available in July 2024, July 2025 and July 2026, respectively) on end of year reporting, to include:

- 1) Total patients served annually by race/ethnicity
- 2) Total annual medical/dental/behavioral health visits performed
- 3) Annual diabetes control by race/ethnicity (if applicable to Member based on diagnosis and treatment, as defined in Annual Survey methodology)
- 4) Annual hypertension control by race/ethnicity (if applicable to Member based on diagnosis and treatment, as defined in Annual Survey methodology)
- 5) Annual estimated emergency department diversion savings

Please see summary of Annual Survey Report for 2023 in question #2 above.

5. Provide a summary of expenditures during the reporting period (quarter).

Expenditure Category	Amount of Expenditure
Personnel/Contracted Services Expenses (e.g., program-related staffing)	\$76,812.34
Supplies and Materials Expenses (e.g., office supplies):	\$
Non-Fixed Operating Expenses (e.g., travel, utilities):	\$2073.29
Fixed Operating Expenses (e.g., office rent, dues and subscriptions):	\$10,992.76
Property and Equipment Expenses (e.g., buildings and improvements):	\$186,332.85
Services/Contracts Expenses (e.g., purchase of services, contracts with service providers):	\$8897.25
Other Expenses (Specify): Member Subawards	\$663,478.90
Total Expenses:	\$948,587.39

6. Provide a summary of all grant expenditures through the end of the current reporting period. The total expenditures on this table should equal the cumulative expenditures from all completed quarterly reports to date.

Expenditure Category	Amount of Expenditure
Personnel/Contracted Services Expenses (e.g., program-related staffing)	\$428,283.50
Supplies and Materials Expenses (e.g., office supplies):	\$1000.00
Non-Fixed Operating Expenses (e.g., travel, utilities):	\$7377.54
Fixed Operating Expenses (e.g., office rent, dues and subscriptions):	\$60,325.45
Property and Equipment Expenses (e.g., buildings and improvements):	\$186,332.85
Services/Contracts Expenses (e.g., purchase of services, contracts with service providers):	\$24,000.00
Other Expenses (Specify): Member Subawards	\$4,318,795.53
Total Expenses:	\$5,026,114.87

Please contact your Contract Administrator with any questions.

NCAFCC Member Sub Awards – Confirmed None are On Suspension List

NCAFCC Member	Award Amount as of 12/31/24
ABCCM Medical Ministry	\$ 147,500.00
Alliance Medical Ministry	\$ 147,500.00
Blue Ridge Free Dental Clinic	\$ 39,770.00
Broad Street Clinic Foundation	\$ -
Camino Clinic	\$ -
Campbell University Community Care Clinic	\$ 58,970.00
Cape Fear Clinic	\$ 44,270.00
CARE Clinic, Inc, The	\$ 70,775.00
Care Ring	\$ 147,500.00
Chatham CARES Community Pharmacy	\$ 23,645.00
Christ Community Clinic	\$ 20,695.00
Community Care Center - Winston-Salem	\$ 167,720.00
Community Care Clinic - Boone	\$ 70,120.00
Community Care Clinic of Dare	\$ 89,486.25
Community Care Clinic of Franklin	\$ 29,276.66
Community Care Clinic of Highland-Cashiers	\$ -
Community Care Clinic of Rowan County	\$ 118,291.25
Community Clinic of High Point	\$ 137,855.81
Community Free Clinic	\$ 57,795.00
Community Health Services of Union County	\$ 69,545.00
Clinic)	\$ 151,306.90
Crisis Control Ministry Pharmacy	\$ 33,970.00
Davidson Medical Ministries Clinic	\$ -
DEAC Clinic (NOT PARTICIPATING)	\$ -
Fifth Street Ministries (Diakonos)	\$ 45,972.45
Free Clinic of Rockingham County	\$ 71,745.00
Free Clinics, The	\$ 147,500.00
Good Samaritan Clinic	\$ 104,070.00
Grace Clinic of Yadkin County	\$ 36,870.00
Pharmacy	\$ 81,250.00
Greenville Community Shelter Clinic	\$ -
Hands of Hope Medical Clinic	\$ 73,170.00
HealthNet Albemarle (Albemarle Hospital Foundation)	\$ 147,500.00
HealthQuest of Union County	\$ 90,420.00
HealthReach Community Clinic	\$ 67,910.96
Helping Hand Clinic - Sanford	\$ 28,814.79
Helping Hands Clinic of Caldwell County	\$ 95,368.89
HOPE Clinic	\$ 27,470.00
(Project 658)	\$ 149,992.43
Hunger & Health Coalition, Inc.	\$ -
PARTICIPATING)	\$ -
Local Start Dental	\$ 49,970.00
Mariam Clinic	\$ 21,545.00
Matthews Free Medical Clinic	\$ 110,676.00
Medication Assistance Program	\$ -
MERCI Clinic	\$ -
Moore Free and Charitable Care Clinic	\$ 89,310.94
Mustard Seed Community Health	\$ 34,870.00
NC MedAssist	\$ 196,550.00
New Hope Clinic	\$ 43,575.00
New Stories Health & Wellness Center	\$ -
Onslow Community Outreach- Caring Community Clinic	\$ 116,370.00
Open Door Clinic of Alamance County	\$ 49,737.68
Pitt County Care Clinic	\$ -
Samaritan Health Center	\$ 66,795.00
Senior Pharmacy Program (Catholic Charities)	\$ 14,570.00
Senior Pharmassist	\$ 107,745.00
Shalom Project	\$ 20,766.46
Shelter Health Services	\$ 65,695.35
Shepherds Care Medical Clinic	\$ 17,347.71
St. Joseph Primary Care	\$ 29,070.00
Student Health Action Coalition (SHAC)	\$ -
Surry Medical Ministries	\$ 92,175.00
Urban Ministries Open Door Clinic	\$ 104,570.00
Vecinos	\$ 39,470.00
Forest University Health Sciences)	\$ 35,170.00
Wake Smiles	\$ 71,270.00
Wayne Health Foundation dba WATCH	\$ 147,500.00
TOTAL	\$ 4,318,795.53

QUARTERLY STATUS REPORT

Please use this reporting template for each of the quarterly reports

Recipient Name:	North Carolina Association of Free & Charitable Clinics, Inc. (NCAFCC)
Recipient Tax ID #	56-2062170
Project/Activity Title:	SL 2023-134 Directed Grant
Reporting Period (Quarter):	April 2025 (from 1/1/25 – 3/31/25)
Report Completion Date:	4/14/25
Preparer of This Report:	Allison Kelly

Were grant funds expended during this reporting period? ☒ Yes ☐ No

Do you certify that all expenses to date have been submitted for this grant? ☐ Yes ☒ No (There are costs incurred during this time period that we may apply to the grant at a later time.)

Was any interest earned on these grant funds during this reporting period? ☒ Yes ☐ No

If Yes, specify the amount of interest earned over the life of the grant through this reporting period: \$61,087.75

Do you certify that all funds expended to date were used for the purposes for which they were awarded and in compliance with your contract? ☒ Yes ☐ No

Did you make any subawards of this funding during this reporting period? ☒ Yes (see last page of report)
☐ No

If yes, attach a list of subawards including subrecipient entity name, tax ID number, subaward amount, and an indication that the subrecipient is not on the [State Suspension of Funding List](#) or suspended or debarred from receiving federal funds if applicable. Please note that per the contract terms and conditions and State law, your organization is responsible for ensuring that any subrecipients comply with all requirements of your contract and provide you with adequate and timely information to fulfill your reporting obligations.

Final reports should be submitted once all funds are expended and grant activities are completed. Is this your final quarterly report? ☐ Yes ☒ No

If yes, please complete and sign below indicating that all expenses have been submitted and all funds and any interest earned were used for the purposes for which they were awarded and in compliance with the contract. Please note that all records pertaining to this grant must be retained for a minimum of five years or until all audit exceptions have been resolved, whichever is longer. If the contract is subject to federal policy and regulations, record retention may be longer than five years. Recipients are required to maintain reports and accounting records that support the allowable expenditure of State funds and shall make available all reports and records for inspection by the NC Department of Health and Human Services, the Office of State Budget and Management, and the Office of the State Auditor for oversight, monitoring, and evaluation purposes.

April Cook, Chief Executive Officer
Name and Title of Organization Official



Signature of Organization Official

April 15, 2025
Date

1. Provide a brief report of activities and accomplishments related to your Grant Project during this reporting period.

During this reporting period, NCAFCC provided support to its Member free and charitable clinics, enabling them to serve uninsured patients across North Carolina. Highlights during this reporting period include further progress with our regional mobile dental program comprised of NCAFCC member clinic patients in and around the Charlotte-Mecklenburg area and surrounding counties. In this reporting period, we further expanded our service to regional clinic patients with dental services, making regular quarterly visits to clinics in the Charlotte Mecklenburg area. The mobile dental unit has visited Cook Community Clinic, Matthews Free Medical Clinic, Community Free Clinic, HealthReach Community Clinic and Hope Community Clinic of East Charlotte. Additionally, NCAFCC hired a part-time Regional Dental Coordinator who coordinates the activities of the mobile dental clinic and also provides dental hygiene services to our Members' dental patients in/around Charlotte/Mecklenburg County. As of mid-January 2025, she has come on as a full-time employee and her responsibilities will expand into other clinical support areas for our member clinics, as well as growing our mobile dental program to other regions.

The NCAFCC team gathered in February 2025 to engage in a multi-year strategic planning effort. Our work continues to work on several initiatives that align with our strategic objectives. Currently, there are plans to evaluate access to free and charitable clinics through mobile health services for the uninsured and underserved in the 15 North Carolina counties where no free and charitable member clinics currently exist. Several funders have expressed interest in supporting free and charitable mobile expansion in the northern and northeastern section of the state for a number of counties where no free and charitable care currently exists. Additionally, NCAFCC's efforts to expand dental and behavioral health regionally continue to develop as well. These regional efforts have potential for increased cost-efficiency through the sharing of services among clinics in each region. Our vision is to ensure that North Carolinians in all 100 counties of the state have access to free and charitable medical, dental, behavioral health, pharmacy and social services.

In April 2025, NCAFCC is beginning its first Quarterly Leadership Series for our membership. Members will gather on-site at NCAFCC's headquarters for a full day of learning. Each quarter will include training on various areas of free and charitable clinic operations, such as fundraising, board development and advocacy. Our members will travel to Raleigh in May 2025 for our first Legislative Day in over five years. We're excited to share our good work with our legislators and thank them for their support. Additionally, our team is also planning for our fall membership meeting and the 2026 NCAFCC Annual Conference.

NCAFCC's Annual audit has begun and will be completed in late June, with submission of our audit to NCDHHS shortly thereafter. Additionally, review and validation of data from NCAFCC's 2024 Annual Survey is underway and should be completed in July 2025, at which point, we will publish our Annual Report. Outcomes for this grant, which are based on this data, will also be updated at that time.

2. Provide an update on the objectives, as outlined in your Scope of Work, of the grant project. Have you accomplished any of your objectives?

The objectives outlined in our Scope of Work were the following and which are still underway and have been updated in the October 2024 reporting period after the publication of the NCAFCC 2023 Annual Report in August 2024:

1) Uninsured and underinsured patients in NC will have necessary medical, dental, pharmacy, behavioral/mental health and social services care provided at no cost or minimal cost.

As of the publication of NCAFCC's 2023 Annual Report, NCAFCC Members served more than 75,814 uninsured and underserved patients across 85 of North Carolina's 100 counties (as compared to 80,090 in 2022; 82,480 in 2021 and 76,911 in 2020). Additionally, 200,108 total provider visits were conducted in 2023, representing a slight increase in total visits over 2022. Improvement was made with diabetes outcomes in 2023, with 25.2% of patients in 2022 with a Hemoglobin A1c over 9.0% or no A1c performed (as compared to 26.4% in 2022, 29% in 2021 and 30.9% in 2020). Improvement was also made with hypertension outcomes in 2023 with 62.6% of patients with blood pressure under 140/90 (as compared to 58.6% in 2022, 55.6% in 2021 and 55.3% in 2020). The Total Value of Services to Community-At-Large (patients and others in the community) was \$364,214,235 and the Value of Services per Patient was \$3,940.18. For every \$1 spent, \$5.57 in healthcare services were provided. Finally, the Value of Prescription Medications provided to patients was \$186,312,023.

2) Regional expansion of integrated dental and behavioral/mental health care services within free and charitable clinics to better meet the needs of uninsured and underinsured patients across NC.

NCAFCC's 2023 Annual Report also showed that 31 Members provided behavioral/mental health services to patients and 22 provided dental services. New outcomes measures for both behavioral health and dental have been introduced into NCAFCC's 2023 Annual Survey to better define progress with expansion of these services.

3) Emergency department diversion savings - patients eligible for free and charitable clinic care will receive health care at free and charitable clinics rather than emergency departments.

In 2023, estimated hospital emergency department diversion savings was \$372,625,810 for NCAFCC Members. This estimate is based on averting one emergency department visit for each free and charitable clinic patient served according to the cost of a treat and release emergency department visit per the Sheps Center:

<https://www.shepscenter.unc.edu/data/nc-hospital-discharge-data/descriptive-statistics/>

3. Provide an update on your expected results, as outlined in your Scope of Work, of the Grant Project(s). Have you accomplished any of your expected results?

The expected results outlined in NCAFCC's Scope of Work were the following and are still underway and have been in the October 2024 reporting period after the publication of the NCAFCC 2023 Annual Report in August 2024:

1) Sustainability of Member organizations' ability to continue to provide free and charitable care in their communities.

NCAFCC Members are currently navigating changes in their operations related to Medicaid expansion and our Western NC Members are now struggling with the aftermath of Hurricane Helene. Many patients have become eligible for Medicaid in the last 9 months and staff at Member clinics continue to support their transition to new medical homes, as most NCAFCC Members are not Medicaid providers. At the same time, our Members are engaging in new outreach to bring new individuals into their patient populations, focusing on those who are not eligible for Medicaid and remain uninsured after expansion. NCAFCC Members are now predominantly serving those who are uninsured at 139% - 250% (or 300%, in some cases) of FPL. We are seeing stabilization of patient volume at clinics over the last couple of months, as we understand Medicaid enrollment has peaked.

2) Growth in total active patient population for NCAFCC membership to impact as many as possible of the estimated 700,000 who will remain uninsured after Medicaid expansion in NC.

Active patient population declined in 2023, over 2022, 2021 and 2020. Our Members continue to seek out those who remain uninsured after Medicaid expansion.

3) Greater diversity in race/ethnicity of patients served to contribute to health equity efforts at member organizations.

With approximately 30% of patients served by our Members being Non-Hispanic/Latino White, greater diversity in our Members patient populations is occurring. Also, nearly 44% of patients served by our Members are Hispanic/Latino.

4) Improved health outcomes for diabetes/hypertension, as measured by annual diabetes and hypertension outcomes.

Health outcomes measures for diabetes and hypertension have improved steadily since 2020.

5) Increased emergency department diversion savings.

Emergency department savings have grown year over year since 2020.

4. Provide an update on your performance measures, as outlined in your Scope of Work, for the Grant Project(s).

During the last reporting period, NCAFCC Members reported the following patient outcomes in their grant reporting:

Reporting Period	# of Members Reporting	Total # of Unduplicated Patents	Total # of Established Patients Seen	Total # of New Patients Seen
January 2024 (07/01/23 – 12/31/23)	47	53,861	40,274	10,805
April 2024 (01/01/24 – 03/31/24)	54	61,417	34,965	5554
July 2024 (04/01/24 – 06/30/24)	55	53,867	22,015	4296
October 2024 (07/01/24 – 09/30/24)	27*	28,163	15,027	2661
January 2025 (10/01/24-12/31/24)	37	44,156	19,331	5067
Total				28,383

At this time, NCAFCC has received 63 grant agreements from our Members and all of these have final, executed agreements. We are projecting a total of 64 Member organizations to engage in this directed grant. At this time, we have distributed amended member contracts to all 64 Members per the legislative change in SL2024-1. We have one outstanding final contract that we continue to follow up on.

*Due to new NCAFCC membership standards enacted July 1, 2024 and the subsequent requirement of members to complete a membership attestation prior to receiving an amended contract for the SFY24-25, we had fewer members submit expense reporting in the October 2024 reporting period. However, we made significant progress with members executing the attestation and amended member agreement since. All patient outcomes for this timeframe are required of members and have been updated in the chart above as they're available. 04/14/25: Please note, one member reported outcomes in error in the October 2024 reporting period, but they corrected it after the numbers were submitted. The total October 2024 outcomes were updated today to correctly reflect the totals for that period.

Quarterly Grant Reporting Measures:

- 1) Active patient population (total number of unduplicated, uninsured and under-insured patients at the time of reporting): 44,156
- 2) Total number of established patients served – total visits provided since the beginning of the period of performance: 131,612 (please note, this is not an unduplicated count)
- 3) Total number of new patients served since the beginning of the period of performance: 28,383

Measures reported on the NCAFCC Annual Survey Reports for 2023, 2024 and 2025 (reports will be available in July 2024, July 2025 and July 2026, respectively) on end of year reporting, to include:

- 1) Total patients served annually by race/ethnicity
- 2) Total annual medical/dental/behavioral health visits performed
- 3) Annual diabetes control by race/ethnicity (if applicable to Member based on diagnosis and treatment, as defined in Annual Survey methodology)
- 4) Annual hypertension control by race/ethnicity (if applicable to Member based on diagnosis and treatment, as defined in Annual Survey methodology)
- 5) Annual estimated emergency department diversion savings

Please see summary of Annual Survey Report for 2023 in question #2 above.

5. Provide a summary of expenditures during the reporting period (quarter).

Expenditure Category	Amount of Expenditure
Personnel/Contracted Services Expenses (e.g., program-related staffing)	\$57,549.04
Supplies and Materials Expenses (e.g., office supplies):	\$
Non-Fixed Operating Expenses (e.g., travel, utilities):	\$
Fixed Operating Expenses (e.g., office rent, dues and subscriptions):	\$10,902.55
Property and Equipment Expenses (e.g., buildings and improvements):	\$
Services/Contracts Expenses (e.g., purchase of services, contracts with service providers):	\$
Other Expenses (Specify): Member Subawards	\$1,481,171.16
Total Expenses:	\$1,549,622.75

6. Provide a summary of all grant expenditures through the end of the current reporting period. The total expenditures on this table should equal the cumulative expenditures from all completed quarterly reports to date.

Expenditure Category	Amount of Expenditure
Personnel/Contracted Services Expenses (e.g., program-related staffing)	\$485,832.54
Supplies and Materials Expenses (e.g., office supplies):	\$1000.00
Non-Fixed Operating Expenses (e.g., travel, utilities):	\$7377.54
Fixed Operating Expenses (e.g., office rent, dues and subscriptions):	\$71,228.00
Property and Equipment Expenses (e.g., buildings and improvements):	\$186,332.85
Services/Contracts Expenses (e.g., purchase of services, contracts with service providers):	\$24,000.00
Other Expenses (Specify): Member Subawards	\$5,799,966.69
Total Expenses:	\$6,575,737.62

Please contact your Contract Administrator with any questions.

NCAFCC Member Sub Awards – Confirmed None are On Suspension List

NCAFCC Member	Award Amount as of 3/31/25
ABCCM Medical Ministry	\$ 245,600.00
Alliance Medical Ministry	\$ 245,600.00
Blue Ridge Free Dental Clinic	\$ 70,946.66
Broad Street Clinic Foundation	\$ 68,541.56
Camino Clinic	\$ 241,561.24
Campbell University Community Care Clinic	\$ 58,970.00
Cape Fear Clinic	\$ 44,270.00
CARE Clinic, Inc, The	\$ 70,775.00
Care Ring	\$ 147,500.00
Chatham CARES Community Pharmacy	\$ 31,019.78
Christ Community Clinic	\$ 26,820.00
Community Care Center - Winston-Salem	\$ 216,770.00
Community Care Clinic - Boone	\$ 90,370.00
Community Care Clinic of Dare	\$ 120,502.50
Community Care Clinic of Franklin	\$ 30,997.47
Community Care Clinic of Highland-Cashiers	\$ -
Community Care Clinic of Rowan County	\$ 146,007.50
Community Clinic of High Point	\$ 202,775.00
Community Free Clinic	\$ 57,795.00
Community Health Services of Union County	\$ 92,020.00
Clinic)	\$ 200,364.89
Crisis Control Ministry Pharmacy	\$ 33,970.00
Davidson Medical Ministries Clinic	\$ -
DEAC Clinic (NOT PARTICIPATING)	\$ -
Fifth Street Ministries (Diakonos)	\$ 45,972.45
Free Clinic of Rockingham County	\$ 92,520.00
Free Clinics, The	\$ 147,500.00
Good Samaritan Clinic	\$ 104,070.00
Grace Clinic of Yadkin County	\$ 36,870.00
Pharmacy	\$ 81,250.00
Greenville Community Shelter Clinic	\$ -
Hands of Hope Medical Clinic	\$ 96,470.00
HealthNet Albemarle (Albemarle Hospital Foundation)	\$ 215,371.90
HealthQuest of Union County	\$ 116,270.00
HealthReach Community Clinic	\$ 89,489.49
Helping Hand Clinic - Sanford	\$ 37,996.04
Helping Hands Clinic of Caldwell County	\$ 123,979.61
HOPE Clinic	\$ 51,420.00
(Project 658)	\$ 197,676.61
Hunger & Health Coalition, Inc.	\$ -
PARTICIPATING)	\$ -
Local Start Dental	\$ 49,970.00
Mariam Clinic	\$ 28,520.00
Matthews Free Medical Clinic	\$ 176,859.09
Medication Assistance Program	\$ -
MERCI Clinic	\$ 62,925.00
Moore Free and Charitable Care Clinic	\$ 89,310.94
Mustard Seed Community Health	\$ 34,870.00
NC MedAssist	\$ 245,600.00
New Hope Clinic	\$ 43,575.00
New Stories Health & Wellness Center	\$ -
Onslow Community Outreach- Caring Community Clinic	\$ 116,370.00
Open Door Clinic of Alamance County	\$ 64,011.93
Pitt County Care Clinic	\$ 969.07
Samaritan Health Center	\$ 88,620.00
Senior Pharmacy Program (Catholic Charities)	\$ 14,570.00
Senior Pharmassist	\$ 139,020.00
Shalom Project	\$ 20,766.46
Shelter Health Services	\$ 82,061.72
Shepherds Care Medical Clinic	\$ 17,347.71
St. Joseph Primary Care	\$ 29,070.00
Student Health Action Coalition (SHAC)	\$ -
Surry Medical Ministries	\$ 92,175.00
Urban Ministries Open Door Clinic	\$ 104,570.00
Vecinos	\$ 60,970.00
Forest University Health Sciences)	\$ 35,170.00
Wake Smiles	\$ 79,198.41
Wayne Health Foundation dba WATCH	\$ 243,413.66
TOTAL	\$ 5,799,966.69

QUARTERLY STATUS REPORT

Please use this reporting template for each of the quarterly reports

Recipient Name:	North Carolina Association of Free & Charitable Clinics, Inc. (NCAFCC)
Recipient Tax ID #	56-2062170
Project/Activity Title:	SL 2023-134 Directed Grant
Reporting Period (Quarter):	July 2025 (from 4/1/25 – 6/30/25)
Report Completion Date:	7/15/25
Preparer of This Report:	Allison Kelly

Were grant funds expended during this reporting period? ☒ Yes ☐ No

Do you certify that all expenses to date have been submitted for this grant? ☐ Yes ☒ No (There may be costs incurred during this time period that we may apply to the grant at a later time.)

Was any interest earned on these grant funds during this reporting period? ☒ Yes ☐ No

If Yes, specify the amount of interest earned over the life of the grant through this reporting period: \$78,573.75

Please note: Interest earned reported in prior reporting periods was found to have an error in the calculation. Please note the following corrected amounts:

April 2025 (through 3/31/25): \$62,228.87

January 2025 (through 12/31/24): \$54,160.90

October 2024 (through 9/30/25): \$44,301.32

Do you certify that all funds expended to date were used for the purposes for which they were awarded and in compliance with your contract? ☒ Yes ☐ No

Did you make any subawards of this funding during this reporting period? ☒ Yes (see last page of report)
☐ No

If yes, attach a list of subawards including subrecipient entity name, tax ID number, subaward amount, and an indication that the subrecipient is not on the [State Suspension of Funding List](#) or suspended or debarred from receiving federal funds if applicable. Please note that per the contract terms and conditions and State law, your organization is responsible for ensuring that any subrecipients comply with all requirements of your contract and provide you with adequate and timely information to fulfill your reporting obligations.

Final reports should be submitted once all funds are expended and grant activities are completed. Is this your final quarterly report? ☐ Yes ☒ No

If yes, please complete and sign below indicating that all expenses have been submitted and all funds and any interest earned were used for the purposes for which they were awarded and in compliance with the contract. Please note that all records pertaining to this grant must be retained for a minimum of five years or until all audit exceptions have been resolved, whichever is longer. If the contract is subject to federal policy and regulations, record retention may be longer than five years. Recipients are required to maintain reports and accounting records that support the allowable expenditure of State funds and shall make available all reports and records for inspection by the NC Department of Health and Human Services, the Office of State Budget and Management, and the Office of the State Auditor for oversight, monitoring, and evaluation purposes.

April Cook, Chief Executive Officer

Name and Title of Organization Official



Signature of Organization Official

07/14/2025

Date

1. Provide a brief report of activities and accomplishments related to your Grant Project during this reporting period.

During this reporting period, NCAFCC provided support to its member free and charitable clinics, enabling them to serve uninsured patients across North Carolina. Uncertainty is growing for North Carolina's free and charitable clinics. Our members have noticed a cautionary approach by funders in recent months and reductions in funding availability. Many are planning with their Boards on contingency planning if these trends continue. All the while, our member clinics are expecting an influx of uninsured patients in the coming years, as it seems likely there will be changes with both the ACA and Medicaid in North Carolina, driving more patients through their doors.

Highlights during this reporting period include further progress with our regional mobile dental program comprised of NCAFCC member clinic patients in and around the Charlotte-Mecklenburg area and surrounding counties. In this reporting period, we continued our service to regional clinic patients with dental services, making regular visits to member clinics. Additionally, NCAFCC's efforts to expand dental regionally continue to develop with the interest of three funders who may be collaborating to support dental expansion into additional regions of the state.

NCAFCC continues to pursue free and charitable mobile expansion in the northern and northeastern section of the state for a number of counties where no free and charitable care currently exists. These regional efforts have potential for increased cost-efficiency through the sharing of services among clinics in each region. Ultimately, our vision is to ensure that North Carolinians in all 100 counties of the state have access to free and charitable medical, dental, behavioral health, pharmacy and social services.

In April 2025, NCAFCC had its first Quarterly Leadership Series for our membership. Members gathered on-site at NCAFCC's headquarters for a full day of learning on fundraising, advocacy and hospital partnerships. Each quarter will include training on various areas of free and charitable clinic operations. In late July, members will gather again to learn about marketing, as well as board development and recruitment.

In May, some of our members traveled to Raleigh in May 2025 to participate in the first Legislative Day since 2017. We shared our good work with our legislators and thanked them for their continued support. Additionally, our team is also planning for our fall membership meeting and the 2026 NCAFCC Annual Conference.

NCAFCC's annual audit is expected to be completed within the next few weeks. Upon completion, we will submit our audit to NCDHHS. Additionally, validation of data from NCAFCC's 2024 Annual Survey continues and will be completed in late July or early August 2025, at which point, we will publish our Annual Report. Some of the outcomes for this grant, which are based on this data, will also be updated in the October 2025 reporting period.

2. Provide an update on the objectives, as outlined in your Scope of Work, of the grant project. Have you accomplished any of your objectives?

The objectives outlined in our Scope of Work were the following and which are still underway and have been updated in the October 2024 reporting period after the publication of the NCAFCC 2023 Annual Report in August 2024:

1) Uninsured and underinsured patients in NC will have necessary medical, dental, pharmacy, behavioral/mental health and social services care provided at no cost or minimal cost.

As of the publication of NCAFCC's 2023 Annual Report, NCAFCC Members served more than 75,814 uninsured and underserved patients across 85 of North Carolina's 100 counties (as compared to 80,090 in 2022; 82,480 in 2021 and 76,911 in 2020). Additionally, 200,108 total provider visits were conducted in 2023, representing a slight increase in total visits over 2022. Improvement was made with diabetes outcomes in 2023, with 25.2% of patients in 2022 with a Hemoglobin A1c over 9.0% or no A1c performed (as compared to 26.4% in 2022, 29% in 2021 and 30.9% in 2020). Improvement was also made with hypertension outcomes in 2023 with 62.6% of patients with blood pressure under 140/90 (as compared to 58.6% in 2022, 55.6% in 2021 and 55.3% in 2020). The Total Value of Services to Community-At-Large (patients and others in the community) was \$364,214,235 and the Value of Services per Patient was \$3,940.18. For every \$1 spent, \$5.57 in healthcare services were provided. Finally, the Value of Prescription Medications provided to patients was \$186,312,023.

2) Regional expansion of integrated dental and behavioral/mental health care services within free and charitable clinics to better meet the needs of uninsured and underinsured patients across NC.

NCAFCC's 2023 Annual Report also showed that 31 Members provided behavioral/mental health services to patients and 22 provided dental services. New outcomes measures for both behavioral health and dental have been introduced into NCAFCC's 2023 Annual Survey to better define progress with expansion of these services.

3) Emergency department diversion savings - patients eligible for free and charitable clinic care will receive health care at free and charitable clinics rather than emergency departments.

In 2023, estimated hospital emergency department diversion savings was \$372,625,810 for NCAFCC Members. This estimate is based on averting one emergency department visit for each free and charitable clinic patient served according to the cost of a treat and release emergency department visit per the Sheps Center:

<https://www.shepscenter.unc.edu/data/nc-hospital-discharge-data/descriptive-statistics/>

3. Provide an update on your expected results, as outlined in your Scope of Work, of the Grant Project(s). Have you accomplished any of your expected results?

The expected results outlined in NCAFCC's Scope of Work were the following and are still underway and have been in the October 2024 reporting period after the publication of the NCAFCC 2023 Annual Report in August 2024:

1) Sustainability of Member organizations' ability to continue to provide free and charitable care in their communities.

NCAFCC Members are now predominantly serving those who are uninsured at 139% - 250% (or 300%, in some cases) of FPL due to Medicaid expansion in 2023. With the threat of cuts to Medicaid and changes to the ACA, our members find themselves in a challenging situation for sustainability – cuts to funding with an anticipated influx of newly uninsured patients. We continue to support our members in ensuring proactive contingency planning as well as advocating for their very necessary work.

2) Growth in total active patient population for NCAFCC membership to impact as many as possible of the estimated 700,000 who will remain uninsured after Medicaid expansion in NC.

Active patient population declined in 2023, over 2022, 2021 and 2020. Our Members continue to seek out those who remain uninsured.

3) Greater diversity in race/ethnicity of patients served to contribute to health equity efforts at member organizations.

With approximately 30% of patients served by our Members being Non-Hispanic/Latino White, greater diversity in our Members patient populations is occurring. Also, nearly 44% of patients served by our Members are Hispanic/Latino.

4) Improved health outcomes for diabetes/hypertension, as measured by annual diabetes and hypertension outcomes.

Health outcomes measures for diabetes and hypertension have improved steadily since 2020.

5) Increased emergency department diversion savings.

Emergency department savings have grown year over year since 2020.

Reporting Period	# of Members Reporting	Total # of Unduplicated Patents	Total # of Established Patients Seen	Total # of New Patients Seen
January 2024 (07/01/23 – 12/31/23)	47	53,861	40,274	10,805
April 2024 (01/01/24 – 03/31/24)	54	61,417	34,965	5554
July 2024 (04/01/24 – 06/30/24)	55	53,867	22,015	4296
October 2024 (07/01/24 – 09/30/24)	27*	28,163	15,027	2661
January 2025 (10/01/24-12/31/24)	37	44,156	19,331	5067
April 2025 (01/01/25 – 03/31/25)	46	46,792	22,444	4118
Total				32,501

5. Provide a summary of expenditures during the reporting period (quarter).

Expenditure Category	Amount of Expenditure
Personnel/Contracted Services Expenses (e.g., program-related staffing)	\$42,588.21
Supplies and Materials Expenses (e.g., office supplies):	\$495.00
Non-Fixed Operating Expenses (e.g., travel, utilities):	\$
Fixed Operating Expenses (e.g., office rent, dues and subscriptions):	\$10,208.97
Property and Equipment Expenses (e.g., buildings and improvements):	\$
Services/Contracts Expenses (e.g., purchase of services, contracts with service providers):	\$
Other Expenses (Specify): Member Subawards	\$1,577,851.52
Total Expenses:	\$1,631,143.70

6. Provide a summary of all grant expenditures through the end of the current reporting period. The total expenditures on this table should equal the cumulative expenditures from all completed quarterly reports to date.

Expenditure Category	Amount of Expenditure
Personnel/Contracted Services Expenses (e.g., program-related staffing)	\$528,420.75
Supplies and Materials Expenses (e.g., office supplies):	\$1495.00
Non-Fixed Operating Expenses (e.g., travel, utilities):	\$7377.54
Fixed Operating Expenses (e.g., office rent, dues and subscriptions):	\$81,436.97
Property and Equipment Expenses (e.g., buildings and improvements):	\$186,332.85
Services/Contracts Expenses (e.g., purchase of services, contracts with service providers):	\$24,000.00
Other Expenses (Specify): Member Subawards	\$7,377,818.21
Total Expenses:	\$8,206,881.32

Please contact your Contract Administrator with any questions.

NCAFCC Member Sub Awards – Confirmed None are On Suspension List

NCAFCC Member	Award Amount as of 06/30/2025
ABCCM Medical Ministry	\$ 294,650.00
Alliance Medical Ministry	\$ 294,650.00
Blue Ridge Free Dental Clinic	\$ 70,946.66
Broad Street Clinic Foundation	\$ 83,823.97
Camino Clinic	\$ 294,039.29
Campbell University Community Care Clinic	\$ 58,970.00
Cape Fear Clinic	\$ 44,270.00
CARE Clinic, Inc, The	\$ 106,968.97
Care Ring	\$ 147,500.00
Chatham CARES Community Pharmacy	\$ 38,390.68
Christ Community Clinic	\$ 32,945.00
Community Care Center - Winston-Salem	\$ 265,820.00
Community Care Clinic - Boone	\$ 110,620.00
Community Care Clinic of Dare	\$ 151,518.75
Community Care Clinic of Franklin	\$ 48,623.57
Community Care Clinic of Highland-Cashiers	\$ 47,359.31
Community Care Clinic of Rowan County	\$ 173,723.75
Community Clinic of High Point	\$ 246,170.00
Community Free Clinic	\$ 97,845.00
Community Health Services of Union County	\$ 114,495.00
Lake Norman Community Health Clinic (Cook Community Clinic)	\$ 249,420.00
Crisis Control Ministry Pharmacy	\$ 33,970.00
Davidson Medical Ministries Clinic	\$ -
DEAC Clinic	\$ -
Fifth Street Ministries (Diakonos)	\$ 87,870.00
Free Clinic of Rockingham County	\$ 113,295.00
Free Clinics, The	\$ 147,500.00
Good Samaritan Clinic	\$ 219,795.00
Grace Clinic of Yadkin County	\$ 36,870.00
Greater Hickory CCM Medical Ministries, Specialty Clinic & Pharmacy	\$ 81,250.00
Greenville Community Shelter Clinic	\$ -
Hands of Hope Medical Clinic	\$ 119,770.00
HealthNet Albemarle (Albemarle Hospital Foundation)	\$ 291,431.70
HealthQuest of Union County	\$ 142,120.00
HealthReach Community Clinic	\$ 109,854.48
Helping Hand Clinic - Sanford	\$ 47,320.00
Helping Hands Clinic of Caldwell County	\$ 152,545.83
HOPE Clinic	\$ 63,395.00
Hope Community Clinic of East Charlotte - FKA Smith Family (Project 658)	\$ 248,088.99
Hunger & Health Coalition, Inc.	\$ -
John P. Murray Community Care Clinic, Inc	\$ 11,332.50
Local Start Dental	\$ 49,970.00
Mariam Clinic	\$ 35,495.00
Matthews Free Medical Clinic	\$ 212,349.75
Medication Assistance Program	\$ -
MERCI Clinic	\$ 76,935.01
Moore Free and Charitable Care Clinic	\$ 168,157.04
Mustard Seed Community Health	\$ 34,870.00
NC MedAssist	\$ 294,650.00
New Hope Clinic	\$ 43,575.00
New Stories Health & Wellness Center	\$ -
Onslow Community Outreach- Caring Community Clinic	\$ 167,488.77
Open Door Clinic of Alamance County	\$ 78,293.85
Pitt County Care Clinic	\$ 1,580.64
Samaritan Health Center	\$ 110,445.00
Senior Pharmacy Program (Catholic Charities)	\$ 14,570.00
Senior Pharmassist	\$ 170,295.00
Shalom Project	\$ 20,766.46
Shelter Health Services	\$ 98,295.00
Shepherds Care Medical Clinic	\$ 17,347.71
St. Joseph Primary Care	\$ 72,420.00
Student Health Action Coalition (SHAC)	\$ -
Surry Medical Ministries	\$ 92,175.00
Urban Ministries Open Door Clinic	\$ 235,220.00
Vecinos	\$ 60,970.00
Atrium Health Wake Forest Baptist Mobile Program (Wake Forest University Health Sciences)	\$ 35,170.00
Wake Smiles	\$ 113,070.00
Wayne Health Foundation dba WATCH	\$ 294,550.53
Total All	\$ 7,377,818.21

QUARTERLY STATUS REPORT

Please use this reporting template for each of the quarterly reports

Recipient Name:	Boys Club of Wake County, Inc. d/b/a Boys & Girls Clubs
Recipient Tax ID #	56-0863051
Project/Activity Title:	Boys & Girls Clubs Directed Grant
Reporting Period (Quarter):	July 1-September 30, 2024
Report Completion Date:	October 10, 2024
Preparer of This Report:	Heather Brosz White

Were grant funds expended during this reporting period? ☒ Yes ☐ No

Do you certify that all expenses to date have been submitted for this grant? ☒ Yes ☐ No

Do you certify that all funds to date were used for the purposes for which they were awarded and in compliance with your contract? ☒ Yes ☐ No

1. Provide a brief report of activities and accomplishments related to your Grant Project during this reporting period.

We have had 17 full-time and 8 part-time employees trained in PAX Tools through PAXIS this quarter, for a total of 120 and 27 trained.

Expenses for this quarter include completing payments to subgrantee Boys & Girls Clubs across the state for their work with young people through June.

2. Provide an update on the objectives, as outlined in your Scope of Work, of the grant project. Have you accomplished any of your objectives?

Our programmatic work with young people through this grant ended in June, so at this point we are focusing on PAX Tools training for staff across the state.

3. Provide an update on your expected results, as outlined in your Scope of Work, of the Grant Project(s). Have you accomplished any of your expected results?

Our programmatic work with young people through this grant ended in June, so at this point we are focusing on PAX Tools training for staff across the state.

4. Provide an update on your performance measures, as outlined in your Scope of Work, for the Grant Project(s).

Our programmatic work with young people through this grant ended in June, so at this point we are focusing on PAX Tools training for staff across the state.

5. Provide a summary of expenditures during the reporting period (quarter).

Expenditure Category	Amount of Expenditure
Personnel/Contracted Services Expenses (e.g., program-related staffing)	\$232,494.45
Supplies and Materials Expenses (e.g., office supplies):	\$
Non-Fixed Operating Expenses (e.g., travel, utilities):	\$
Fixed Operating Expenses (e.g., office rent, dues and subscriptions):	\$
Property and Equipment Expenses (e.g., buildings and improvements):	\$
Services/Contracts Expenses (e.g., purchase of services, contracts with service providers):	\$7,404.07
Other Expenses (Specify):	\$
Total Expenses:	\$239,898.52

6. Provide a summary of year-to-date expenditures through the end of the current reporting period. The total expenditures on this table should equal the cumulative expenditures from all completed quarterly reports to date.

Expenditure Category	Amount of Expenditure
Personnel/Contracted Services Expenses (e.g., program-related staffing)	\$2,850,000.00
Supplies and Materials Expenses (e.g., office supplies):	\$
Non-Fixed Operating Expenses (e.g., travel, utilities):	\$
Fixed Operating Expenses (e.g., office rent, dues and subscriptions):	\$
Property and Equipment Expenses (e.g., buildings and improvements):	\$
Services/Contracts Expenses (e.g., purchase of services, contracts with service providers):	\$92,894.32
Other Expenses (Specify):	\$
Total Expenses:	\$2,942,894.32

If there are any questions, please contact the Contract Administrator.

QUARTERLY STATUS REPORT

Please use this reporting template for each of the quarterly reports

Recipient Name:	Boys Club of Wake County, Inc. d/b/a Boys & Girls Clubs
Recipient Tax ID #	56-0863051
Project/Activity Title:	Boys & Girls Clubs Directed Grant
Reporting Period (Quarter):	October 1-December 31, 2024
Report Completion Date:	January 13, 2025
Preparer of This Report:	Heather Brosz White

Were grant funds expended during this reporting period? ☒ Yes ☐ No

Do you certify that all expenses to date have been submitted for this grant? ☒ Yes ☐ No

Do you certify that all funds to date were used for the purposes for which they were awarded and in compliance with your contract? ☒ Yes ☐ No

1. Provide a brief report of activities and accomplishments related to your Grant Project during this reporting period.

We have had 19 full-time and 13 part-time employees trained in PAX Tools through PAXIS this quarter, for a total of 139 and 40 trained.

Expenses for this quarter include completing payments to subgrantee Boys & Girls Clubs across the state for their work with young people through June.

2. Provide an update on the objectives, as outlined in your Scope of Work, of the grant project. Have you accomplished any of your objectives?

Our programmatic work with young people through this grant ended in June, so at this point we are focusing on PAX Tools training for staff across the state.

3. Provide an update on your expected results, as outlined in your Scope of Work, of the Grant Project(s). Have you accomplished any of your expected results?

Our programmatic work with young people through this grant ended in June, so at this point we are focusing on PAX Tools training for staff across the state.

4. Provide an update on your performance measures, as outlined in your Scope of Work, for the Grant Project(s).

Our programmatic work with young people through this grant ended in June, so at this point we are focusing on PAX Tools training for staff across the state.

5. Provide a summary of expenditures during the reporting period (quarter).

<u>Expenditure Category</u>	<u>Amount of Expenditure</u>
Personnel/Contracted Services Expenses (e.g., program-related staffing)	\$
Supplies and Materials Expenses (e.g., office supplies):	\$
Non-Fixed Operating Expenses (e.g., travel, utilities):	\$
Fixed Operating Expenses (e.g., office rent, dues and subscriptions):	\$
Property and Equipment Expenses (e.g., buildings and improvements):	\$
Services/Contracts Expenses (e.g., purchase of services, contracts with service providers):	\$15,489.40
Other Expenses (Specify):	\$
Total Expenses:	\$15,489.40

6. Provide a summary of year-to-date expenditures through the end of the current reporting period. The total expenditures on this table should equal the cumulative expenditures from all completed quarterly reports to date.

<u>Expenditure Category</u>	<u>Amount of Expenditure</u>
Personnel/Contracted Services Expenses (e.g., program-related staffing)	\$2,850,000.00
Supplies and Materials Expenses (e.g., office supplies):	\$
Non-Fixed Operating Expenses (e.g., travel, utilities):	\$
Fixed Operating Expenses (e.g., office rent, dues and subscriptions):	\$
Property and Equipment Expenses (e.g., buildings and improvements):	\$
Services/Contracts Expenses (e.g., purchase of services, contracts with service providers):	\$108,383.72
Other Expenses (Specify):	\$
Total Expenses:	\$2,958,383.72

If there are any questions, please contact the Contract Administrator.

QUARTERLY STATUS REPORT

Please use this reporting template for each of the quarterly reports

Recipient Name:	Boys Club of Wake County, Inc. d/b/a Boys & Girls Clubs
Recipient Tax ID #	56-0863051
Project/Activity Title:	Boys & Girls Clubs Directed Grant
Reporting Period (Quarter):	January 1 – March 31, 2025
Report Completion Date:	April 10, 2025
Preparer of This Report:	Heather Brosz White

Were grant funds expended during this reporting period? ☒ Yes ☐ No

Do you certify that all expenses to date have been submitted for this grant? ☒ Yes ☐ No

Do you certify that all funds to date were used for the purposes for which they were awarded and in compliance with your contract? ☒ Yes ☐ No

1. Provide a brief report of activities and accomplishments related to your Grant Project during this reporting period.

We have had 17 full-time and 30 part-time employees trained in PAX Tools through PAXIS this quarter, for a total of 156 and 70 trained.

2. Provide an update on the objectives, as outlined in your Scope of Work, of the grant project. Have you accomplished any of your objectives?

Our programmatic work with young people through this grant ended in June 2024, so at this point we are focusing on PAX Tools training for staff across the state.

3. Provide an update on your expected results, as outlined in your Scope of Work, of the Grant Project(s). Have you accomplished any of your expected results?

Our programmatic work with young people through this grant ended in June 2024, so at this point we are focusing on PAX Tools training for staff across the state.

4. Provide an update on your performance measures, as outlined in your Scope of Work, for the Grant Project(s).

Our programmatic work with young people through this grant ended in June 2024, so at this point we are focusing on PAX Tools training for staff across the state.

5. Provide a summary of expenditures during the reporting period (quarter).

<u>Expenditure Category</u>	<u>Amount of Expenditure</u>
Personnel/Contracted Services Expenses (e.g., program-related staffing)	\$
Supplies and Materials Expenses (e.g., office supplies):	\$
Non-Fixed Operating Expenses (e.g., travel, utilities):	\$
Fixed Operating Expenses (e.g., office rent, dues and subscriptions):	\$
Property and Equipment Expenses (e.g., buildings and improvements):	\$
Services/Contracts Expenses (e.g., purchase of services, contracts with service providers):	\$12,333.91
Other Expenses (Specify):	\$
Total Expenses:	\$12,333.91

6. Provide a summary of year-to-date expenditures through the end of the current reporting period. The total expenditures on this table should equal the cumulative expenditures from all completed quarterly reports to date.

<u>Expenditure Category</u>	<u>Amount of Expenditure</u>
Personnel/Contracted Services Expenses (e.g., program-related staffing)	\$2,850,000.00
Supplies and Materials Expenses (e.g., office supplies):	\$
Non-Fixed Operating Expenses (e.g., travel, utilities):	\$
Fixed Operating Expenses (e.g., office rent, dues and subscriptions):	\$
Property and Equipment Expenses (e.g., buildings and improvements):	\$
Services/Contracts Expenses (e.g., purchase of services, contracts with service providers):	\$120,717.63
Other Expenses (Specify):	\$
Total Expenses:	\$2,970,717.63

If there are any questions, please contact the Contract Administrator.

QUARTERLY STATUS REPORT

Please use this reporting template for each of the quarterly reports

Recipient Name:	Boys Club of Wake County, Inc. d/b/a Boys & Girls Clubs
Recipient Tax ID #	56-0863051
Project/Activity Title:	Boys & Girls Clubs Directed Grant
Reporting Period (Quarter):	April 1-June 30, 2025
Report Completion Date:	July 8, 2025
Preparer of This Report:	Heather Brosz White

Were grant funds expended during this reporting period? ☒ Yes ☐ No

Do you certify that all expenses to date have been submitted for this grant? ☒ Yes ☐ No

Do you certify that all funds to date were used for the purposes for which they were awarded and in compliance with your contract? ☒ Yes ☐ No

1. Provide a brief report of activities and accomplishments related to your Grant Project during this reporting period.

We had five part-time employees trained in PAX Tools through PAXIS this quarter, for a total of 156 full-time and 75 part-time trained. We had several additional trainings scheduled, and all were canceled due to inadequate registration.

2. Provide an update on the objectives, as outlined in your Scope of Work, of the grant project. Have you accomplished any of your objectives?

Our programmatic work with young people through this grant ended in June 2024, so at this point we are focusing on PAX Tools training for staff across the state.

3. Provide an update on your expected results, as outlined in your Scope of Work, of the Grant Project(s). Have you accomplished any of your expected results?

Our programmatic work with young people through this grant ended in June 2024, so at this point we are focusing on PAX Tools training for staff across the state. Full-time numbers met expectations, but we anticipated having a much higher interest rate in the part-time training. After more than a year the option for part-time employees has gone underutilized. In the next quarter we will shift to offer an in-person training.

4. Provide an update on your performance measures, as outlined in your Scope of Work, for the Grant Project(s).

Our programmatic work with young people through this grant ended in June 2024, so at this point we are focusing on PAX Tools training for staff across the state.

5. Provide a summary of expenditures during the reporting period (quarter).

<u>Expenditure Category</u>	<u>Amount of Expenditure</u>
Personnel/Contracted Services Expenses (e.g., program-related staffing)	\$
Supplies and Materials Expenses (e.g., office supplies):	\$
Non-Fixed Operating Expenses (e.g., travel, utilities):	\$
Fixed Operating Expenses (e.g., office rent, dues and subscriptions):	\$
Property and Equipment Expenses (e.g., buildings and improvements):	\$
Services/Contracts Expenses (e.g., purchase of services, contracts with service providers):	\$1,870.00
Other Expenses (Specify):	\$
Total Expenses:	\$1,870.00

6. Provide a summary of year-to-date expenditures through the end of the current reporting period. The total expenditures on this table should equal the cumulative expenditures from all completed quarterly reports to date.

<u>Expenditure Category</u>	<u>Amount of Expenditure</u>
Personnel/Contracted Services Expenses (e.g., program-related staffing)	\$2,850,000.00
Supplies and Materials Expenses (e.g., office supplies):	\$
Non-Fixed Operating Expenses (e.g., travel, utilities):	\$
Fixed Operating Expenses (e.g., office rent, dues and subscriptions):	\$
Property and Equipment Expenses (e.g., buildings and improvements):	\$
Services/Contracts Expenses (e.g., purchase of services, contracts with service providers):	\$122,587.63
Other Expenses (Specify):	\$
Total Expenses:	\$2,972,587.63

If there are any questions, please contact the Contract Administrator.

ATTACHMENT D REPORTING REQUIREMENTS & TEMPLATES

Reporting Requirements: This is a subaward for financial assistance. Financial Assistance Contracts are subject to the Uniform Administration of State Awards, Oversight and Reporting Requirements for recipient and subrecipients described in N.C. General Statute § 143C-6-23(d) and in 09 NCAC 03M.205.

A non-governmental grantee who receives a combined \$500,000 or more funds from all state agencies must continue to submit a single or program-specific audit prepared and completed in accordance with Generally Accepted Government Auditing Standards, also known as a Yellow Book audit, to Risk Mitigation and Audit Monitoring at NCGrantsReporting@dhhs.nc.gov within 9 months of the grantee's fiscal year end.

DHHS encourages all of its non-governmental grantees that receive funds from other state agencies or DHHS divisions to contact their assigned contract monitor(s) to determine if year-end reports must be submitted for those particular grants/awards.

North Carolina State agencies are exempt from the reporting requirements of this section. Local governments that have reporting requirements for the Local Government Commission are exempt. All others are not exempt and must adhere to the reporting requirements of this section.

- (1) Quarterly Reports: see template in this attachment.
- (2) Reporting Timeframes and Due Dates. Once all grant funds are expended and activities concluded, a final report can be submitted and no additional reports are required:

Reporting Period	Due Date
July 1, 2024 - September 30, 2024	October 15, 2024
October 1, 2024 - December 31, 2024	January 15, 2025
January 1, 2025 - March 31, 2025	April 15, 2025
April 1, 2025 - June 30, 2025	July 15, 2025
July 1, 2025 - September 30, 2025	October 15, 2025
October 1, 2025 - December 31, 2025	January 15, 2026
January 1, 2026 - March 31, 2026	April 15, 2026
April 1, 2026 - June 30, 2026	July 15, 2026

N.C. General Statute § 143C-6-21-23. State Grant Funds: Administration; Oversight and Reporting Requirements.

§ 143C-6-21. Payments to nonprofits. Except as otherwise provided by law, an annual appropriation of one hundred thousand dollars (\$100,000) or less to or for the use of a nonprofit corporation may be made in a single annual payment, in the discretion of the Director of the Budget. An annual appropriation of more than one hundred thousand dollars (\$100,000) to or for the use of a nonprofit corporation shall be made in quarterly or monthly payments, in the discretion of the Director of the Budget. (2006-203, s. 3; 2013-360, s. 6.12(0).)

§ 143C-6-22. Use of State funds by non-State entities. (a) Disbursement and Use of State Funds. - Every non-State entity that receives, uses, or expends any State funds shall use or expend the funds only for the purposes for which they were appropriated by the General Assembly. State funds include federal funds that flow through the State Treasury. (b) Compliance by Non-State Entities. - If the Director of the Budget finds that a non-State entity has spent or encumbered State funds for an unauthorized purpose, or fails to submit or falsifies the information required by G.S. 143C-6-23 or any other provision of law, the Director shall take appropriate administrative action to ensure that no further irregularities or violations of law occur and shall report to the Attorney General any facts that pertain to an apparent violation of a criminal law or an apparent instance of malfeasance, misfeasance, or nonfeasance in connection with the use of State funds. Appropriate administrative action may include suspending or withholding the disbursement of State funds and recovering State funds previously disbursed. (c) Civil Actions. - Civil actions to recover State funds or to obtain other mandatory orders in the name of the State on relation of the Attorney General, or in the name of the Office of State Budget and Management, shall be filed in the General Court of Justice in Wake County. (2006-203, s. 3.)

§ 143C-6-23. State grant funds: administration; oversight and reporting requirements.

(a) Definitions. - The following definitions apply in this section:

- (1) Grant or grant funds. - State funds disbursed as a grant by a State agency; however, the terms do not include any payment made by the Medicaid program, the State Health Plan for Teachers and State Employees, or other similar medical programs.
- (2) Grantee. - A non-State entity that receives State funds as a grant from a State agency but does not include any non-State entity subject to the audit and other reporting requirements of the Local Government Commission.
- (3) Encumbrance. - A financial obligation created by a purchase order, contract, salary commitment, unearned or prepaid collections for services provided, or other legally binding agreement. A financial obligation is not an encumbrance for purposes of this section unless it (i) is in writing and has been signed by a person or entity who has authority to legally bind the grantee or subgrantee to spend the funds or (ii) was created by the provision of goods or services to the grantee or subgrantee by a third party under circumstances that create a legally binding obligation to pay for the goods or services.
- (4) Subgrantee. - A non-State entity that receives State funds as a grant from a grantee or from another subgrantee but does not include any non-State entity subject to the audit and other reporting requirements of the Local Government Commission.

(b) Conflict of Interest Policy. - Every grantee shall file with the State agency disbursing funds to the grantee a copy of that grantee's policy addressing conflicts of interest that may arise involving the grantee's management employees and the members of its board of directors or other governing body. The policy shall address situations in which any of these individuals may directly or indirectly benefit, except as the grantee's employees or members of its board or other governing body, from the grantee's disbursing of State funds, and shall include actions to be taken by the grantee or the individual, or both, to avoid conflicts of interest and the appearance of impropriety. The policy shall be filed before the disbursing State agency may disburse the grant funds.

(c) No Overdue Tax Debts. - Every grantee shall file with the State agency or department disbursing funds to the grantee a written statement completed by that grantee's board of directors or other governing body stating that the grantee does not have any overdue tax debts, as defined by G.S. 105-243.1, at the federal, State, or local level. The written statement shall be made under oath and shall be filed before the disbursing State agency or department may disburse the grant funds. A person who makes a false statement in violation of this subsection is guilty of a criminal offense punishable as provided by G.S. 143C-10-1.

(d) Office of State Budget Rules Must Require Uniform Administration of State Grants. - The Office of State Budget and Management shall adopt rules to ensure the uniform administration of State grants by all grantor State agencies and grantees or subgrantees. The Office of State Budget and Management shall consult with the Office of the State Auditor and the Attorney General in establishing the rules required by this subsection. The rules shall establish policies and procedures for disbursements of State grants and for State agency oversight, monitoring, and evaluation of grantees and subgrantees. The policies and procedures shall:

- (1) Ensure that the purpose and reporting requirements of each grant are specified to the grantee.
- (2) Ensure that grantees specify the purpose and reporting requirements for grants made to subgrantees.
- (3) Ensure that State funds are spent in accordance with the purposes for which they were granted.
- (4) Hold the grantees and subgrantees accountable for the legal and appropriate expenditure of grant funds.
- (5) Provide for adequate oversight and monitoring to prevent the misuse of grant funds. These policies shall require each grantee and subgrantee to ensure that, for accounting purposes, State funds and interest earned on those funds remain separate and apart from other funds in the possession or control of the grantee or subgrantee.
- (6) Establish mandatory periodic reporting requirements for grantees and subgrantees, including methods of reporting, to provide financial and program performance information. The mandatory periodic reporting requirements shall require grantees and subgrantees to file with the State Auditor copies of reports and statements that are filed with State agencies pursuant to this subsection. Compliance with the mandatory periodic reporting requirements of this subdivision shall not require grantees and subgrantees to file with the State Auditor the information described in subsections (b) and (c) of this section.
- (7) Require grantees and subgrantees to maintain reports, records, and other information to properly account for the expenditure of all grant funds and to make such reports, records, and other information available to the grantor State agency for oversight, monitoring, and evaluation purposes.
- (8) Require grantees and subgrantees to ensure that work papers in the possession of their auditors are available to the State Auditor for the purposes set out in subsection (i) of this section.
- (9) Require grantees to be responsible for managing and monitoring each project, program, or activity supported by grant funds and each subgrantee project, program, or activity supported by grant funds.
- (10) Provide procedures for the suspension of further disbursements or use of grant funds for noncompliance with these rules or other inappropriate use of the funds.
- (11) Provide procedures for use in appropriate circumstances for reinstatement of disbursements that have been suspended for noncompliance with these rules or other inappropriate use of grant funds.
- (12) Provide procedures for the recovery and return to the grantor State agency of unexpended grant funds from a grantee or subgrantee (i) in accordance with subsection (f1) of this section or (ii) in the event that the grantee or subgrantee is unable to fulfill the purposes of the grant for a reason not set forth in that subsection.

(d1) Required Grant Terms. - The terms of each grant shall include all of the following, which shall be deemed a part of the grant:

- (1) The limitation contained in G.S. 143C-6-8 concerning the availability of appropriated funds.
- (2) The relevant provisions of any legislation authorizing or governing the administration of the grant.
- (3) The terms of this section.

(e) Rules Are Subject to the Administrative Procedure Act. - Notwithstanding the provisions of G.S. 150B-2(8a)b. rules adopted pursuant to subsection (d) of this section are subject to the provisions of Chapter 1508 of the General Statutes.

(f) Suspension and Recovery of Funds to Grant Recipients for Noncompliance. - The Office of State Budget and Management, after consultation with the administering State agency, shall have the power to suspend disbursement of grant funds to grantees or subgrantees, to prevent further use of grant funds already disbursed, and to recover grant funds already disbursed for noncompliance with rules adopted pursuant to subsection (d) of this section. If the grant funds are a pass-through of funds granted by an agency of the United States, then the Office of State Budget and Management must consult with the granting agency of the United States and the State agency that is the recipient of the pass-through funds prior to taking the actions authorized by this subsection.

(f1) Return of Grant Funds. - Except as otherwise required by federal law, a grantee or subgrantee shall return to the State all affected grant funds and interest earned on those funds if any of the following occurs:

- (1) The funds are in the possession or control of a grantee and are not expended, made subject to an encumbrance, or disbursed to a subgrantee by August 31 immediately following the fiscal year in which the funds are appropriated by the General Assembly, or a different period set forth in the terms of the applicable appropriation or federal grant.
- (2) The funds remain unexpended at the time that the grantee or subgrantee dissolves, ceases operations, or otherwise indicates that it does not intend to spend the funds.
- (3) The Office of State Budget and Management seeks to recover the funds pursuant to subsection (f) of this section.

(f2) Use of Returned Grant Funds. - Encumbered funds returned to the State pursuant to subsection (f1) of this section by a grantee or subgrantee shall upon appropriation by the General Assembly be spent in accordance with the terms of the encumbrance. All other funds returned to the State by a grantee or subgrantee pursuant to subsection (f1) of this section shall be credited to the fund from which they were appropriated and shall remain unexpended and unencumbered until appropriated by the General Assembly. Nothing in this section shall be construed to authorize an expenditure pursuant to an unlawful encumbrance or in a manner that would violate the terms of the appropriation of the grant funds at issue.

(g) Audit Oversight. - The State Auditor has audit oversight, with respect to grant funds received by the grantee or subgrantee, pursuant to Article SA of Chapter 147 of the General Statutes, of every grantee or subgrantee that receives, uses, or expends grant funds. A grantee or subgrantee must, upon request, furnish to the State Auditor for audit all books, records, and other information necessary for the State Auditor to account fully for the use and expenditure of grant funds received by the grantee or subgrantee. The grantee or subgrantee must furnish any additional financial or budgetary information requested by the State Auditor, including audit work papers in the possession of any auditor of a grantee or subgrantee directly related to the use and expenditure of grant funds.

(h) Report on Grant Recipients That Failed to Comply. - Not later than May 1, 2007, and by May 1 of every succeeding year, the Office of State Budget and Management shall report to the Joint Legislative Commission on Governmental Operations and the Fiscal Research Division on all grantees or subgrantees that failed to comply with this section with respect to grant funds received in the prior fiscal year.

(i) State Agencies to Submit Grant List to Auditor. - No later than October 1 of each year, each State agency shall submit a list to the State Auditor, in the format prescribed by the State Auditor, of every grantee to which the agency disbursed grant funds in the prior fiscal year. The list shall include the amount disbursed to each grantee and other information as required by the State Auditor to comply with the requirements of this section.

(j) Use of Interest Earned on Grant Funds. - Except as otherwise required by federal law or the terms of a federal grant, interest earned on grant funds after receipt of the funds by a grantee or subgrantee shall be credited to the grantee or subgrantee and shall be used for the same purposes for which the grant or subgrant was made.

(k) Reporting by Grantees and Subgrantees That Cease Operations. - A grantee or subgrantee that intends to dissolve or cease operations shall report that decision in writing to the Office of State Budget and Management and to the Fiscal Research Division at least 30 days prior to taking that action. (2006-203, s. 3; 2007-323, s. 28.22A(o); 2007-345, s. 12; 2014-100, s. 6.S(a); 2015-264, s. 21.)

09 NCAC 03M .0205 Minimum Reporting Requirements for Recipients and Subrecipients.

(a) For the purposes of this Subchapter, there are three reporting levels established for recipients and subrecipients receiving State financial assistance. Reporting levels are based on the level of State financial assistance from all funding sources. The reporting levels are:

- (1) Level I - A recipient or subrecipient that receives, holds, uses, or expends State financial assistance in an amount less than twenty-five thousand dollars (\$25,000) within its fiscal year.
- (2) Level II - A recipient or subrecipient that receives, holds, uses, or expends State financial assistance in an amount of at least twenty-five thousand (\$25,000) or greater, but less than five hundred thousand dollars (\$500,000) within its fiscal year.

(3) Level III -A recipient or subrecipient that receives, holds, uses, or expends State financial assistance in an amount equal to or greater than five hundred thousand dollars (\$500,000) within its fiscal year.

(b) Agencies shall establish reporting requirements for recipients that meet the following reporting standards on an annual basis:

(1) All recipients and subrecipients shall provide a certification that State financial assistance received or held was used for the purposes for which it was awarded.

(2) All recipients and subrecipients shall provide an accounting of all State financial assistance received, held, used, or expended.

(3) Level II and III recipients and subrecipients shall report on activities and accomplishments undertaken by the recipient, including reporting on any performance measures established in the contract.

(4) Level III recipients and subrecipients shall have a single or program-specific audit prepared and completed in accordance with Generally Accepted Government Auditing Standards, also known as the Yellow Book.

(c) All reports shall be filed with the disbursing agency in the format and method specified by the agency no later than three months after the end of the recipient's fiscal year, unless the same information is already required through more frequent reporting. Audits must be provided to the funding agency no later than nine months after the end of the recipient's fiscal year.

(d) Agency-established reporting requirements to meet the standards set forth in Paragraph (b) of this Rule shall be specified in each recipient's contract.

(e) Unless prohibited by law, the costs of audits made in accordance with the provisions of this Rule shall be allowable charges to State and Federal awards. The charges may be considered a direct cost or an allocated indirect cost, as determined in accordance with cost principles outlined in the Code of Federal Regulations, 2 CFR Part 200. The cost of any audit not conducted in accordance with this Subchapter shall not be charged to State awards.

(f) Notwithstanding the provisions of this Subchapter, a recipient may satisfy the reporting requirements of Subparagraph (b)(4) of this Rule by submitting a copy of the report required under federal law with respect to the same funds.

QUARTERLY STATUS REPORT

Please use this reporting template for each of the quarterly reports

Recipient Name:	The Community Foundation of NC East, Inc.
Recipient Tax ID#	56-2152669
Project/Activity Title:	HBOT for Veterans
Reporting Period (Quarter):	July - September, 2024
Report Completion Date:	October 15, 2024
Preparer of This Report:	Melissa Spain, Charlene Silver & Trish Mueller

Were grant funds expended during this reporting period? ☐ Yes ☒ No

Do you certify that all expenses to date have been submitted for this grant? ☒ Yes ☐ No

Was any interest earned on these grant funds during this reporting period? ☐ Yes ☒ No

If Yes, specify the amount of interest earned over the life of the grant through this reporting period: \$ _____

Do you certify that all funds expended to date were used for the purposes for which they were awarded and in compliance with your contract? ☒ Yes ☐ No

Did you make any subawards of this funding during this reporting period? ☐ Yes ☒ No

If yes, attach a list of subawards including subrecipient entity name, tax ID number, subaward amount, and an indication that the subrecipient is not on the State Suspension of Funding List or suspended or debarred from receiving federal funds if applicable. Please note that per the contract terms and conditions and State law, your organization is responsible for ensuring that any subrecipients comply with all requirements of your contract and provide you with adequate and timely information to fulfill your reporting obligations.

Final reports should be submitted once all funds are expended and grant activities are completed. Is this your final quarterly report? ☐ Yes ☒ No

If yes, please complete and sign below indicating that all expenses have been submitted and all funds and any interest earned were used for the purposes for which they were awarded and in compliance with the contract. Please note that all records pertaining to this grant must be retained for a minimum of five years or until all audit exceptions have been resolved, whichever is longer. If the contract is subject to federal policy and regulations, record retention may be longer than five years. Recipients are required to maintain reports and accounting records that support the allowable expenditure of State funds and shall make available all reports and records for inspection by the NC Department of Health and Human Services, the Office of State Budget and Management, and the Office of the State Auditor for oversight, monitoring, and

11/15/24

Name and Title of Organization Official

Signature of Organization Official

Date

1. Provide a brief report of activities and accomplishments related to your Grant Project during this reporting period.

During this reporting period, we have treated 150 military personnel, with 61 completing the program for this reporting period. There is also a waitlist of 50 veterans in the queue to begin treatment. Each military personnel underwent a comprehensive assessment using the Automated Neuropsychological Assessment Metrics (ANAM) before and after their HBOT regimen. Our activities focused on improving veterans' cognitive performance and emotional well-being, reducing symptoms associated with PTSD and TBI, and ultimately aiming to decrease suicide ideation among this population. Significant improvements were observed in cognitive functions such as attention, concentration, and reasoning tasks. Notably, there were marked reductions in negative emotions such as anxiety, depression, and anger, which are critical indicators of suicide risk.

2. Provide an update on the objectives, as outlined in your Scope of Work, of the grant project. Have you accomplished any of your objectives?

The primary objectives outlined in our Scope of Work were to:

- Provide free HBOT treatments to veterans.
- Assess and document improvements in cognitive and emotional health.
- Reduce PTSD and TBI symptoms.
- Decrease suicide ideation among veterans.

We have made significant progress towards these objectives. In the report for FY 2021 and FY 2022, we documented that 86.6% of veterans treated showed significant improvements in their ANAM test scores. The most substantial gains were seen in self-reported mood improvements, including reductions in anger, anxiety, depression, restlessness, and fatigue, alongside positive increases in mood indicators such as happiness and vigor. These outcomes demonstrated substantial progress toward our goal of enhancing veterans' overall well-being and reducing factors associated with suicide ideation.

For the current reporting period in 2024, while we have not yet reported on the final numbers of veterans completing the program for FY 2023, we have observed significant improvements in the mental health of veterans currently in treatment. Notably, there have been reductions in suicide ideation, and we expect these numbers to continue to improve as more veterans complete their treatment.

3. Provide an update on your expected results, as outlined in your Scope of Work, of the Grant Project(s). Have you accomplished any of your expected results?

Our expected results included measurable improvements in cognitive and emotional health, as well as a reduction in suicide ideation among veterans. The data from the 32 veterans from FY 2021 and FY 2022 who have completed the program indicate that these results have been achieved:

- Significant improvements in cognitive performance were observed, including better attention and concentration.
- There was a notable reduction in negative emotions and an increase in positive mood indicators.

Importantly, suicide ideation indicators showed a marked decrease in previous reports, with 81% of veterans exhibiting improvement in suicidality measures. These findings, documented in our report for FY 2021 and FY 2022, align with our expected results and underscore the efficacy of HBOT in addressing the complex needs of veterans with PTSD and TBI.

For the current reporting period in 2024, while we have not yet reported on the final numbers for FY 2023, we are already seeing significant improvements in the mental health of veterans currently in treatment. Indicators of suicide ideation have continued to improve, and we expect this trend to persist as more veterans complete their treatment.

4. Provide an update on your performance measures, as outlined in your Scope of Work, for the Grant Project(s).

Our performance measures included:

- The number of veterans treated.
- Pre and post-assessment scores on cognitive and mood scales.
- Reduction in PTSD and TBI symptoms.
- Decrease in suicide ideation.

We treated a total of 150 veterans during the reporting period, with 61 having completed the program. The ANAM pre and post-assessment data from those who completed the program revealed significant improvements of the cognitive and mood scales. Specifically, there were substantial reductions in negative mood states and enhancements in cognitive performance. The paired sample tests confirmed statistically significant improvements in most of the measured variables. Moreover, out of the 12 veterans reported in FY 2021 and FY 2022 who exhibited signs of suicidal ideation prior to treatment, 81% showed improvement post-HBOT.

We are seeing a consistent decline in suicide ideation for veterans currently in the program, as measured by our PHQ-9 assessments, further reinforcing the positive impact of HBOT on mental health outcomes. These performance measures indicate that the project is meeting its goals effectively, demonstrating the positive impact of HBOT on veterans' mental health and cognitive functions.

5. Provide a summary of expenditures during the reporting period (quarter).

Expenditure Category	Amount of Expenditure
Personnel/Contracted Services Expenses (e.g., program-related staffing)	\$ 0.00
Supplies and Materials Expenses (e.g., office supplies):	\$
Non-Fixed Operating Expenses (e.g., travel, utilities):	\$
Fixed Operating Expenses (e.g., office rent, dues and subscriptions):	\$
Property and Equipment Expenses (e.g., buildings and improvements):	\$
Services/Contracts Expenses (e.g., purchase of services, contracts with service providers):	\$93,862.08
Other Expenses (Specify)	\$
Total Expenses:	\$93,862.08

6. Provide a summary of all grant expenditures through the end of the current reporting period. The total expenditures on this table should equal the cumulative expenditures from all completed quarterly reports to date.

Expenditure Category	Amount of Expenditure
Personnel/Contracted Services Expenses (e.g., program-related staffing)	\$40,000.00
Supplies and Materials Expenses (e.g., office supplies):	\$
Non-Fixed Operating Expenses (e.g., travel, utilities):	\$
Fixed Operating Expenses (e.g., office rent, dues and subscriptions):	\$
Property and Equipment Expenses (e.g., buildings and improvements):	\$
Services/Contracts Expenses (e.g., purchase of services, contracts with service providers):	\$ 450,000.00
Other Expenses (Specify)	\$
Total Expenses:	\$ 490,000.00

Please contact your Contract Administrator with any questions.

QUARTERLY STATUS REPORT

Report Template A: Please use this reporting template for each of the quarterly reports

Recipient Name:	The Community Foundation of NC East, Inc.
Recipient Tax ID #	56-2152669
Project/Activity Title:	HBOT for Veterans (State Funding)
Reporting Period (Quarter):	October – December, 2024
Recipient's Fiscal Year End:	December 31, 2024
Report Completion Date:	January 15, 2025
Preparer of This Report:	Melissa Spain, Charlene Silver & Trish Mueller
1. Provide a brief description of the entity's mission, purpose, and governance structure. The HBOT for Veterans funding were designated towards the services resulted in our Hyperbaric Oxygen Therapy Treatment and was applied to the treatments being provided by the Extivita Clinic, which will also include the overnight accommodations and hotel stay for Veterans requiring travel. The other activities include expansion of the HBOT chambers used for treatment, constructing those so that expansion into other statewide locations can make it more accessible for Veterans.	
2. Provide a brief description of the types of programs, services, and activities supported by State Fiscal Year 2022/2023 Directed Grant. Hyperbaric Oxygen Treatments and hotel accommodations for veterans within NC.	
3. Provide the number of persons served by the programs, services, and activities supported by these funds, including the counties in which services are provided. No new data to report for this quarter	

4. Provide a summary of deliverables, outputs, and outcomes that demonstrate the impact and effectiveness of the programs, services, and activities supported by these funds.

No new data to report for this quarter

5. Have there been any significant changes to the intended goals, Scope of Work, and/or planned budget during this reporting period (quarter)? If so, please provide an explanation here.

No new data to report for this quarter

6. Provide any other pertinent information about the progress of the programs, services, and activities supported by these funds.

No new data to report for this quarter

7. Provide a summary of expenditures during the reporting period (quarter).

Expenditure Category	Amount of Expenditure
Personnel/Contracted Services Expenses (e.g., program-related staffing)	\$0.00
Supplies and Materials Expenses (e.g., office supplies):	\$
Non-Fixed Operating Expenses (e.g., travel, utilities):	\$
Fixed Operating Expenses (e.g., office rent, dues and subscriptions):	\$
Property and Equipment Expenses (e.g., buildings and improvements):	\$
Services/Contracts Expenses (e.g., purchase of services, contracts with service providers):	\$0.00
Other Expenses (Specify):	\$
Total Expenses:	\$0.00

8. Provide a summary of year-to-date expenditures through the end of the current reporting period. The total expenditures on this table should equal the cumulative expenditures from all completed quarterly reports to date.

Expenditure Category	Amount of Expenditure
Personnel/Contracted Services Expenses (e.g., program-related staffing)	\$40,000.00
Supplies and Materials Expenses (e.g., office supplies):	\$
Non-Fixed Operating Expenses (e.g., travel, utilities):	\$
Fixed Operating Expenses (e.g., office rent, dues and subscriptions):	\$
Property and Equipment Expenses (e.g., buildings and improvements):	\$
Services/Contracts Expenses (e.g., purchase of services, contracts with service providers):	\$450,000.00
Other Expenses (Specify):	\$
Total Expenses:	\$490,000.00

If there are any questions, please contact the Contract Administrator.

QUARTERLY STATUS REPORT

Report Template A: Please use this reporting template for each of the quarterly reports

Recipient Name:	THE COMMUNITY FOUNDATION OF NC EAST, INC.
Recipient Tax ID #	56-2152669
Project/Activity Title:	HBOT FOR VETERANS (STATE BUDGET)
Reporting Period (Quarter):	JANUARY – MARCH, 2025
Recipient's Fiscal Year End:	
Report Completion Date:	APRIL 15, 2025
Preparer of This Report:	MELISSA SPAIN, CHARLENE SILVER & TRISH MUELLER
1. Provide a brief description of the entity's mission, purpose, and governance structure. The core purpose of HBOT for Veterans is to ensure that North Carolina veterans receive the life-changing care they deserve but often cannot access through traditional medical systems. By offering free or subsidized HBOT treatments, the organization helps veterans achieve long-term physical and psychological healing. HBOT for Veterans also seeks to raise public awareness about the benefits of HBOT and advocate for broader acceptance and funding of alternative treatments within veteran care systems.	

2. Provide a brief description of the types of programs, services, and activities supported by State Fiscal Year 2022/2023 Directed Grant.

The State Directed Grant has supported a comprehensive suite of services aimed at improving the physical and mental health of military veterans through evidence-based, non-invasive therapies. Key components of the program include:

Hyperbaric Oxygen Therapy (HBOT) Consultations and Medical Review:

Each veteran receives a thorough HBOT consultation to determine eligibility, followed by a comprehensive medical review to ensure the safety and appropriateness of treatment.

Cognitive Function Assessments (ANAM Testing):

The Automated Neuropsychological Assessment Metrics (ANAM) testing is conducted pre- and post-treatment to objectively measure improvements in cognitive functions such as memory, attention, and processing speed.

Mental Health Evaluations:

We administer validated psychological assessments—including the PHQ-9 (depression), GAD-7 (anxiety), PCS (post-concussion symptoms), and PCL-M (PTSD symptoms)—at intake, after 20 HBOT sessions, and again after 40 sessions. This helps track measurable improvements in mental health and symptom reduction over time.

Nutritional Immune Support:

Veterans in the program receive ProImmune Immune Formulation 200, a supplement that supports immune function and enhances overall wellness during therapy.

Travel and Lodging Assistance:

For veterans residing more than 1.5 hours from the RDU area, we provide hotel accommodations to ensure consistent access to treatment, removing geographical and financial barriers to care.

These services, funded through the Directed Grant, have played a vital role in enhancing the well-being and recovery outcomes for veterans in our program.

3. Provide the number of persons served by the programs, services, and activities supported by these funds, including the counties in which services are provided.

In this reporting period, we have treated 64 military personnel. There is also a waitlist of 100+ veterans in the queue to begin treatment. Each military personnel underwent a comprehensive assessment using the Automated Neuropsychological Assessment Metrics (ANAM) before and after their HBOT regimen. Our activities focused on improving veterans' cognitive performance and emotional well-being, reducing symptoms associated with PTSD and TBI, and ultimately aiming to decrease suicide ideation among this population. All counties within North Carolina are eligible for the program. Those treated during this quarter consisted of Wake, Mecklenburg, Pitt, New Hanover, Onslow, Pender, Moore, Carteret, Cumberland, Guilford, Durham, Franklin, Jones and Brunswick Counties.

4. Provide a summary of deliverables, outputs, and outcomes that demonstrate the impact and effectiveness of the programs, services, and activities supported by these funds.

By evaluating key metrics from our ANAM testing, Post-Concussion Symptom Scale (PCS), Generalized Anxiety Disorder 7-item scale (GAD-7), and Patient Health Questionnaire-9 (PHQ-9), we identified significant improvements among veterans participating in the program. Notable gains were observed in cognitive functions, including enhanced attention, concentration, and reasoning abilities. Additionally, there was a substantial reduction in negative emotional states—such as anxiety, depression, and anger—which are critical indicators of suicide risk. These changes were accompanied by an increase in positive mood indicators, reflecting overall improvements in emotional well-being.

5. Have there been any significant changes to the intended goals, Scope of Work, and/or planned budget during this reporting period (quarter)? If so, please provide an explanation here.

N/A

6. Provide any other pertinent information about the progress of the programs, services, and activities supported by these funds.

We continue to observe consistent and meaningful progress across the programs and services supported by these funds. Veterans participating in the initiative regularly report a reduction in chronic pain levels, which has contributed to improved daily functioning and quality of life. Additionally, our ongoing assessments indicate significant enhancements in mental health, with notable decreases in symptoms of anxiety and depression. Most importantly, we have documented a reduction in suicidal ideations among participants, underscoring the critical impact of these services on the overall mental and emotional well-being of our veteran population.

7. Provide a summary of expenditures during the reporting period (quarter).

Expenditure Category	Amount of Expenditure
Personnel/Contracted Services Expenses (e.g., program-related staffing)	\$
Supplies and Materials Expenses (e.g., office supplies):	\$
Non-Fixed Operating Expenses (e.g., travel, utilities):	\$
Fixed Operating Expenses (e.g., office rent, dues and subscriptions):	\$
Property and Equipment Expenses (e.g., buildings and improvements):	\$
Services/Contracts Expenses (e.g., purchase of services, contracts with service providers):	\$ 10,000.00
Other Expenses (Specify):	\$
Total Expenses:	\$ 10,000.00

8. Provide a summary of year-to-date expenditures through the end of the current reporting period. The total expenditures on this table should equal the cumulative expenditures from all completed quarterly reports to date.

Expenditure Category	Amount of Expenditure
Personnel/Contracted Services Expenses (e.g., program-related staffing)	\$ 40,000.00
Supplies and Materials Expenses (e.g., office supplies):	\$
Non-Fixed Operating Expenses (e.g., travel, utilities):	\$
Fixed Operating Expenses (e.g., office rent, dues and subscriptions):	\$
Property and Equipment Expenses (e.g., buildings and improvements):	\$
Services/Contracts Expenses (e.g., purchase of services, contracts with service providers):	\$ 460,000.00
Other Expenses (Specify):	\$
Total Expenses:	\$ 500,000.00

If there are any questions, please contact the Contract Administrator.

NC DHHS DIRECTED GRANT
QUARTERLY STATUS REPORT

Please use this reporting template for each of the quarterly reports

Recipient Name:	Hope Center Ministries, Inc.
Recipient Tax ID #	20-8934436
Project/Activity Title:	Directed Grant
Reporting Period (Quarter):	FYQ1 24-25 July 1, 2024-September 1, 2024
Report Completion Date:	Revised Submission: 10/30/2024 REVISION 1
Preparer of This Report:	Kathryn Shepard

Were grant funds expended during this reporting period? ☒ Yes ☐ No

Do you certify that all expenses to date have been submitted for this grant? ☒ Yes ☐ No

Was any interest earned on these grant funds during this reporting period? ☒ Yes ☐ No

If yes, specify the amount of interest earned over the life of the grant through this reporting period: \$ 90,858.80

Do you certify that all funds expended to date were used for the purposes for which they were awarded and in compliance with your contract? ☒ Yes ☐ No

Did you make any subawards of this funding during this reporting period? ☐ Yes ☒ No

If yes, attach a list of subawards including subrecipient entity name, tax ID number, subaward amount, and an indication that the subrecipient is not on the [State Suspension of Funding List](#) or suspended or debarred from receiving federal funds if applicable. Please note that per the contract terms and conditions and State law, your organization is responsible for ensuring that any subrecipients comply with all requirements of your contract and provide you with adequate and timely information to fulfill your reporting obligations.

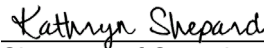
Final reports should be submitted once all funds are expended and grant activities are completed. Is this your final quarterly report? ☐ Yes ☒ No

If yes, please complete and sign below indicating that all expenses have been submitted and all funds and any interest earned were used for the purposes for which they were awarded and in compliance with the contract. Please note that all records pertaining to this grant must be retained for a minimum of five years or until all audit exceptions have been resolved, whichever is longer. If the contract is subject to federal policy and regulations, record retention may be longer than five years. Recipients are required to maintain reports and accounting records that support the allowable expenditure of State funds and shall make available all reports and records for inspection by the NC Department of Health and Human Services, the Office of State Budget and Management, and the Office of the State Auditor for oversight, monitoring, and evaluation purposes.

Kathryn Shepard Director of Finance

Name and Title of Organization Official

DocuSigned by:



Signature of Organization Official

10/30/2024

Date

1. Provide a brief report of activities and accomplishments related to your Grant Project during this reporting period.

- a. Completed the interior renovations to the Goldsboro/Wayne County Men's Center.
- b. Exterior renovations to the Goldsboro/Wayne County Men's Center is 90% completed.
- c. Engineer and architectural drawings for the 3 major construction projects (Goldsboro/Wayne County Men's Family Life and Training Center, Goldsboro/Wayne County Women's Center Family Life Center, Dunn/Wayne County Men's Center) are complete and approved by local zoning and codes departments.
- d. Selected and have under contract the new Wilson's Mills/Johnston County Women's Center location. Schedule to close on 11/01/2025 for opening late 1st Qtr. 2025.

2. Provide an update on the objectives, as outlined in your Scope of Work, of the grant project. Have you accomplished any of your objectives?

- a. Increased square footage and capacity for program beds at the various campuses via the renovations to the existing campuses.
- b. Secured additional funding to supplement NCDSS grant funds to build the Goldsboro/Wayne County Men's Family Life & Training Center to provide additional program space.
- c. Selected and have under contract property for the first Johnston County Hope Center campus to add 30-35 new program beds.
- d. In discussion for two possible land donations in Johnston County to build centers which could add a total of 60-70 new program beds.

3. Provide an update on your expected results, as outlined in your Scope of Work, of the Grant Project(s). Have you accomplished any of your expected results?

- a. Accomplished adding usable square footage to the three Wayne County Centers to add programming and program bed capacity.
- b. The General Contractor selected and site work in underway to build the Dunn/Wayne County Men's Center which will add 30 new program beds.
- c. Accomplished selection of the first Johnston County location which will add 30-35 new program beds.
- d. The objective is to add 70-85 new program beds and approximately 20,000 sq. ft. of program space during the current grant cycle.

4. Provide an update on your performance measures, as outlined in your Scope of Work, for the Grant Project(s).

- a. Project Schedule: General Contractors under contract for the major projects. Site work for Dunn/Harnett County and Goldsboro/Wayne County builds are underway with both projects scheduled for completion in 2-3 Qtr. 2025.
- b. Site Confirmation: The first Johnston County location was selected and under contract and scheduled for opening in the 2nd Qtr. 2025.
- c. Identify Potential Delays or Issues: Accommodating the possible 3 to 4 month zoning process for the first Johnston County location.

5. Provide a summary of expenditures during the reporting period (quarter).

<u>Expenditure Category</u>	<u>Amount of Expenditure</u>
Personnel/Contracted Services Expenses (e.g., program-related staffing)	\$ 42,573.69
Supplies and Materials Expenses (e.g., office supplies):	\$ 2003.27
Non-Fixed Operating Expenses (e.g., travel, utilities):	\$ 9188.93
Fixed Operating Expenses (e.g., office rent, dues and subscriptions):	\$ 0
Property and Equipment Expenses (e.g., buildings and improvements):	\$ 339,212.55
Services/Contracts Expenses (e.g., purchase of services, contracts with service providers):	\$ (12,522.49)
Other Expenses (Specify):	\$ (106,205.05)
Total Expenses:	\$ 274,250.90

6. Provide a summary of all grant expenditures through the end of the current reporting period. The total expenditures on this table should equal the cumulative expenditures from all completed quarterly reports to date.

<u>Expenditure Category</u>	<u>Amount of Expenditure</u>
Personnel/Contracted Services Expenses (e.g., program-related staffing)	\$ 78,307.18
Supplies and Materials Expenses (e.g., office supplies):	\$ 2,003.27
Non-Fixed Operating Expenses (e.g., travel, utilities):	\$ 9,188.93
Fixed Operating Expenses (e.g., office rent, dues and subscriptions):	\$ 0
Property and Equipment Expenses (e.g., buildings and improvements):	\$ 494,467.73
Services/Contracts Expenses (e.g., purchase of services, contracts with service providers):	\$ 0
Other Expenses (Specify):	\$ 0
Total Expenses:	\$ 583,967.11

Please contact your Contract Administrator with any questions.

NC DHHS DIRECTED GRANT
QUARTERLY STATUS REPORT
Please use this reporting template for each of the quarterly reports

Recipient Name:	Hope Center Ministries, Inc.
Recipient Tax ID #	20-8934436
Project/Activity Title:	Directed Grant
Reporting Period (Quarter):	FYQ2 24-25 October 1, 2024-December 31, 2024
Report Completion Date:	01/15/2025
Preparer of This Report:	Kathryn Shepard

Were grant funds expended during this reporting period? ☒ Yes ☐ No

Do you certify that all expenses to date have been submitted for this grant? ☒ Yes ☐ No

Was any interest earned on these grant funds during this reporting period? ☒ Yes ☐ No

If yes, specify the amount of interest earned over the life of the grant through this reporting period: \$ 140,970.94

Do you certify that all funds expended to date were used for the purposes for which they were awarded and in compliance with your contract? ☒ Yes ☐ No

Did you make any subawards of this funding during this reporting period? ☐ Yes ☒ No

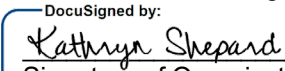
If yes, attach a list of subawards including subrecipient entity name, tax ID number, subaward amount, and an indication that the subrecipient is not on the [State Suspension of Funding List](#) or suspended or debarred from receiving federal funds if applicable. Please note that per the contract terms and conditions and State law, your organization is responsible for ensuring that any subrecipients comply with all requirements of your contract and provide you with adequate and timely information to fulfill your reporting obligations.

Final reports should be submitted once all funds are expended and grant activities are completed. Is this your final quarterly report? ☐ Yes ☒ No

If yes, please complete and sign below indicating that all expenses have been submitted and all funds and any interest earned were used for the purposes for which they were awarded and in compliance with the contract. Please note that all records pertaining to this grant must be retained for a minimum of five years or until all audit exceptions have been resolved, whichever is longer. If the contract is subject to federal policy and regulations, record retention may be longer than five years. Recipients are required to maintain reports and accounting records that support the allowable expenditure of State funds and shall make available all reports and records for inspection by the NC Department of Health and Human Services, the Office of State Budget and Management, and the Office of the State Auditor for oversight, monitoring, and evaluation purposes.

kathryn shepard VP of Finance

Name and Title of Organization Official

DocuSigned by:

Signature of Organization Official

1/15/2025

Date

1. Provide a brief report of activities and accomplishments related to your Grant Project during this reporting period.

- a. Exterior renovations to the Goldsboro/Wayne County Men's Center are 100% completed.
- b. Wilsons Mill/Johnston County property has been purchased, and it is being renovated and prepared to open in Spring 2025.
- c. Site work is underway for the Goldsboro Campus Family Life Building Construction.
- d. Site work is completed, and construction is underway at the new Dunn/Harnett County Men's Center campus.

2. Provide an update on the objectives, as outlined in your Scope of Work, of the grant project. Have you accomplished any of your objectives?

- a. Continued to increase usable square footage at the existing campuses.
- b. Accomplished/Completed renovations to the Goldsboro men's and current Dunn campuses.
- c. Accomplished/Closed on the property to become the first Johnston County Hope Center location. It will be a women's center in Wilsons Mills, with 30 program beds.

3. Provide an update on your expected results, as outlined in your Scope of Work, of the Grant Project(s). Have you accomplished any of your expected results?

- a. Accomplished added usable square footage to the three existing Wayne County campuses, resulting in additional program beds and space.
- b. Purchased a property in Johnston County that will add 30 additional program beds for our women's program, scheduled for opening in the spring of 2025.
- c. Established a coalition of community supporters, which include government, corporate, and ministry leaders in Wayne, Johnston, and Harnett counties.

4. Provide an update on your performance measures, as outlined in your Scope of Work, for the Grant Project(s).

- a. Construction is underway at the Harnett County campus location. Project completion is at 20%, and completion is expected in July 2025.
- b. Renovations to the Wayne County locations, including added square footage program bed count, septic system upgrades, and vans for resident transportation, are 100% completed.
- c. Preparations to open the new Johnston County location are 80% complete. Completion expected April 2025.
- d. Initial site work is underway on the Goldsboro Men's campus for the Family Life & Training Center. Design and engineering are 100% complete; construction is 5% complete.

5. Provide a summary of expenditures during the reporting period (quarter).

Expenditure Category	Amount of Expenditure
Personnel/Contracted Services Expenses (e.g., program-related staffing)	\$ 13,186.97
Supplies and Materials Expenses (e.g., office supplies):	\$ 1,662.23
Non-Fixed Operating Expenses (e.g., travel, utilities):	\$ 9,071.47
Fixed Operating Expenses (e.g., office rent, dues and subscriptions):	\$ 0
Property and Equipment Expenses (e.g., buildings and improvements):	\$ 1,025,649.02
Services/Contracts Expenses (e.g., purchase of services, contracts with service providers):	\$ 0
Other Expenses (Specify):	\$ 0
Total Expenses:	\$ 1,049,569.69

6. Provide a summary of all grant expenditures through the end of the current reporting period. The total expenditures on this table should equal the cumulative expenditures from all completed quarterly reports to date.

Expenditure Category	Amount of Expenditure
Personnel/Contracted Services Expenses (e.g., program-related staffing)	\$ 91,494.15
Supplies and Materials Expenses (e.g., office supplies):	\$ 3,665.50
Non-Fixed Operating Expenses (e.g., travel, utilities):	\$ 18,260.40
Fixed Operating Expenses (e.g., office rent, dues and subscriptions):	\$ 0
Property and Equipment Expenses (e.g., buildings and improvements):	\$ 1,520,116.75
Services/Contracts Expenses (e.g., purchase of services, contracts with service providers):	\$ 0
Other Expenses (Specify):	\$ 0
Total Expenses:	\$ 1,633,536.80

Please contact your Contract Administrator with any questions.

QUARTERLY STATUS REPORT

Please use this reporting template for each of the quarterly reports

Recipient Name:	Hope Center Ministries, Inc
Recipient Tax ID #	20-8934436
Reporting Period (Quarter):	FYQ3 24-25 January 1, 2025-March 31, 2025 - REVISION 1
Report Completion Date:	04/15/2025
Preparer of This Report:	Kathryn Shepard

Were grant funds expended during this reporting period? ☒ Yes ☐ No

Do you certify that all expenses to date have been submitted for this grant? ☒ Yes ☐ No

Do you certify that all funds to date were used for the purposes for which they were awarded and in compliance with your contract? ☒ Yes ☐ No

1. Provide a brief report of activities and accomplishments related to your Grant Project during this reporting period.

A director and Recovery Pastor for the Johnson County/Wilson's Mills Women's campus was hired. The first Johnston County Hope Center campus opened, adding 30 program beds, and welcomed its first five residents. Construction started on the new Harnett County/Dunn men's center, which adds 30 program beds. Construction started on the Wayne County/Goldsboro men's campuses 3,00 sq. ft. Family Life Center. Campus improvements to the Wayne County/Stevens Mill Rd. Men's campus completed.

2. Provide an update on the objectives, as outlined in your Scope of Work, of the grant project. Have you accomplished any of your objectives?

Accomplished the establishment of the first Johnston County campus in the Town of Wilson's Mills. Accomplished adding 30 additional program beds for women in the Wayne/Harnett/ Johnston County region. Accomplished the necessary improvements to the Wayne County/Stevens Mills Rd. Men's center to accommodate 30 program beds. Accomplished securing a land donation to accommodate the construction of a second Johnston County location in Selma.

3. Provide an update on your expected results, as outlined in your Scope of Work, of the Grant Project(s). Have you accomplished any of your expected results?

We expect to increase program beds by 70 in the Johnston/Wayne/Harnett county region by midyear 2026. Since the grant funding cycle began, 40 beds have been added, and 30 will be added when the Harnett County/Dunn campus is completed in late 2025. With the funding provided, we will reach our objective of adding 70 program beds.

Our Scope of Work included identifying and securing a location to establish a second Johnston County campus. This has been accomplished with the commitment of a land donation in the Eastfield Crossings development in Selma.

Our Scope of Work included adding space for Family Life experiences, Vocational Training, counseling, food service, recreation, and community outreach. Family rooms at the two existing men's campuses to support family support programming have been completed. The 3000 sq. ft. Family Life building under construction on the Goldsboro Men's campus will provide the additional program space needed to accomplish the goals outlined in the funding request.

4. Provide an update on your performance measures, as outlined in your Scope of Work, for the Grant Project(s).

Over 50% of the program bed increase has been achieved.

175+ residents have been served since the funding cycle began.

Vocational Training/Workforce Development hours have increased since the funding cycle began.

Family support, reunification, and training attendance and achievements have increased since the funding cycle began.

We expect all measurements to be positively impacted when the current building and programming projects are completed at the end of the funding cycle.

5. Provide a summary of expenditures during the reporting period (quarter).

Expenditure Category	Amount of Expenditure
Personnel/Contracted Services Expenses (e.g., program-related staffing)	\$ 43,539.59
Supplies and Materials Expenses (e.g., office supplies):	\$ 12,514.57
Non-Fixed Operating Expenses (e.g., travel, utilities):	\$ 16,867.78
Fixed Operating Expenses (e.g., office rent, dues and subscriptions):	\$
Property and Equipment Expenses (e.g., buildings and improvements):	\$ 441,831.77
Services/Contracts Expenses (e.g., purchase of services, contracts with service providers):	\$
Other Expenses (Specify):	\$
Total Expenses:	\$ 514,753.71

6. Provide a summary of year-to-date expenditures through the end of the current reporting period. The total expenditures on this table should equal the cumulative expenditures from all completed quarterly reports to date.

Expenditure Category	Amount of Expenditure
Personnel/Contracted Services Expenses (e.g., program-related staffing)	\$ 99,300.25
Supplies and Materials Expenses (e.g., office supplies):	\$ 16,180.07
Non-Fixed Operating Expenses (e.g., travel, utilities):	\$ 35,128.18
Fixed Operating Expenses (e.g., office rent, dues and subscriptions):	\$
Property and Equipment Expenses (e.g., buildings and improvements):	\$ 1,687,965.80
Services/Contracts Expenses (e.g., purchase of services, contracts with service providers):	\$
Other Expenses (Specify):	\$
Total Expenses:	\$ 1,838,574.30

If there are any questions, please contact the Contract Administrator.

QUARTERLY STATUS REPORT

Please use this reporting template for each of the quarterly reports

Recipient Name:	Hope Center Ministries, Inc
Recipient Tax ID #	20-8934436
Reporting Period (Quarter):	FYQ4 24-25 April 1, 2025 – June 30, 2025
Report Completion Date:	07/15/2025
Preparer of This Report:	Kathryn Shepard

Were grant funds expended during this reporting period? ☒ Yes ☐ No

Do you certify that all expenses to date have been submitted for this grant? ☒ Yes ☐ No

Do you certify that all funds to date were used for the purposes for which they were awarded and in compliance with your contract? ☒ Yes ☐ No

1. Provide a brief report of activities and accomplishments related to your Grant Project during this reporting period.

Director and Recovery Pastor for the Johnston County/Wilson's Mills campus in place, 20+ community volunteers are participating in the center's daily operations. The center completed renovations to allow for up to 30 program beds. Workforce Development partners are now in place and providing Vocational Training opportunities. The center is now fully functional.

Construction is 65% completed on the Goldsboro Family Life & Training Center. Expected to complete October 1, 2026.

Construction is 60% completed on the new Dunn Men's Center. The expected completion is October 1, 2026.

2. Provide an update on the objectives, as outlined in your Scope of Work, of the grant project. Have you accomplished any of your objectives?

Accomplished the staffing, renovations to property, and the opening on the Wilson's Mills Women's Center. The center is fully functioning and serving the community.

Family Life & Training Center, and the new Dunn Men's Center are on schedule for opening this fall.

Available program beds have increased by 40 between the Goldsboro and Wilson's Mills campuses.

3. Provide an update on your expected results, as outlined in your Scope of Work, of the Grant Project(s). Have you accomplished any of your expected results?

We expect to increase available program beds by 70 upon completion of all current building projects in Wayne, Johnston, and Harnett counties. 40 beds have been added with 30 coming online with the opening of the Dunn campus in the Fall of 2025.

We expect to open the 3,000 sq.ft. Family Life & Training Center, as described in the Scope of Work this facility will be utilized for Family Reunification, Workforce Development and Community Outreach events. We are also adding a Call Center Operations in the building as part of our Workforce Development incentives.

4. Provide an update on your performance measures, as outlined in your Scope of Work, for the Grant Project(s).

Capacity of program beds in Wayne and Johnston County are maintaining a level above 90%. Graduations and completion of the 12-month program has increased due to being able to serve a larger number of residents. Additional Workforce Development Partners have been added to support the Vocational Training and Supportive Services of the program. When all building projects are completed in the Fall, we expect to meet all our stated objectives

5. Provide a summary of expenditures during the reporting period (quarter).

Expenditure Category	Amount of Expenditure
Personnel/Contracted Services Expenses (e.g., program-related staffing)	\$ 61,941.24
Supplies and Materials Expenses (e.g., office supplies):	\$ 10,461.13
Non-Fixed Operating Expenses (e.g., travel, utilities):	\$ 25,042.93
Fixed Operating Expenses (e.g., office rent, dues and subscriptions):	\$
Property and Equipment Expenses (e.g., buildings and improvements):	\$ 854,470.28
Services/Contracts Expenses (e.g., purchase of services, contracts with service providers):	\$
Other Expenses (Specify):	\$
Total Expenses:	\$ 951,915.58

6. Provide a summary of year-to-date expenditures through the end of the current reporting period. The total expenditures on this table should equal the cumulative expenditures from all completed quarterly reports to date.

Expenditure Category	Amount of Expenditure
Personnel/Contracted Services Expenses (e.g., program-related staffing)	\$ 161,241.49
Supplies and Materials Expenses (e.g., office supplies):	\$ 26,641.20
Non-Fixed Operating Expenses (e.g., travel, utilities):	\$ 60,171.11
Fixed Operating Expenses (e.g., office rent, dues and subscriptions):	\$
Property and Equipment Expenses (e.g., buildings and improvements):	\$ 2,542,436.08
Services/Contracts Expenses (e.g., purchase of services, contracts with service providers):	\$
Other Expenses (Specify):	\$
Total Expenses:	\$ 2,790,489.88

If there are any questions, please contact the Contract Administrator.

ATTACHMENT D

REPORTING REQUIREMENTS & TEMPLATES

Reporting Requirements: This is a subaward for financial assistance. Financial Assistance Contracts are subject to the Uniform Administration of State Awards, Oversight and Reporting Requirements for recipient and subrecipients described in [N.C. General Statute § 143C-6-23\(d\)](#) and in [09 NCAC 03M.205](#).

A non-governmental grantee who receives a combined \$500,000 or more funds from all state agencies must continue to submit a single or program-specific audit prepared and completed in accordance with Generally Accepted Government Auditing Standards, also known as a Yellow Book audit, to Risk Mitigation and Audit Monitoring at NCGrantsReporting@dhhs.nc.gov within 9 months of the grantee's fiscal year end.

DHHS encourages all of its non-governmental grantees that receive funds from other state agencies or DHHS divisions to contact their assigned contract monitor(s) to determine if year-end reports must be submitted for those particular grants/awards.

North Carolina State agencies are exempt from the reporting requirements of this section. Local governments that have reporting requirements for the Local Government Commission are exempt. All others are not exempt and must adhere to the reporting requirements of this section.

(1) Quarterly Reports: see template in this attachment.

(2) Reporting Timeframes and Due Dates:

Reporting Period	Due Date
July 1, 2023 – December 31, 2023	January 15, 2024
January 1, 2024 – March 31, 2024	April 15, 2024
April 1, 2024 – June 30, 2024	July 15, 2024
July 1, 2024 - September 30, 2024	October 15, 2024
October 1, 2024 – December 31, 2024	January 15, 2025
January 1, 2025 – March 31, 2025	April 15, 2025
April 1, 2025 – June 30, 2025	July 15, 2025

N.C. General Statute § 143C-6-21-23. State Grant Funds: Administration; Oversight and Reporting Requirements.

§ 143C-6-21. Payments to nonprofits. Except as otherwise provided by law, an annual appropriation of one hundred thousand dollars (\$100,000) or less to or for the use of a nonprofit corporation may be made in a single annual payment, in the discretion of the Director of the Budget. An annual appropriation of more than one hundred thousand dollars (\$100,000) to or for the use of a nonprofit corporation shall be made in quarterly or monthly payments, in the discretion of the Director of the Budget. (2006-203, s. 3; 2013-360, s. 6.12(o).)

§ 143C-6-22. Use of State funds by non-State entities. (a) Disbursement and Use of State Funds. - Every non-State entity that receives, uses, or expends any State funds shall use or expend the funds only for the purposes for which they were appropriated by the General Assembly. State funds include federal funds that

flow through the State Treasury. (b) Compliance by Non-State Entities. - If the Director of the Budget finds that a non-State entity has spent or encumbered State funds for an unauthorized purpose, or fails to submit or falsifies the information required by G.S. 143C-6-23 or any other provision of law, the Director shall take appropriate administrative action to ensure that no further irregularities or violations of law occur and shall report to the Attorney General any facts that pertain to an apparent violation of a criminal law or an apparent instance of malfeasance, misfeasance, or nonfeasance in connection with the use of State funds. Appropriate administrative action may include suspending or withholding the disbursement of State funds and recovering State funds previously disbursed. (c) Civil Actions. - Civil actions to recover State funds or to obtain other mandatory orders in the name of the State on relation of the Attorney General, or in the name of the Office of State Budget and Management, shall be filed in the General Court of Justice in Wake County. (2006-203, s. 3.)

§ 143C-6-23. State grant funds: administration; oversight and reporting requirements.

(a) Definitions. - The following definitions apply in this section:

- (1) Grant or grant funds. - State funds disbursed as a grant by a State agency; however, the terms do not include any payment made by the Medicaid program, the State Health Plan for Teachers and State Employees, or other similar medical programs.
- (2) Grantee. - A non-State entity that receives State funds as a grant from a State agency but does not include any non-State entity subject to the audit and other reporting requirements of the Local Government Commission.
- (3) Encumbrance. - A financial obligation created by a purchase order, contract, salary commitment, unearned or prepaid collections for services provided, or other legally binding agreement. A financial obligation is not an encumbrance for purposes of this section unless it (i) is in writing and has been signed by a person or entity who has authority to legally bind the grantee or subgrantee to spend the funds or (ii) was created by the provision of goods or services to the grantee or subgrantee by a third party under circumstances that create a legally binding obligation to pay for the goods or services.
- (4) Subgrantee. - A non-State entity that receives State funds as a grant from a grantee or from another subgrantee but does not include any non-State entity subject to the audit and other reporting requirements of the Local Government Commission.

(b) Conflict of Interest Policy. - Every grantee shall file with the State agency disbursing funds to the grantee a copy of that grantee's policy addressing conflicts of interest that may arise involving the grantee's management employees and the members of its board of directors or other governing body. The policy shall address situations in which any of these individuals may directly or indirectly benefit, except as the grantee's employees or members of its board or other governing body, from the grantee's disbursing of State funds, and shall include actions to be taken by the grantee or the individual, or both, to avoid conflicts of interest and the appearance of impropriety. The policy shall be filed before the disbursing State agency may disburse the grant funds.

(c) No Overdue Tax Debts. - Every grantee shall file with the State agency or department disbursing funds to the grantee a written statement completed by that grantee's board of directors or other governing body stating that the grantee does not have any overdue tax debts, as defined by G.S. 105-243.1, at the federal, State, or local level. The written statement shall be made under oath and shall be filed before the disbursing State agency or department may disburse the grant funds. A person who makes a false statement in violation of this subsection is guilty of a criminal offense punishable as provided by G.S. 143C-10-1.

(d) Office of State Budget Rules Must Require Uniform Administration of State Grants. - The Office of State Budget and Management shall adopt rules to ensure the uniform administration of State grants by all grantor State agencies and grantees or subgrantees. The Office of State Budget and Management shall consult with the Office of the State Auditor and the Attorney General in establishing the rules required by this subsection. The rules shall establish policies and procedures for disbursements of State grants and for State agency oversight, monitoring, and evaluation of grantees and subgrantees. The policies and procedures shall:

- (1) Ensure that the purpose and reporting requirements of each grant are specified to the grantee.
- (2) Ensure that grantees specify the purpose and reporting requirements for grants made to subgrantees.

- (3) Ensure that State funds are spent in accordance with the purposes for which they were granted.
- (4) Hold the grantees and subgrantees accountable for the legal and appropriate expenditure of grant funds.
- (5) Provide for adequate oversight and monitoring to prevent the misuse of grant funds. These policies shall require each grantee and subgrantee to ensure that, for accounting purposes, State funds and interest earned on those funds remain separate and apart from other funds in the possession or control of the grantee or subgrantee.
- (6) Establish mandatory periodic reporting requirements for grantees and subgrantees, including methods of reporting, to provide financial and program performance information. The mandatory periodic reporting requirements shall require grantees and subgrantees to file with the State Auditor copies of reports and statements that are filed with State agencies pursuant to this subsection. Compliance with the mandatory periodic reporting requirements of this subdivision shall not require grantees and subgrantees to file with the State Auditor the information described in subsections (b) and (c) of this section.
- (7) Require grantees and subgrantees to maintain reports, records, and other information to properly account for the expenditure of all grant funds and to make such reports, records, and other information available to the grantor State agency for oversight, monitoring, and evaluation purposes.
- (8) Require grantees and subgrantees to ensure that work papers in the possession of their auditors are available to the State Auditor for the purposes set out in subsection (i) of this section.
- (9) Require grantees to be responsible for managing and monitoring each project, program, or activity supported by grant funds and each subgrantee project, program, or activity supported by grant funds.
- (10) Provide procedures for the suspension of further disbursements or use of grant funds for noncompliance with these rules or other inappropriate use of the funds.
- (11) Provide procedures for use in appropriate circumstances for reinstatement of disbursements that have been suspended for noncompliance with these rules or other inappropriate use of grant funds.
- (12) Provide procedures for the recovery and return to the grantor State agency of unexpended grant funds from a grantee or subgrantee (i) in accordance with subsection (f1) of this section or (ii) in the event that the grantee or subgrantee is unable to fulfill the purposes of the grant for a reason not set forth in that subsection.

(d1) Required Grant Terms. – The terms of each grant shall include all of the following, which shall be deemed a part of the grant:

- (1) The limitation contained in G.S. 143C-6-8 concerning the availability of appropriated funds.
- (2) The relevant provisions of any legislation authorizing or governing the administration of the grant.
- (3) The terms of this section.

(e) Rules Are Subject to the Administrative Procedure Act. – Notwithstanding the provisions of G.S. 150B2(8a)b. rules adopted pursuant to subsection (d) of this section are subject to the provisions of Chapter 150B of the General Statutes.

(f) Suspension and Recovery of Funds to Grant Recipients for Noncompliance. – The Office of State Budget and Management, after consultation with the administering State agency, shall have the power to suspend disbursement of grant funds to grantees or subgrantees, to prevent further use of grant funds already disbursed, and to recover grant funds already disbursed for noncompliance with rules adopted pursuant to subsection (d) of this section. If the grant funds are a pass-through of funds granted by an agency of the United

States, then the Office of State Budget and Management must consult with the granting agency of the United States and the State agency that is the recipient of the pass-through funds prior to taking the actions authorized by this subsection.

(f1) Return of Grant Funds. – Except as otherwise required by federal law, a grantee or subgrantee shall return to the State all affected grant funds and interest earned on those funds if any of the following occurs:

- (1) The funds are in the possession or control of a grantee and are not expended, made subject to an encumbrance, or disbursed to a subgrantee by August 31 immediately following the fiscal year in which the funds are appropriated by the General Assembly, or a different period set forth in the terms of the applicable appropriation or federal grant.
- (2) The funds remain unexpended at the time that the grantee or subgrantee dissolves, ceases operations, or otherwise indicates that it does not intend to spend the funds.
- (3) The Office of State Budget and Management seeks to recover the funds pursuant to subsection (f) of this section.

(f2) Use of Returned Grant Funds. – Encumbered funds returned to the State pursuant to subsection (f1) of this section by a grantee or subgrantee shall upon appropriation by the General Assembly be spent in accordance with the terms of the encumbrance. All other funds returned to the State by a grantee or subgrantee pursuant to subsection (f1) of this section shall be credited to the fund from which they were appropriated and shall remain unexpended and unencumbered until appropriated by the General Assembly. Nothing in this section shall be construed to authorize an expenditure pursuant to an unlawful encumbrance or in a manner that would violate the terms of the appropriation of the grant funds at issue.

(g) Audit Oversight. – The State Auditor has audit oversight, with respect to grant funds received by the grantee or subgrantee, pursuant to Article 5A of Chapter 147 of the General Statutes, of every grantee or subgrantee that receives, uses, or expends grant funds. A grantee or subgrantee must, upon request, furnish to the State Auditor for audit all books, records, and other information necessary for the State Auditor to account fully for the use and expenditure of grant funds received by the grantee or subgrantee. The grantee or subgrantee must furnish any additional financial or budgetary information requested by the State Auditor, including audit work papers in the possession of any auditor of a grantee or subgrantee directly related to the use and expenditure of grant funds.

(h) Report on Grant Recipients That Failed to Comply. – Not later than May 1, 2007, and by May 1 of every succeeding year, the Office of State Budget and Management shall report to the Joint Legislative Commission on Governmental Operations and the Fiscal Research Division on all grantees or subgrantees that failed to comply with this section with respect to grant funds received in the prior fiscal year.

(i) State Agencies to Submit Grant List to Auditor. – No later than October 1 of each year, each State agency shall submit a list to the State Auditor, in the format prescribed by the State Auditor, of every grantee to which the agency disbursed grant funds in the prior fiscal year. The list shall include the amount disbursed to each grantee and other information as required by the State Auditor to comply with the requirements of this section.

(j) Use of Interest Earned on Grant Funds. – Except as otherwise required by federal law or the terms of a federal grant, interest earned on grant funds after receipt of the funds by a grantee or subgrantee shall be credited to the grantee or subgrantee and shall be used for the same purposes for which the grant or subgrant was made.

(k) Reporting by Grantees and Subgrantees That Cease Operations. – A grantee or subgrantee that intends to dissolve or cease operations shall report that decision in writing to the Office of State Budget and Management and to the Fiscal Research Division at least 30 days prior to taking that action. (2006-203, s. 3; 2007-323, s. 28.22A(o); 2007-345, s. 12; 2014-100, s. 6.5(a); 2015-264, s. 21.)

09 NCAC 03M .0205 Minimum Reporting Requirements for Recipients and Subrecipients.

(a) For the purposes of this Subchapter, there are three reporting levels established for recipients and subrecipients receiving State financial assistance. Reporting levels are based on the level of State financial assistance from all funding sources. The reporting levels are:

- (1) Level I – A recipient or subrecipient that receives, holds, uses, or expends State financial assistance in an amount less than twenty-five thousand dollars (\$25,000) within its fiscal year.
- (2) Level II - A recipient or subrecipient that receives, holds, uses, or expends State financial assistance in an amount of at least twenty-five thousand (\$25,000) or greater, but less than five hundred thousand dollars (\$500,000) within its fiscal year.

- (3) Level III – A recipient or subrecipient that receives, holds, uses, or expends State financial assistance in an amount equal to or greater than five hundred thousand dollars (\$500,000) within its fiscal year.
- (b) Agencies shall establish reporting requirements for recipients that meet the following reporting standards on an annual basis:
- (1) All recipients and subrecipients shall provide a certification that State financial assistance received or, held was used for the purposes for which it was awarded.
 - (2) All recipients and subrecipients shall provide an accounting of all State financial assistance received, held, used, or expended.
 - (3) Level II and III recipients and subrecipients shall report on activities and accomplishments undertaken by the recipient, including reporting on any performance measures established in the contract.
 - (4) Level III recipients and subrecipients shall have a single or program-specific audit prepared and completed in accordance with Generally Accepted Government Auditing Standards, also known as the Yellow Book.
- (c) All reports shall be filed with the disbursing agency in the format and method specified by the agency no later than three months after the end of the recipient's fiscal year, unless the same information is already required through more frequent reporting. Audits must be provided to the funding agency no later than nine months after the end of the recipient's fiscal year.
- (d) Agency-established reporting requirements to meet the standards set forth in Paragraph (b) of this Rule shall be specified in each recipient's contract.
- (e) Unless prohibited by law, the costs of audits made in accordance with the provisions of this Rule shall be allowable charges to State and Federal awards. The charges may be considered a direct cost or an allocated indirect cost, as determined in accordance with cost principles outlined in the Code of Federal Regulations, 2 CFR Part 200. The cost of any audit not conducted in accordance with this Subchapter shall not be charged to State awards.
- (f) Notwithstanding the provisions of this Subchapter, a recipient may satisfy the reporting requirements of Subparagraph (b)(4) of this Rule by submitting a copy of the report required under federal law with respect to the same funds.

QUARTERLY STATUS REPORT

Please use this reporting template for each of the quarterly reports

Recipient Name:	Mt Calvary Center for Leadership Development
Recipient Tax ID #	84-4644495
Project/Activity Title:	Community Health Worker Program
Reporting Period (Quarter):	Q1 SFY2024-25 – REVISION 2
Report Completion Date:	10.15.2024
Preparer of This Report:	Lisa Robinson

Were grant funds expended during this reporting period? X Yes No

Do you certify that all expenses to date have been submitted for this grant? X Yes No

Do you certify that all funds to date were used for the purposes for which they were awarded and in compliance with your contract? X Yes No

1. Provide a brief report of activities and accomplishments related to your Grant Project during this reporting period.

- Hosted two Hurricane Preparedness Town Hall Sessions. The sessions provided residents of Bladen, Brunswick, Columbus, Duplin, New Hanover, Onslow and Pender Counties with community resources to assist with natural disasters such as safety, preparation tactics, shelters and disaster aftermath.
- Developed partnership with Food Bank of Eastern and Central North Carolina; New Hanover County. This initiative addresses food insecurities and provides nutritional food options and healthy meal preparation to reduce the usage of unhealthy additive, sugars, salt and grease.
- Hosted two Back-to-School Distribution and Health Fair. The events, hosted in Duplin and New Hanover Counties, provided underserved youth with essential materials needed for academic studies. Additionally, the health fair provided county residents with free blood pressure screenings and health education materials with focus on diabetes, hypertension and meal preparation.
- Assisted in building a wheelchair ramp, thus making residence easily accessible for resident with limited mobility.
- Partnered with the Bladen County Division of Aging to package and distribute healthy food boxes and distributed health education material.

2. Provide an update on the objectives, as outlined in your Scope of Work, of the grant project. Have you accomplished any of your objectives?

- Provided communities with enrollment information on Medicaid Expansion and the Healthy Opportunities Pilot Program.
- Hosted a community townhall session, in collaboration with WellCare, to provide residents with information on eligibility requirements and application submission for Medicare; Medicare 101. Additionally, attendees were informed on secondary options, plan availability and coverages.
- Provided case management to monitor, link and coordinate services to address homelessness, home remediation, transportation, medical treatment follow-up and food insecurities.
- Hosted Stress Management Town Hall. The session addressed mental health and provided attendees with coping mechanisms, strategies and counseling resources in relation to various facets of mental health.
- Hosted Nutrition to Fight Chronic Illness Class to provide meal preparation instruction and hands-on food preparation.

3. Provide an update on your expected results, as outlined in your Scope of Work, of the Grant Project(s). Have you accomplished any of your expected results?

- Provided community outreach in Bladen, Duplin, New Hanover, Pender and Columbus Counties. Outreach activities were strategically conducted in high traffic areas near low-income communities. Outreach included distribution of health education material, hypertension screenings and identification of social determinants of health.
- Participated in the following community events to address social determinant of health and promote community resources: (a) Resource Fair in Bladen County; (b) Community Resources Connections Event (New Hanover County); (c) North Carolina Annual Pond Festival (Pender County) and Annual Pine Tree Festival (Columbus County).

- Developed partnership with Tate Farm by the Pond. This collaboration fostered a platform to distribute free organic fruits and vegetables to address food insecurities and healthy food options.

4. Provide an update on your performance measures, as outlined in your Scope of Work, for the Grant Project(s).

- Established more than 500 face-to-face contacts centered on social determinants of health.
- Participated in more than 20 community events and outreach activities in low income and underserved communities.
- Coordinated mental health and stress town hall.
- Developed community resource booklet for Bladen, Brunswick. Columbus, Duplin, New Hanover, Onslow and Pender Counties.

5. Provide a summary of expenditures during the reporting period (quarter).

<u>Expenditure Category</u>	<u>Amount of Expenditure</u>	<u>Amount of Expenditure</u>
	SFY 2023-2024	SFY 2024-2025
<i>Amount of Appropriation</i>		
Personnel/Contracted Services Expenses (e.g., program related staffing)		\$36,761.98
Supplies and Materials Expenses (e.g., office supplies):		\$138.00
Non-Fixed Operating Expenses (e.g., travel, utilities):		\$2,088.28
Fixed Operating Expenses (e.g., office rent, dues and subscriptions):		\$6,510.97
Property and Equipment Expenses (e.g., buildings and improvements): 3		\$73,225.56
Services/Contracts Expenses (e.g., purchase of services, contracts with service providers):		\$1,500.00
Other Expenses (Specify):		\$0
Total Expenses:		\$120,224.79

6. Provide a summary of year-to-date expenditures through the end of the current reporting period. The total expenditures on this table should equal the cumulative expenditures from all completed quarterly reports to date.

<u>Expenditure Category</u>	<u>Amount of Expenditure</u>	<u>Amount of Expenditure</u>
	SFY 2023-2024	SFY 2024-2025
<i>Amount of Appropriation</i>		
Personnel/Contracted Services Expenses (e.g., program related staffing)		\$194,311.38
Supplies and Materials Expenses (e.g., office supplies):		\$4,903.15
Non-Fixed Operating Expenses (e.g., travel, utilities):		\$16,228.32
Fixed Operating Expenses (e.g., office rent, dues and subscriptions):		\$37,006.86
Property and Equipment Expenses (e.g., buildings and improvements):		\$186,825.34
Services/Contracts Expenses (e.g., purchase of services, contracts with service providers):		\$8,974.88

Other Expenses (Specify):		\$2,175.74
Total Expenses:		\$450,425.67

If there are any questions, please contact the Contract Administrator.

ATTACHMENT D

REPORTING REQUIREMENTS & TEMPLATES

Reporting Requirements: This is a subaward for financial assistance. Financial Assistance Contracts are subject to the Uniform Administration of State Awards, Oversight and Reporting Requirements for recipient and subrecipients described in [N.C. General Statute § 143C-6-23\(d\)](#) and in [09 NCAC 03M.205](#).

A non-governmental grantee who receives a combined \$500,000 or more funds from all state agencies must continue to submit a single or program-specific audit prepared and completed in accordance with Generally Accepted Government Auditing Standards, also known as a Yellow Book audit, to Risk Mitigation and Audit Monitoring at NCGrantsReporting@dhhs.nc.gov within 9 months of the grantee's fiscal year end.

DHHS encourages all of its non-governmental grantees that receive funds from other state agencies or DHHS divisions to contact their assigned contract monitor(s) to determine if year-end reports must be submitted for those particular grants/awards.

North Carolina State agencies are exempt from the reporting requirements of this section. Local governments that have reporting requirements for the Local Government Commission are exempt. All others are not exempt and must adhere to the reporting requirements of this section.

(1) Quarterly Reports: see template in this attachment.

(2) Reporting Timeframes and Due Dates:

Reporting Period	Due Date
July 1, 2023 – December 31, 2023	January 15, 2024
January 1, 2024 – March 31, 2024	April 15, 2024
April 1, 2024 – June 30, 2024	July 15, 2024
July 1, 2024 - September 30, 2024	October 15, 2024
October 1, 2024 – December 31, 2024	January 15, 2025
January 1, 2025 – March 31, 2025	April 15, 2025
April 1, 2025 – June 30, 2025	July 15, 2025

N.C. General Statute § 143C-6-21-23. State Grant Funds: Administration; Oversight and Reporting Requirements.

§ 143C-6-21. Payments to nonprofits. Except as otherwise provided by law, an annual appropriation of one hundred thousand dollars (\$100,000) or less to or for the use of a nonprofit corporation may be made in a single annual payment, in the discretion of the Director of the Budget. An annual appropriation of more than one hundred thousand dollars (\$100,000) to or for the use of a nonprofit corporation shall be made in quarterly or monthly payments, in the discretion of the Director of the Budget. (2006-203, s. 3; 2013-360, s. 6.12(o).)

§ 143C-6-22. Use of State funds by non-State entities. (a) Disbursement and Use of State Funds. - Every non-State entity that receives, uses, or expends any State funds shall use or expend the funds only for the purposes for which they were appropriated by the General Assembly. State funds include federal funds that

flow through the State Treasury. (b) Compliance by Non-State Entities. - If the Director of the Budget finds that a non-State entity has spent or encumbered State funds for an unauthorized purpose, or fails to submit or falsifies the information required by G.S. 143C-6-23 or any other provision of law, the Director shall take appropriate administrative action to ensure that no further irregularities or violations of law occur and shall report to the Attorney General any facts that pertain to an apparent violation of a criminal law or an apparent instance of malfeasance, misfeasance, or nonfeasance in connection with the use of State funds. Appropriate administrative action may include suspending or withholding the disbursement of State funds and recovering State funds previously disbursed. (c) Civil Actions. - Civil actions to recover State funds or to obtain other mandatory orders in the name of the State on relation of the Attorney General, or in the name of the Office of State Budget and Management, shall be filed in the General Court of Justice in Wake County. (2006-203, s. 3.)

§ 143C-6-23. State grant funds: administration; oversight and reporting requirements.

(a) Definitions. - The following definitions apply in this section:

- (1) Grant or grant funds. - State funds disbursed as a grant by a State agency; however, the terms do not include any payment made by the Medicaid program, the State Health Plan for Teachers and State Employees, or other similar medical programs.
- (2) Grantee. - A non-State entity that receives State funds as a grant from a State agency but does not include any non-State entity subject to the audit and other reporting requirements of the Local Government Commission.
- (3) Encumbrance. - A financial obligation created by a purchase order, contract, salary commitment, unearned or prepaid collections for services provided, or other legally binding agreement. A financial obligation is not an encumbrance for purposes of this section unless it (i) is in writing and has been signed by a person or entity who has authority to legally bind the grantee or subgrantee to spend the funds or (ii) was created by the provision of goods or services to the grantee or subgrantee by a third party under circumstances that create a legally binding obligation to pay for the goods or services.
- (4) Subgrantee. - A non-State entity that receives State funds as a grant from a grantee or from another subgrantee but does not include any non-State entity subject to the audit and other reporting requirements of the Local Government Commission.

(b) Conflict of Interest Policy. - Every grantee shall file with the State agency disbursing funds to the grantee a copy of that grantee's policy addressing conflicts of interest that may arise involving the grantee's management employees and the members of its board of directors or other governing body. The policy shall address situations in which any of these individuals may directly or indirectly benefit, except as the grantee's employees or members of its board or other governing body, from the grantee's disbursing of State funds, and shall include actions to be taken by the grantee or the individual, or both, to avoid conflicts of interest and the appearance of impropriety. The policy shall be filed before the disbursing State agency may disburse the grant funds.

(c) No Overdue Tax Debts. - Every grantee shall file with the State agency or department disbursing funds to the grantee a written statement completed by that grantee's board of directors or other governing body stating that the grantee does not have any overdue tax debts, as defined by G.S. 105-243.1, at the federal, State, or local level. The written statement shall be made under oath and shall be filed before the disbursing State agency or department may disburse the grant funds. A person who makes a false statement in violation of this subsection is guilty of a criminal offense punishable as provided by G.S. 143C-10-1.

(d) Office of State Budget Rules Must Require Uniform Administration of State Grants. - The Office of State Budget and Management shall adopt rules to ensure the uniform administration of State grants by all grantor State agencies and grantees or subgrantees. The Office of State Budget and Management shall consult with the Office of the State Auditor and the Attorney General in establishing the rules required by this subsection. The rules shall establish policies and procedures for disbursements of State grants and for State agency oversight, monitoring, and evaluation of grantees and subgrantees. The policies and procedures shall:

- (1) Ensure that the purpose and reporting requirements of each grant are specified to the grantee.
- (2) Ensure that grantees specify the purpose and reporting requirements for grants made to subgrantees.

- (3) Ensure that State funds are spent in accordance with the purposes for which they were granted.
- (4) Hold the grantees and subgrantees accountable for the legal and appropriate expenditure of grant funds.
- (5) Provide for adequate oversight and monitoring to prevent the misuse of grant funds. These policies shall require each grantee and subgrantee to ensure that, for accounting purposes, State funds and interest earned on those funds remain separate and apart from other funds in the possession or control of the grantee or subgrantee.
- (6) Establish mandatory periodic reporting requirements for grantees and subgrantees, including methods of reporting, to provide financial and program performance information. The mandatory periodic reporting requirements shall require grantees and subgrantees to file with the State Auditor copies of reports and statements that are filed with State agencies pursuant to this subsection. Compliance with the mandatory periodic reporting requirements of this subdivision shall not require grantees and subgrantees to file with the State Auditor the information described in subsections (b) and (c) of this section.
- (7) Require grantees and subgrantees to maintain reports, records, and other information to properly account for the expenditure of all grant funds and to make such reports, records, and other information available to the grantor State agency for oversight, monitoring, and evaluation purposes.
- (8) Require grantees and subgrantees to ensure that work papers in the possession of their auditors are available to the State Auditor for the purposes set out in subsection (i) of this section.
- (9) Require grantees to be responsible for managing and monitoring each project, program, or activity supported by grant funds and each subgrantee project, program, or activity supported by grant funds.
- (10) Provide procedures for the suspension of further disbursements or use of grant funds for noncompliance with these rules or other inappropriate use of the funds.
- (11) Provide procedures for use in appropriate circumstances for reinstatement of disbursements that have been suspended for noncompliance with these rules or other inappropriate use of grant funds.
- (12) Provide procedures for the recovery and return to the grantor State agency of unexpended grant funds from a grantee or subgrantee (i) in accordance with subsection (f1) of this section or (ii) in the event that the grantee or subgrantee is unable to fulfill the purposes of the grant for a reason not set forth in that subsection.

(d1) Required Grant Terms. – The terms of each grant shall include all of the following, which shall be deemed a part of the grant:

- (1) The limitation contained in G.S. 143C-6-8 concerning the availability of appropriated funds.
- (2) The relevant provisions of any legislation authorizing or governing the administration of the grant.
- (3) The terms of this section.

(e) Rules Are Subject to the Administrative Procedure Act. – Notwithstanding the provisions of G.S. 150B2(8a)b. rules adopted pursuant to subsection (d) of this section are subject to the provisions of Chapter 150B of the General Statutes.

(f) Suspension and Recovery of Funds to Grant Recipients for Noncompliance. – The Office of State Budget and Management, after consultation with the administering State agency, shall have the power to suspend disbursement of grant funds to grantees or subgrantees, to prevent further use of grant funds already disbursed, and to recover grant funds already disbursed for noncompliance with rules adopted pursuant to subsection (d) of this section. If the grant funds are a pass-through of funds granted by an agency of the United

States, then the Office of State Budget and Management must consult with the granting agency of the United States and the State agency that is the recipient of the pass-through funds prior to taking the actions authorized by this subsection.

(f1) Return of Grant Funds. – Except as otherwise required by federal law, a grantee or subgrantee shall return to the State all affected grant funds and interest earned on those funds if any of the following occurs:

- (1) The funds are in the possession or control of a grantee and are not expended, made subject to an encumbrance, or disbursed to a subgrantee by August 31 immediately following the fiscal year in which the funds are appropriated by the General Assembly, or a different period set forth in the terms of the applicable appropriation or federal grant.
- (2) The funds remain unexpended at the time that the grantee or subgrantee dissolves, ceases operations, or otherwise indicates that it does not intend to spend the funds.
- (3) The Office of State Budget and Management seeks to recover the funds pursuant to subsection (f) of this section.

(f2) Use of Returned Grant Funds. – Encumbered funds returned to the State pursuant to subsection (f1) of this section by a grantee or subgrantee shall upon appropriation by the General Assembly be spent in accordance with the terms of the encumbrance. All other funds returned to the State by a grantee or subgrantee pursuant to subsection (f1) of this section shall be credited to the fund from which they were appropriated and shall remain unexpended and unencumbered until appropriated by the General Assembly. Nothing in this section shall be construed to authorize an expenditure pursuant to an unlawful encumbrance or in a manner that would violate the terms of the appropriation of the grant funds at issue.

(g) Audit Oversight. – The State Auditor has audit oversight, with respect to grant funds received by the grantee or subgrantee, pursuant to Article 5A of Chapter 147 of the General Statutes, of every grantee or subgrantee that receives, uses, or expends grant funds. A grantee or subgrantee must, upon request, furnish to the State Auditor for audit all books, records, and other information necessary for the State Auditor to account fully for the use and expenditure of grant funds received by the grantee or subgrantee. The grantee or subgrantee must furnish any additional financial or budgetary information requested by the State Auditor, including audit work papers in the possession of any auditor of a grantee or subgrantee directly related to the use and expenditure of grant funds.

(h) Report on Grant Recipients That Failed to Comply. – Not later than May 1, 2007, and by May 1 of every succeeding year, the Office of State Budget and Management shall report to the Joint Legislative Commission on Governmental Operations and the Fiscal Research Division on all grantees or subgrantees that failed to comply with this section with respect to grant funds received in the prior fiscal year.

(i) State Agencies to Submit Grant List to Auditor. – No later than October 1 of each year, each State agency shall submit a list to the State Auditor, in the format prescribed by the State Auditor, of every grantee to which the agency disbursed grant funds in the prior fiscal year. The list shall include the amount disbursed to each grantee and other information as required by the State Auditor to comply with the requirements of this section.

(j) Use of Interest Earned on Grant Funds. – Except as otherwise required by federal law or the terms of a federal grant, interest earned on grant funds after receipt of the funds by a grantee or subgrantee shall be credited to the grantee or subgrantee and shall be used for the same purposes for which the grant or subgrant was made.

(k) Reporting by Grantees and Subgrantees That Cease Operations. – A grantee or subgrantee that intends to dissolve or cease operations shall report that decision in writing to the Office of State Budget and Management and to the Fiscal Research Division at least 30 days prior to taking that action. (2006-203, s. 3; 2007-323, s. 28.22A(o); 2007-345, s. 12; 2014-100, s. 6.5(a); 2015-264, s. 21.)

09 NCAC 03M .0205 Minimum Reporting Requirements for Recipients and Subrecipients.

(a) For the purposes of this Subchapter, there are three reporting levels established for recipients and subrecipients receiving State financial assistance. Reporting levels are based on the level of State financial assistance from all funding sources. The reporting levels are:

- (1) Level I – A recipient or subrecipient that receives, holds, uses, or expends State financial assistance in an amount less than twenty-five thousand dollars (\$25,000) within its fiscal year.
- (2) Level II - A recipient or subrecipient that receives, holds, uses, or expends State financial assistance in an amount of at least twenty-five thousand (\$25,000) or greater, but less than five hundred thousand dollars (\$500,000) within its fiscal year.

- (3) Level III – A recipient or subrecipient that receives, holds, uses, or expends State financial assistance in an amount equal to or greater than five hundred thousand dollars (\$500,000) within its fiscal year.
- (b) Agencies shall establish reporting requirements for recipients that meet the following reporting standards on an annual basis:
- (1) All recipients and subrecipients shall provide a certification that State financial assistance received or, held was used for the purposes for which it was awarded.
 - (2) All recipients and subrecipients shall provide an accounting of all State financial assistance received, held, used, or expended.
 - (3) Level II and III recipients and subrecipients shall report on activities and accomplishments undertaken by the recipient, including reporting on any performance measures established in the contract.
 - (4) Level III recipients and subrecipients shall have a single or program-specific audit prepared and completed in accordance with Generally Accepted Government Auditing Standards, also known as the Yellow Book.
- (c) All reports shall be filed with the disbursing agency in the format and method specified by the agency no later than three months after the end of the recipient's fiscal year, unless the same information is already required through more frequent reporting. Audits must be provided to the funding agency no later than nine months after the end of the recipient's fiscal year.
- (d) Agency-established reporting requirements to meet the standards set forth in Paragraph (b) of this Rule shall be specified in each recipient's contract.
- (e) Unless prohibited by law, the costs of audits made in accordance with the provisions of this Rule shall be allowable charges to State and Federal awards. The charges may be considered a direct cost or an allocated indirect cost, as determined in accordance with cost principles outlined in the Code of Federal Regulations, 2 CFR Part 200. The cost of any audit not conducted in accordance with this Subchapter shall not be charged to State awards.
- (f) Notwithstanding the provisions of this Subchapter, a recipient may satisfy the reporting requirements of Subparagraph (b)(4) of this Rule by submitting a copy of the report required under federal law with respect to the same funds.

QUARTERLY STATUS REPORT

Please use this reporting template for each of the quarterly reports

Recipient Name:	Mt Calvary Center for Leadership Development
Recipient Tax ID #	84-4644495
Project/Activity Title:	Community Health Worker Program
Reporting Period (Quarter):	Q4 SFY2024-25 REVISION 2
Report Completion Date:	01.09.2025
Preparer of This Report:	Lisa Robinson

Were grant funds expended during this reporting period? X Yes No

Do you certify that all expenses to date have been submitted for this grant? X Yes No

Do you certify that all funds to date were used for the purposes for which they were awarded and in compliance with your contract? X Yes No

1. Provide a brief report of activities and accomplishments related to your Grant Project during this reporting period.

- Created Southeastern North Carolina Human Resources Taskforce. The taskforce is aimed to provide Human Resource Officers with tools to assist employees address social determinants of health and provide health education resources.
- Hosted three community resource booths to distribute health education material.
- Attended Bolton Health Resource Fair. Provided attendants with free blood pressure screenings and health education materials and resource tools.
- Hosted MCCLD Health Resource Fair and Trunk or Treat Event; New Hanover County.
- Hosted MCCLD Health Resource Fair and Trunk or Treat Event; Duplin County.
- Hosted 6 Holiday Meal Distribution Events.

2. Provide an update on the objectives, as outlined in your Scope of Work, of the grant project. Have you accomplished any of your objectives?

- Provided case management to monitor, link and coordinate services to address homelessness, home remediation, transportation, medical treatment follow-up and food insecurities.
- Hosted 6 Holiday Meal Distribution Events: Bladen, Brunswick, Columbus, Duplin, New Hanover, Onslow and Pender Counties.
- Distributed health education and chronic disease prevention materials to resident of New Hanover County.

3. Provide an update on your expected results, as outlined in your Scope of Work, of the Grant Project(s). Have you accomplished any of your expected results?

- Provided community outreach in Bladen, Brunswick, Columbus, Duplin, New Hanover, Pender and Onslow Counties in high traffic areas near low-income communities. Outreach included distribution of health education material, hypertension screenings and identification of social determinants of health.
- Participated in the following community events to address social determinant of health and promote community resources: (a) MCCLD Health Resource Fair (New Hanover County); (b) Bolton Health Resource Fair (Columbus County); and Bolton Christmas Festival (Columbus County).
- Developed partnership with Duke Progress Energy to address food insecurities.
- Provided holidays meals for 350 families.

4. Provide an update on your performance measures, as outlined in your Scope of Work, for the Grant Project(s).

- Established more than 700 face-to-face contacts centered on social determinants of health, cumulative.
- Participated in more than 31 community events and outreach activities in low income and underserved communities.
-

5. Provide a summary of expenditures during the reporting period (quarter).

<u>Expenditure Category</u>	<u>Amount of Expenditure</u>	<u>Amount of Expenditure</u>
	SFY 2023-2024	SFY 2024-2025
<i>Amount of Appropriation</i>		
Personnel/Contracted Services Expenses (e.g., program related staffing)		\$51,542.18
Supplies and Materials Expenses (e.g., office supplies):		\$0
Non-Fixed Operating Expenses (e.g., travel, utilities):		\$3,419.75
Fixed Operating Expenses (e.g., office rent, dues and subscriptions):		\$8,252.88
Property and Equipment Expenses (e.g., buildings and improvements): 3		\$1,014.02
Services/Contracts Expenses (e.g., purchase of services, contracts with service providers):		\$0
Other Expenses (Specify):		\$292.50
Total Expenses:		\$64,521.33

6. Provide a summary of year-to-date expenditures through the end of the current reporting period. The total expenditures on this table should equal the cumulative expenditures from all completed quarterly reports to date.

<u>Expenditure Category</u>	<u>Amount of Expenditure</u>	<u>Amount of Expenditure</u>
	SFY 2023-2024	SFY 2024-2025
<i>Amount of Appropriation</i>		
Personnel/Contracted Services Expenses (e.g., program related staffing)		\$245,853.56
Supplies and Materials Expenses (e.g., office supplies):		\$4,903.15
Non-Fixed Operating Expenses (e.g., travel, utilities):		\$19,648.07
Fixed Operating Expenses (e.g., office rent, dues and subscriptions):		\$45,259.74
Property and Equipment Expenses (e.g., buildings and improvements):		\$187,839.36
Services/Contracts Expenses (e.g., purchase of services, contracts with service providers):		\$8,974.88
Other Expenses (Specify):		\$2,468.24
Total Expenses:		\$514,947.00

If there are any questions, please contact the Contract Administrator.

ATTACHMENT D

REPORTING REQUIREMENTS & TEMPLATES

Reporting Requirements: This is a subaward for financial assistance. Financial Assistance Contracts are subject to the Uniform Administration of State Awards, Oversight and Reporting Requirements for recipient and subrecipients described in [N.C. General Statute § 143C-6-23\(d\)](#) and in [09 NCAC 03M.205](#).

A non-governmental grantee who receives a combined \$500,000 or more funds from all state agencies must continue to submit a single or program-specific audit prepared and completed in accordance with Generally Accepted Government Auditing Standards, also known as a Yellow Book audit, to Risk Mitigation and Audit Monitoring at NCGrantsReporting@dhhs.nc.gov within 9 months of the grantee's fiscal year end.

DHHS encourages all of its non-governmental grantees that receive funds from other state agencies or DHHS divisions to contact their assigned contract monitor(s) to determine if year-end reports must be submitted for those particular grants/awards.

North Carolina State agencies are exempt from the reporting requirements of this section. Local governments that have reporting requirements for the Local Government Commission are exempt. All others are not exempt and must adhere to the reporting requirements of this section.

(1) Quarterly Reports: see template in this attachment.

(2) Reporting Timeframes and Due Dates:

Reporting Period	Due Date
July 1, 2023 – December 31, 2023	January 15, 2024
January 1, 2024 – March 31, 2024	April 15, 2024
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January 1, 2025 – March 31, 2025	April 15, 2025
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§ 143C-6-21. Payments to nonprofits. Except as otherwise provided by law, an annual appropriation of one hundred thousand dollars (\$100,000) or less to or for the use of a nonprofit corporation may be made in a single annual payment, in the discretion of the Director of the Budget. An annual appropriation of more than one hundred thousand dollars (\$100,000) to or for the use of a nonprofit corporation shall be made in quarterly or monthly payments, in the discretion of the Director of the Budget. (2006-203, s. 3; 2013-360, s. 6.12(o).)

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flow through the State Treasury. (b) Compliance by Non-State Entities. - If the Director of the Budget finds that a non-State entity has spent or encumbered State funds for an unauthorized purpose, or fails to submit or falsifies the information required by G.S. 143C-6-23 or any other provision of law, the Director shall take appropriate administrative action to ensure that no further irregularities or violations of law occur and shall report to the Attorney General any facts that pertain to an apparent violation of a criminal law or an apparent instance of malfeasance, misfeasance, or nonfeasance in connection with the use of State funds. Appropriate administrative action may include suspending or withholding the disbursement of State funds and recovering State funds previously disbursed. (c) Civil Actions. - Civil actions to recover State funds or to obtain other mandatory orders in the name of the State on relation of the Attorney General, or in the name of the Office of State Budget and Management, shall be filed in the General Court of Justice in Wake County. (2006-203, s. 3.)

§ 143C-6-23. State grant funds: administration; oversight and reporting requirements.

(a) Definitions. - The following definitions apply in this section:

- (1) Grant or grant funds. - State funds disbursed as a grant by a State agency; however, the terms do not include any payment made by the Medicaid program, the State Health Plan for Teachers and State Employees, or other similar medical programs.
- (2) Grantee. - A non-State entity that receives State funds as a grant from a State agency but does not include any non-State entity subject to the audit and other reporting requirements of the Local Government Commission.
- (3) Encumbrance. - A financial obligation created by a purchase order, contract, salary commitment, unearned or prepaid collections for services provided, or other legally binding agreement. A financial obligation is not an encumbrance for purposes of this section unless it (i) is in writing and has been signed by a person or entity who has authority to legally bind the grantee or subgrantee to spend the funds or (ii) was created by the provision of goods or services to the grantee or subgrantee by a third party under circumstances that create a legally binding obligation to pay for the goods or services.
- (4) Subgrantee. - A non-State entity that receives State funds as a grant from a grantee or from another subgrantee but does not include any non-State entity subject to the audit and other reporting requirements of the Local Government Commission.

(b) Conflict of Interest Policy. - Every grantee shall file with the State agency disbursing funds to the grantee a copy of that grantee's policy addressing conflicts of interest that may arise involving the grantee's management employees and the members of its board of directors or other governing body. The policy shall address situations in which any of these individuals may directly or indirectly benefit, except as the grantee's employees or members of its board or other governing body, from the grantee's disbursing of State funds, and shall include actions to be taken by the grantee or the individual, or both, to avoid conflicts of interest and the appearance of impropriety. The policy shall be filed before the disbursing State agency may disburse the grant funds.

(c) No Overdue Tax Debts. - Every grantee shall file with the State agency or department disbursing funds to the grantee a written statement completed by that grantee's board of directors or other governing body stating that the grantee does not have any overdue tax debts, as defined by G.S. 105-243.1, at the federal, State, or local level. The written statement shall be made under oath and shall be filed before the disbursing State agency or department may disburse the grant funds. A person who makes a false statement in violation of this subsection is guilty of a criminal offense punishable as provided by G.S. 143C-10-1.

(d) Office of State Budget Rules Must Require Uniform Administration of State Grants. - The Office of State Budget and Management shall adopt rules to ensure the uniform administration of State grants by all grantor State agencies and grantees or subgrantees. The Office of State Budget and Management shall consult with the Office of the State Auditor and the Attorney General in establishing the rules required by this subsection. The rules shall establish policies and procedures for disbursements of State grants and for State agency oversight, monitoring, and evaluation of grantees and subgrantees. The policies and procedures shall:

- (1) Ensure that the purpose and reporting requirements of each grant are specified to the grantee.
- (2) Ensure that grantees specify the purpose and reporting requirements for grants made to subgrantees.

- (3) Ensure that State funds are spent in accordance with the purposes for which they were granted.
- (4) Hold the grantees and subgrantees accountable for the legal and appropriate expenditure of grant funds.
- (5) Provide for adequate oversight and monitoring to prevent the misuse of grant funds. These policies shall require each grantee and subgrantee to ensure that, for accounting purposes, State funds and interest earned on those funds remain separate and apart from other funds in the possession or control of the grantee or subgrantee.
- (6) Establish mandatory periodic reporting requirements for grantees and subgrantees, including methods of reporting, to provide financial and program performance information. The mandatory periodic reporting requirements shall require grantees and subgrantees to file with the State Auditor copies of reports and statements that are filed with State agencies pursuant to this subsection. Compliance with the mandatory periodic reporting requirements of this subdivision shall not require grantees and subgrantees to file with the State Auditor the information described in subsections (b) and (c) of this section.
- (7) Require grantees and subgrantees to maintain reports, records, and other information to properly account for the expenditure of all grant funds and to make such reports, records, and other information available to the grantor State agency for oversight, monitoring, and evaluation purposes.
- (8) Require grantees and subgrantees to ensure that work papers in the possession of their auditors are available to the State Auditor for the purposes set out in subsection (i) of this section.
- (9) Require grantees to be responsible for managing and monitoring each project, program, or activity supported by grant funds and each subgrantee project, program, or activity supported by grant funds.
- (10) Provide procedures for the suspension of further disbursements or use of grant funds for noncompliance with these rules or other inappropriate use of the funds.
- (11) Provide procedures for use in appropriate circumstances for reinstatement of disbursements that have been suspended for noncompliance with these rules or other inappropriate use of grant funds.
- (12) Provide procedures for the recovery and return to the grantor State agency of unexpended grant funds from a grantee or subgrantee (i) in accordance with subsection (f1) of this section or (ii) in the event that the grantee or subgrantee is unable to fulfill the purposes of the grant for a reason not set forth in that subsection.

(d1) Required Grant Terms. – The terms of each grant shall include all of the following, which shall be deemed a part of the grant:

- (1) The limitation contained in G.S. 143C-6-8 concerning the availability of appropriated funds.
- (2) The relevant provisions of any legislation authorizing or governing the administration of the grant.
- (3) The terms of this section.

(e) Rules Are Subject to the Administrative Procedure Act. – Notwithstanding the provisions of G.S. 150B2(8a)b. rules adopted pursuant to subsection (d) of this section are subject to the provisions of Chapter 150B of the General Statutes.

(f) Suspension and Recovery of Funds to Grant Recipients for Noncompliance. – The Office of State Budget and Management, after consultation with the administering State agency, shall have the power to suspend disbursement of grant funds to grantees or subgrantees, to prevent further use of grant funds already disbursed, and to recover grant funds already disbursed for noncompliance with rules adopted pursuant to subsection (d) of this section. If the grant funds are a pass-through of funds granted by an agency of the United

States, then the Office of State Budget and Management must consult with the granting agency of the United States and the State agency that is the recipient of the pass-through funds prior to taking the actions authorized by this subsection.

(f1) Return of Grant Funds. – Except as otherwise required by federal law, a grantee or subgrantee shall return to the State all affected grant funds and interest earned on those funds if any of the following occurs:

- (1) The funds are in the possession or control of a grantee and are not expended, made subject to an encumbrance, or disbursed to a subgrantee by August 31 immediately following the fiscal year in which the funds are appropriated by the General Assembly, or a different period set forth in the terms of the applicable appropriation or federal grant.
- (2) The funds remain unexpended at the time that the grantee or subgrantee dissolves, ceases operations, or otherwise indicates that it does not intend to spend the funds.
- (3) The Office of State Budget and Management seeks to recover the funds pursuant to subsection (f) of this section.

(f2) Use of Returned Grant Funds. – Encumbered funds returned to the State pursuant to subsection (f1) of this section by a grantee or subgrantee shall upon appropriation by the General Assembly be spent in accordance with the terms of the encumbrance. All other funds returned to the State by a grantee or subgrantee pursuant to subsection (f1) of this section shall be credited to the fund from which they were appropriated and shall remain unexpended and unencumbered until appropriated by the General Assembly. Nothing in this section shall be construed to authorize an expenditure pursuant to an unlawful encumbrance or in a manner that would violate the terms of the appropriation of the grant funds at issue.

(g) Audit Oversight. – The State Auditor has audit oversight, with respect to grant funds received by the grantee or subgrantee, pursuant to Article 5A of Chapter 147 of the General Statutes, of every grantee or subgrantee that receives, uses, or expends grant funds. A grantee or subgrantee must, upon request, furnish to the State Auditor for audit all books, records, and other information necessary for the State Auditor to account fully for the use and expenditure of grant funds received by the grantee or subgrantee. The grantee or subgrantee must furnish any additional financial or budgetary information requested by the State Auditor, including audit work papers in the possession of any auditor of a grantee or subgrantee directly related to the use and expenditure of grant funds.

(h) Report on Grant Recipients That Failed to Comply. – Not later than May 1, 2007, and by May 1 of every succeeding year, the Office of State Budget and Management shall report to the Joint Legislative Commission on Governmental Operations and the Fiscal Research Division on all grantees or subgrantees that failed to comply with this section with respect to grant funds received in the prior fiscal year.

(i) State Agencies to Submit Grant List to Auditor. – No later than October 1 of each year, each State agency shall submit a list to the State Auditor, in the format prescribed by the State Auditor, of every grantee to which the agency disbursed grant funds in the prior fiscal year. The list shall include the amount disbursed to each grantee and other information as required by the State Auditor to comply with the requirements of this section.

(j) Use of Interest Earned on Grant Funds. – Except as otherwise required by federal law or the terms of a federal grant, interest earned on grant funds after receipt of the funds by a grantee or subgrantee shall be credited to the grantee or subgrantee and shall be used for the same purposes for which the grant or subgrant was made.

(k) Reporting by Grantees and Subgrantees That Cease Operations. – A grantee or subgrantee that intends to dissolve or cease operations shall report that decision in writing to the Office of State Budget and Management and to the Fiscal Research Division at least 30 days prior to taking that action. (2006-203, s. 3; 2007-323, s. 28.22A(o); 2007-345, s. 12; 2014-100, s. 6.5(a); 2015-264, s. 21.)

09 NCAC 03M .0205 Minimum Reporting Requirements for Recipients and Subrecipients.

(a) For the purposes of this Subchapter, there are three reporting levels established for recipients and subrecipients receiving State financial assistance. Reporting levels are based on the level of State financial assistance from all funding sources. The reporting levels are:

- (1) Level I – A recipient or subrecipient that receives, holds, uses, or expends State financial assistance in an amount less than twenty-five thousand dollars (\$25,000) within its fiscal year.
- (2) Level II - A recipient or subrecipient that receives, holds, uses, or expends State financial assistance in an amount of at least twenty-five thousand (\$25,000) or greater, but less than five hundred thousand dollars (\$500,000) within its fiscal year.

- (3) Level III – A recipient or subrecipient that receives, holds, uses, or expends State financial assistance in an amount equal to or greater than five hundred thousand dollars (\$500,000) within its fiscal year.
- (b) Agencies shall establish reporting requirements for recipients that meet the following reporting standards on an annual basis:
- (1) All recipients and subrecipients shall provide a certification that State financial assistance received or, held was used for the purposes for which it was awarded.
 - (2) All recipients and subrecipients shall provide an accounting of all State financial assistance received, held, used, or expended.
 - (3) Level II and III recipients and subrecipients shall report on activities and accomplishments undertaken by the recipient, including reporting on any performance measures established in the contract.
 - (4) Level III recipients and subrecipients shall have a single or program-specific audit prepared and completed in accordance with Generally Accepted Government Auditing Standards, also known as the Yellow Book.
- (c) All reports shall be filed with the disbursing agency in the format and method specified by the agency no later than three months after the end of the recipient's fiscal year, unless the same information is already required through more frequent reporting. Audits must be provided to the funding agency no later than nine months after the end of the recipient's fiscal year.
- (d) Agency-established reporting requirements to meet the standards set forth in Paragraph (b) of this Rule shall be specified in each recipient's contract.
- (e) Unless prohibited by law, the costs of audits made in accordance with the provisions of this Rule shall be allowable charges to State and Federal awards. The charges may be considered a direct cost or an allocated indirect cost, as determined in accordance with cost principles outlined in the Code of Federal Regulations, 2 CFR Part 200. The cost of any audit not conducted in accordance with this Subchapter shall not be charged to State awards.
- (f) Notwithstanding the provisions of this Subchapter, a recipient may satisfy the reporting requirements of Subparagraph (b)(4) of this Rule by submitting a copy of the report required under federal law with respect to the same funds.

QUARTERLY STATUS REPORT

Please use this reporting template for each of the quarterly reports

Recipient Name:	Mt Calvary Center for Leadership Development
Recipient Tax ID #	84-4644495
Project/Activity Title:	Community Health Worker Program
Reporting Period (Quarter):	Q3 SFY2024-25 – REVISION 2
Report Completion Date:	04.07.2025
Preparer of This Report:	Lisa Robinson

Were grant funds expended during this reporting period? ☒ Yes ☐ No

Do you certify that all expenses to date have been submitted for this grant? ☒ Yes ☐ No

Do you certify that all funds to date were used for the purposes for which they were awarded and in compliance with your contract? ☒ Yes ☐ No

1. Provide a brief report of activities and accomplishments related to your Grant Project during this reporting period.

- Distributed health education material to include cardiovascular health and diabetes screening at community events.
- Provided homeless population with enrollment information for the Healthy Opportunities Pilot Program to assist with securing safe and affordable housing.
- Hosted nutrition education and colon cancer awareness workshop to provide members of the community with healthy food options, preparation and colon health.
- Hosted Senior Nutrition Lunch and Learn Session to assist seniors with health meal options to combat diabetes.
- Staff participated in the Faith and Resilience Conference to identify regional mental health resources to gain public awareness.
- Personnel attended the North Carolina Rural Summit to gain awareness of resources readily available via the NC Rural Center.

2. Provide an update on the objectives, as outlined in your Scope of Work, of the grant project. Have you accomplished any of your objectives?

- Provided case management to monitor, link and coordinate services to address homelessness, home remediation, transportation, medical treatment follow-up and food insecurities.
- Distributed health education and chronic disease prevention materials to residents of the following counties: Columbus County, Duplin County, New Hanover County, Onslow County and Pender County.
- Established partnerships with various community officials to identify and address community needs.

3. Provide an update on your expected results, as outlined in your Scope of Work, of the Grant Project(s). Have you accomplished any of your expected results?

- Participated in the following community events to address social determinant of health and promote community resources: (a)
- Provided community outreach in Bladen, Brunswick, Columbus, Duplin, New Hanover, Pender and Onslow Counties in high traffic areas near low-income communities. Outreach included distribution of health education material, hypertension screenings and identification of social determinants of health.
- Developed partnership with North Carolina Geriatric Adult Mental Health Specialty Team (GAST) to provide community training and awareness regarding dementia, mental health and Parkinson's Disease.
- Developed partnership with Southeastern Community College to provide workforce development, occupational skills and on-the-job training for unemployed or underemployed individuals.
-

4. Provide an update on your performance measures, as outlined in your Scope of Work, for the Grant Project(s).

- Established more than 900 face-to-face contacts centered on social determinants of health, cumulatively.
- Participated in more than 36 community events and outreach activities in low income and underserved communities.

5. Provide a summary of expenditures during the reporting period (quarter).

<u>Expenditure Category</u>	<u>Amount of Expenditure</u>	<u>Amount of Expenditure</u>
	SFY 2023-2024	SFY 2024-2025
<i>Amount of Appropriation</i>		
Personnel/Contracted Services Expenses (e.g., program related staffing)		\$48,292.94
Supplies and Materials Expenses (e.g., office supplies):		\$22.00
Non-Fixed Operating Expenses (e.g., travel, utilities):		\$2,964.89
Fixed Operating Expenses (e.g., office rent, dues and subscriptions):		\$6,021.98
Property and Equipment Expenses (e.g., buildings and improvements): 3		\$3,242.03
Services/Contracts Expenses (e.g., purchase of services, contracts with service providers):		\$0.00
Other Expenses (Specify):		\$456.50
Total Expenses:		\$61,000.34

6. Provide a summary of year-to-date expenditures through the end of the current reporting period. The total expenditures on this table should equal the cumulative expenditures from all completed quarterly reports to date.

<u>Expenditure Category</u>	<u>Amount of Expenditure</u>	<u>Amount of Expenditure</u>
	SFY 2023-2024	SFY 2024-2025
<i>Amount of Appropriation</i>		
Personnel/Contracted Services Expenses (e.g., program related staffing)		\$294,146.50
Supplies and Materials Expenses (e.g., office supplies):		\$4,925.15
Non-Fixed Operating Expenses (e.g., travel, utilities):		\$22,612.96
Fixed Operating Expenses (e.g., office rent, dues and subscriptions):		\$51,281.72
Property and Equipment Expenses (e.g., buildings and improvements):		\$191,081.39
Services/Contracts Expenses (e.g., purchase of services, contracts with service providers):		\$8,974.88
Other Expenses (Specify):		\$2,924.74
Total Expenses:		\$575,947.34

If there are any questions, please contact the Contract Administrator.

ATTACHMENT D

REPORTING REQUIREMENTS & TEMPLATES

Reporting Requirements: This is a subaward for financial assistance. Financial Assistance Contracts are subject to the Uniform Administration of State Awards, Oversight and Reporting Requirements for recipient and subrecipients described in [N.C. General Statute § 143C-6-23\(d\)](#) and in [09 NCAC 03M.205](#).

A non-governmental grantee who receives a combined \$500,000 or more funds from all state agencies must continue to submit a single or program-specific audit prepared and completed in accordance with Generally Accepted Government Auditing Standards, also known as a Yellow Book audit, to Risk Mitigation and Audit Monitoring at NCGrantsReporting@dhhs.nc.gov within 9 months of the grantee's fiscal year end.

DHHS encourages all of its non-governmental grantees that receive funds from other state agencies or DHHS divisions to contact their assigned contract monitor(s) to determine if year-end reports must be submitted for those particular grants/awards.

North Carolina State agencies are exempt from the reporting requirements of this section. Local governments that have reporting requirements for the Local Government Commission are exempt. All others are not exempt and must adhere to the reporting requirements of this section.

(1) Quarterly Reports: see template in this attachment.

(2) Reporting Timeframes and Due Dates:

Reporting Period	Due Date
July 1, 2023 – December 31, 2023	January 15, 2024
January 1, 2024 – March 31, 2024	April 15, 2024
April 1, 2024 – June 30, 2024	July 15, 2024
July 1, 2024 - September 30, 2024	October 15, 2024
October 1, 2024 – December 31, 2024	January 15, 2025
January 1, 2025 – March 31, 2025	April 15, 2025
April 1, 2025 – June 30, 2025	July 15, 2025

N.C. General Statute § 143C-6-21-23. State Grant Funds: Administration; Oversight and Reporting Requirements.

§ 143C-6-21. Payments to nonprofits. Except as otherwise provided by law, an annual appropriation of one hundred thousand dollars (\$100,000) or less to or for the use of a nonprofit corporation may be made in a single annual payment, in the discretion of the Director of the Budget. An annual appropriation of more than one hundred thousand dollars (\$100,000) to or for the use of a nonprofit corporation shall be made in quarterly or monthly payments, in the discretion of the Director of the Budget. (2006-203, s. 3; 2013-360, s. 6.12(o).)

§ 143C-6-22. Use of State funds by non-State entities. (a) Disbursement and Use of State Funds. - Every non-State entity that receives, uses, or expends any State funds shall use or expend the funds only for the purposes for which they were appropriated by the General Assembly. State funds include federal funds that

flow through the State Treasury. (b) Compliance by Non-State Entities. - If the Director of the Budget finds that a non-State entity has spent or encumbered State funds for an unauthorized purpose, or fails to submit or falsifies the information required by G.S. 143C-6-23 or any other provision of law, the Director shall take appropriate administrative action to ensure that no further irregularities or violations of law occur and shall report to the Attorney General any facts that pertain to an apparent violation of a criminal law or an apparent instance of malfeasance, misfeasance, or nonfeasance in connection with the use of State funds. Appropriate administrative action may include suspending or withholding the disbursement of State funds and recovering State funds previously disbursed. (c) Civil Actions. - Civil actions to recover State funds or to obtain other mandatory orders in the name of the State on relation of the Attorney General, or in the name of the Office of State Budget and Management, shall be filed in the General Court of Justice in Wake County. (2006-203, s. 3.)

§ 143C-6-23. State grant funds: administration; oversight and reporting requirements.

(a) Definitions. - The following definitions apply in this section:

- (1) Grant or grant funds. - State funds disbursed as a grant by a State agency; however, the terms do not include any payment made by the Medicaid program, the State Health Plan for Teachers and State Employees, or other similar medical programs.
- (2) Grantee. - A non-State entity that receives State funds as a grant from a State agency but does not include any non-State entity subject to the audit and other reporting requirements of the Local Government Commission.
- (3) Encumbrance. - A financial obligation created by a purchase order, contract, salary commitment, unearned or prepaid collections for services provided, or other legally binding agreement. A financial obligation is not an encumbrance for purposes of this section unless it (i) is in writing and has been signed by a person or entity who has authority to legally bind the grantee or subgrantee to spend the funds or (ii) was created by the provision of goods or services to the grantee or subgrantee by a third party under circumstances that create a legally binding obligation to pay for the goods or services.
- (4) Subgrantee. - A non-State entity that receives State funds as a grant from a grantee or from another subgrantee but does not include any non-State entity subject to the audit and other reporting requirements of the Local Government Commission.

(b) Conflict of Interest Policy. - Every grantee shall file with the State agency disbursing funds to the grantee a copy of that grantee's policy addressing conflicts of interest that may arise involving the grantee's management employees and the members of its board of directors or other governing body. The policy shall address situations in which any of these individuals may directly or indirectly benefit, except as the grantee's employees or members of its board or other governing body, from the grantee's disbursing of State funds, and shall include actions to be taken by the grantee or the individual, or both, to avoid conflicts of interest and the appearance of impropriety. The policy shall be filed before the disbursing State agency may disburse the grant funds.

(c) No Overdue Tax Debts. - Every grantee shall file with the State agency or department disbursing funds to the grantee a written statement completed by that grantee's board of directors or other governing body stating that the grantee does not have any overdue tax debts, as defined by G.S. 105-243.1, at the federal, State, or local level. The written statement shall be made under oath and shall be filed before the disbursing State agency or department may disburse the grant funds. A person who makes a false statement in violation of this subsection is guilty of a criminal offense punishable as provided by G.S. 143C-10-1.

(d) Office of State Budget Rules Must Require Uniform Administration of State Grants. - The Office of State Budget and Management shall adopt rules to ensure the uniform administration of State grants by all grantor State agencies and grantees or subgrantees. The Office of State Budget and Management shall consult with the Office of the State Auditor and the Attorney General in establishing the rules required by this subsection. The rules shall establish policies and procedures for disbursements of State grants and for State agency oversight, monitoring, and evaluation of grantees and subgrantees. The policies and procedures shall:

- (1) Ensure that the purpose and reporting requirements of each grant are specified to the grantee.
- (2) Ensure that grantees specify the purpose and reporting requirements for grants made to subgrantees.

- (3) Ensure that State funds are spent in accordance with the purposes for which they were granted.
- (4) Hold the grantees and subgrantees accountable for the legal and appropriate expenditure of grant funds.
- (5) Provide for adequate oversight and monitoring to prevent the misuse of grant funds. These policies shall require each grantee and subgrantee to ensure that, for accounting purposes, State funds and interest earned on those funds remain separate and apart from other funds in the possession or control of the grantee or subgrantee.
- (6) Establish mandatory periodic reporting requirements for grantees and subgrantees, including methods of reporting, to provide financial and program performance information. The mandatory periodic reporting requirements shall require grantees and subgrantees to file with the State Auditor copies of reports and statements that are filed with State agencies pursuant to this subsection. Compliance with the mandatory periodic reporting requirements of this subdivision shall not require grantees and subgrantees to file with the State Auditor the information described in subsections (b) and (c) of this section.
- (7) Require grantees and subgrantees to maintain reports, records, and other information to properly account for the expenditure of all grant funds and to make such reports, records, and other information available to the grantor State agency for oversight, monitoring, and evaluation purposes.
- (8) Require grantees and subgrantees to ensure that work papers in the possession of their auditors are available to the State Auditor for the purposes set out in subsection (i) of this section.
- (9) Require grantees to be responsible for managing and monitoring each project, program, or activity supported by grant funds and each subgrantee project, program, or activity supported by grant funds.
- (10) Provide procedures for the suspension of further disbursements or use of grant funds for noncompliance with these rules or other inappropriate use of the funds.
- (11) Provide procedures for use in appropriate circumstances for reinstatement of disbursements that have been suspended for noncompliance with these rules or other inappropriate use of grant funds.
- (12) Provide procedures for the recovery and return to the grantor State agency of unexpended grant funds from a grantee or subgrantee (i) in accordance with subsection (f1) of this section or (ii) in the event that the grantee or subgrantee is unable to fulfill the purposes of the grant for a reason not set forth in that subsection.

(d1) Required Grant Terms. – The terms of each grant shall include all of the following, which shall be deemed a part of the grant:

- (1) The limitation contained in G.S. 143C-6-8 concerning the availability of appropriated funds.
- (2) The relevant provisions of any legislation authorizing or governing the administration of the grant.
- (3) The terms of this section.

(e) Rules Are Subject to the Administrative Procedure Act. – Notwithstanding the provisions of G.S. 150B2(8a)b. rules adopted pursuant to subsection (d) of this section are subject to the provisions of Chapter 150B of the General Statutes.

(f) Suspension and Recovery of Funds to Grant Recipients for Noncompliance. – The Office of State Budget and Management, after consultation with the administering State agency, shall have the power to suspend disbursement of grant funds to grantees or subgrantees, to prevent further use of grant funds already disbursed, and to recover grant funds already disbursed for noncompliance with rules adopted pursuant to subsection (d) of this section. If the grant funds are a pass-through of funds granted by an agency of the United

States, then the Office of State Budget and Management must consult with the granting agency of the United States and the State agency that is the recipient of the pass-through funds prior to taking the actions authorized by this subsection.

(f1) Return of Grant Funds. – Except as otherwise required by federal law, a grantee or subgrantee shall return to the State all affected grant funds and interest earned on those funds if any of the following occurs:

- (1) The funds are in the possession or control of a grantee and are not expended, made subject to an encumbrance, or disbursed to a subgrantee by August 31 immediately following the fiscal year in which the funds are appropriated by the General Assembly, or a different period set forth in the terms of the applicable appropriation or federal grant.
- (2) The funds remain unexpended at the time that the grantee or subgrantee dissolves, ceases operations, or otherwise indicates that it does not intend to spend the funds.
- (3) The Office of State Budget and Management seeks to recover the funds pursuant to subsection (f) of this section.

(f2) Use of Returned Grant Funds. – Encumbered funds returned to the State pursuant to subsection (f1) of this section by a grantee or subgrantee shall upon appropriation by the General Assembly be spent in accordance with the terms of the encumbrance. All other funds returned to the State by a grantee or subgrantee pursuant to subsection (f1) of this section shall be credited to the fund from which they were appropriated and shall remain unexpended and unencumbered until appropriated by the General Assembly. Nothing in this section shall be construed to authorize an expenditure pursuant to an unlawful encumbrance or in a manner that would violate the terms of the appropriation of the grant funds at issue.

(g) Audit Oversight. – The State Auditor has audit oversight, with respect to grant funds received by the grantee or subgrantee, pursuant to Article 5A of Chapter 147 of the General Statutes, of every grantee or subgrantee that receives, uses, or expends grant funds. A grantee or subgrantee must, upon request, furnish to the State Auditor for audit all books, records, and other information necessary for the State Auditor to account fully for the use and expenditure of grant funds received by the grantee or subgrantee. The grantee or subgrantee must furnish any additional financial or budgetary information requested by the State Auditor, including audit work papers in the possession of any auditor of a grantee or subgrantee directly related to the use and expenditure of grant funds.

(h) Report on Grant Recipients That Failed to Comply. – Not later than May 1, 2007, and by May 1 of every succeeding year, the Office of State Budget and Management shall report to the Joint Legislative Commission on Governmental Operations and the Fiscal Research Division on all grantees or subgrantees that failed to comply with this section with respect to grant funds received in the prior fiscal year.

(i) State Agencies to Submit Grant List to Auditor. – No later than October 1 of each year, each State agency shall submit a list to the State Auditor, in the format prescribed by the State Auditor, of every grantee to which the agency disbursed grant funds in the prior fiscal year. The list shall include the amount disbursed to each grantee and other information as required by the State Auditor to comply with the requirements of this section.

(j) Use of Interest Earned on Grant Funds. – Except as otherwise required by federal law or the terms of a federal grant, interest earned on grant funds after receipt of the funds by a grantee or subgrantee shall be credited to the grantee or subgrantee and shall be used for the same purposes for which the grant or subgrant was made.

(k) Reporting by Grantees and Subgrantees That Cease Operations. – A grantee or subgrantee that intends to dissolve or cease operations shall report that decision in writing to the Office of State Budget and Management and to the Fiscal Research Division at least 30 days prior to taking that action. (2006-203, s. 3; 2007-323, s. 28.22A(o); 2007-345, s. 12; 2014-100, s. 6.5(a); 2015-264, s. 21.)

09 NCAC 03M .0205 Minimum Reporting Requirements for Recipients and Subrecipients.

(a) For the purposes of this Subchapter, there are three reporting levels established for recipients and subrecipients receiving State financial assistance. Reporting levels are based on the level of State financial assistance from all funding sources. The reporting levels are:

- (1) Level I – A recipient or subrecipient that receives, holds, uses, or expends State financial assistance in an amount less than twenty-five thousand dollars (\$25,000) within its fiscal year.
- (2) Level II - A recipient or subrecipient that receives, holds, uses, or expends State financial assistance in an amount of at least twenty-five thousand (\$25,000) or greater, but less than five hundred thousand dollars (\$500,000) within its fiscal year.

- (3) Level III – A recipient or subrecipient that receives, holds, uses, or expends State financial assistance in an amount equal to or greater than five hundred thousand dollars (\$500,000) within its fiscal year.
- (b) Agencies shall establish reporting requirements for recipients that meet the following reporting standards on an annual basis:
- (1) All recipients and subrecipients shall provide a certification that State financial assistance received or, held was used for the purposes for which it was awarded.
 - (2) All recipients and subrecipients shall provide an accounting of all State financial assistance received, held, used, or expended.
 - (3) Level II and III recipients and subrecipients shall report on activities and accomplishments undertaken by the recipient, including reporting on any performance measures established in the contract.
 - (4) Level III recipients and subrecipients shall have a single or program-specific audit prepared and completed in accordance with Generally Accepted Government Auditing Standards, also known as the Yellow Book.
- (c) All reports shall be filed with the disbursing agency in the format and method specified by the agency no later than three months after the end of the recipient's fiscal year, unless the same information is already required through more frequent reporting. Audits must be provided to the funding agency no later than nine months after the end of the recipient's fiscal year.
- (d) Agency-established reporting requirements to meet the standards set forth in Paragraph (b) of this Rule shall be specified in each recipient's contract.
- (e) Unless prohibited by law, the costs of audits made in accordance with the provisions of this Rule shall be allowable charges to State and Federal awards. The charges may be considered a direct cost or an allocated indirect cost, as determined in accordance with cost principles outlined in the Code of Federal Regulations, 2 CFR Part 200. The cost of any audit not conducted in accordance with this Subchapter shall not be charged to State awards.
- (f) Notwithstanding the provisions of this Subchapter, a recipient may satisfy the reporting requirements of Subparagraph (b)(4) of this Rule by submitting a copy of the report required under federal law with respect to the same funds.

QUARTERLY STATUS REPORT

Please use this reporting template for each of the quarterly reports

Recipient Name:	Mt Calvary Center for Leadership Development
Recipient Tax ID #	84-4644495
Project/Activity Title:	Community Health Worker Program
Reporting Period (Quarter):	Q4 SFY2024-25 – REVISION 2
Report Completion Date:	07.15.2025
Preparer of This Report:	Lisa Robinson

Were grant funds expended during this reporting period? ☒ Yes ☐ No

Do you certify that all expenses to date have been submitted for this grant? ☒ Yes ☐ No

Do you certify that all funds to date were used for the purposes for which they were awarded and in compliance with your contract? ☒ Yes ☐ No

1. Provide a brief report of activities and accomplishments related to your Grant Project during this reporting period.

- Partnered with multiple counties (New Hanover, Duplin, Brunswick) and local organizations to address health disparities, housing instability, and access to culturally relevant health materials.
- Conducted community-based health education events, including a Nutrition & Colon Cancer Awareness Workshop and senior-focused blood pressure screenings.
- Distributed free health resources and conducted screenings at 10+ food bank events and outreach tables, reaching underserved individuals and families.
- Participated in over 10 community health fairs and expos, offering screenings, resource referrals, and educational materials to promote wellness and preventive care.
- Held two Community Garden Food Giveaways, distributing fresh produce to over 50 families to address food insecurity and promote nutrition equity.

2. Provide an update on the objectives, as outlined in your Scope of Work, of the grant project. Have you accomplished any of your objectives?

- We strengthened cross-county collaborations by actively engaging with coalitions and agencies in New Hanover, Duplin, Brunswick, and Pender counties to expand service reach and address social determinants of health.
- We met our objective to increase community outreach and education, delivering services at over a dozen events, including health fairs, expos, and food distributions, with CHWs providing screenings and resource navigation.
- We successfully provided culturally relevant and accessible health education, including hosting targeted workshops on nutrition, colon cancer awareness, and senior heart health.
- We addressed our objective related to food insecurity by holding two Community Garden Food Giveaways, distributing fresh fruits and vegetables to over 50 underserved families.
- We enhanced CHW capacity and training through participation in the NCCHWA CHW Summit, supporting professional development in motivational interviewing, trauma-informed care, and policy advocacy.

3. Provide an update on your expected results, as outlined in your Scope of Work, of the Grant Project(s). Have you accomplished any of your expected results?

- We have successfully expanded access to health education and screenings in underserved areas through consistent outreach at food banks, senior centers, churches, and community events.
- Our efforts have directly addressed food insecurity, with over 50 families receiving fresh produce at two Community Garden Food Giveaways aligned with health equity goals.
- Through CHW participation in county coalition meetings and fairs, we increased community awareness of available health and social services, fulfilling our engagement and visibility objectives.
- We made progress toward our expected result of cross-sector collaboration by initiating or deepening partnerships with child support services, street outreach programs, and county leadership.

- Professional development milestones were met by participating in the NCCHWA CHW Summit, enhancing staff competencies in culturally competent care, trauma-informed approaches, and community advocacy.

4. Provide an update on your performance measures, as outlined in your Scope of Work, for the Grant Project(s).

- Community Engagement: Participated in over 15 outreach events (including food bank events, fairs, and workshops), directly engaging with hundreds of residents across Duplin, Pender, New Hanover, and surrounding counties.
- Health Screenings Delivered: Provided free blood pressure checks and health education materials at multiple food distribution and community outreach events.
- Educational Workshops: Hosted targeted health workshops including Nutrition & Colon Cancer Awareness and Senior Heart Health, reaching diverse populations.
- Resource Navigation: Distributed printed and verbal resource guidance at all events, connecting residents to local services for food, healthcare, mental health, and housing.
- Capacity Building: Supported professional development by sending CHWs to the NCCHWA Summit, aligning with performance measures around workforce training and quality service delivery.

5. Provide a summary of expenditures during the reporting period (quarter).

<u>Expenditure Category</u>	<u>Amount of Expenditure</u>	<u>Amount of Expenditure</u>
	SFY 2023-2024	SFY 2024-2025
<i>Amount of Appropriation</i>		
Personnel/Contracted Services Expenses (e.g., program related staffing)		\$66,110.36
Supplies and Materials Expenses (e.g., office supplies):		\$379.77
Non-Fixed Operating Expenses (e.g., travel, utilities):		\$5,423.71
Fixed Operating Expenses (e.g., office rent, dues and subscriptions):		\$6,671.93
Property and Equipment Expenses (e.g., buildings and improvements): 3		\$4,443.03
Services/Contracts Expenses (e.g., purchase of services, contracts with service providers):		\$2,333.31
Other Expenses (Specify):		\$364.00
Total Expenses:		\$85,726.11

6. Provide a summary of year-to-date expenditures through the end of the current reporting period. The total expenditures on this table should equal the cumulative expenditures from all completed quarterly reports to date.

<u>Expenditure Category</u>	<u>Amount of Expenditure</u>	<u>Amount of Expenditure</u>
	SFY 2023-2024	SFY 2024-2025
<i>Amount of Appropriation</i>		
Personnel/Contracted Services Expenses (e.g., program related staffing)		\$360,256.86
Supplies and Materials Expenses (e.g., office supplies):		\$5,304.92

Non-Fixed Operating Expenses (e.g., travel, utilities):		\$28,036.67
Fixed Operating Expenses (e.g., office rent, dues and subscriptions):		\$57,953.65
Property and Equipment Expenses (e.g., buildings and improvements):		\$195,524.42
Services/Contracts Expenses (e.g., purchase of services, contracts with service providers):		\$11,308.19
Other Expenses (Specify):		\$3,288.74
Total Expenses:		\$661,673.45

If there are any questions, please contact the Contract Administrator.

**NC DHHS DIRECTED GRANT
QUARTERLY STATUS REPORT**

Please use this reporting template for each of the quarterly reports

Recipient Name:	North Carolina Alliance of YMCAs, Inc.
Recipient Tax ID #	87-4278459
Project/Activity Title:	Teen Mental Health – Nurturing Youth Resilience
Reporting Period (Quarter):	July 1-September 30, 2024
Report Completion Date:	October 11, 2024 REVISION 1
Preparer of This Report:	Michele McKinley

Were grant funds expended during this reporting period? ☒ Yes ☐ No

Do you certify that all expenses to date have been submitted for this grant? ☒ Yes ☐ No

Was any interest earned on these grant funds during this reporting period? ☒ Yes ☐ No

If Yes, specify the amount of interest earned over the life of the grant through this reporting period: \$15,628.65

Do you certify that all funds expended to date were used for the purposes for which they were awarded and in compliance with your contract? ☒ Yes ☐ No

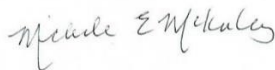
Did you make any subawards of this funding during this reporting period? ☐ Yes ☐ No

If yes, attach a list of subawards including subrecipient entity name, tax ID number, subaward amount, and an indication that the subrecipient is not on the [State Suspension of Funding List](#) or suspended or debarred from receiving federal funds if applicable. Please note that per the contract terms and conditions and State law, your organization is responsible for ensuring that any subrecipients comply with all requirements of your contract and provide you with adequate and timely information to fulfill your reporting obligations.

Final reports should be submitted once all funds are expended and grant activities are completed. Is this your final quarterly report? ☐ Yes ☒ No

If yes, please complete and sign below indicating that all expenses have been submitted and all funds and any interest earned were used for the purposes for which they were awarded and in compliance with the contract. Please note that all records pertaining to this grant must be retained for a minimum of five years or until all audit exceptions have been resolved, whichever is longer. If the contract is subject to federal policy and regulations, record retention may be longer than five years. Recipients are required to maintain reports and accounting records that support the allowable expenditure of State funds and shall make available all reports and records for inspection by the NC Department of Health and Human Services, the Office of State Budget and Management, and the Office of the State Auditor for oversight, monitoring, and evaluation purposes.

_____**Michele McKinley, Director of Communications & Grant Administration**
Name and Title of Organization Official



Signature of Organization Official

_____**October 11, 2024**_____
Date

1. Provide a brief report of activities and accomplishments related to your Grant Project during this reporting period.

- Continued monthly review of YMCAs' progress reports and reimbursement requests; disbursed funds to YMCAs. YMCAs report each month on the prior month's activities, and progress on objectives, provide explanation of expenses and request reimbursement. Reviewed June, July and August reports and reimbursed Ys for expenses.
- Youth Mental Health First Aid (YMHFA): Coordinated development of course delivery dates with 3 YMCA certified trainers to offer courses in the fall and shared those with Ys. Also maintained communication with NC DHHS and the UNC School of Social Work's Behavioral Health Springboard regarding its offerings of the course following their summer hiatus and shared those date options with YMCA staff.
- Continued Zoom technical assistance (TA) meetings with YMCA staff with August meeting focused on YMCA leading practices related to teen engagement. Continued monthly "community hour"/office hours for Y staff with consultant Megan Hoyt in July, August and September. Hosted two in-person regional gatherings of Y staff working on Teen Mental Health at Alliance Neighborhood Meetings in September (one in Rocky Mount and one in Lexington). YMCA peers met with Megan Hoyt and had the opportunity to learn more about how other Ys are approaching this work and provided input as to whether they would value some one-on-one consulting support.
- Convened Teen Mental Health Advisory Council meetings monthly, in part to learn about how some partners are moving forward with this work and how we might work together, and in part to garner input on the upcoming TA meetings.
- Supported communication efforts between Deloitte and YMCA of Southeastern NC, YMCA of Greater Charlotte and YMCA of Greensboro, and YMCA of the Triangle about opportunities to work with Deloitte & CDC to recruit adolescent girls or those identifying as nonbinary in rural areas for an adolescent mental health journey mapping project.
- On July 11 presented to the NC Child Parent Advisory Group to share information about the Teen Mental Health Resource Hub and the work YMCAs are doing around teen mental health.
- Finalized grant portal updates to better support some aspects of information collection.
- The New River YMCA separated from Eastern Carolina YMCA; we created new records in the grant portal tracking this YMCAs work and reimbursements separately from the Eastern Carolina Y.
- Worked with partner Hello Insight to support Ys who were starting their work in the late summer or early fall with launching their SEL measurement programs in the HI platform.

- **Provide an update on the objectives, as outlined in your Scope of Work, of the grant project. Have you accomplished any of your objectives?**
- **Accomplished objective:** 25 YMCA associations committed to supporting youth ages 11-18 with consistent and intentional mental health programing. (Goal was 20 of 25 associations by March 1, 2024.)
- **Accomplished objective:** 25 YMCA associations have established a collaborative agreement with a local mental health provider. This is challenging in multiple communities, however, due to a lack of available resources. In some cases, they are working with a local school or district and their mental health resources. (Goal was 20 of 25 Y association by Oct. 1, 2024)
- **Accomplished objective:** At least 350 YMCA staff have been trained in YMHFA- they are now certified to recognize the signs of teen mental health challenges and know how to respond appropriately. (Goal was 120 by June 30, 2024. Staff continue to sign up for training.)
- **Accomplished objective:** 24 Y staff are now certified as YMHFA instructors (Goal was 9 staff trained by June 30, 2024, in order to ensure other staff are equipped in training Y staff ongoing.)
- **Accomplished objective:** 9 teens between the ages of 14-18 have been trained in Teen Mental Health First Aid (Goal was 5 teens trained by December 31, 2024.) Several YMCAs still are in the planning phases to train teens this fall.
- **Objective update:** 93 teens have been referred to mental health providers as a result of staff being trained in YMHFA and having the capacity and knowledge to recognize potential challenges and know how to support and refer teens and their families to expert help. (Goal was that Teens and their parents or guardians in YMCA programs will be referred to a mental health provider in their community within three business days of identification of a potential mental health issue.)
- **Objective update:** Goal is that teens and youth in YMCA programs will increase their scores in Social Emotional Learning capacities as measured by Hello Insight pre- and post-assessments by June 30, 2025. YMCAs are beginning to use Hello Insight tools to measure SEL capacity; this is a long-term process, with only some Ys having data at this point. Early data is as follows: 97% of 569 teens to date demonstrated meaningful growth in at least one SEL capacity (academic self-efficacy, contribution, positive identify, self-management and social skills), and 36% made significant gains in all five capacities. 1006 teens were assessed at the start of programs at some Ys, with 569 completing the post-assessment; these totals will increase as we move forward.
- **Objective update:** Goal is that Youth Development staff will increase their scores on staff assessments in Social Emotional Learning indicators as measured by Hello Insight pre- and post-assessments by June 30, 2025. This is a long-term process; data is not yet available.

Provide an update on your expected results, as outlined in your Scope of Work, of the Grant Project(s). Have you accomplished any of your expected results?

After officially launching this work in March, many YMCAs ramped up their work in the last quarter and some are officially launching their teen programs this fall after hiring staff in the summer. Some Ys have had staff turnover (including newly hired staff), which has led to some delays in their planned work to date, but Ys are moving forward and learning about how best to engage this age group, particularly around mental health topics. The Ys are focused on establishing strong relationships with teens, which will support their resilience and mental health.

Expected Result #1: YMCA Youth Development staff will have grown their capacity to support youth ages 11-18 in identifying potential mental health issues, will have greater capacity to support resilience in youth through training and utilization of character development and SEL techniques.

This has been achieved through staff being certified in YMHFA and Ys providing SEL training for staff. This will be an ongoing process for Ys, particularly as they continue to onboard new staff serving youth.

Expected Result #2: Youth Development staff will also grow in their own social emotional development, and exhibit greater capacity and feelings of competency in SEL supports for the youth they serve capacity and feelings of competency in SEL supports for the youth they serve.

Staff assessment data is not yet available, however the data around increased SEL for teens served by YMCAs is strong. At the pre-assessment stage, Hello Insight (HI) SEL assessments identify whether young people demonstrate "Emerging" or "Advanced SEL" capacity based on a national data set.

Young people who are Emerging scored below the HI national average in one or more SEL capacities and have the most room for growth. Those who are Advanced scored above the national average, demonstrating strength in CORE SEL overall or specific capacities. Current pre-assessment data shows that nearly four of 10 young people (37%) were Emerging in CORE SEL overall (scoring below the national average), but within that group, nearly two-thirds 64%, succeeded in demonstrating meaningful growth in CORE SEL.

Expected Result #3: YMCAs will have trained staff in Youth Mental Health First Aid training, and the Ys will have sustained capacity for training for additional staff beyond the grant period as youth development leadership staff in diverse geographic regions across the state will be certified as Youth Mental Health First Aid trainer. Ys will have the capacity to continue to train staff as staff turnover and promotions result in new staff working in youth programs.

24 staff to date have been certified as YMHFA instructors, ensuring a deeper bench of resources to provide continued YMHFA training in our state beyond the grant period.

Expected Result #4: YMCA Associations will utilize a social media campaign developed for the Charlotte YMCA to offer support to teens in their communities, directing teens to suicide prevention and acute intervention opportunities through 988lifeline.org.

In addition to Ys receiving printed materials for distribution to teens/families and digital assets in Q1 2024 specifically for this purpose, our YMCAs continue to share the Teen Mental Health Resource Hub periodically through their social media outlets and newsletter. Google Ad Grants campaigns are still in use, which will refer people to the Teen Mental Health Resource Hub when they search for mental health resources for teens. September 8 was 988 Day, which draws awareness to the 988 Suicide & Crisis Lifeline. The Alliance and multiple Ys shared this on social media.

Provide an update on your performance measures, as outlined in your Scope of Work, for the Grant Project(s).

Measures are cumulative to date for the grant, not just this quarter except where noted.

Output Measures

- TA provided to YMCAs: one-on-one calls and Zoom meetings and 2 Office hours sessions during the quarter
- 24 staff trained as YMHFA trainers
- 350 staff trained in YMFHA
- 9 teens trained in tMHFA (Teen Mental Health First Aid)
- No data yet on staff feelings of competency in SEL
- 603 social media posts to date by YMCAs
- 1,019 website views at Teen Mental Health Resources Hub on Alliance website and 8,839 website views at Teen Mental Health Resources page on local YMCA websites during this quarter (July-Sept 2024)

Outcome Measures

- 768 of programs offered by Ys that incorporate mental health
- 13,165 youth served in such programs
- 93 referrals to mental health providers
- Improvement in Hello Insight scores for youth indicating SEL capacity growth—the previous section on updates around expected results outlined some of the SEL growth data. Additional bright spots show great growth among Emerging teens (ie those most in need of support) are:
 - 1) Of the 44% of young people who were emerging in self-management at pre-assessment, 68% showed meaningful growth in this area at post-assessment.
 - 2) Of the 32% of young people (nearly one-third) who were Emerging in positive identity at pre-assessment, 71% showed meaningful growth at post-assessment.
 - 3) More than a quarter of the young people (28%) were Emerging in contribution at pre-assessment, and 68% of them showed meaningful growth at post-assessment.
 - 4) Of the 22% who were Emerging in academic self-efficacy, 67% of those teens succeeded in meaningful growth.
 - 5) 91% program satisfaction score, meaning that 9 of 10 teens would recommend the Y program they participated in to a friend based on their experience.
 - 6) Overall to date, 72% succeeded in self-management, 73% succeeded in positive self-identity, 74% succeeded in academic self-efficacy and 75% succeeded in social skills. The success score is two-fold; it includes young people who are Emerging (most needing support) who demonstrated meaningful growth at post-assessment and Advanced young people who demonstrated meaningful growth at post-assessment maintained the baseline strength identified at pre-assessment. In summary, a significant number of the teens have achieved positive SEL outcomes to date.
- Improvement in staff confidence/capacity around SEL practices- this data is not yet available.

7) Provide a summary of expenditures during the reporting period (quarter).

Expenditure Category	Amount of Expenditure
Personnel/Contracted Services Expenses (e.g., program-related staffing)	\$ 3,714.39
Supplies and Materials Expenses (e.g., office supplies):	\$
Non-Fixed Operating Expenses (e.g., travel, utilities):	\$
Fixed Operating Expenses (e.g., office rent, dues and subscriptions):	\$
Property and Equipment Expenses (e.g., buildings and improvements):	\$
Services/Contracts Expenses (e.g., purchase of services, contracts with service providers):	\$10.00
Other Expenses (Specify):	\$351,170.30
Total Expenses:	\$354,894.69

- 8) Provide a summary of all grant expenditures through the end of the current reporting period. The total expenditures on this table should equal the cumulative expenditures from all completed quarterly reports to date.

Expenditure Category	Amount of Expenditure
Personnel/Contracted Services Expenses (e.g., program-related staffing)	\$10,293.18
Supplies and Materials Expenses (e.g., office supplies):	\$15,510.00
Non-Fixed Operating Expenses (e.g., travel, utilities):	\$868.13
Fixed Operating Expenses (e.g., office rent, dues and subscriptions):	\$
Property and Equipment Expenses (e.g., buildings and improvements):	\$
Services/Contracts Expenses (e.g., purchase of services, contracts with service providers):	\$77,857.75
Other Expenses (Specify):	\$580,587.71
Total Expenses:	\$685,116.77

Please contact your Contract Administrator with any questions.

**NC DHHS DIRECTED GRANT
QUARTERLY STATUS REPORT**

Please use this reporting template for each of the quarterly reports

Recipient Name:	North Carolina Alliance of YMCAs, Inc.
Recipient Tax ID #	87-4278459
Project/Activity Title:	Teen Mental Health – Nurturing Youth Resilience
Reporting Period (Quarter):	October 1-December 31, 2024 REVISED
Report Completion Date:	January 13, 2025
Preparer of This Report:	Michele McKinley

Were grant funds expended during this reporting period? ☒ Yes ☐ No

Do you certify that all expenses to date have been submitted for this grant? ☒ Yes ☐ No

Was any interest earned on these grant funds during this reporting period? ☒ Yes ☐ No

If Yes, specify the amount of interest earned over the life of the grant through this reporting period: \$26,227.76

Do you certify that all funds expended to date were used for the purposes for which they were awarded and in compliance with your contract? ☒ Yes ☐ No

Did you make any subawards of this funding during this reporting period? ☒ Yes ☐ No

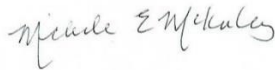
If yes, attach a list of subawards including subrecipient entity name, tax ID number, subaward amount, and an indication that the subrecipient is not on the [State Suspension of Funding List](#) or suspended or debarred from receiving federal funds if applicable. Please note that per the contract terms and conditions and State law, your organization is responsible for ensuring that any subrecipients comply with all requirements of your contract and provide you with adequate and timely information to fulfill your reporting obligations.

Final reports should be submitted once all funds are expended and grant activities are completed. Is this your final quarterly report? ☐ Yes ☒ No

If yes, please complete and sign below indicating that all expenses have been submitted and all funds and any interest earned were used for the purposes for which they were awarded and in compliance with the contract. Please note that all records pertaining to this grant must be retained for a minimum of five years or until all audit exceptions have been resolved, whichever is longer. If the contract is subject to federal policy and regulations, record retention may be longer than five years. Recipients are required to maintain reports and accounting records that support the allowable expenditure of State funds and shall make available all reports and records for inspection by the NC Department of Health and Human Services, the Office of State Budget and Management, and the Office of the State Auditor for oversight, monitoring, and evaluation purposes.

_____**Michele McKinley, Director of Communications & Grant Administration**

Name and Title of Organization Official



Signature of Organization Official

January 13, 2025 _____

Date

1. Provide a brief report of activities and accomplishments related to your Grant Project during this reporting period.

- Continued monthly review of YMCAs' progress reports and reimbursement requests; disbursed funds to YMCAs. YMCAs report each month on the prior month's activities and progress on objectives, provide explanation of expenses and request reimbursement. Reviewed September, October and November, reports and reimbursed Ys for expenses.
- We continued our monthly Zoom TA meetings for Y staff. At the October meeting, we talked about protective factors for mental health to educate Y staff working with teens. Ellen Fox with Triad Restorative Justice joined us for the November TA meeting to talk about what restorative justice is and raising awareness about what teens may be facing in their lives. The December TA meeting was abbreviated as the planned speaker was unable to attend at the last minute—we will hear in January from him about the work of teens at the Greensboro Y's Teen Mental Health Council and their project to work with teachers on their perceptions of mental health support in schools compared to students' perceptions of mental health support in schools.
- Youth Mental Health First Aid (YMHFA): Continued discussions with some Y staff about providing YMHFA to Y staff around the state, particularly after June 2026 when the free courses offered by the state are expected to end.
- Welcomed NC DHHS staff person to our Teen Mental Health Advisory Council, but that person left her role before we met again. In mid-December, we connected with a new contact there who will engage with our council going forward. We also worked to schedule a meeting in January with DHHS staff about their work to support mental health in our state and how Ys could be involved, and the possibility of DHHS providing additional funding for our efforts to market the Teen Mental Health Resource Hub. They will share information on their grant process in January as destigmatization is part of their strategic plan. We hope to be able to collaborate on this.
- Continued support of communication efforts between Deloitte and several NC YMCAs about opportunity to engage adolescent girls or those identifying as nonbinary in rural areas for an adolescent mental health journey mapping project. Also connected teens at the YMCA of the Triangle with NC Child on a project where they were gathering input from teens on mental health.
- Continued working with partner Hello Insight (HI) to support Ys in establishing online dashboard to enable them to collect data on the Social Emotional Learning (SEL) capacity in the HI platform.
- Began planning March 13-14, 2025 annual Teen Mental Health conference for Y staff across the state to come together and share learnings and best practices. Secured a keynote speaker and began researching hotel options where we could hold the conference.
- Updated our contract with consultant Megan Hoyt; ended community office hours once a month with her and launched one-on-one consulting with her. Ys have the opportunity to schedule with her for this one-on-one by the end of January 2025, and then have the option for up to 3 additional sessions with her. We are providing this as a service to Y staff who need additional support and TA on their teen mental health work and challenges they are facing; she will serve as a thought partner for them and work with Alliance staff as well to best plan monthly TA meetings and other support as needed.
- Issued an RFP for a marketing partner to work with us in 2025 on marketing the Teen Mental Health Resource Hub and expanding on our existing "Mental Health Has No Face" theme. We reached out to Beth Coberly with an amended scope of work and budget that reallocates \$100K of our administrative funds for this work. We also shared with our Ys that we will be doing this work and invited them to let us know if they have funds from their budgets they want to pool to further this work as well. We anticipate signing a contract with a marketing partner in January and launching this aspect of the work.

Provide an update on the objectives, as outlined in your Scope of Work, of the grant project. Have you accomplished any of your objectives?

- **Accomplished objective:**
25 YMCA associations committed to supporting youth ages 11-18 with consistent and intentional mental health programing. (Goal was 20 of 25 associations by March 1, 2024.)
- **Accomplished objective:** 25 YMCA associations have established a collaborative agreement with a local mental health provider. This is challenging in multiple communities, however, due to a lack of available resources. In some cases, they are working with a local school or district and their mental health resources. A few Ys are looking for new partners now. (Goal was 20 of 25 Y association by Oct. 1, 2024)
- **Accomplished objective (and ongoing):** At least 400 YMCA staff have been trained in YMHFA; they are now certified to recognize the signs of teen mental health challenges and know how to respond appropriately. (Goal was 120 by June 30, 2024. Staff continue to sign up for training.)
- **Accomplished objective (and ongoing):** 33 Y staff are now certified as YMHFA instructors (Goal was 9 staff trained by June 30, 2024, in order to ensure other staff are equipped in training Y staff ongoing.)
- **Accomplished objective (and ongoing):** 9 teens between the ages of 14-18 have been trained in Teen Mental Health First Aid (Goal was 5 teens trained by December 31, 2024.) Several YMCAs still are in the planning phases to train teens. The YMCA of Northwest North Carolina will hold its first tMHFA course in January, and YMCA of South Hampton Roads is training enough staff in YMHFA to qualify to offer tMHFA.
- **Accomplished objective (and ongoing):** 144 teens have been referred to mental health providers as a result of staff being trained in YMHFA and having the capacity and knowledge to recognize potential challenges and know how to support and refer teens and their families to expert help. Without this funding and focus at the Y on teen mental health, these referrals would not have been made. (Goal was that Teens and their parents or guardians in YMCA programs will be referred to a mental health provider in their community within three business days of identification of a potential mental health issue.)
- **Objective update:** Goal is that teens and youth in YMCA programs will increase their scores in Social Emotional Learning capacities as measured by Hello Insight pre- and post-assessments by June 30, 2025. YMCAs are beginning to use Hello Insight tools to measure SEL capacity; this is a long-term process, with only some Ys having data at this point. Early data is as follows: 97% of 569 teens to date demonstrated meaningful growth in at least one SEL capacity (academic self-efficacy, contribution, positive identify, self-management and social skills), and 36% made significant gains in all five capacities. 1006 teens were assessed at the start of programs at some Ys, with 569 completing the post-assessment; these totals will increase as we move forward.
- **Objective update:** Goal is that Youth Development staff will increase their scores on staff assessments in Social Emotional Learning indicators as measured by Hello Insight pre- and post-assessments by June 30, 2025. No data to report yet.

Provide an update on your expected results, as outlined in your Scope of Work, of the Grant Project(s). Have you accomplished any of your expected results?

Operations at Blue Ridge Assembly in Black Mountain have been suspended since Hurricane Helene in late September. Blue Ridge Assembly is closed temporarily due to significant damage to the property and facilities while they work to recover and rebuild. As a result, they were not able to do some of the planned work during this time. They did continue some staff training around mental health during this time.

Otherwise, the work is moving along across the state with Ys continuing to approach this in different ways. Some Ys continue to have staffing challenges, others continue to be challenged in determining how best to engage teens, particularly around mental health. See below for accomplishments on expected results.

Expected Result #1: YMCA Youth Development staff will have grown their capacity to support youth ages 11-18 in identifying potential mental health issues, will have greater capacity to support resilience in youth through training and utilization of character development and SEL techniques.

This has been achieved through staff being certified in YMHFA and Ys providing SEL training for staff. This will be an ongoing process for Ys, particularly as they continue to onboard new staff serving youth.

Expected Result #2: Youth Development staff will also grow in their own social emotional development, and exhibit greater capacity and feelings of competency in SEL supports for the youth they serve capacity and feelings of competency in SEL supports for the youth they serve. No date yet, by end of June 2025.

Expected Result #3: YMCAs will have trained staff in Youth Mental Health First Aid training, and the Ys will have sustained capacity for training for additional staff beyond the grant period as youth development leadership staff in diverse geographic regions across the state will be certified as Youth Mental Health First Aid trainer. Ys will have the capacity to continue to train staff as staff turnover and promotions result in new staff working in youth programs.

Multiple have been certified as YMHFA instructors, ensuring a deeper bench of resources to provide continued YMHFA training in our state beyond the grant period and we have been working on sharing additional in-person and virtual YMHFA trainings led by Y certified instructors.

Expected Result #4: YMCA Associations will utilize a social media campaign developed for the Charlotte YMCA to offer support to teens in their communities, directing teens to suicide prevention and acute intervention opportunities through 988lifeline.org.

Our YMCAs continue to share the Teen Mental Health Resource Hub periodically through their social media outlets and newsletter. Google Ad Grants campaigns are still in use at Ys, which will refer people to the Teen Mental Health Resource Hub when they do a Google search locally for mental health resources for teens.

Provide an update on your performance measures, as outlined in your Scope of Work, for the Grant Project(s).

Measures are cumulative to date for the grant, not just this quarter except where noted.

Output Measures

- TA provided to YMCAs: one-on-one calls and Zoom meetings and 2 Office hours sessions during the quarter
- 33 staff trained as YMHFA trainers
- 400 staff trained in YMFHA
- 9 teens trained in tMHFA (Teen Mental Health First Aid)
- No data yet on staff feelings of competency in SEL
- 863 social media posts to date by YMCAs
- 1,356 website views at Teen Mental Health Resources Hub on Alliance website and 3128 website views at Teen Mental Health Resources page on local YMCA websites during this quarter (Oct-Dec 2024)

Outcome Measures

- 1,118 of programs offered by Ys that incorporate mental health
- 20,151 youth served in such programs
- 144 referrals to mental health providers
- Improvement in Hello Insight scores for youth indicating SEL capacity growth—no update yet
- Improvement in staff confidence/capacity around SEL practices- this data is not yet available.

1) Provide a summary of expenditures during the reporting period (quarter).

Expenditure Category	Amount of Expenditure
Personnel/Contracted Services Expenses (e.g., program-related staffing)	\$ 2,101.06
Supplies and Materials Expenses (e.g., office supplies):	\$
Non-Fixed Operating Expenses (e.g., travel, utilities):	\$2760.40
Fixed Operating Expenses (e.g., office rent, dues and subscriptions):	\$
Property and Equipment Expenses (e.g., buildings and improvements):	\$
Services/Contracts Expenses (e.g., purchase of services, contracts with service providers):	\$3,974.74
Other Expenses (Specify):	\$305,508.30
Total Expenses:	\$314,344.50

2) Provide a summary of all grant expenditures through the end of the current reporting period. The total expenditures on this table should equal the cumulative expenditures from all completed quarterly reports to date.

Expenditure Category	Amount of Expenditure
Personnel/Contracted Services Expenses (e.g., program-related staffing)	\$12,394.24
Supplies and Materials Expenses (e.g., office supplies):	\$15,510.00
Non-Fixed Operating Expenses (e.g., travel, utilities):	\$3,628.53
Fixed Operating Expenses (e.g., office rent, dues and subscriptions):	\$
Property and Equipment Expenses (e.g., buildings and improvements):	\$
Services/Contracts Expenses (e.g., purchase of services, contracts with service providers):	\$81,832.49
Other Expenses (Specify):	\$886,096.01
Total Expenses:	\$999,461.27

Please contact your Contract Administrator with any questions.

**NC DHHS DIRECTED GRANT
QUARTERLY STATUS REPORT**

Please use this reporting template for each of the quarterly reports

Recipient Name:	North Carolina Alliance of YMCAs, Inc.
Recipient Tax ID #	87-4278459
Project/Activity Title:	Teen Mental Health – Nurturing Youth Resilience
Reporting Period (Quarter):	January 1-March 31, 2025 - REVISION 2
Report Completion Date:	April 9, 2025
Preparer of This Report:	Michele McKinley

Were grant funds expended during this reporting period? ☒ Yes ☐ No

Do you certify that all expenses to date have been submitted for this grant? ☒ Yes ☐ No

Was any interest earned on these grant funds during this reporting period? ☐ Yes ☒ No

If Yes, specify the amount of interest earned over the life of the grant through this reporting period: \$33,411.43

Do you certify that all funds expended to date were used for the purposes for which they were awarded and in compliance with your contract? ☒ Yes ☐ No

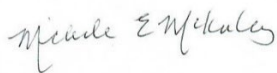
Did you make any subawards of this funding during this reporting period? ☐ Yes ☒ No

If yes, attach a list of subawards including subrecipient entity name, tax ID number, subaward amount, and an indication that the subrecipient is not on the [State Suspension of Funding List](#) or suspended or debarred from receiving federal funds if applicable. Please note that per the contract terms and conditions and State law, your organization is responsible for ensuring that any subrecipients comply with all requirements of your contract and provide you with adequate and timely information to fulfill your reporting obligations.

Final reports should be submitted once all funds are expended and grant activities are completed. Is this your final quarterly report? ☐ Yes ☒ No

If yes, please complete and sign below indicating that all expenses have been submitted and all funds and any interest earned were used for the purposes for which they were awarded and in compliance with the contract. Please note that all records pertaining to this grant must be retained for a minimum of five years or until all audit exceptions have been resolved, whichever is longer. If the contract is subject to federal policy and regulations, record retention may be longer than five years. Recipients are required to maintain reports and accounting records that support the allowable expenditure of State funds and shall make available all reports and records for inspection by the NC Department of Health and Human Services, the Office of State Budget and Management, and the Office of the State Auditor for oversight, monitoring, and evaluation purposes.

 Michele McKinley, Director of Communications & Grant Administration
Name and Title of Organization Official



Signature of Organization Official

April 9, 2025
Date

1. Provide a brief report of activities and accomplishments related to your Grant Project during this reporting period.

- Continued monthly review of YMCAs' progress reports and reimbursement requests; disbursed funds to YMCAs. YMCAs report each month on the prior month's activities and progress on objectives, provide explanation of expenses and request reimbursement. Reviewed December, January and February reports and reimbursed Ys for expenses during this reporting period.
- We continued our monthly Zoom TA meetings for Y staff. At the January meeting, the teen director from the YMCA of Greensboro shared the work of his Teen Mental Health Council and their project working with teachers on their perceptions of mental health support in schools compared to students' perceptions of mental health support in schools. At the February meeting, our partner Hello Insight (HI) re-introduced the positive youth development practices that foster social emotional learning (SEL) capacity for the teens, particularly the foundational practices of engaging authentically and promoting peer bonds, and discussed data to date for our Ys and talked about the process for collecting HI data. In March we met in person for our annual conference. That is detailed below.
- Also had some one-on-one TA calls with new staff hired at Ys and trying to get up to speed on the grant.
- During this period we planned our annual in-person conference to bring together the staff leading this work across the state at our Ys. 52 staff attended the March 13-14 conference in Greensboro —**YMCA Teen Wellness Summit—Advancing Mental Health Together**. The conference featured keynote speaker Josh Copeland of Learn 2 Cope and provide opportunities for Y staff to share their "wins" and gain ideas from each other while strengthening relationships with each other. The conference also featured two panel discussions, one on successful partnerships in this work and one on the Teen Mental Health First Aid (tMHFA) course and how some Ys are leveraging that for teens they serve. An additional session highlighted the marketing campaign we are preparing to launch—detailed in the next bullet. The conference provided a valuable way for Y staff to connect more deeply and learn more about how others are implementing this work, addressing challenges, etc.
- Through our engagement with Workhorse Marketing for the marketing campaign, we are refining the language and structure of our Teen Mental Health Resource Hub at <https://www.ncymcas.org/YMCATeenMentalHealth>—this is set to be live in a revised format by May 1 for Mental Health Awareness Month. The redesigned landing page will be much more teen friendly in its feel and language and feature interactive components designed to engage teens while also providing them with the resources, information and crisis hotlines, etc. if they need that. The Alliance will activate YouTube and Snapchat to engage teens and drive traffic to the Resource Hub, as well as Google Ad Grants, email marketing campaigns and billboards. In addition, local Ys will have the opportunity to join these marketing efforts and also will work with teens on some "guerilla marketing" using QR code stencils to paint a QR code that points directly to the TMH Resource Hub and features the new tagline, FEEL IT. FACE IT. TOGETHER. This builds on our current messaging, Mental Health Has No Face. We have asked Ys to engage teens in painting the QR code at local Ys and work with local partners (businesses, nonprofits and municipal and county governments) to potentially include the QR code outside businesses where teens gather, parks and rec fields and centers and at city pools where teens gather, for example. We will be tracking outcomes of all these marketing efforts and adjusting as needed.
- Youth Mental Health First Aid (YMHFA): Staff continued to be trained in this course for adults 18+ working with teenagers. Continued discussions with some Y staff about providing YMHFA to Y staff around the state, particularly after June 2026 when the free courses offered by the state will end. We just learned that for April, May and June, the state is focusing on enrolling people in the course only for organizations that plan to offer tMHFA. Although some of our Ys are looking into that and some are implementing it, it's a somewhat complicated process, with a number of pre-requisites and scheduling requirements that can be a barrier to implementation.
- We submitted a draft proposal to NC DHHS for additional funds for marketing; we have not heard back yet but suspect this may be challenging with all the changes in funding via the Trump administration.
- The Alliance is advocating that our state lawmakers include another \$1.875 million for Ys to continue the TMH work beyond June 30, 2026.

- Consultant Megan Hoyt met one-on-one with 8 YMCA associations that opted in for her support. She is meeting them “where they are” and providing thought leadership support to them. They have the option for up to 3 additional meetings with her this year. We are providing this as a service to Y staff who need additional support and TA on their teen mental health work and challenges they are facing.

Provide an update on the objectives, as outlined in your Scope of Work, of the grant project. Have you accomplished any of your objectives?

- **Accomplished objective:**
25 YMCA associations committed to supporting youth ages 11-18 with consistent and intentional mental health programing. (Goal was 20 of 25 associations by March 1, 2024.)
- **Accomplished objective:** 25 YMCA associations have established a collaborative agreement with a local mental health provider. This is challenging in multiple communities, however, due to a lack of available resources. In some cases, they are working with a local school or district and their mental health resources. A few Ys are looking for new partners now. (Goal was 20 of 25 Y association by Oct. 1, 2024)
- **Accomplished objective (and ongoing):** At least 433 YMCA staff have been trained in YMHFA; they are now certified to recognize the signs of teen mental health challenges and know how to respond appropriately. (Goal was 120 by June 30, 2024. Staff continue to sign up for training.) Additional some more Y staff are interested in becoming certified YMHFA instructors.
- **Accomplished objective (and ongoing):** 11 Y staff are now certified as YMHFA instructors (Goal was 9 staff trained by June 30, 2024, in order to ensure other staff are equipped in training Y staff ongoing.) Please note that earlier we reported 33 staff trained as instructors; that was incorrect. Some Ys had reported this when they meant to report that staff were trained in the course, not trained as trainers.
- **Accomplished objective (and ongoing):** 24 teens between the ages of 14-18 have been trained in Teen Mental Health First Aid (Goal was 5 teens trained by December 31, 2024.) Several YMCAs still are in the planning phases to train teens. The YMCA of Northwest North Carolina held its first tMHFA course in January, and YMCA of South Hampton Roads is training enough staff in YMHFA to qualify to offer tMHFA. Other Ys are considering this.
- **Accomplished objective (and ongoing):** 165 teens have been referred to mental health providers as a result of staff being trained in YMHFA and having the capacity and knowledge to recognize potential challenges and know how to support and refer teens and their families to expert help. Without this funding and focus at the Y on teen mental health, these referrals would not have been made and we cannot know if there would be have a very serious issue for their teens. (Goal was that Teens and their parents or guardians in YMCA programs will be referred to a mental health provider in their community within three business days of identification of a potential mental health issue.)
- **Objective update:** Goal is that teens and youth in YMCA programs will increase their scores in Social Emotional Learning capacities as measured by Hello Insight pre- and post-assessments by June 30, 2025. Data collection is ongoing. .
- **Objective update:** Goal is that Youth Development staff will increase their scores on staff assessments in Social Emotional Learning indicators as measured by Hello Insight pre- and post-assessments by June 30, 2025. No data to report yet.

Provide an update on your expected results, as outlined in your Scope of Work, of the Grant Project(s). Have you accomplished any of your expected results?

Operations at Blue Ridge Assembly in Black Mountain have been suspended since Hurricane Helene in late September. Blue Ridge Assembly continues to be closed temporarily due to significant damage to the property and facilities while they work to recover and rebuild. As a result, they have not been able to do planned work during this time. Their staff person who heads up their TMH work participated in our TMH conference in March. Blue Ridge Assembly plans to be open in some capacity this summer to serve teens.

We continue to *not* reimburse YMCA of Greensboro, although they continue to do the work and report monthly. We are withholding the reimbursements until that Y gets clearance from the state about removal of one of its branches from the state suspension list. They are actively working on it with someone at the state, trying to figure out the issue.

Otherwise, the work is moving along across the state with Ys continuing to approach this in different ways. Staff turnover is a challenge for some Ys, and Ys continue to experiment with what works well to get teens in the door and coming back and comfortable in talking about mental health. Sometimes teens face transportation issues in getting to the Y for programming, and sometimes Ys are not able to take all their programming to the teens. It's not always easy to identify the programming and conversations that will resonate with, or attract the teens – it's an inherent challenge in the stigma of mental health.

Expected Result #1: YMCA Youth Development staff will have grown their capacity to support youth ages 11-18 in identifying potential mental health issues, will have greater capacity to support resilience in youth through training and utilization of character development and SEL techniques.

This has been achieved through staff being certified in YMHFA and Ys providing SEL training for staff. This will be an ongoing process for Ys, particularly as they continue to onboard new staff serving youth.

Expected Result #2: Youth Development staff will also grow in their own social emotional development, and exhibit greater capacity and feelings of competency in SEL supports for the youth they serve capacity and feelings of competency in SEL supports for the youth they serve. No date yet, by end of June 2025.

Expected Result #3: YMCAs will have trained staff in Youth Mental Health First Aid training, and the Ys will have sustained capacity for training for additional staff beyond the grant period as youth development leadership staff in diverse geographic regions across the state will be certified as Youth Mental Health First Aid trainer. Ys will have the capacity to continue to train staff as staff turnover and promotions result in new staff working in youth programs.

Multiple have been certified as YMHFA instructors, ensuring a deeper bench of resources to provide continued YMHFA training in our state beyond the grant period and we have been working on sharing additional in-person and virtual YMHFA trainings led by Y certified instructors.

Expected Result #4: YMCA Associations will utilize a social media campaign developed for the Charlotte YMCA to offer support to teens in their communities, directing teens to suicide prevention and acute intervention opportunities through 988lifeline.org.

Our YMCAs continue to share the Teen Mental Health Resource Hub periodically through their social media outlets and newsletter. Google Ad Grants campaigns are still in use at Ys, which will refer people to the Teen Mental Health Resource Hub when they do a Google search locally for mental health resources for teens.

Provide an update on your performance measures, as outlined in your Scope of Work, for the Grant Project(s).

Measures are cumulative to date for the grant, not just this quarter except where noted.

Output Measures

- TA provided to YMCAs: one-on-one calls and Zoom meetings during the quarter
- 11 staff trained as YMHFA trainers
- 433 staff trained in YMFHA
- 24 teens trained in tMHFA (Teen Mental Health First Aid)
- No data yet on staff feelings of competency in SEL
- 1,112 social media posts to date by YMCAs
- 1,500 website views at Teen Mental Health Resources Hub on Alliance website and 1182 website views at Teen Mental Health Resources page on local YMCA websites during this quarter (Jan 1- March 31, 2025)

Outcome Measures

- 1,118 of programs offered by Ys that incorporate mental health
- 26,278 youth served in such programs
- 165 referrals to mental health providers
- Improvement in Hello Insight scores for youth indicating SEL capacity growth—no update yet
- Improvement in staff confidence/capacity around SEL practices- this data is not yet available.

1) Provide a summary of expenditures during the reporting period (quarter).

<u>Expenditure Category</u>	<u>Amount of Expenditure</u>
Personnel/Contracted Services Expenses (e.g., program-related staffing)	\$ 5,492.25
Supplies and Materials Expenses (e.g., office supplies):	\$0
Non-Fixed Operating Expenses (e.g., travel, utilities):	\$12,044.21
Fixed Operating Expenses (e.g., office rent, dues and subscriptions):	\$0
Property and Equipment Expenses (e.g., buildings and improvements):	\$0
Services/Contracts Expenses (e.g., purchase of services, contracts with service providers):	\$24,856.77
Other Expenses (Specify):	\$275,478.65
Total Expenses:	\$317,871.88

2) Provide a summary of all grant expenditures through the end of the current reporting period. The total expenditures on this table should equal the cumulative expenditures from all completed quarterly reports to date.

<u>Expenditure Category</u>	<u>Amount of Expenditure</u>
Personnel/Contracted Services Expenses (e.g., program-related staffing)	\$17,886.49
Supplies and Materials Expenses (e.g., office supplies):	\$15,510.00
Non-Fixed Operating Expenses (e.g., travel, utilities):	\$15,672.74
Fixed Operating Expenses (e.g., office rent, dues and subscriptions):	\$
Property and Equipment Expenses (e.g., buildings and improvements):	\$
Services/Contracts Expenses (e.g., purchase of services, contracts with service providers):	\$106,689.26
Other Expenses (Specify):	\$1,161,574.66
Total Expenses:	\$1,317,333.15

Please contact your Contract Administrator with any questions.

**NC DHHS DIRECTED GRANT
QUARTERLY STATUS REPORT**

Please use this reporting template for each of the quarterly reports

Recipient Name:	North Carolina Alliance of YMCAs, Inc.
Recipient Tax ID #	87-4278459
Project/Activity Title:	Teen Mental Health – Nurturing Youth Resilience
Reporting Period (Quarter):	April 1-June 30, 2025 REVISION 1
Report Completion Date:	July 14, 2025
Preparer of This Report:	Michele McKinley

Were grant funds expended during this reporting period? ☒ Yes ☐ No

Do you certify that all expenses to date have been submitted for this grant? ☒ Yes ☐ No

Was any interest earned on these grant funds during this reporting period? ☐ Yes ☒ No

If Yes, specify the amount of interest earned over the life of the grant through this reporting period: \$40,456.32

Do you certify that all funds expended to date were used for the purposes for which they were awarded and in compliance with your contract? ☒ Yes ☐ No

Did you make any subawards of this funding during this reporting period? ☐ Yes ☒ No

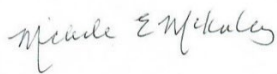
If yes, attach a list of subawards including subrecipient entity name, tax ID number, subaward amount, and an indication that the subrecipient is not on the [State Suspension of Funding List](#) or suspended or debarred from receiving federal funds if applicable. Please note that per the contract terms and conditions and State law, your organization is responsible for ensuring that any subrecipients comply with all requirements of your contract and provide you with adequate and timely information to fulfill your reporting obligations.

Final reports should be submitted once all funds are expended and grant activities are completed. Is this your final quarterly report? ☐ Yes ☒ No

If yes, please complete and sign below indicating that all expenses have been submitted and all funds and any interest earned were used for the purposes for which they were awarded and in compliance with the contract. Please note that all records pertaining to this grant must be retained for a minimum of five years or until all audit exceptions have been resolved, whichever is longer. If the contract is subject to federal policy and regulations, record retention may be longer than five years. Recipients are required to maintain reports and accounting records that support the allowable expenditure of State funds and shall make available all reports and records for inspection by the NC Department of Health and Human Services, the Office of State Budget and Management, and the Office of the State Auditor for oversight, monitoring, and evaluation purposes.

 Michele McKinley, Director of Communications & Grant Administration

Name and Title of Organization Official



Signature of Organization Official

July 14, 2025 _____

Date

1. Provide a brief report of activities and accomplishments related to your Grant Project during this reporting period.

- Continued monthly review of YMCAs' progress reports and reimbursement requests; disbursed funds to YMCAs. YMCAs report each month on the prior month's activities and progress on objectives, provide explanation of expenses and request reimbursement. Reviewed March, April and May, reports and reimbursed Ys for expenses during this reporting period.
- Continued monthly Zoom TA meetings for Y staff. At the April meeting, we followed up on some discussion from the March in-person meeting, including wins and challenges for local Ys related to this work. At the May meeting, we briefly discussed plans for Mental Health Awareness Month at local Ys, and Jemarion Young, VP of Teen Experience for the YMCA of Greater Charlotte, joined us to share how they have embedded teen programming and a culture of wellness support for teens at their Y; this discussion dovetailed with conversation around sustainability of this work at YMCAs when no longer grant funded. At the June meeting, we shared some initial results of marketing efforts to increase awareness of the relaunched TMH Resource Hub on the Alliance website. (Details to follow later in this report.) Sally Munemitsu of Hello Insight joined us to share the statewide results of the character development assessments Ys implemented to gauge capacity growth among teens served; details later in this report.
- Began early planning for an optional in-person meeting October 8 in Salisbury where Y staff will be gathering for an unrelated professional development conference; we are leveraging the opportunity for Y staff to come together and further deepen their connections for sharing about this work.
- Youth Mental Health First Aid (YMHFA): Staff continued to be trained in this course for adults 18+ working with teenagers although at slower paces now. The course is not offered as widely now by the state, which had separate grant funding to push this training out to educators and community organizations. The state is focusing their more limited resources on training people in YMHFA who are preparing to offer the Teen Mental Health First Aid (tMHFA) course. That course is excellent, but it is more difficult for Ys to offer so only a handful are currently working on that. Because there are fewer options offered at no charge through the state, we are leveraging the Y staff who have been certified as YMHFA trainers to offer courses either in person or in the virtual blended format.

We convened a meeting with YMCA of the USA staff to discuss the possibility of the national Y working with the National Council for Mental Well-being, which offers the YMHFA and tMHFA courses, collaboratively to enable the YMCA to offer the course through its online course registration portal. YUSA is transitioning to a new platform, so there is no immediate solution, but YUSA staff have opened up discussion with the National Council on how they might partner. Our goal is that for sustainability of staff being trained in YMHFA that we find easier ways to sign up for and participate in the training. This is in its early stages of exploration.

- Two YMCA associations, YMCA of Northwest North Carolina and YMCA of Western NC, have now hosted the tMHFA course several times and plan more. The teens taking the course have provided excellent feedback about it and for the YMCA of Northwest NC, they now have waiting lists of teens wanting to take the course. The New River YMCA will be offering the course this fall and is working with the state through the UNC Behavioral Health Springboard to do this, and YMCA of South Hampton Roads has certified a trainer to teach tMHFA and is working towards offering the course.
- We relaunched the Teen Mental Health Resource Hub, <https://www.ncymcas.org/YMCATeenMentalHealth>, on May 1 in time for Mental Health Awareness Month. Through our engagement of Workhorse Marketing for the marketing campaign, we redesigned landing page to be more teen friendly in its feel and language and feature interactive components designed to engage teens while also providing them with resources, information and crisis hotlines, etc. if they need that. The original content is still provided at the bottom of the website page.

The Alliance activated YouTube and Snapchat ads to engage teens and drive traffic to the Resource Hub, as well as Google Ad Grants campaigns, an email marketing campaign and billboards. This multi-pronged approach is designed to reach teens over the summer when they are more isolated with schools out and have less contact with peers and teachers. Our marketing agency is tracking metrics, many of which are shared later in the report here, to determine where adjustments should be made and what methods are most effective for reaching teens.

Some local Ys activated teens to use QR code stencils for “guerilla marketing” –painting the stencils which point to the TMH Resource Hub and features the new tagline, FEEL IT. FACE IT. TOGETHER. They have painted these on Y campuses and some are exploring other places in their communities where they would be permitted to do paint the QR code stencils there as well.

- We submitted a proposal to UnitedHealthcare for funds from their community investment funds to support our teen mental health work. We are waiting to hear back.
- The Alliance continues to advocates that our state lawmakers include another \$1.875 million for Ys to continue the TMH work beyond June 30, 2026. To date, there seems to be support for this and we are hopeful.
- We held two TMH Advisory Council meetings during this period; we discussed sustainability of this work during the April meeting. Members shared updates at the June meeting.
- Attended the NC Child State of the Child Summit April 15, which included a focus on mental health. Participated in an NC Child Roundtable discussion June 5 on the growing challenges of loneliness and disconnection among youth and families in NC. We look forward to continued collaboration and dialog.

The Alliance continued promoting the Ys' work on TMH statewide via a press release announcing the relaunched TMH Hub and on LinkedIn. CityView of Fayetteville published an article May 28 about this effort, <https://www.cityviewnc.com/stories/nc-alliance-of-ymcas-ymca-of-the-sandhills-youth-mental-health-programs-teen-mental-health-resource-hub/>, and on May 4, Eric Graves with the YMCA of Greensboro represented YMCAs and the Alliance in an interview on CBS17 about the relaunched hub and how Ys are working to address teen mental health. <https://www.cbs17.com/news/north-carolina-news/ymcas-in-north-carolina-launch-online-mental-health-hub-for-teens/>

- The Alliance was named a top 10 finalist in the Life Fitness/Hammer Strength 2025 Community Impact Awards for its statewide teen mental health work. Three organizations who receive the most votes will receive a \$2500 donation from Life Fitness/Hammer Strength; we promoted this among Ys and partners. If the Alliance wins, we would invest the funds into the ongoing marketing campaign. Winners will be announced July 11.
- We met with Eric Graves of the YMCA of Greensboro for early discussions around a statewide TMH Advisory Council comprised of teens that he wants to launch, based on the learnings of their own Y's TMH Advisory Council and to extend this opportunity statewide. We planned for him to share full detail plans with Ys in July.
- We met with staff at YMCA360, a virtual YMCA platform that provides live streaming and on-demand content, such as fitness classes, activities for kids, mindfulness exercises, etc. We were discussing the possibility of some content specifically for teens that could be produced with teens and staff from our YMCA in Charlotte and in Cleveland County and then shared on the Y360 platform. Ultimately, our Y leaders locally felt there were too many hoops to jump through to produce some short clips with teens and mentors talking about mental health and dealing with stress, etc. to move forward with Y360 production requirements. Plans are still underway for the YMCA of Charlotte to work with teens this summer to record some clips that can be used in our marketing campaign and shared by all Ys.

Provide an update on the objectives, as outlined in your Scope of Work, of the grant project. Have you accomplished any of your objectives?

- **Accomplished objective:**
25 YMCA associations committed to supporting youth ages 11-18 with consistent and intentional mental health programming. (Goal was 20 of 25 associations by March 1, 2024.)
- **Accomplished objective:** 25 YMCA associations have established a collaborative agreement with a local mental health provider. This is challenging in multiple communities, however, due to a lack of available resources. In some cases, they are working with a local school or district and their mental health resources. (Goal was 20 of 25 Y association by Oct. 1, 2024)
- **Accomplished objective (and ongoing):** At least 527 YMCA staff have been trained in YMHFA; they are now certified to recognize the signs of teen mental health challenges and know how to respond appropriately. (Goal was 120 by June 30, 2024. Staff continue to sign up for training.)
- **Accomplished objective (and ongoing):** 11 Y staff are certified as YMHFA instructors (Goal was 9 staff trained by June 30, 2024, in order to ensure other staff are equipped in training Y staff ongoing.)
- **Accomplished objective (and ongoing):** 60 teens between the ages of 14-18 have been trained in Teen Mental Health First Aid (Goal was 5 teens trained by December 31, 2024.)
- **Accomplished objective (and ongoing):** 200 teens have been referred to mental health providers as a result of staff being trained in YMHFA and having the capacity and knowledge to recognize potential challenges and know how to support and refer teens and their families to expert help. (Goal was that Teens and their parents or guardians in YMCA programs will be referred to a mental health provider in their community within three business days of identification of a potential mental health issue.)
- **Objective update:** Goal is that teens and youth in YMCA programs will increase their scores in Social Emotional Learning capacities as measured by Hello Insight pre- and post-assessments by June 30, 2025. That data collection has been completed for the 2025/2026 school year and we are thrilled with the results.
 - ☒ 96% of teens saw meaningful benefit from the program
 - ☒ 89% of teens would recommend the program to a friend (a powerful endorsement from teens!)
 - ☒ 78% of teen showed growth in at least 3 character development areas
 - ☒ 33% of teens developed across all 5 character development capacities
 - ☒ 84% of teens experienced the positive youth development practice of “Engage Authentically”—feeling truly seen and heard by a supportive adult
 - ☒ 88% of teens feel safe and supported at the Y
- **Objective update:** Goal is that Youth Development staff will increase their scores on staff assessments in Social Emotional Learning indicators as measured by Hello Insight pre- and post-assessments by June 30, 2025. We know that support of staff is key in ensuring youth grow in their character development. Staff members’ confidence in positive youth development (PYD) practices supports young people’s program experiences. Assessments showed that 78% of staff increased confidence in PYD practices, with 18% of staff feeling very confidence in using a PYD approach, 64% report feeling somewhat confident and just 19% reported not feeling confident. We see room for growth here in terms of staff confidence and will work to provide increased focus on training around these approaches.

The assessment also look at engagement of program staff, and 85% feel supported by their supervisor, 84% feel they have the opportunity to learn from their peers (other staff in the program or organization, *4% feel their supervisor has a clear understanding of their interests they can bring to the table, 84% feel every staff person’s voice matters.
- **Accomplished Objective and Ongoing:** Teens have increased awareness of Mental Health resources. By June 30, 2026, increase the average number of views of the Teen Mental Health Resource Hub per month by 50% over 2024 average views. This objective was added in December 2024 after revising scope and budget to include a comprehensive marketing campaign designed to increase awareness of mental health resources via the TMH Resource Hub on the Alliance website and destigmatize mental health.

During the last reporting period there were 1,500 website views at the TMH Resource Hub for the quarter. During May and June 2025 alone, there was a total of 12,799 website views, as a result of the new marketing campaign.

-61% of all website traffic for the Alliance website was at the TMH Resource Hub, driven by the advertising efforts. There were more than 13,023 NEW users to the website and nearly 400 returned to the site.

- The YouTube advertising yielded 2,114 page views with 1680 new users at our site, the Google Ad Grants campaigns yielded just 117 page views among 1020 new users, the Snapchat advertising yielded 74,65 TMH page views and garnered 6822 new users to our site, and the email marketing campaign yielded 3103 TMH page views and brought 3,501 new users to the site.

-The Snapchat campaign, which features a short video and points to the TMH Resource Hub, has been extremely effective in reaching our teen audience; we saw the average swipe up rate was 584.8% above platform benchmarks, and there were 5,449 video completions (meaning that many people watched the entire video) and more than 73,000 people were exposed to the ad through the campaign.

-As part of the email marketing campaign, 166,666 people with three teens in the household from ages 13-17 received the email, which provided information and linked to the TMH Resource Hub. There was an initial send and then followed up with a second mailing to those who didn't open it. There were 43,335 views and over 6,900 clicks from this effort –clickthrough rate is 4.15% (significantly higher than the nonprofit clickthrough rate of 3.27%) and an open rate of 26% compared to average of 25.2% for nonprofits. Click to view rate was 123really lets u know how engaging the content of email is...was much higher than average for nonprofits, on average is about 7% but the rate for this TMH campaign was nearly 16%--123% above the average.

-There were 58 digital billboards as part of the Out of Home campaign during June, with a total of 68,969 billboard plays for a duration of about 8 seconds each time and 321,280 impressions. Many billboards are in pairs with one facing one direction of traffic flow and the other facing the other way so it can be seen by travelers in both directions.

-Billboards in Wilmington, NC reported highest number of impressions per dollar with an average of 816 impressions per dollar across just 4 billboards in 2 locations. While we cannot track website views from billboards, leveraging lower cost overstock billboards when they are available is a tool for overall awareness of access to mental health resources. Two Y staff members in Wilmington reported seeing the billboards, which was exciting to hear.

Provide an update on your expected results, as outlined in your Scope of Work, of the Grant Project(s). Have you accomplished any of your expected results?

Operations at Blue Ridge Assembly in Black Mountain have been suspended since Hurricane Helene in late September. Blue Ridge Assembly partially reopened in June for some programming. They have not reported on June at this time.

The work is moving along across the state with Ys continuing to approach this in different ways. Staff turnover is a challenge for some Ys, and Ys continue to experiment with what works well to get teens in the door and coming back and comfortable in talking about mental health. Sometimes teens face transportation issues in getting to the Y for programming, and sometimes Ys are not able to take all their programming to the teens. It's not always easy to identify the programming and conversations that will resonate with, or attract the teens – it's an inherent challenge in the stigma of mental health.

Expected Result #1: YMCA Youth Development staff will have grown their capacity to support youth ages 11-18 in identifying potential mental health issues, will have greater capacity to support resilience in youth through training and utilization of character development and SEL techniques.

This has been achieved through staff being certified in YMHFA and Ys providing SEL training for staff. This will be an ongoing process for Ys, particularly as they continue to onboard new staff serving youth.

Expected Result #2: Youth Development staff will also grow in their own social emotional development, and exhibit greater capacity and feelings of competency in SEL supports for the youth they serve capacity and feelings of competency in SEL supports for the youth they serve. As stated earlier, we are seeing this outcome but there is still work to do for staff to further support character development growth among teens.

Expected Result #3: YMCAs will have trained staff in Youth Mental Health First Aid training, and the Ys will have sustained capacity for training for additional staff beyond the grant period as youth development leadership staff in diverse geographic regions across the state will be certified as Youth Mental Health First Aid trainer. Ys will have the capacity to continue to train staff as staff turnover and promotions result in new staff working in youth programs.

Multiple have been certified as YMHFA instructors, ensuring a deeper bench of resources to provide continued YMHFA training in our state beyond the grant period and we have been working on sharing additional in-person and virtual YMHFA trainings led by Y certified instructors.

Expected Result #4: YMCA Associations will utilize a social media campaign developed for the Charlotte YMCA to offer support to teens in their communities, directing teens to suicide prevention and acute intervention opportunities through 988lifeline.org.

Our YMCAs continue to share the Teen Mental Health Resource Hub periodically through their social media outlets and newsletters. Google Ad Grants campaigns are still in use at Ys, which will refer people to the Teen Mental Health Resource Hub when they do a Google search locally for mental health resources for teens. As of May 1, Ys are also promoting the Feel It. Face It. Together tagline for the relaunched TMH Hub and using t-shirts, temporary tattoos and other items with this information and the QR code for the TMH Resource Hub website.

Provide an update on your performance measures, as outlined in your Scope of Work, for the Grant Project(s).

Measures are cumulative to date for the grant, not just this quarter except where noted.

Output Measures

- TA provided to YMCAs: Zoom meetings during the quarter and a few one-on-one calls to support new staff getting into this work
- 11 staff trained as YMHFA trainers
- 527 staff trained in YMFHA
- 60 teens trained in tMHFA (Teen Mental Health First Aid)
- 78% of staff grew in confidence of positive youth development approaches
- 1,400 social media posts to date by YMCAs (I suspect there are more than are reported.)
- The Alliance's posts are not counted, but there are frequent posts about this work on Linked In.
- 12,799 website views at Teen Mental Health Resources Hub on Alliance website and 1539 website views at Teen Mental Health Resources page on local YMCA websites during this quarter
- TMH Resource Hub updated at Alliance site
- Google Ad Grants campaign, YouTube and Snapchat ads launched, billboard campaign launched, email marketing campaign launched

Outcome Measures

- 1,599 of programs offered by Ys that incorporate mental health
- 36,641 youth served in such programs
- 200 referrals to mental health providers
- Improvement in Hello Insight scores for youth indicating SEL capacity growth—detailed in prior section
- Improvement in staff confidence/capacity around SEL practices- detailed in prior section
- TMH Resource Hub analytics – detailed earlier in report

1) Provide a summary of expenditures during the reporting period (quarter).

Expenditure Category	Amount of Expenditure
Personnel/Contracted Services Expenses (e.g., program-related staffing)	\$ 8213.89
Supplies and Materials Expenses (e.g., office supplies):	\$0
Non-Fixed Operating Expenses (e.g., travel, utilities):	\$77.20
Fixed Operating Expenses (e.g., office rent, dues and subscriptions):	\$0
Property and Equipment Expenses (e.g., buildings and improvements):	\$0
Services/Contracts Expenses (e.g., purchase of services, contracts with service providers):	\$47,989.77
Other Expenses (Specify): reimbursements to YMCAs	\$369,456.16
Total Expenses:	\$425,737.02

- 2) Provide a summary of all grant expenditures through the end of the current reporting period. The total expenditures on this table should equal the cumulative expenditures from all completed quarterly reports to date.

Expenditure Category	Amount of Expenditure
Personnel/Contracted Services Expenses (e.g., program-related staffing)	\$26,100.38
Supplies and Materials Expenses (e.g., office supplies):	\$15,510.00
Non-Fixed Operating Expenses (e.g., travel, utilities):	\$15,749.94
Fixed Operating Expenses (e.g., office rent, dues and subscriptions):	\$0
Property and Equipment Expenses (e.g., buildings and improvements):	\$0
Services/Contracts Expenses (e.g., purchase of services, contracts with service providers):	\$154,679.03
Other Expenses (Specify):	\$1,531,030.82
Total Expenses:	\$1,743,070.17

Please contact your Contract Administrator with any questions.

QUARTERLY STATUS REPORT

Report Template A: Please use this reporting template for each of the quarterly reports

Recipient Name:	North Carolina Dental Society Foundation
Recipient Tax ID #	82-0880561
Project/Activity Title:	Directed Grant
Reporting Period (Quarter):	July 1, 2024 – September 30, 2024
Recipient's Fiscal Year End:	October 31 st
Report Completion Date:	October 15th 2024
Preparer of This Report:	Sharon D'costa- Fund Development and Program Director, NCDSF

1. Provide a brief description of the entity's mission, purpose, and governance structure.

The NC Dental Society Foundation is an affiliated 501c3 charitable arm of the NC Dental Society. The mission of the Foundation is to help dentists help patients, and it does this through education, prevention, and treatment of adults and children through free-clinic settings and support of local community clinics. The NC Missions of Mercy (NC MOM) dental clinics are a signature program of the NC Dental Society Foundation. Adult patients with severe dental needs - whose family income does not exceed 200% of the federal poverty guidelines - are treated at no cost to them or their families. The NC Missions of Mercy clinic program has provided more than \$37 million in dental care to over 72,000 adult patients – at no charge to them.

2. Provide a brief description of the types of programs, services, and activities supported by State Fiscal Year 2023/2024 Directed Grant.

The State grant is being used to support the expansion of 45-chair MOM and mini-MOM clinics across North Carolina. A mini-MOM clinic was held on April 27th at Central Piedmont Community College (CPCC). A 45- chair clinic has been scheduled at Asheville on September 6th and 7th. Another mini-MOM clinic is being held at CPCC on October 19th. Further, plans are underway to host a mini- MOM clinic at the Alamance Community College in December 2024 and 45-chair clinics are being planned early next year at Elkin and High Point respectively.

3. Provide the number of persons served by the programs, services, and activities supported by these funds, including the counties where services are provided.

Thus far, through the mini-MOM clinic held in Charlotte, 92 patients have been provided with treatment worth \$56,746. The 45- chair clinic in Asheville treated 650 patients.

4. Provide a summary of deliverables, outputs, and outcomes that demonstrate the impact and effectiveness of the programs, services, and activities supported by these funds.

The impact and effectiveness of the MOM program is measured by the total number of patients served at each clinic as well as the \$ value of services provided to the patients receiving treatment.

5. Have there been any significant changes to the intended goals, Scope of Work, and/or planned budget during this reporting period (quarter)? If so, please provide an explanation here.

There haven't been any significant changes to the intended goals, Scope of Work, and/or planned budget during this reporting. NCDS Foundation requested an amendment to the original proposal to include the purchase of a van that would be used to transport equipment and temperature-controlled dental supplies needed for each MOM clinic.

6. Provide any other pertinent information about the progress of the programs, services, and activities supported by these funds.

Thus far, the equipment and supplies needed to treat patients at the upcoming Mini-MOM clinic at CPCC have been purchased using State-directed funds. The contractual expenses cover hourly pay for a part-time resource hired to sort through all the clinic-related supplies and equipment in storage.

7. Provide a summary of expenditures during the reporting period (quarter).

Expenditure Category	Amount of Expenditure
Personnel/Contracted Services Expenses (e.g., program-related staffing)	0
Supplies and Materials Expenses (e.g., office supplies):	\$19,663.00
Non-Fixed Operating Expenses (e.g., travel, utilities):	\$233.87
Fixed Operating Expenses (e.g., office rent, dues and subscriptions):	\$1426
Property and Equipment Expenses (e.g., buildings and improvements):	\$1455
Services/Contracts Expenses (e.g., purchase of services, contracts with service providers):	\$0
Other Expenses (Specify):	\$
Total Expenses:	\$22,777.87

8. Provide a summary of year-to-date expenditures through the end of the current reporting period. The total expenditures on this table should equal the cumulative expenditures from all completed quarterly reports to date.

Expenditure Category	Amount of Expenditure
Personnel/Contracted Services Expenses (e.g., program-related staffing)	\$
Supplies and Materials Expenses (e.g., office supplies):	\$70,595.09
Non-Fixed Operating Expenses (e.g., travel, utilities):	\$233.87
Fixed Operating Expenses (e.g., office rent, dues, and subscriptions):	\$5314
Property and Equipment Expenses (e.g., buildings and improvements):	\$1455
Services/Contracts Expenses (e.g., purchase of services, contracts with service providers):	\$312
Other Expenses (Specify):	\$
Total Expenses:	\$77,909.96

If there are any questions, please contact the Contract Administrator.

QUARTERLY STATUS REPORT

Report Template A: Please use this reporting template for each of the quarterly reports

Recipient Name:	North Carolina Dental Society Foundation
Recipient Tax ID #	82-0880561
Project/Activity Title:	Directed Grant
Reporting Period (Quarter):	October 1, 2024 - December 31, 2024
Recipient's Fiscal Year End:	October 31 st
Report Completion Date:	January 15th, 2025
Preparer of This Report:	Sharon D'costa- Fund Development and Program Director, NCDSF

1. Provide a brief description of the entity's mission, purpose, and governance structure.
 The NC Dental Society Foundation is an affiliated 501c3 charitable arm of the NC Dental Society. The mission of the Foundation is to help dentists help patients, and it does this through education, prevention, and treatment of adults and children through free-clinic settings and support of local community clinics. The NC Missions of Mercy (NC MOM) dental clinics are a signature program of the NC Dental Society Foundation. Adult patients with severe dental needs - whose family income does not exceed 200% of the federal poverty guidelines - are treated at no cost to them or their families. The NC Missions of Mercy clinic program has provided more than \$37 million in dental care to over 72,000 adult patients – at no charge to them.

2. Provide a brief description of the types of programs, services, and activities supported by State Fiscal Year 2023/2024 Directed Grant.

The State grant is being used to support the expansion of 45-chair MOM and mini-MOM clinics across North Carolina. A 45- chair clinic was held at Asheville on September 6th and 7th. \$437,177.24 in total treatment value was provided (based on average dental fees) at no cost to patients through this clinic. Further, a mini- MOM clinic was held at the Alamance Community College on December 7th, 2024. For 2025, 45-chair clinics are being planned this year at High Point, Elkin and Gastonia and the state grant is being used to cover a portion of the expenses associated with the clinics (\$25,000 each).

3. Provide the number of persons served by the programs, services, and activities supported by these funds, including the counties where services are provided.

Thus far, through the 45 chair and mini-MOM clinics 1000 patients have been provided with free dental treatments such as cleanings, extractions, dentures and flippers. The clinics have been held in Buncombe, Mecklenburg and Alamance counties but have had patients attend from across the state.

4. Provide a summary of deliverables, outputs, and outcomes that demonstrate the impact and effectiveness of the programs, services, and activities supported by these funds.

The impact and effectiveness of the MOM program is measured by the total number of patients served at each clinic as well as the \$ value of services provided to the patients receiving treatment.

5. Have there been any significant changes to the intended goals, Scope of Work, and/or planned budget during this reporting period (quarter)? If so, please provide an explanation here.

There haven't been any significant changes to the intended goals, Scope of Work, and/or planned budget during this reporting. NCDS Foundation requested an amendment to the original proposal to include the purchase of a van that would be used to transport equipment and temperature-controlled dental supplies needed for each MOM clinic.

6. Provide any other pertinent information about the progress of the programs, services, and activities supported by these funds.

Thus far, the equipment and supplies needed to treat patients at Mini-MOM and 45- chair clinics have been purchased using State-directed funds. The contractual expenses cover hourly pay for two part-time resource hired to sort through all the clinic-related supplies and equipment in storage as well as a clinic Director who is responsible for directing patient flow at the NC MOM clinics and supports the regular planning of the clinics.

7. Provide a summary of expenditures during the reporting period (quarter).

Expenditure Category	Amount of Expenditure
Personnel/Contracted Services Expenses (e.g., program-related staffing)	0
Supplies and Materials Expenses (e.g., office supplies):	\$18,472.30
Non-Fixed Operating Expenses (e.g., travel, utilities):	\$2,234.15
Fixed Operating Expenses (e.g., office rent, dues and subscriptions):	\$11,066.46
Property and Equipment Expenses (e.g., buildings and improvements):	
Services/Contracts Expenses (e.g., purchase of services, contracts with service providers):	\$1,260.00
Other Expenses (Specify):	\$
Total Expenses:	\$33,032.91

8. Provide a summary of year-to-date expenditures through the end of the current reporting period. The total expenditures on this table should equal the cumulative expenditures from all completed quarterly reports to date.

Expenditure Category	Amount of Expenditure
Personnel/Contracted Services Expenses (e.g., program-related staffing)	\$
Supplies and Materials Expenses (e.g., office supplies):	89,067.39
Non-Fixed Operating Expenses (e.g., travel, utilities):	2,468.02
Fixed Operating Expenses (e.g., office rent, dues, and subscriptions):	16,380.46
Property and Equipment Expenses (e.g., buildings and improvements):	1,455.00
Services/Contracts Expenses (e.g., purchase of services, contracts with service providers):	1,572.00
Other Expenses (Specify):	\$
Total Expenses:	110,942.87

If there are any questions, please contact the Contract Administrator.

QUARTERLY STATUS REPORT

Report Template A: Please use this reporting template for each of the quarterly reports

Recipient Name:	North Carolina Dental Society Foundation
Recipient Tax ID #	82-0880561
Project/Activity Title:	Directed Grant
Reporting Period (Quarter):	October 1, December 31 st
Recipient's Fiscal Year End:	October 31 st
Report Completion Date:	January 15th 2025
Preparer of This Report:	Sharon D'costa- Fund Development and Program Director, NCDSF

1. Provide a brief description of the entity's mission, purpose, and governance structure.

The NC Dental Society Foundation is an affiliated 501c3 charitable arm of the NC Dental Society. The mission of the Foundation is to help dentists help patients, and it does this through education, prevention, and treatment of adults and children through free-clinic settings and support of local community clinics. The NC Missions of Mercy (NC MOM) dental clinics are a signature program of the NC Dental Society Foundation. Adult patients with severe dental needs - whose family income does not exceed 200% of the federal poverty guidelines - are treated at no cost to them or their families. The NC Missions of Mercy clinic program has provided more than \$37.3 million in dental care to over 73,000 adult patients – at no charge to them.

2. Provide a brief description of the types of programs, services, and activities supported by State Fiscal Year 2023/2024 Directed Grant.

The State grant is being used to support the expansion of 45-chair MOM and mini-MOM clinics across North Carolina. A 45- chair clinic was held at Asheville on September 6th and 7th. \$437,177.24 in total treatment value was provided (based on average dental fees) at no cost to patients through this clinic. Further, a mini- MOM clinic was held at the Alamance Community College on December 7th, 2024. For 2025, 45-chair clinics are being planned this year at High Point, Elkin and Gastonia and the state grant is being used to cover a portion of the expenses associated with the clinics (\$25,000 each).

3. Provide the number of persons served by the programs, services, and activities supported by these funds, including the counties where services are provided.

Thus far, through the 45 chair and mini-MOM clinics 16050 patients have been provided with free dental treatments such as cleanings, extractions, dentures and flippers. The clinics have been held in Guilford, Buncombe, Mecklenburg and Alamance counties but have had patients attend from across the state.

4. Provide a summary of deliverables, outputs, and outcomes that demonstrate the impact and effectiveness of the programs, services, and activities supported by these funds.

The impact and effectiveness of the MOM program is measured by the total number of patients served at each clinic as well as the \$ value of services provided to the patients receiving treatment.

5. Have there been any significant changes to the intended goals, Scope of Work, and/or planned budget during this reporting period (quarter)? If so, please provide an explanation here.

There haven't been any significant changes to the intended goals, Scope of Work, and/or planned budget during this reporting. NCDS Foundation requested an amendment to the original proposal to include the purchase of a van that would be used to transport equipment and temperature-controlled dental supplies needed for each MOM clinic.

6. Provide any other pertinent information about the progress of the programs, services, and activities supported by these funds.

Thus far, the equipment and supplies needed to treat patients at Mini-MOM and 45- chair clinics have been purchased using State-directed funds. Mini- MOM clinics have been held at the Alamance County Community College and Central Piedmont Community College. A 45- chair clinic was also held at High Point on March 28th & 29th and provided crucial dental care to adults in need at these communities. The contractual expenses cover hourly pay for two part-time resources hired to sort through all the clinic-related supplies and equipment in storage as well as a clinic Director who is responsible for directing patient flow at the NC MOM clinics and supports the regular planning of the clinics.

7. Provide a summary of expenditures during the reporting period (quarter).

Expenditure Category	Amount of Expenditure
Personnel/Contracted Services Expenses (e.g., program-related staffing)	0
Supplies and Materials Expenses (e.g., office supplies):	\$17,632.44
Non-Fixed Operating Expenses (e.g., travel, utilities):	
Fixed Operating Expenses (e.g., office rent, dues and subscriptions):	\$7,871.25
Property and Equipment Expenses (e.g., buildings and improvements):	
Services/Contracts Expenses (e.g., purchase of services, contracts with service providers):	\$2,492.75
Other Expenses (Specify):	
Total Expenses:	27,996.44

8. Provide a summary of year-to-date expenditures through the end of the current reporting period. The total expenditures on this table should equal the cumulative expenditures from all completed quarterly reports to date.

Expenditure Category	Amount of Expenditure
Personnel/Contracted Services Expenses (e.g., program-related staffing)	\$
Supplies and Materials Expenses (e.g., office supplies):	\$106,699.83
Non-Fixed Operating Expenses (e.g., travel, utilities):	\$ 2,468.02
Fixed Operating Expenses (e.g., office rent, dues, and subscriptions):	\$ 24,251.71
Property and Equipment Expenses (e.g., buildings and improvements):	\$ 1,455.00
Services/Contracts Expenses (e.g., purchase of services, contracts with service providers):	\$ 4,064.75
Other Expenses (Specify):	\$
Total Expenses:	138,939.31

If there are any questions, please contact the Contract Administrator.

QUARTERLY STATUS REPORT

Report Template A: Please use this reporting template for each of the quarterly reports

Recipient Name:	North Carolina Dental Society Foundation
Recipient Tax ID #	82-0880561
Project/Activity Title:	Directed Grant
Reporting Period (Quarter):	April 1 st , June 30 th
Recipient's Fiscal Year End:	October 31 st
Report Completion Date:	July 15th 2025
Preparer of This Report:	Sharon D'costa- Fund Development and Program Director, NCDSF

1. Provide a brief description of the entity's mission, purpose, and governance structure.
 The NC Dental Society Foundation is an affiliated 501c3 charitable arm of the NC Dental Society. The mission of the Foundation is to help dentists help patients, and it does this through education, prevention, and treatment of adults and children through free-clinic settings and support of local community clinics. The NC Missions of Mercy (NC MOM) dental clinics are a signature program of the NC Dental Society Foundation. Adult patients with severe dental needs - whose family income does not exceed 200% of the federal poverty guidelines - are treated at no cost to them or their families. The NC Missions of Mercy clinic program has provided more than \$38.3 million in dental care to over 73,000 adult patients – at no charge to them.

2. Provide a brief description of the types of programs, services, and activities supported by State Fiscal Year 2023/2024 Directed Grant.

The State grant is being used to support the expansion of 45-chair MOM and mini-MOM clinics across North Carolina. A 45- chair clinic was held at Asheville on September 6th and 7th. \$437,177.24 in total treatment value was provided (based on average dental fees) at no cost to patients through this clinic. Further, a mini- MOM clinic was held at the Alamance Community College on December 7th, 2024. In 2025, a 45-chair clinic was held in March at High Point followed by a min- clinic at Central Piedmont Community College and thereafter a 45- chair clinic was held at Elkin on June 20th and 21st. This grant is being used to cover a portion of the expenses associated with the clinics (\$25,000 each).

3. Provide the number of persons served by the programs, services, and activities supported by these funds, including the counties where services are provided.

Thus far, through the 45 chair and mini-MOM clinics 2,255 patients have been provided with free dental treatments such as cleanings, extractions, dentures and flippers. The clinics have been held in Guilford, Buncombe, Mecklenburg, Surry, Wilkes, Yadkin and Alamance counties but have had patients attend from across the state.

4. Provide a summary of deliverables, outputs, and outcomes that demonstrate the impact and effectiveness of the programs, services, and activities supported by these funds.

The impact and effectiveness of the MOM program is measured by the total number of patients served at each clinic as well as the \$ value of services provided to the patients receiving treatment.

5. Have there been any significant changes to the intended goals, Scope of Work, and/or planned budget during this reporting period (quarter)? If so, please provide an explanation here.

There haven't been any significant changes to the intended goals, Scope of Work, and/or planned budget during this reporting. NCDS Foundation requested an amendment to the original proposal to include the purchase of a van that would be used to transport equipment and temperature-controlled dental supplies needed for each MOM clinic. The program now also has a larger, organized storage space, thus allowing the program staff to keep its supplies organized.

6. Provide any other pertinent information about the progress of the programs, services, and activities supported by these funds.

Thus far, the equipment and supplies needed to treat patients at Mini-MOM and 45- chair clinics have been purchased using State-directed funds. Mini- MOM clinics have been held at the Alamance County Community College and Central Piedmont Community College. A 45- chair clinic was also held at High Point on March 28th & 29th and provided crucial dental care to adults in need at these communities. The contractual expenses cover hourly pay for two part-time resources hired to sort through all the clinic-related supplies and equipment in storage as well as a clinic Director who is responsible for directing patient flow at the NC MOM clinics and supports the regular planning of the clinics.

7. Provide a summary of expenditures during the reporting period (quarter).

Expenditure Category	Amount of Expenditure
Personnel/Contracted Services Expenses (e.g., program-related staffing)	0
Supplies and Materials Expenses (e.g., office supplies):	\$45423.51
Non-Fixed Operating Expenses (e.g., travel, utilities):	
Fixed Operating Expenses (e.g., office rent, dues and subscriptions):	\$1413.74
Property and Equipment Expenses (e.g., buildings and improvements):	
Services/Contracts Expenses (e.g., purchase of services, contracts with service providers):	\$7549.11
Other Expenses (Emergency patient dental service treatment):	\$100
Total Expenses:	61,832.61

8. Provide a summary of year-to-date expenditures through the end of the current reporting period. The total expenditures on this table should equal the cumulative expenditures from all completed quarterly reports to date.

Expenditure Category	Amount of Expenditure
Personnel/Contracted Services Expenses (e.g., program-related staffing)	\$
Supplies and Materials Expenses (e.g., office supplies):	\$106,699.83
Non-Fixed Operating Expenses (e.g., travel, utilities):	\$3,881.76
Fixed Operating Expenses (e.g., office rent, dues, and subscriptions):	\$31,597.96
Property and Equipment Expenses (e.g., buildings and improvements):	\$ 1,455.00
Services/Contracts Expenses (e.g., purchase of services, contracts with service providers):	\$11,613.86
Other Expenses (Specify):	\$100
Total Expenses:	\$200,771.92

If there are any questions, please contact the Contract Administrator.

QUARTERLY STATUS REPORT

Please use this reporting template for each of the quarterly reports

Recipient Name:	National Society to Prevent Blindness – North Carolina Affiliate, Inc. (Prevent Blindness North Carolina)
Recipient Tax ID #	56-6088141
Project/Activity Title:	Vision Screening Training and Certification program to make available mass vision screenings in the NC Public Schools
Reporting Period (Quarter):	July 1, 2024 – September 30, 2024 (Quarter 1 FY 24-25)
Report Completion Date:	October 8, 2024 REVISION 1
Preparer of This Report:	A. Edwin Jeffords, Jr. – President & CEO

Were grant funds expended during this reporting period? ☒ Yes ☐ No

Do you certify that all expenses to date have been submitted for this grant? ☒ Yes ☐ No

Do you certify that all funds to date were used for the purposes for which they were awarded and in compliance with your contract? ☒ Yes ☐ No

1. Provide a brief report of activities and accomplishments related to your Grant Project during this reporting period.

Asynchronous and in person trainings to screen school-age children have been offered to public and charter schools. In partnership with school nurses PBNC identified new and existing school staff and volunteer screeners needing training. In-person / on-site trainings have been scheduled at a time, date, and location convenient to staff and volunteers. Participants received a manual and best-practice vision screening charts and were required to pass a written test and demonstration of skills. Those who passed both components were certified for 2 years. PBNC staff consulted with school nurses to mitigate barriers to implementing mass screening programs. Throughout the year referred children in financial need will continue to have access to three PBNC administered voucher applications through a single online application and database.

2. Provide an update on the objectives, as outlined in your Scope of Work, of the grant project. Have you accomplished any of your objectives?

The purpose of this contract is to provide a statewide evidence-based, age-appropriate training and certification of vision screeners across North Carolina in all 100 counties who conduct mass and individual vision screening for children of all ages in NC public and public charter schools.

The program has enabled schools and school systems to:

- provide students with access to free vision screenings in convenient locations
- accurately detect and identify suspected vision disorders at the earliest stage possible
- appropriately refer children to an eye doctor for diagnosis and treatment
- incorporate educational materials promoting health-related behaviors
- offer timely and continued follow-up for those who are referred for potential problems
- mitigate financial barriers to care by providing access to PBNC vouchers for eye exams and glasses

3. Provide an update on your expected results, as outlined in your Scope of Work, of the Grant Project(s). Have you accomplished any of your expected results?

To date PBNC has:

- certified 44% (1325) of the goal to train 3,000 unduplicated vision screener
- reached participants in 61 counties of the goal to serve all 100 counties over a two-year period
- 74% of all participants trained in person.
- provided screening materials and charts to all participants needing new materials to conduct screenings
- provided an online Resource Guide outlining follow-up resources for free or low-cost eye care
- offered access to vision care through PBNC voucher programs for financially needy children
- tracked data on number of counties served and the number of screeners trained per county to date
- a survey requesting program testimonials and child success stories will be released to school nurses in the second half of the school year
- Data on the number of children screened and referred will be available to PBNC via the State School Health Nurse Consultant in August 2024. After DHHS collects the vision screening data, PBNC will work with consultants and nurses to review data consistency and accuracy.

4. Provide an update on your performance measures, as outlined in your Scope of Work, for the Grant Project(s).

- 1,325 screeners trained and certified to date
- 61 counties served

1	Alamance
2	Ashe
3	Beaufort
4	Brunswick
5	Buncombe
6	Cabarrus
7	Caldwell
8	Catawba
9	Cherokee
10	Clay
11	Cleveland
12	Columbus
13	Craven
14	Cumberland
15	Dare
16	Davie
17	Duplin
18	Durham
19	Forsyth
20	Franklin
21	Gaston
22	Graham
23	Granville
24	Greene
25	Guilford
26	Halifax
27	Harnett
28	Haywood
29	Henderson
30	Hertford
31	Hyde
32	Jackson
33	Johnston
34	Macon
35	Martin
36	McDowell
37	Mecklenburg
38	Montgomery
39	Moore
40	New Hanover
41	Onslow
42	Orange
43	Pamlico
44	Pender
45	Perquimans
46	Person
47	Pitt
48	Polk
49	Richmond
50	Robeson
51	Rockingham
52	Scotland
53	Stokes
54	Tyrrell

55	Union
56	Wake
57	Wayne
58	Wilson
59	Yadkin
60	Yancey
61	Iredell

- 100% of local health departments and local education agencies have access electronically to the Vision Resource Guides outlining follow-up resources for obtaining eye care.
- 51 vouchers for free eye care that were offered to 100% of eligible children who have been identified as needing eye care but cannot afford such care.

5. Provide a summary of expenditures during the reporting period (quarter).

<u>Expenditure Category</u>	<u>Amount of Expenditure</u>	<u>Amount of Expenditure</u>
	SFY 2023-2024	SFY 2024-2025
<i>Amount of Appropriation</i>	\$461,997.00	\$461,997.00
Personnel/Contracted Services Expenses (e.g., program-related staffing)		\$75,158.79
Supplies and Materials Expenses (e.g., office supplies):		\$7,973.51
Non-Fixed Operating Expenses (e.g., travel, utilities):		\$11,295.40
Fixed Operating Expenses (e.g., office rent, dues and subscriptions):		\$18,250.00
Property and Equipment Expenses (e.g., buildings and improvements):		\$0.00
Services/Contracts Expenses (e.g., purchase of services, contracts with service providers):		\$250.00
Other Expenses (Specify):		\$0.00
Total Expenses:		\$112,927.70

**6. Provide a summary of year-to-date expenditures through the end of the current reporting period.
The total expenditures on this table should equal the cumulative expenditures from all completed quarterly reports to date.**

<u>Expenditure Category</u>	<u>Amount of Expenditure</u>	<u>Amount of Expenditure</u>
	SFY 2023-2024	SFY 2024-2025
<i>Amount of Appropriation</i>	\$461,997.00	\$461,997.00
Personnel/Contracted Services Expenses (e.g., program-related staffing)	\$306,115.71	\$381,274.50
Supplies and Materials Expenses (e.g., office supplies):	\$32,359.28	\$40,332.79
Non-Fixed Operating Expenses (e.g., travel, utilities):	\$32,171.01	\$43,466.41
Fixed Operating Expenses (e.g., office rent, dues and subscriptions):	\$74,419.00	\$92,669.00
Property and Equipment Expenses (e.g., buildings and improvements):	\$420.00	\$420.00
Services/Contracts Expenses (e.g., purchase of services, contracts with service providers):	\$16,512.00	\$16,762.00
Other Expenses (Specify):	\$0.00	\$0.00
Total Expenses:	\$461,997.00	\$574,924.70

If there are any questions, please contact the Contract Administrator.

QUARTERLY STATUS REPORT
Please use this reporting template for each of the quarterly reports

Recipient Name:	National Society to Prevent Blindness – North Carolina Affiliate, Inc. (Prevent Blindness North Carolina)
Recipient Tax ID #	56-6088141
Project/Activity Title:	Vision Screening Training and Certification program to make available mass vision screenings in the NC Public Schools
Reporting Period (Quarter):	October 1, 2024 – December 31, 2024 (Quarter 2 FY 24-25)
Report Completion Date:	January 8, 2025
Preparer of This Report:	A. Edwin Jeffords, Jr. – President & CEO

Were grant funds expended during this reporting period? ☒ Yes ☐ No

Do you certify that all expenses to date have been submitted for this grant? ☒ Yes ☐ No

Was any interest earned on these grant funds during this reporting period? ☒ Yes ☐ No

If Yes, specify the amount of interest earned over the life of the grant through this reporting period: \$ **1,004.48**

Do you certify that all funds expended to date were used for the purposes for which they were awarded and in compliance with your contract? ☒ Yes ☐ No

Did you make any subawards of this funding during this reporting period? ☐ Yes ☒ No

If yes, attach a list of subawards including subrecipient entity name, tax ID number, subaward amount, and an indication that the subrecipient is not on the [State Suspension of Funding List](#) or suspended or debarred from receiving federal funds if applicable. Please note that per the contract terms and conditions and State law, your organization is responsible for ensuring that any subrecipients comply with all requirements of your contract and provide you with adequate and timely information to fulfill your reporting obligations.

Final reports should be submitted once all funds are expended and grant activities are completed. Is this your final quarterly report? ☐ Yes ☒ No

If yes, please complete and sign below indicating that all expenses have been submitted and all funds and any interest earned were used for the purposes for which they were awarded and in compliance with the contract. Please note that all records pertaining to this grant must be retained for a minimum of five years or until all audit exceptions have been resolved, whichever is longer. If the contract is subject to federal policy and regulations, record retention may be longer than five years. Recipients are required to maintain reports and accounting records that support the allowable expenditure of State funds and shall make available all reports and records for inspection by the NC Department of Health and Human Services, the Office of State Budget and Management, and the Office of the State Auditor for oversight, monitoring, and evaluation purposes.

Name and Title of Organization Official

Signature of Organization Official

Date

1. Provide a brief report of activities and accomplishments related to your Grant Project during this reporting period.

Asynchronous and in person trainings to screen school-age children have been offered to public and charter schools. In partnership with school nurses PBNC identified new and existing school staff and volunteer screeners needing training. In-person / on-site trainings have been scheduled at a time, date, and location convenient to staff and volunteers. Participants received a manual and best-practice vision screening charts and were required to pass a written test and demonstration of skills. Those who passed both components were certified for 2 years. PBNC staff consulted with school nurses to mitigate barriers to implementing mass screening programs. Throughout the year referred children in financial need will continue to have access to three PBNC administered voucher applications through a single online application and database.

2. Provide an update on the objectives, as outlined in your Scope of Work, of the grant project. Have you accomplished any of your objectives?

The purpose of this contract is to provide a statewide evidence-based, age-appropriate training and certification of vision screeners across North Carolina in all 100 counties who conduct mass and individual vision screening for children of all ages in NC public and public charter schools.

The program has enabled schools and school systems to:

- provide students with access to free vision screenings in convenient locations
- accurately detect and identify suspected vision disorders at the earliest stage possible
- appropriately refer children to an eye doctor for diagnosis and treatment
- incorporate educational materials promoting health-related behaviors
- offer timely and continued follow-up for those who are referred for potential problems
- mitigate financial barriers to care by providing access to PBNC vouchers for eye exams and glasses

3. Provide an update on your expected results, as outlined in your Scope of Work, of the Grant Project(s). Have you accomplished any of your expected results?

To date PBNC has:

- certified 86% (2569) of the goal to train 3,000 unduplicated vision screeners
- reached participants in 86 counties of the goal to serve all 100 counties over a two-year period
- 76% of all participants trained in person.
- provided screening materials and charts to all participants needing new materials to conduct screenings
- provided an online Resource Guide outlining follow-up resources for free or low-cost eye care
- offered access to vision care through PBNC voucher programs for financially needy children
- tracked data on number of counties served and the number of screeners trained per county to date
a survey requesting program testimonials and child success stories will be released to school nurses in the second half of the school year
- Data on the number of children screened and referred will be available to PBNC via the State School Health Nurse Consultant in August 2024. After DHHS collects the vision screening data, PBNC will work with consultants and nurses to review data consistency and accuracy.

4. Provide an update on your performance measures, as outlined in your Scope of Work, for the Grant Project(s).

- 2,569 screeners trained and certified to date
- 81 counties served

- 1 Alamance
- 2 Ashe
- 3 Beaufort
- 4 Brunswick
- 5 Buncombe
- 6 Burke
- 7 Cabarrus
- 8 Caldwell
- 9 Carteret
- 10 Catawba
- 11 Chatham
- 12 Cherokee
- 13 Clay
- 14 Cleveland
- 15 Columbus
- 16 Craven
- 17 Cumberland
- 18 Currituck
- 19 Dare
- 20 Davie
- 21 Duplin
- 22 Durham
- 23 Forsyth
- 24 Franklin
- 25 Gaston
- 26 Graham
- 27 Granville
- 28 Greene
- 29 Guilford
- 30 Halifax
- 31 Harnett
- 32 Haywood
- 33 Henderson
- 34 Hertford
- 35 Hoke
- 36 Hyde
- 37 Iredell
- 38 Jackson
- 39 Johnston
- 40 Jones
- 41 Lee
- 42 Macon
- 43 Madison
- 44 Martin
- 45 McDowell
- 46 Mecklenburg
- 47 Montgomery
- 48 Moore
- 49 Nash
- 50 New Hanover
- 51 Northampton
- 52 Onslow
- 53 Orange
- 54 Pamlico

55 Pasquotank
 56 Pender
 57 Perquimans
 58 Person
 59 Pitt
 60 Polk
 61 Primary Care Provider
 62 Randolph
 63 Richmond
 64 Robeson
 65 Rockingham
 66 Rutherford
 67 Scotland
 68 Stanly
 69 Stokes
 70 Surry
 71 Swain
 72 Transylvania
 73 Tyrrell
 74 Union
 75 Wake
 76 Washington
 77 Watauga
 78 Wayne
 79 Wilson
 80 Yadkin
 81 Yancey

- 100% of local health departments and local education agencies have access electronically to the Vision Resource Guides outlining follow-up resources for obtaining eye care.
- 100% of eligible children who have been identified as needing eye care but cannot afford such care were offered access to voucher applications. 199 children were issued vouchers during this period.

5. Provide a summary of expenditures during the reporting period (quarter).

Expenditure Category	Amount of Expenditure
Personnel/Contracted Services Expenses (e.g., program-related staffing)	\$81,800.35
Supplies and Materials Expenses (e.g., office supplies):	\$11,873.84
Non-Fixed Operating Expenses (e.g., travel, utilities):	\$9,818.57
Fixed Operating Expenses (e.g., office rent, dues and subscriptions):	\$18,034.00
Property and Equipment Expenses (e.g., buildings and improvements):	\$0.00
Services/Contracts Expenses (e.g., purchase of services, contracts with service providers):	\$600.00
Other Expenses (Specify):	\$0.00
Total Expenses:	\$122,126.76

6. Provide a summary of all grant expenditures through the end of the current reporting period. The total expenditures on this table should equal the cumulative expenditures from all completed quarterly reports to date.

Expenditure Category	Amount of Expenditure
Personnel/Contracted Services Expenses (e.g., program-related staffing)	\$463,074.85
Supplies and Materials Expenses (e.g., office supplies):	\$52,206.63
Non-Fixed Operating Expenses (e.g., travel, utilities):	\$53,284.98
Fixed Operating Expenses (e.g., office rent, dues and subscriptions):	\$110,703.00
Property and Equipment Expenses (e.g., buildings and improvements):	\$420.00
Services/Contracts Expenses (e.g., purchase of services, contracts with service providers):	\$17,362.00
Other Expenses (Specify):	\$0.00
Total Expenses:	\$697,051.46

Please contact your Contract Administrator with any questions.

QUARTERLY STATUS REPORT

Please use this reporting template for each of the quarterly reports

Recipient Name:	National Society to Prevent Blindness - North Carolina Affiliate, Inc. (Prevent Blindness North Carolina)
Recipient Tax ID#	56-6088141
ProjecUActivity Title:	Vision Screening Training and Certification program to make available mass vision screenings in the NC Public Schools
Reporting Period (Quarter):	January 1, 2025 - March 31, 2025 (Quarter 3 FY 24-25)
Report Completion Date:	April 4, 2025
Preparer of This Report:	A. Edwin Jeffords, Jr. - President & CEO

Were grant funds expended during this reporting period? ☒ Yes ☐ No

Do you certify that all expenses to date have been submitted for this grant? ☒ Yes ☐ No

Was any interest earned on these grant funds during this reporting period? ☒ Yes ☐ No

If Yes, specify the amount of interest earned over the life of the grant through this reporting period: \$ **1,111.29**

Do you certify that all funds expended to date were used for the purposes for which they were awarded and in compliance with your contract? ☒ Yes ☐ No

Did you make any subawards of this funding during this reporting period? ☐ Yes ☒ No

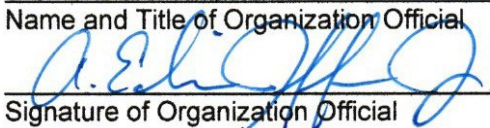
If yes, attach a list of subawards including subrecipient entity name, tax ID number, subaward amount, and an indication that the subrecipient is not on the [State Suspension of Funding List](#) or suspended or debarred from receiving federal funds if applicable. Please note that per the contract terms and conditions and State law, your organization is responsible for ensuring that any subrecipients comply with all requirements of your contract and provide you with adequate and timely information to fulfill your reporting obligations.

Final reports should be submitted once all funds are expended and grant activities are completed. Is this your final quarterly report? ☐ Yes ☒ No

If yes, please complete and sign below indicating that all expenses have been submitted and all funds and any interest earned were used for the purposes for which they were awarded and in compliance with the contract. Please note that all records pertaining to this grant must be retained for a minimum of five years or until all audit exceptions have been resolved, whichever is longer. If the contract is subject to federal policy and regulations, record retention may be longer than five years. Recipients are required to maintain reports and accounting records that support the allowable expenditure of State funds and shall make available all reports and records for inspection by the NC Department of Health and Human Services, the Office of State Budget and Management, and the Office of the State Auditor for oversight, monitoring, and evaluation purposes.

A. Edwin Jeffords, Jr. – President & CEO

Name and Title of Organization Official


Signature of Organization Official

4/4/2025

Date

1. Provide a brief report of activities and accomplishments related to your Grant Project during this reporting period.

Asynchronous and in person trainings to screen school-age children have been offered to public and charter schools. In partnership with school nurses PBNC identified new and existing school staff and volunteer screeners needing training. In-person/ on-site trainings have been scheduled at a time, date, and location convenient to staff and volunteers. Participants received a manual and best-practice vision screening charts and were required to pass a written test and demonstration of skills. Those who passed both components were certified for 2 years. PBNC staff consulted with school nurses to mitigate barriers to implementing mass screening programs. Throughout the year referred children in financial need will continue to have access to three PBNC administered voucher applications through a single online application and database.

2. Provide an update on the objectives, as outlined in your Scope of Work, of the grant project. Have you accomplished any of your objectives?

The purpose of this contract is to provide a statewide evidence-based, age-appropriate training and certification of vision screeners across North Carolina in all 100 counties who conduct mass and individual vision screening for children of all ages in NC public and public charter schools.

The program has enabled schools and school systems to:

- provide students with access to free vision screenings in convenient locations
- accurately detect and identify suspected vision disorders at the earliest stage possible
- appropriately refer children to an eye doctor for diagnosis and treatment
- incorporate educational materials promoting health-related behaviors
- offer timely and continued follow-up for those who are referred for potential problems
- mitigate financial barriers to care by providing access to PBNC vouchers for eye exams and glasses

3. Provide an update on your expected results, as outlined in your Scope of Work, of the Grant Project(s). Have you accomplished any of your expected results?

To date PBNC has:

- certified 102% (3055) of the goal to train 3,000 unduplicated vision screeners
- reached participants in 86 counties of the goal to serve all 100 counties over a two-year period
- 76% of all participants trained in person.
- provided screening materials and charts to all participants needing new materials to conduct screenings
- provided an online Resource Guide outlining follow-up resources for free or low-cost eye care
- offered access to vision care through PBNC voucher programs for financially needy children
- tracked data on number of counties served and the number of screeners trained per county to date
a survey requesting program testimonials and child success stories will be released to school nurses in the second half of the school year
- Data on the number of children screened and referred will be available to PBNC via the State School Health Nurse Consultant in August 2025. After DHHS collects the vision screening data, PBNC will work with consultants and nurses to review data consistency and accuracy.

4. Provide an update on your performance measures, as outlined in your Scope of Work, for the Grant Project(s).

- 3,055 screeners trained and certified to date
- 83 counties served

1. Alamance
2. Ashe
3. Beaufort
4. Bladen
5. Brunswick
6. Buncombe
7. Burke
8. Cabarrus
9. Caldwell
10. Carteret
11. Caswell
12. Catawba
13. Chatham
14. Cherokee
15. Clay
16. Cleveland
17. Columbus
18. Craven
19. Cumberland
20. Currituck
21. Dare
22. Davidson
23. Davie
24. Duplin
25. Durham
26. Forsyth
27. Franklin
28. Gaston
29. Graham
30. Granville
31. Greene
32. Guilford
33. Halifax
34. Harnett
35. Haywood
36. Henderson
37. Hertford
38. Hoke
39. Hyde
40. Iredell
41. Jackson
42. Johnston
43. Jones
44. Lee
45. Macon
46. Madison
47. Martin
48. McDowell
49. Mecklenburg
50. Montgomery
51. Moore
52. Nash
53. New Hanover
54. Northampton

55. Onslow
56. Orange
57. Pamlico
58. Pasquotank
59. Pender
60. Perquimans
61. Person
62. Pitt
63. Polk
64. Randolph
65. Richmond
66. Robeson
67. Rockingham
68. Rutherford
69. Scotland
70. Stanly
71. Stokes
72. Surry
73. Swain
74. Transylvania
75. Tyrrell
76. Union
77. Wake
78. Washington
79. Watauga
80. Wayne
81. Wilson
82. Yadkin
83. Yancey

- 100% of local health departments and local education agencies have access electronically to the Vision Resource Guides outlining follow-up resources for obtaining eye care.
-

5. Provide a summary of expenditures during the reporting period (quarter).

<u>Expenditure Category</u>	<u>Amount of Ex penditure</u>
<u>Personnel/Contracted Services Expenses (e.g., program-related staffing)</u>	\$75,282.38
<u>Supplies and Materials Expenses (e.g., office supplies):</u>	\$11 298.97
<u>Non-Fixed Operating Expenses (e.g., travel, utilities):</u>	\$4,555.23
<u>Fixed Operating Expenses (e.g., office rent, dues and subscriptions):</u>	\$17,935.00
<u>Property and Equipment Expenses (e.g., buildings and improvements):</u>	\$0.00
<u>Services/Contracts Expenses (e.g., purchase of services, contracts with service providers):</u>	\$400.00
<u>Other Expenses (Specify)</u>	\$0.00
<u>Total Expenses:</u>	\$109,471.58

6. Provide a summary of all grant expenditures through the end of the current reporting period. The total expenditures on this table should equal the cumulative expenditures from all completed quarterly reports to date.

<u>Expenditure Category</u>	Amount of Expenditure
Personnel/Contracted Services Expenses (e.g., program-related staffing)	\$538,357.23
Supplies and Materials Expenses (e.g., office supplies):	\$63,505.60
Non-Fixed Operating Expenses (e.g., travel, utilities):	\$57,840.21
Fixed Operating Expenses (e.g., office rent, dues and subscriptions):	\$128,638.00
Property and Equipment Expenses (e.g., buildings and improvements):	\$420.00
Services/Contracts Expenses (e.g., purchase of services, contracts with service providers):	\$17,762.00
Other Expenses (Specify)	\$0.00
Total Expenses:	\$806,523.04

Please contact your Contract Administrator with any questions.

**NC DHHS DIRECTED GRANT
QUARTERLY STATUS REPORT**

Please use this reporting template for each of the quarterly reports

Recipient Name:	SAIL, Initiative, Inc.
Recipient Tax ID #	82-1534714
Project/Activity title:	23-25 Biennium Directed Grant- SAIL Initiative
Reporting Period (Quarter):	April 1, 2025 - June 30, 2025
Report Completion Date:	July 9, 2025
Preparer of This Report:	Maria Hardenbergh, MD

Were grant funds expended during this reporting period? ...x_Yes No

Do you certify that all expenses to date have been submitted for this grant? ...x_Yes _No

Was any interest earned on these grant funds during this reporting period? _Yes ...x_No

If Yes, specify the amount of interest earned over the life of the grant through this reporting period: \$ _____

Do you certify that all funds expended to date were used for the purposes for which they were awarded and in compliance with your contract? _x_ Yes _No

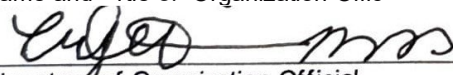
Did you make any subawards of this funding during this reporting period? _Yes _x_No

If yes, attach a list of subawards including subrecipient entity name, tax ID number, subaward amount, and an indication that the subrecipient is not on the [State Suspension of Funding List](#) or suspended or debarred from receiving federal funds if applicable. Please note that per the contract terms and conditions and State law, your organization is responsible for ensuring that any subrecipients comply with all requirements of your contract and provide you with adequate and timely information to fulfill your reporting obligations.

Final reports should be submitted once all funds are expended and grant activities are completed. Is this your final quarterly report? _x_Yes _No

If yes, please complete and sign below indicating that all expenses have been submitted and all funds and any interest earned were used for the purposes for which they were awarded and in compliance with the contract. Please note that all records pertaining to this grant must be retained for a minimum of five years or until all audit exceptions have been resolved, whichever is longer. If the contract is subject to federal policy and regulations, record retention may be longer than five years. Recipients are required to maintain reports and accounting records that support the allowable expenditure of State funds and shall make available all reports and records for inspection by the NC Department of Health and Human Services, the Office of State Budget and Management, and the Office of the State Auditor for oversight, monitoring, and evaluation purposes.

mQ,--ta Hatdenbergh M17
Name and Title of Organization Official


Signature of Organization Official

07/09/2025
Date

1. Provide a brief report of activities and accomplishments related to your Grant Project during this reporting period.

SAIL Initiative provides funding for Breeches Buoy Addiction Medicine Service to provide addiction medicine services for patients presenting to UNC Health Southeastern, a community-based hospital serving Robeson and surrounding counties. From April 1, 2025, through June 30, 2025, 253 unduplicated patients received an addiction medicine consultation performed by Breeches Buoy Addiction Medicine service at UNC Health Southeastern. 35.5% of patients served were referred to inpatient treatment for substance use disorder, 50% were referred to outpatient treatment and 14% refused referral to treatment for substance use disorder following acute hospitalization. 0.5% left against medical advice.

2. Provide an update on the objectives, as outlined in your Scope of Work, of the grant project. Have you **accomplished any** of your **objectives**?

SAIL Initiative used directed grant funding for salaries, benefits, and related expenses for BBAMS clinical staff. BBAMS clinicians (Psychiatric Nurse Practitioners) provided acute clinical stabilization and evidence based long term treatment plan using the American Society of Addiction Medicine Criteria for each patient. Clinical Peer Support Specialists provided assistance with access to aftercare throughout and immediately following each hospital encounter. Licensed Substance Use Disorder Counselor provided motivational interviewing and psychotherapy services to increase patient directed involvement in treatment plan. All services were supervised by a medical doctor board certified in Addiction Medicine. All objectives regarding support of these services were accomplished during this reporting period.

3. Provide an update on your expected results, as outlined in your Scope of Work, of the Grant Project(s). Have you accomplished any of your expected results?

Formal substance use disorder assessment following an acute event requiring ED visit or hospitalization was increased by 100% secondary to the services of Breeches Buoy Addiction **Medicine** Service during the reporting period. Clinical peer support specialist engagement during hospitalization or ED encounter increased by 100% during the reporting period. These percentages are increased from 0% in traditional community hospital settings as addiction medicine is largely uncompensated care and is therefore not incorporated in hospital service lines. The institution of addiction medicine (BBAMS) at UNC Health Southeastern brings 0% of patients receiving expert addiction medicine acute stabilization and opportunity for assistance with access to aftercare to 100% for patients receiving consultation Monday through Friday from 8am to 5pm.

The clinical counseling provided to patients by BBAMS staff both patient understanding of their disease and confidence in evidence-based aftercare have been increased. 100% Number of surveys were provided to patients showing the following results during the reporting period referenced above: Beginning March 13, 2024, pre and post intervention surveys were offered to assess patient perceptions and understanding of substance abuse disorder concepts and components. 65 total surveys were offered (45 PRE and 20 POST). 25 responses were gathered for the PRE survey and 20 were declined (53% response rate). 10 responses were gathered for the POST survey and 10 were declined (50% response rate). While there are unequal volumes of responses for the PRE and POST surveys, the aggregate increase in patient-reported perception/understanding for all 4 survey questions is encouraging as we continue to refine our peer support efforts.

- Survey question 1: "How well do you understand the criteria experts use to diagnose substance use disorder/addiction?" Average PRE survey response of 1.9/5.0 increased to 4.8/5.0 average POST survey response **(72% increase)**.
- Survey question 2: "How well do you understand the role of dopamine in the disease of addiction?" Average PRE survey response of 2.3/5.0 increased to 4.8/5.0 average POST survey response **(57% increase)**.
- Survey question 3: "How treatable do you believe the disease of addiction is?" Average PRE survey response of 4.0/5.0 increased to 5.0/5.0 average POST survey response **(25% increase)**.
- Survey question 4: **"How** important do you think 12 step programs **(AA/NA)** are in maintaining disease remission?" Average PRE survey response of 4.27/5.0 increased to 4.86/5.0 average POST survey response **(14% increase)**

BBAMS has been able to increase access to acute substance use disorder stabilization in the interim between hospital discharge and aftercare appointments (both inpatient and outpatient) through the development of an acute outpatient service. BBAMS clinical providers continue to see patients in the outpatient office and via telehealth providing medical management and continued supportive counseling prior to the aftercare appointment.

BBAMS service was instituted at UNC Health Southeastern in June of 2021. ED visits due to overdose in 2022 were 454 per DHHS reporting for Robeson County. ED visits due to overdose for the YID reporting for 2023 were reduced to 269. 74 ED overdose visits YTD in comparison to 114 ED overdose visits for this time period last year. While variables that may contribute to this decrease are not accounted for, this decrease mirroring the advent of hospital-based addiction medicine services is likely not anecdotal.

Since BBAMS service was instituted in June of 2021, the percentage of duplicated consultations resulting from repeat hospital encounter has decreased from 60% to 20% via observational analysis.

4. Provide an update on your performance measures, as outlined in your Scope of Work, for the Grant Project(s).

BBAMS is working with a research analyst to collect and maintain data prospectively using the development of a HIPAA compliant database. Total number of consultations (duplicated and unduplicated) and stratification of the same includes specific substance use disorder (alcohol, opioid, stimulant, etc.), type of aftercare treatment plan (inpatient versus outpatient), administration of MAT (buprenorphine), race, gender, and age.

Data for total number of CPSS encounters will be collected through development of acute outpatient BBAMS program in the coming months. Individual CPSS encounters cannot be assessed in the hospital as all independent documentation must be entered by a clinical provider (Nurse Practitioner or Medical Doctor).

Survey instrument is being administered by CPSS to assess patient fund of knowledge regarding disease and confidence in treatment for substance use disorder. Current findings are outlined under section 3 above.

BBAMS is developing a database to collect acute outpatient encounters both by clinical providers and CPSS following hospital discharge and prior to engagement in long term treatment.

BBAMS services will continue to monitor the DHHS dashboard for Robeson County regarding the number of overdose encounters in the ED at UNC Health Southeastern.

BBAMS will continue to monitor and report the number of duplicated ED visits using the data collected and maintained from the EMR and compared with total encounters submitted by external administrative service.

5. Provide a summary of expenditures during the reporting period (quarter).

<u>Expenditure Category</u>	<u>Amount of Expenditure</u>
Personnel/Contracted Services Expenses (e.g., program-related staffing)	\$137,500.00
Supplies and Materials Expenses (e.g., office supplies):	\$
Non-Fixed Operating Expenses (e.g., travel, utilities):	\$
Fixed Operating Expenses (e.g., office rent, dues and subscriptions):	\$
Property and Equipment Expenses (e.g., buildings and improvements):	\$
Services/Contracts Expenses (e.g., purchase of services, contracts with service providers):	\$
Other Expenses (Specify):	\$
Total Expenses:	\$137,500.00

6. Provide a summary of all grant expenditures through the end of the current reporting period. The total expenditures on this table should equal the cumulative expenditures from all completed quarterly reports to date.

<u>Expenditure Category</u>	<u>Amount of Expenditure</u>
Personnel/Contracted Services Expenses (e.g., program-related staffing)	\$1,000,000.00
Supplies and Materials Expenses (e.g., office supplies):	\$
Non-Fixed Operating Expenses (e.g., travel, utilities):	\$
Fixed Operating Expenses (e.g., office rent, dues and subscriptions):	\$
Property and Equipment Expenses (e.g., buildings and improvements):	\$
Services/Contracts Expenses (e.g., purchase of services, contracts with service providers):	\$
Other Expenses (Specify):	\$
Total Expenses:	\$1,000,000.00

Please contact your Contract Administrator with any questions.

**NC DHHS DIRECTED GRANT
QUARTERLY STATUS REPORT**

Please use this reporting template for each of the quarterly reports

Recipient Name:	SAIL, Initiative, Inc.
Recipient Tax ID#	82-1534714
Project/Activity Title:	23-25 Biennium Directed Grant - SAIL Initiative
Reporting Period (Quarter):	July 1, 2024 - September 30, 2024
Report Completion Date:	10/15/2024 REVISED
Preparer of This Report:	Marla Hardenbergh, MD

Were grant funds expended during this reporting period? ☐ IL Yes ☐ No

Do you certify that all expenses to date have been submitted for this grant? ☐ IL Yes ☐ No

Was any interest earned on these grant funds during this reporting period? ☐ Yes ☐ IL No

If Yes, specify the amount of interest earned over the life of the grant through this reporting period: \$ _____

Do you certify that all funds expended to date were used for the purposes for which they were awarded and in compliance with your contract? ☐ IL Yes ☐ No

Did you make any subawards of this funding during this reporting period? ☐ Yes ☐ IL No

If yes, attach a list of subawards including subrecipient entity name, tax ID number, subaward amount, and an indication that the subrecipient is not on the [State Suspension of Funding List](#) or suspended or debarred from receiving federal funds if applicable. Please note that per the contract terms and conditions and State law, your organization is responsible for ensuring that any subrecipients comply with all requirements of your contract and provide you with adequate and timely information to fulfill your reporting obligations.

Final reports should be submitted once all funds are expended and grant activities are completed. Is this your final quarterly report? ☐ Yes ☐ IL No

If yes, please complete and sign below indicating that all expenses have been submitted and all funds and any interest earned were used for the purposes for which they were awarded and in compliance with the contract. Please note that all records pertaining to this grant must be retained for a minimum of five years or until all audit exceptions have been resolved, whichever is longer. If the contract is subject to federal policy and regulations, record retention may be longer than five years. Recipients are required to maintain reports and accounting records that support the allowable expenditure of State funds and shall make available all reports and records for inspection by the NC Department of Health and Human Services, the Office of State Budget and Management, and the Office of the State Auditor for oversight, monitoring, and evaluation purposes.

Name and Title of Organization Official

Signature of Organization Official

Date

1. Provide a brief report of activities and accomplishments related to your Grant Project during this reporting period.

SAIL Initiative provides funding for Breeches Buoy Addiction Medicine Service to provide addiction medicine services for patients presenting to UNC Health Southeastern, a community-based hospital serving Robeson and surrounding counties. From July 1, 2024, through September 30, 2024, 333 unduplicated patients received an addiction medicine consultation performed by Breeches Buoy Addiction Medicine service at UNC Health Southeastern. 28% of patients served were referred to inpatient treatment for substance use disorder, 38% were referred to outpatient treatment and 31% refused referral to treatment for substance use disorder following acute hospitalization. 3% left against medical advice.

2. Provide an update on the objectives, as outlined in your Scope of Work, of the grant project. Have you accomplished any of your objectives?

SAIL Initiative used directed grant funding for salaries, benefits, and related expenses for BBAMS clinical staff. BBAMS clinicians (Psychiatric Nurse Practitioners) provided acute clinical stabilization and evidence based long term treatment plan using the American Society of Addiction Medicine Criteria for each patient. Clinical Peer Support Specialists provided assistance with access to aftercare throughout and immediately following each hospital encounter. Licensed Substance Use Disorder Counselor provided motivational interviewing and psychotherapy services to increase patient directed involvement in treatment plan. All services were supervised by a medical doctor board certified in Addiction Medicine. All objectives regarding support of these services were accomplished during this reporting period.

3. Provide an update on your expected results, as outlined in your Scope of Work, of the Grant Project(s). Have you accomplished any of your expected results?

Formal substance use disorder assessment following an acute event requiring ED visit or hospitalization was increased by 100% secondary to the services of Breeches Buoy Addiction Medicine Service during the reporting period. Clinical peer support specialist engagement during hospitalization or ED encounter increased by 100% during the reporting period. These percentages are increased from 0% in traditional community hospital settings as addiction medicine is largely uncompensated care and is therefore not incorporated in hospital service lines. The institution of addiction medicine (BBAMS) at UNC Health Southeastern brings 0% of patients receiving expert addiction medicine acute stabilization and opportunity for assistance with access to aftercare to 100% for patients receiving consultation Monday through Friday from 8am to 5pm.

The clinical counseling provided to patients by BBAMS staff both patient understanding of their disease and confidence in evidence-based aftercare have been increased. 100% Number of surveys were provided to patients showing the following results during the reporting period referenced above: Beginning March 13, 2024, pre and post intervention surveys were offered to assess patient perceptions and understanding of substance abuse disorder concepts and components. 65 total surveys were offered (45 PRE and 20 POST). 25 responses were gathered for the PRE survey and 20 were declined (53% response rate). 10 responses were gathered for the POST survey and 10 were declined (50% response rate). While there are unequal volumes of responses for the PRE and POST surveys, the aggregate increase in patient-reported perception/understanding for all 4 survey questions is encouraging as we continue to refine our peer support efforts.

- Survey question 1: "How well do you understand the criteria experts use to diagnose substance use disorder/addiction?" Average PRE survey response of 1.9/5.0 increased to 4.8/5.0 average POST survey response **(72% increase)**.
- Survey question 2: "How well do you understand the role of dopamine in the disease of addiction?" Average PRE survey response of 2.3/5.0 increased to 4.8/5.0 average POST survey response **(57% increase)**.
- Survey question 3: "How treatable do you believe the disease of addiction is?" Average PRE survey response of 4.0/5.0 increased to 5.0/5.0 average POST survey response **(25% increase)**
- Survey question 4: "How important do you think 12 step programs (AA/NA) are in maintaining disease remission?" Average PRE survey response of 4.27/5.0 increased to 4.86/5.0 average POST survey response **(14% increase)**

BBAMS has been able to increase access to acute substance use disorder stabilization in the interim between hospital discharge and aftercare appointments (both inpatient and outpatient) through the development of an acute outpatient service. BBAMS clinical providers continue to see patients in the outpatient office and via telehealth providing medical management and continued supportive counseling prior to the aftercare appointment.

BBAMS service was instituted at UNC Health Southeastern in June of 2021. ED visits due to overdose in 2022 were 454 per DHHS reporting for Robeson County. ED visits due to overdose for the YTD reporting for 2023 were reduced to 269. 74 ED overdose visits YTD in comparison to 114 ED overdose visits for this time period last year. While variables that may contribute to this decrease are not accounted for, this decrease mirroring the advent of hospital-based addiction medicine services is likely not anecdotal.

Since BBAMS service was instituted in June of 2021, the percentage of duplicated consultations resulting from repeat hospital encounter has decreased from 60% to 20% via observational analysis.

4. Provide an update on your performance measures, as outlined in your Scope of Work, for the Grant Project(s).

BBAMS is working with a research analyst to collect and maintain data prospectively using the development of a HIPPA compliant database. Total number of consultations {duplicated and unduplicated} and stratification of the same includes specific substance use disorder {alcohol, opioid, stimulant, etc.}, type of aftercare treatment plan (inpatient versus outpatient), administration of MAT (buprenorphine), race, gender, and age.

Data for total number of CPSS encounters will be collected through development of acute outpatient BBAMS program in the coming months. Individual CPSS encounters cannot be assessed in the hospital as all independent documentation must be entered by a clinical provider (Nurse Practitioner or Medical Doctor).

Survey instrument is being administered by CPSS to assess patient fund of knowledge regarding disease and confidence is treatment for substance use disorder. Current findings are outlined under section 3 above.

BBAMS is developing a database to collect acute outpatient encounters both by clinical providers and CPSS following hospital discharge and prior to engagement in long term treatment.

BBAMS services will continue to monitor the DHHS dashboard for Robeson County regarding the number of overdose encounters in the ED at UNC Health Southeastern.

BBAMS will continue to monitor and report the number of duplicated ED visits using the data collected and maintained from the EMR and compared with total encounters submitted by external administrative service.

5. Provide a summary of expenditures during the reporting period (quarter).

<u>Expenditure Category</u>	<u>Amount of Expenditure</u>
Personnel/Contracted Services Expenses (e.g., program-related staffing)	\$137,500
Supplies and Materials Expenses (e.g., office supplies):	\$
Non-Fixed Operating Expenses (e.g., travel, utilities):	\$
Fixed Operating Expenses (e.g., office rent, dues and subscriptions):	\$
Property and Equipment Expenses (e.g., buildings and improvements):	\$
Services/Contracts Expenses (e.g., purchase of services, contracts with service providers):	\$
Other Expenses (Specify):	\$
Total Expenses:	\$137,500

6. Provide a summary of all grant expenditures through the end of the current reporting period. The total expenditures on this table should equal the cumulative expenditures from all completed quarterly reports to date.

<u>Expenditure Category</u>	<u>Amount of Expenditure</u>
Personnel/Contracted Services Expenses (e.g., program-related staffing)	\$687,500
Supplies and Materials Expenses (e.g., office supplies):	\$
Non-Fixed Operating Expenses (e.g., travel, utilities):	\$
Fixed Operating Expenses (e.g., office rent, dues and subscriptions):	\$
Property and Equipment Expenses (e.g., buildings and improvements):	\$
Services/Contracts Expenses (e.g., purchase of services, contracts with service providers):	\$
Other Expenses (Specify):	\$
Total Expenses:	\$687,500

Please contact your Contract Administrator with any questions.

**NC DHHS DIRECTED GRANT
QUARTERLY STATUS REPORT**

Please use this reporting template for each of the quarterly reports

Recipient Name:	SAIL, Initiative, Inc.
Recipient Tax ID #	82-1534714
Project/Activity Title:	23-25 Biennium Directed Grant – SAIL Initiative
Reporting Period (Quarter):	October 1, 2024 – December 31, 2024
Report Completion Date:	January 14, 2025
Preparer of This Report:	Marla Hardenbergh, MD

Were grant funds expended during this reporting period? ☒ Yes ☐ No

Do you certify that all expenses to date have been submitted for this grant? ☒ Yes ☐ No

Was any interest earned on these grant funds during this reporting period? ☐ Yes ☒ No

If Yes, specify the amount of interest earned over the life of the grant through this reporting period: \$ _____

Do you certify that all funds expended to date were used for the purposes for which they were awarded and in compliance with your contract? ☒ Yes ☐ No

Did you make any subawards of this funding during this reporting period? ☐ Yes ☒ No

If yes, attach a list of subawards including subrecipient entity name, tax ID number, subaward amount, and an indication that the subrecipient is not on the [State Suspension of Funding List](#) or suspended or debarred from receiving federal funds if applicable. Please note that per the contract terms and conditions and State law, your organization is responsible for ensuring that any subrecipients comply with all requirements of your contract and provide you with adequate and timely information to fulfill your reporting obligations.

Final reports should be submitted once all funds are expended and grant activities are completed. Is this your final quarterly report? ☐ Yes ☒ No

If yes, please complete and sign below indicating that all expenses have been submitted and all funds and any interest earned were used for the purposes for which they were awarded and in compliance with the contract. Please note that all records pertaining to this grant must be retained for a minimum of five years or until all audit exceptions have been resolved, whichever is longer. If the contract is subject to federal policy and regulations, record retention may be longer than five years. Recipients are required to maintain reports and accounting records that support the allowable expenditure of State funds and shall make available all reports and records for inspection by the NC Department of Health and Human Services, the Office of State Budget and Management, and the Office of the State Auditor for oversight, monitoring, and evaluation purposes.

Name and Title of Organization Official

Signature of Organization Official

Date

1. Provide a brief report of activities and accomplishments related to your Grant Project during this reporting period.

SAIL Initiative provides funding for Breeches Buoy Addiction Medicine Service to provide addiction medicine services for patients presenting to UNC Health Southeastern, a community-based hospital serving Robeson and surrounding counties. From October 1, 2024, through December 31, 2024, 302 unduplicated patients received an addiction medicine consultation performed by Breeches Buoy Addiction Medicine service at UNC Health Southeastern. 27% of patients served were referred to inpatient treatment for substance use disorder, 40% were referred to outpatient treatment and 30% refused referral to treatment for substance use disorder following acute hospitalization. 3% left against medical advice.

2. Provide an update on the objectives, as outlined in your Scope of Work, of the grant project. Have you accomplished any of your objectives?

SAIL Initiative used directed grant funding for salaries, benefits, and related expenses for BBAMS clinical staff. BBAMS clinicians (Psychiatric Nurse Practitioners) provided acute clinical stabilization and evidence based long term treatment plan using the American Society of Addiction Medicine Criteria for each patient. Clinical Peer Support Specialists provided assistance with access to aftercare throughout and immediately following each hospital encounter. Licensed Substance Use Disorder Counselor provided motivational interviewing and psychotherapy services to increase patient directed involvement in treatment plan. All services were supervised by a medical doctor board certified in Addiction Medicine. All objectives regarding support of these services were accomplished during this reporting period.

3. Provide an update on your expected results, as outlined in your Scope of Work, of the Grant Project(s). Have you accomplished any of your expected results?

Formal substance use disorder assessment following an acute event requiring ED visit or hospitalization was increased by 100% secondary to the services of Breeches Buoy Addiction Medicine Service during the reporting period. Clinical peer support specialist engagement during hospitalization or ED encounter increased by 100% during the reporting period. These percentages are increased from 0% in traditional community hospital settings as addiction medicine is largely uncompensated care and is therefore not incorporated in hospital service lines. The institution of addiction medicine (BBAMS) at UNC Health Southeastern brings 0% of patients receiving expert addiction medicine acute stabilization and opportunity for assistance with access to aftercare to 100% for patients receiving consultation Monday through Friday from 8am to 5pm.

The clinical counseling provided to patients by BBAMS staff both patient understanding of their disease and confidence in evidence-based aftercare have been increased. 100% Number of surveys were provided to patients showing the following results during the reporting period referenced above: Beginning March 13, 2024, pre and post intervention surveys were offered to assess patient perceptions and understanding of substance abuse disorder concepts and components. 75 total surveys were offered (40 PRE and 35 POST). 33 responses were gathered for the PRE survey and 7 were declined (82% response rate). 30 responses were gathered for the POST survey and 5 were declined (85% response rate). While there are unequal volumes of responses for the PRE and POST surveys, the aggregate increase in patient-reported perception/understanding for all 4 survey questions is encouraging as we continue to refine our peer support efforts.

- Survey question 1: "How well do you understand the criteria experts use to diagnose substance use disorder/addiction?" Average PRE survey response of 1.9/5.0 increased to 4.9/5.0 average POST survey response **(81% increase)**.
- Survey question 2: "How well do you understand the role of dopamine in the disease of addiction?" Average PRE survey response of 2.1/5.0 increased to 4.9/5.0 average POST survey response **(61% increase)**.
- Survey question 3: "How treatable do you believe the disease of addiction is?" Average PRE survey response of 4.0/5.0 increased to 5.0/5.0 average POST survey response **(25% increase)**
- Survey question 4: "How important do you think 12 step programs (AA/NA) are in maintaining disease remission?" Average PRE survey response of 4.27/5.0 increased to 4.86/5.0 average POST survey response **(14% increase)**

BBAMS has been able to increase access to acute substance use disorder stabilization in the interim between hospital discharge and aftercare appointments (both inpatient and outpatient) through the development of an acute outpatient service. BBAMS clinical providers continue to see patients in the outpatient office and via telehealth providing medical management and continued supportive counseling prior to the aftercare appointment.

BBAMS service was instituted at UNC Health Southeastern in June of 2021. ED visits due to overdose in 2022 were 454 per DHHS reporting for Robeson County. ED visits due to overdose for the YTD reporting for 2023 were reduced to 269. 87 ED overdose visits JAN-OCT 2024 in comparison to 139 ED overdose visits for this time period in 2023. While variables that may contribute to this decrease are not accounted for, this decrease mirroring the advent of hospital-based addiction medicine services is likely not anecdotal.

Since BBAMS service was instituted in June of 2021, the percentage of duplicated consultations resulting from repeat hospital encounter has decreased from 60% to 20% via observational analysis.

4. Provide an update on your performance measures, as outlined in your Scope of Work, for the Grant Project(s).

BBAMS is working with a research analyst to collect and maintain data prospectively using the development of a HIPPA compliant database. Total number of consultations (duplicated and unduplicated) and stratification of the same includes specific substance use disorder (alcohol, opioid, stimulant, etc.), type of aftercare treatment plan (inpatient versus outpatient), administration of MAT (buprenorphine), race, gender, and age.

Data for total number of CPSS encounters will be collected through development of acute outpatient BBAMS program in the coming months. Individual CPSS encounters cannot be assessed in the hospital as all independent documentation must be entered by a clinical provider (Nurse Practitioner or Medical Doctor).

Survey instrument is being administered by CPSS to assess patient fund of knowledge regarding disease and confidence is treatment for substance use disorder. Current findings are outlined under section 3 above.

BBAMS is developing a database to collect acute outpatient encounters both by clinical providers and CPSS following hospital discharge and prior to engagement in long term treatment.

BBAMS services will continue to monitor the DHHS dashboard for Robeson County regarding the number of overdose encounters in the ED at UNC Health Southeastern.

BBAMS will continue to monitor and report the number of duplicated ED visits using the data collected and maintained from the EMR and compared with total encounters submitted by external administrative service.

5. Provide a summary of expenditures during the reporting period (quarter).

<u>Expenditure Category</u>	<u>Amount of Expenditure</u>
Personnel/Contracted Services Expenses (e.g., program-related staffing)	\$137,500.00
Supplies and Materials Expenses (e.g., office supplies):	\$
Non-Fixed Operating Expenses (e.g., travel, utilities):	\$
Fixed Operating Expenses (e.g., office rent, dues and subscriptions):	\$
Property and Equipment Expenses (e.g., buildings and improvements):	\$
Services/Contracts Expenses (e.g., purchase of services, contracts with service providers):	\$
Other Expenses (Specify):	\$
Total Expenses:	\$137,500.00

6. Provide a summary of all grant expenditures through the end of the current reporting period. The total expenditures on this table should equal the cumulative expenditures from all completed quarterly reports to date.

<u>Expenditure Category</u>	<u>Amount of Expenditure</u>
Personnel/Contracted Services Expenses (e.g., program-related staffing)	\$825,000.00
Supplies and Materials Expenses (e.g., office supplies):	\$
Non-Fixed Operating Expenses (e.g., travel, utilities):	\$
Fixed Operating Expenses (e.g., office rent, dues and subscriptions):	\$
Property and Equipment Expenses (e.g., buildings and improvements):	\$
Services/Contracts Expenses (e.g., purchase of services, contracts with service providers):	\$
Other Expenses (Specify):	\$
Total Expenses:	\$825,000.00

Please contact your Contract Administrator with any questions.

**NC DHHS DIRECTED GRANT
QUARTERLY STATUS REPORT**

Please use this reporting template for each of the quarterly reports

Recipient Name:	SAIL, Initiative, Inc.
Recipient Tax ID #	82-1534714
Project/Activity Title:	23-25 Biennium Directed Grant – SAIL Initiative
Reporting Period (Quarter):	January 1, 2025 – March 31, 2025
Report Completion Date:	April 10, 2025
Preparer of This Report:	Marla Hardenbergh, MD

Were grant funds expended during this reporting period? ☒ Yes ☐ No

Do you certify that all expenses to date have been submitted for this grant? ☒ Yes ☐ No

Was any interest earned on these grant funds during this reporting period? ☐ Yes ☒ No

If Yes, specify the amount of interest earned over the life of the grant through this reporting period: \$ _____

Do you certify that all funds expended to date were used for the purposes for which they were awarded and in compliance with your contract? ☒ Yes ☐ No

Did you make any subawards of this funding during this reporting period? ☐ Yes ☒ No

If yes, attach a list of subawards including subrecipient entity name, tax ID number, subaward amount, and an indication that the subrecipient is not on the [State Suspension of Funding List](#) or suspended or debarred from receiving federal funds if applicable. Please note that per the contract terms and conditions and State law, your organization is responsible for ensuring that any subrecipients comply with all requirements of your contract and provide you with adequate and timely information to fulfill your reporting obligations.

Final reports should be submitted once all funds are expended and grant activities are completed. Is this your final quarterly report? ☐ Yes ☒ No

If yes, please complete and sign below indicating that all expenses have been submitted and all funds and any interest earned were used for the purposes for which they were awarded and in compliance with the contract. Please note that all records pertaining to this grant must be retained for a minimum of five years or until all audit exceptions have been resolved, whichever is longer. If the contract is subject to federal policy and regulations, record retention may be longer than five years. Recipients are required to maintain reports and accounting records that support the allowable expenditure of State funds and shall make available all reports and records for inspection by the NC Department of Health and Human Services, the Office of State Budget and Management, and the Office of the State Auditor for oversight, monitoring, and evaluation purposes.

Name and Title of Organization Official

Signature of Organization Official

Date

1. Provide a brief report of activities and accomplishments related to your Grant Project during this reporting period.

SAIL Initiative provides funding for Breeches Buoy Addiction Medicine Service to provide addiction medicine services for patients presenting to UNC Health Southeastern, a community-based hospital serving Robeson and surrounding counties. From January 1, 2025, through March 31, 2025, 252 unduplicated patients received an addiction medicine consultation performed by Breeches Buoy Addiction Medicine service at UNC Health Southeastern. 25% of patients served were referred to inpatient treatment for substance use disorder, 57% were referred to outpatient treatment and 14% refused referral to treatment for substance use disorder following acute hospitalization. 4% left against medical advice.

2. Provide an update on the objectives, as outlined in your Scope of Work, of the grant project. Have you accomplished any of your objectives?

SAIL Initiative used directed grant funding for salaries, benefits, and related expenses for BBAMS clinical staff. BBAMS clinicians (Psychiatric Nurse Practitioners) provided acute clinical stabilization and evidence based long term treatment plan using the American Society of Addiction Medicine Criteria for each patient. Clinical Peer Support Specialists provided assistance with access to aftercare throughout and immediately following each hospital encounter. Licensed Substance Use Disorder Counselor provided motivational interviewing and psychotherapy services to increase patient directed involvement in treatment plan. All services were supervised by a medical doctor board certified in Addiction Medicine. All objectives regarding support of these services were accomplished during this reporting period.

3. Provide an update on your expected results, as outlined in your Scope of Work, of the Grant Project(s). Have you accomplished any of your expected results?

Formal substance use disorder assessment following an acute event requiring ED visit or hospitalization was increased by 100% secondary to the services of Breeches Buoy Addiction Medicine Service during the reporting period. Clinical peer support specialist engagement during hospitalization or ED encounter increased by 100% during the reporting period. These percentages are increased from 0% in traditional community hospital settings as addiction medicine is largely uncompensated care and is therefore not incorporated in hospital service lines. The institution of addiction medicine (BBAMS) at UNC Health Southeastern brings 0% of patients receiving expert addiction medicine acute stabilization and opportunity for assistance with access to aftercare to 100% for patients receiving consultation Monday through Friday from 8am to 5pm.

The clinical counseling provided to patients by BBAMS staff both patient understanding of their disease and confidence in evidence-based aftercare have been increased. 100% Number of surveys were provided to patients showing the following results during the reporting period referenced above: Beginning March 13, 2024, pre and post intervention surveys were offered to assess patient perceptions and understanding of substance abuse disorder concepts and components. 65 total surveys were offered (45 PRE and 20 POST). 25 responses were gathered for the PRE survey and 20 were declined (53% response rate). 10 responses were gathered for the POST survey and 10 were declined (50% response rate). While there are unequal volumes of responses for the PRE and POST surveys, the aggregate increase in patient-reported perception/understanding for all 4 survey questions is encouraging as we continue to refine our peer support efforts.

- Survey question 1: "How well do you understand the criteria experts use to diagnose substance use disorder/addiction?" Average PRE survey response of 1.9/5.0 increased to 5.0/5.0 average POST survey response **(87% increase)**.
- Survey question 2: "How well do you understand the role of dopamine in the disease of addiction?" Average PRE survey response of 2.3/5.0 increased to 5.0/5.0 average POST survey response **(63% increase)**.
- Survey question 3: "How treatable do you believe the disease of addiction is?" Average PRE survey response of 4.0/5.0 increased to 5.0/5.0 average POST survey response **(25% increase)**
- Survey question 4: "How important do you think 12 step programs (AA/NA) are in maintaining disease remission?" Average PRE survey response of 4.27/5.0 increased to 5.0/5.0 average POST survey response **(16% increase)**

BBAMS has been able to increase access to acute substance use disorder stabilization in the interim between hospital discharge and aftercare appointments (both inpatient and outpatient) through the development of an acute outpatient service. BBAMS clinical providers continue to see patients in the outpatient office and via telehealth providing medical management and continued supportive counseling prior to the aftercare appointment.

BBAMS service was instituted at UNC Health Southeastern in June of 2021. ED visits due to overdose in 2023 were 146 per DHHS reporting for Robeson County. ED visits due to overdose for the YTD reporting for 2024 were reduced to 87. While variables that may contribute to this decrease are not accounted for, this decrease mirroring the advent of hospital-based addiction medicine services is likely not anecdotal.

Since BBAMS service was instituted in June of 2021, the percentage of duplicated consultations resulting from repeat hospital encounter has decreased from 60% to 20% via observational analysis.

4. Provide an update on your performance measures, as outlined in your Scope of Work, for the Grant Project(s).

BBAMS is working with a research analyst to collect and maintain data prospectively using the development of a HIPPA compliant database. Total number of consultations (duplicated and unduplicated) and stratification of the same includes specific substance use disorder (alcohol, opioid, stimulant, etc.), type of aftercare treatment plan (inpatient versus outpatient), administration of MAT (buprenorphine), race, gender, and age.

Data for total number of CPSS encounters will be collected through development of acute outpatient BBAMS program in the coming months. Individual CPSS encounters cannot be assessed in the hospital as all independent documentation must be entered by a clinical provider (Nurse Practitioner or Medical Doctor).

Survey instrument is being administered by CPSS to assess patient fund of knowledge regarding disease and confidence is treatment for substance use disorder. Current findings are outlined under section 3 above.

BBAMS is developing a database to collect acute outpatient encounters both by clinical providers and CPSS following hospital discharge and prior to engagement in long term treatment.

BBAMS services will continue to monitor the DHHS dashboard for Robeson County regarding the number of overdose encounters in the ED at UNC Health Southeastern.

BBAMS will continue to monitor and report the number of duplicated ED visits using the data collected and maintained from the EMR and compared with total encounters submitted by external administrative service.

5. Provide a summary of expenditures during the reporting period (quarter).

<u>Expenditure Category</u>	<u>Amount of Expenditure</u>
Personnel/Contracted Services Expenses (e.g., program-related staffing)	\$137,500.00
Supplies and Materials Expenses (e.g., office supplies):	\$
Non-Fixed Operating Expenses (e.g., travel, utilities):	\$
Fixed Operating Expenses (e.g., office rent, dues and subscriptions):	\$
Property and Equipment Expenses (e.g., buildings and improvements):	\$
Services/Contracts Expenses (e.g., purchase of services, contracts with service providers):	\$
Other Expenses (Specify):	\$
Total Expenses:	\$137,500.00

6. Provide a summary of all grant expenditures through the end of the current reporting period. The total expenditures on this table should equal the cumulative expenditures from all completed quarterly reports to date.

<u>Expenditure Category</u>	<u>Amount of Expenditure</u>
Personnel/Contracted Services Expenses (e.g., program-related staffing)	\$962,500.00
Supplies and Materials Expenses (e.g., office supplies):	\$
Non-Fixed Operating Expenses (e.g., travel, utilities):	\$
Fixed Operating Expenses (e.g., office rent, dues and subscriptions):	\$
Property and Equipment Expenses (e.g., buildings and improvements):	\$
Services/Contracts Expenses (e.g., purchase of services, contracts with service providers):	\$
Other Expenses (Specify):	\$
Total Expenses:	\$962,500.00

Please contact your Contract Administrator with any questions.

**NC DHHS DIRECTED GRANT
QUARTERLY STATUS REPORT**

Please use this reporting template for each of the quarterly reports

Recipient Name:	SAIL, Initiative, Inc.
Recipient Tax ID #	82-1534714
Project/Activity title:	23-25 Biennium Directed Grant- SAIL Initiative
Reporting Period (Quarter):	April 1, 2025 - June 30, 2025
Report Completion Date:	July 9, 2025
Preparer of This Report:	Maria Hardenbergh, MD

Were grant funds expended during this reporting period? ...x_Yes No

Do you certify that all expenses to date have been submitted for this grant? ...x_Yes _No

Was any interest earned on these grant funds during this reporting period? _Yes ...x_No

If Yes, specify the amount of interest earned over the life of the grant through this reporting period: \$ _____

Do you certify that all funds expended to date were used for the purposes for which they were awarded and in compliance with your contract? _x_ Yes _No

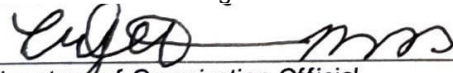
Did you make any subawards of this funding during this reporting period? _Yes _x_No

If yes, attach a list of subawards including subrecipient entity name, tax ID number, subaward amount, and an indication that the subrecipient is not on the [State Suspension of Funding List](#) or suspended or debarred from receiving federal funds if applicable. Please note that per the contract terms and conditions and State law, your organization is responsible for ensuring that any subrecipients comply with all requirements of your contract and provide you with adequate and timely information to fulfill your reporting obligations.

Final reports should be submitted once all funds are expended and grant activities are completed. Is this your final quarterly report? _x_Yes _No

If yes, please complete and sign below indicating that all expenses have been submitted and all funds and any interest earned were used for the purposes for which they were awarded and in compliance with the contract. Please note that all records pertaining to this grant must be retained for a minimum of five years or until all audit exceptions have been resolved, whichever is longer. If the contract is subject to federal policy and regulations, record retention may be longer than five years. Recipients are required to maintain reports and accounting records that support the allowable expenditure of State funds and shall make available all reports and records for inspection by the NC Department of Health and Human Services, the Office of State Budget and Management, and the Office of the State Auditor for oversight, monitoring, and evaluation purposes.

mQ,--ta Hatdenbergh M17
Name and Title of Organization Official


Signature of Organization Official

07/09/2025
Date

1. Provide a brief report of activities and accomplishments related to your Grant Project during this reporting period.

SAIL Initiative provides funding for Breeches Buoy Addiction Medicine Service to provide addiction medicine services for patients presenting to UNC Health Southeastern, a community-based hospital serving Robeson and surrounding counties. From April 1, 2025, through June 30, 2025, 253 unduplicated patients received an addiction medicine consultation performed by Breeches Buoy Addiction Medicine service at UNC Health Southeastern. 35.5% of patients served were referred to inpatient treatment for substance use disorder, 50% were referred to outpatient treatment and 14% refused referral to treatment for substance use disorder following acute hospitalization. 0.5% left against medical advice.

2. Provide an update on the objectives, as outlined in your Scope of Work, of the grant project. Have you **accomplished any** of your **objectives**?

SAIL Initiative used directed grant funding for salaries, benefits, and related expenses for BBAMS clinical staff. BBAMS clinicians (Psychiatric Nurse Practitioners) provided acute clinical stabilization and evidence based long term treatment plan using the American Society of Addiction Medicine Criteria for each patient. Clinical Peer Support Specialists provided assistance with access to aftercare throughout and immediately following each hospital encounter. Licensed Substance Use Disorder Counselor provided motivational interviewing and psychotherapy services to increase patient directed involvement in treatment plan. All services were supervised by a medical doctor board certified in Addiction Medicine. All objectives regarding support of these services were accomplished during this reporting period.

3. Provide an update on your expected results, as outlined in your Scope of Work, of the Grant Project(s). Have you accomplished any of your expected results?

Formal substance use disorder assessment following an acute event requiring ED visit or hospitalization was increased by 100% secondary to the services of Breeches Buoy Addiction **Medicine** Service during the reporting period. Clinical peer support specialist engagement during hospitalization or ED encounter increased by 100% during the reporting period. These percentages are increased from 0% in traditional community hospital settings as addiction medicine is largely uncompensated care and is therefore not incorporated in hospital service lines. The institution of addiction medicine (BBAMS) at UNC Health Southeastern brings 0% of patients receiving expert addiction medicine acute stabilization and opportunity for assistance with access to aftercare to 100% for patients receiving consultation Monday through Friday from 8am to 5pm.

The clinical counseling provided to patients by BBAMS staff both patient understanding of their disease and confidence in evidence-based aftercare have been increased. 100% Number of surveys were provided to patients showing the following results during the reporting period referenced above: Beginning March 13, 2024, pre and post intervention surveys were offered to assess patient perceptions and understanding of substance abuse disorder concepts and components. 65 total surveys were offered (45 PRE and 20 POST). 25 responses were gathered for the PRE survey and 20 were declined (53% response rate). 10 responses were gathered for the POST survey and 10 were declined (50% response rate). While there are unequal volumes of responses for the PRE and POST surveys, the aggregate increase in patient-reported perception/understanding for all 4 survey questions is encouraging as we continue to refine our peer support efforts.

- Survey question 1: "How well do you understand the criteria experts use to diagnose substance use disorder/addiction?" Average PRE survey response of 1.9/5.0 increased to 4.8/5.0 average POST survey response **(72% increase)**.
- Survey question 2: "How well do you understand the role of dopamine in the disease of addiction?" Average PRE survey response of 2.3/5.0 increased to 4.8/5.0 average POST survey response **(57% increase)**.
- Survey question 3: "How treatable do you believe the disease of addiction is?" Average PRE survey response of 4.0/5.0 increased to 5.0/5.0 average POST survey response **(25% increase)**
- Survey question 4: **"How** important do you think 12 step programs **(AA/NA)** are in maintaining disease remission?" Average PRE survey response of 4.27/5.0 increased to 4.86/5.0 average POST survey response **(14% increase)**

BBAMS has been able to increase access to acute substance use disorder stabilization in the interim between hospital discharge and aftercare appointments (both inpatient and outpatient) through the development of an acute outpatient service. BBAMS clinical providers continue to see patients in the outpatient office and via telehealth providing medical management and continued supportive counseling prior to the aftercare appointment.

BBAMS service was instituted at UNC Health Southeastern in June of 2021. ED visits due to overdose in 2022 were 454 per DHHS reporting for Robeson County. ED visits due to overdose for the YID reporting for 2023 were reduced to 269. 74 ED overdose visits YTD in comparison to 114 ED overdose visits for this time period last year. While variables that may contribute to this decrease are not accounted for, this decrease mirroring the advent of hospital-based addiction medicine services is likely not anecdotal.

Since BBAMS service was instituted in June of 2021, the percentage of duplicated consultations resulting from repeat hospital encounter has decreased from 60% to 20% via observational analysis.

4. Provide an update on your performance measures, as outlined in your Scope of Work, for the Grant Project(s).

BBAMS is working with a research analyst to collect and maintain data prospectively using the development of a HIPAA compliant database. Total number of consultations (duplicated and unduplicated) and stratification of the same includes specific substance use disorder (alcohol, opioid, stimulant, etc.), type of aftercare treatment plan (inpatient versus outpatient), administration of MAT (buprenorphine), race, gender, and age.

Data for total number of CPSS encounters will be collected through development of acute outpatient BBAMS program in the coming months. Individual CPSS encounters cannot be assessed in the hospital as all independent documentation must be entered by a clinical provider (Nurse Practitioner or Medical Doctor).

Survey instrument is being administered by CPSS to assess patient fund of knowledge regarding disease and confidence in treatment for substance use disorder. Current findings are outlined under section 3 above.

BBAMS is developing a database to collect acute outpatient encounters both by clinical providers and CPSS following hospital discharge and prior to engagement in long term treatment.

BBAMS services will continue to monitor the DHHS dashboard for Robeson County regarding the number of overdose encounters in the ED at UNC Health Southeastern.

BBAMS will continue to monitor and report the number of duplicated ED visits using the data collected and maintained from the EMR and compared with total encounters submitted by external administrative service.

5. Provide a summary of expenditures during the reporting period (quarter).

<u>Expenditure Category</u>	<u>Amount of Expenditure</u>
Personnel/Contracted Services Expenses (e.g., program-related staffing)	\$137,500.00
Supplies and Materials Expenses (e.g., office supplies):	\$
Non-Fixed Operating Expenses (e.g., travel, utilities):	\$
Fixed Operating Expenses (e.g., office rent, dues and subscriptions):	\$
Property and Equipment Expenses (e.g., buildings and improvements):	\$
Services/Contracts Expenses (e.g., purchase of services, contracts with service providers):	\$
Other Expenses (Specify):	\$
Total Expenses:	\$137,500.00

6. Provide a summary of all grant expenditures through the end of the current reporting period. The total expenditures on this table should equal the cumulative expenditures from all completed quarterly reports to date.

<u>Expenditure Category</u>	<u>Amount of Expenditure</u>
Personnel/Contracted Services Expenses (e.g., program-related staffing)	\$1,000,000.00
Supplies and Materials Expenses (e.g., office supplies):	\$
Non-Fixed Operating Expenses (e.g., travel, utilities):	\$
Fixed Operating Expenses (e.g., office rent, dues and subscriptions):	\$
Property and Equipment Expenses (e.g., buildings and improvements):	\$
Services/Contracts Expenses (e.g., purchase of services, contracts with service providers):	\$
Other Expenses (Specify):	\$
Total Expenses:	\$1,000,000.00

Please contact your Contract Administrator with any questions.

ATTACHMENT D

REPORTING REQUIREMENTS & TEMPLATES

Reporting Requirements: This is a subaward for financial assistance. Financial Assistance Contracts are subject to the Uniform Administration of State Awards, Oversight and Reporting Requirements for recipient and subrecipients described in [N.C. General Statue § 143C-6-23\(d\)](#) and in [09 NCAC 03M.205](#).

A non-governmental grantee who receives a combined \$500,000 or more funds from all state agencies must continue to submit a single or program-specific audit prepared and completed in accordance with Generally Accepted Government Auditing Standards, also known as a Yellow Book audit, to Risk Mitigation and Audit Monitoring at NCGrantsReporting@dhhs.nc.gov within 9 months of the grantee's fiscal year end.

DHHS encourages all of its non-governmental grantees that receive funds from other state agencies or DHHS divisions to contact their assigned contract monitor(s) to determine if year-end reports must be submitted for those particular grants/awards.

North Carolina State agencies are exempt from the reporting requirements of this section. Local governments that have reporting requirements for the Local Government Commission are exempt. All others are not exempt and must adhere to the reporting requirements of this section.

(1) Quarterly Reports: see template in this attachment.

(2) Reporting Timeframes and Due Dates:

Reporting Period	Due Date
July 1, 2024 – September 30, 2024	October 15, 2024
October 1, 2024 – December 31, 2024	January 15, 2025
January 1, 2025 – March 31, 2025	April 15, 2025
April 1, 2025 – June 30, 2025	July 15, 2025
July 1, 2025 - September 30, 2025	October 15, 2025
October 1, 2025 – December 31, 2025	January 15, 2026
January 1, 2026 – March 31, 2026	April 15, 2026
April 1, 2026 – June 30, 2026	July 15, 2026

N.C. General Statute § 143C-6-21-23. State Grant Funds: Administration; Oversight and Reporting Requirements.

§ 143C-6-21. Payments to nonprofits. Except as otherwise provided by law, an annual appropriation of one hundred thousand dollars (\$100,000) or less to or for the use of a nonprofit corporation may be made in a single annual payment, in the discretion of the Director of the Budget. An annual appropriation of more than one hundred thousand dollars (\$100,000) to or for the use of a nonprofit corporation shall be made in quarterly or monthly payments, in the discretion of the Director of the Budget. (2006-203, s. 3; 2013-360, s. 6.12(o).)

§ 143C-6-22. Use of State funds by non-State entities. (a) Disbursement and Use of State Funds. - Every non-State entity that receives, uses, or expends any State funds shall use or expend the funds only for the purposes for which they were appropriated by the General Assembly. State funds include federal funds that flow through the State Treasury. (b) Compliance by Non-State Entities. - If the Director of the Budget finds that a non-State entity has spent or encumbered State funds for an unauthorized purpose, or fails to submit or falsifies the information required by G.S. 143C-6-23 or any other provision of law, the Director shall take appropriate administrative action to ensure that no further irregularities or violations of law occur and shall report to the Attorney General any facts that pertain to an apparent violation of a criminal law or an apparent instance of malfeasance, misfeasance, or nonfeasance in connection with the use of State funds. Appropriate administrative action may include suspending or withholding the disbursement of State funds and recovering State funds previously disbursed. (c) Civil Actions. - Civil actions to recover State funds or to obtain other mandatory orders in the name of the State on relation of the Attorney General, or in the name of the Office of State Budget and Management, shall be filed in the General Court of Justice in Wake County. (2006-203, s. 3.)

§ 143C-6-23. State grant funds: administration; oversight and reporting requirements.

(a) Definitions. - The following definitions apply in this section:

- (1) Grant or grant funds. - State funds disbursed as a grant by a State agency; however, the terms do not include any payment made by the Medicaid program, the State Health Plan for Teachers and State Employees, or other similar medical programs.
- (2) Grantee. - A non-State entity that receives State funds as a grant from a State agency but does not include any non-State entity subject to the audit and other reporting requirements of the Local Government Commission.
- (3) Encumbrance. - A financial obligation created by a purchase order, contract, salary commitment, unearned or prepaid collections for services provided, or other legally binding agreement. A financial obligation is not an encumbrance for purposes of this section unless it (i) is in writing and has been signed by a person or entity who has authority to legally bind the grantee or subgrantee to spend the funds or (ii) was created by the provision of goods or services to the grantee or subgrantee by a third party under circumstances that create a legally binding obligation to pay for the goods or services.
- (4) Subgrantee. - A non-State entity that receives State funds as a grant from a grantee or from another subgrantee but does not include any non-State entity subject to the audit and other reporting requirements of the Local Government Commission.

(b) Conflict of Interest Policy. - Every grantee shall file with the State agency disbursing funds to the grantee a copy of that grantee's policy addressing conflicts of interest that may arise involving the grantee's management employees and the members of its board of directors or other governing body. The policy shall address situations in which any of these individuals may directly or indirectly benefit, except as the grantee's employees or members of its board or other governing body, from the grantee's disbursing of State funds, and shall include actions to be taken by the grantee or the individual, or both, to avoid conflicts of interest and the appearance of impropriety. The policy shall be filed before the disbursing State agency may disburse the grant funds.

(c) No Overdue Tax Debts. - Every grantee shall file with the State agency or department disbursing funds to the grantee a written statement completed by that grantee's board of directors or other governing body stating that the grantee does not have any overdue tax debts, as defined by G.S. 105-243.1, at the federal, State, or local level. The written statement shall be made under oath and shall be filed before the disbursing State agency or department may disburse the grant funds. A person who makes a false statement in violation of this subsection is guilty of a criminal offense punishable as provided by G.S. 143C-10-1.

(d) Office of State Budget Rules Must Require Uniform Administration of State Grants. – The Office of State Budget and Management shall adopt rules to ensure the uniform administration of State grants by all grantor State agencies and grantees or subgrantees. The Office of State Budget and Management shall consult with the Office of the State Auditor and the Attorney General in establishing the rules required by this subsection. The rules shall establish policies and procedures for disbursements of State grants and for State agency oversight, monitoring, and evaluation of grantees and subgrantees. The policies and procedures shall:

- (1) Ensure that the purpose and reporting requirements of each grant are specified to the grantee.
- (2) Ensure that grantees specify the purpose and reporting requirements for grants made to subgrantees.
- (3) Ensure that State funds are spent in accordance with the purposes for which they were granted.
- (4) Hold the grantees and subgrantees accountable for the legal and appropriate expenditure of grant funds.
- (5) Provide for adequate oversight and monitoring to prevent the misuse of grant funds. These policies shall require each grantee and subgrantee to ensure that, for accounting purposes, State funds and interest earned on those funds remain separate and apart from other funds in the possession or control of the grantee or subgrantee.
- (6) Establish mandatory periodic reporting requirements for grantees and subgrantees, including methods of reporting, to provide financial and program performance information. The mandatory periodic reporting requirements shall require grantees and subgrantees to file with the State Auditor copies of reports and statements that are filed with State agencies pursuant to this subsection. Compliance with the mandatory periodic reporting requirements of this subdivision shall not require grantees and subgrantees to file with the State Auditor the information described in subsections (b) and (c) of this section.
- (7) Require grantees and subgrantees to maintain reports, records, and other information to properly account for the expenditure of all grant funds and to make such reports, records, and other information available to the grantor State agency for oversight, monitoring, and evaluation purposes.
- (8) Require grantees and subgrantees to ensure that work papers in the possession of their auditors are available to the State Auditor for the purposes set out in subsection (i) of this section.
- (9) Require grantees to be responsible for managing and monitoring each project, program, or activity supported by grant funds and each subgrantee project, program, or activity supported by grant funds.
- (10) Provide procedures for the suspension of further disbursements or use of grant funds for noncompliance with these rules or other inappropriate use of the funds.
- (11) Provide procedures for use in appropriate circumstances for reinstatement of disbursements that have been suspended for noncompliance with these rules or other inappropriate use of grant funds.
- (12) Provide procedures for the recovery and return to the grantor State agency of unexpended grant funds from a grantee or subgrantee (i) in accordance with subsection (f1) of this section or (ii) in the event that the grantee or subgrantee is unable to fulfill the purposes of the grant for a reason not set forth in that subsection.

(d1) Required Grant Terms. – The terms of each grant shall include all of the following, which shall be deemed a part of the grant:

- (1) The limitation contained in G.S. 143C-6-8 concerning the availability of appropriated funds.
- (2) The relevant provisions of any legislation authorizing or governing the administration of the grant.
- (3) The terms of this section.

(e) Rules Are Subject to the Administrative Procedure Act. – Notwithstanding the provisions of G.S. 150B-2(8a)b. rules adopted pursuant to subsection (d) of this section are subject to the provisions of Chapter 150B of the General Statutes.

(f) Suspension and Recovery of Funds to Grant Recipients for Noncompliance. – The Office of State Budget and Management, after consultation with the administering State agency, shall have the power to suspend disbursement of grant funds to grantees or subgrantees, to prevent further use of grant funds already disbursed, and to recover grant funds already disbursed for noncompliance with rules adopted pursuant to subsection (d) of this section. If the grant funds are a pass-through of funds granted by an agency of the United States, then the Office of State Budget and Management must consult with the granting agency of the United States and the State agency that is the recipient of the pass-through funds prior to taking the actions authorized by this subsection.

(f1) Return of Grant Funds. – Except as otherwise required by federal law, a grantee or subgrantee shall return to the State all affected grant funds and interest earned on those funds if any of the following occurs:

- (1) The funds are in the possession or control of a grantee and are not expended, made subject to an encumbrance, or disbursed to a subgrantee by August 31 immediately following the fiscal year in which the funds are appropriated by the General Assembly, or a different period set forth in the terms of the applicable appropriation or federal grant.
- (2) The funds remain unexpended at the time that the grantee or subgrantee dissolves, ceases operations, or otherwise indicates that it does not intend to spend the funds.
- (3) The Office of State Budget and Management seeks to recover the funds pursuant to subsection (f) of this section.

(f2) Use of Returned Grant Funds. – Encumbered funds returned to the State pursuant to subsection (f1) of this section by a grantee or subgrantee shall upon appropriation by the General Assembly be spent in accordance with the terms of the encumbrance. All other funds returned to the State by a grantee or subgrantee pursuant to subsection (f1) of this section shall be credited to the fund from which they were appropriated and shall remain unexpended and unencumbered until appropriated by the General Assembly. Nothing in this section shall be construed to authorize an expenditure pursuant to an unlawful encumbrance or in a manner that would violate the terms of the appropriation of the grant funds at issue.

(g) Audit Oversight. – The State Auditor has audit oversight, with respect to grant funds received by the grantee or subgrantee, pursuant to Article 5A of Chapter 147 of the General Statutes, of every grantee or subgrantee that receives, uses, or expends grant funds. A grantee or subgrantee must, upon request, furnish to the State Auditor for audit all books, records, and other information necessary for the State Auditor to account fully for the use and expenditure of grant funds received by the grantee or subgrantee. The grantee or subgrantee must furnish any additional financial or budgetary information requested by the State Auditor, including audit work papers in the possession of any auditor of a grantee or subgrantee directly related to the use and expenditure of grant funds.

(h) Report on Grant Recipients That Failed to Comply. – Not later than May 1, 2007, and by May 1 of every succeeding year, the Office of State Budget and Management shall report to the Joint Legislative Commission on Governmental Operations and the Fiscal Research Division on all grantees or subgrantees that failed to comply with this section with respect to grant funds received in the prior fiscal year.

(i) State Agencies to Submit Grant List to Auditor. – No later than October 1 of each year, each State agency shall submit a list to the State Auditor, in the format prescribed by the State Auditor, of every grantee to which the agency disbursed grant funds in the prior fiscal year. The list shall include the amount disbursed to each grantee and other information as required by the State Auditor to comply with the requirements of this section.

(j) Use of Interest Earned on Grant Funds. – Except as otherwise required by federal law or the terms of a federal grant, interest earned on grant funds after receipt of the funds by a grantee or subgrantee shall be credited to the grantee or subgrantee and shall be used for the same purposes for which the grant or subgrant was made.

(k) Reporting by Grantees and Subgrantees That Cease Operations. – A grantee or subgrantee that intends to dissolve or cease operations shall report that decision in writing to the Office of State Budget and Management and to the Fiscal Research Division at least 30 days prior to taking that action. (2006-203, s. 3; 2007-323, s. 28.22A(o); 2007-345, s. 12; 2014-100, s. 6.5(a); 2015-264, s. 21.)

09 NCAC 03M .0205 Minimum Reporting Requirements for Recipients and Subrecipients.

(a) For the purposes of this Subchapter, there are three reporting levels established for recipients and subrecipients receiving State financial assistance. Reporting levels are based on the level of State financial assistance from all funding sources. The reporting levels are:

- (1) Level I – A recipient or subrecipient that receives, holds, uses, or expends State financial assistance in an amount less than twenty-five thousand dollars (\$25,000) within its fiscal year.
- (2) Level II - A recipient or subrecipient that receives, holds, uses, or expends State financial assistance in an amount of at least twenty-five thousand (\$25,000) or greater, but less than five hundred thousand dollars (\$500,000) within its fiscal year.

(3) Level III – A recipient or subrecipient that receives, holds, uses, or expends State financial assistance in an amount equal to or greater than five hundred thousand dollars (\$500,000) within its fiscal year.

(b) Agencies shall establish reporting requirements for recipients that meet the following reporting standards on an annual basis:

(1) All recipients and subrecipients shall provide a certification that State financial assistance received or, held was used for the purposes for which it was awarded.

(2) All recipients and subrecipients shall provide an accounting of all State financial assistance received, held, used, or expended.

(3) Level II and III recipients and subrecipients shall report on activities and accomplishments undertaken by the recipient, including reporting on any performance measures established in the contract.

(4) Level III recipients and subrecipients shall have a single or program-specific audit prepared and completed in accordance with Generally Accepted Government Auditing Standards, also known as the Yellow Book.

(c) All reports shall be filed with the disbursing agency in the format and method specified by the agency no later than three months after the end of the recipient's fiscal year, unless the same information is already required through more frequent reporting. Audits must be provided to the funding agency no later than nine months after the end of the recipient's fiscal year.

(d) Agency-established reporting requirements to meet the standards set forth in Paragraph (b) of this Rule shall be specified in each recipient's contract.

(e) Unless prohibited by law, the costs of audits made in accordance with the provisions of this Rule shall be allowable charges to State and Federal awards. The charges may be considered a direct cost or an allocated indirect cost, as determined in accordance with cost principles outlined in the Code of Federal Regulations, 2 CFR Part 200. The cost of any audit not conducted in accordance with this Subchapter shall not be charged to State awards.

(f) Notwithstanding the provisions of this Subchapter, a recipient may satisfy the reporting requirements of Subparagraph (b)(4) of this Rule by submitting a copy of the report required under federal law with respect to the same funds.

QUARTERLY STATUS REPORT

Please use this reporting template for each of the quarterly reports

Recipient Name:	Wilkes Recovery Revolution, Inc.
Recipient Tax ID #	81-1600840
Project/Activity Title:	State Directed Grant: WRR Treatment Services
Reporting Period (Quarter):	Q1 2024
Report Completion Date:	11/1/2024
Preparer of This Report:	Devin Lyall: Executive Director/CEO

Were grant funds expended during this reporting period? ☒ Yes ☐ No

Do you certify that all expenses to date have been submitted for this grant? ☒ Yes ☐ No

Do you certify that all funds to date were used for the purposes for which they were awarded and in compliance with your contract? ☒ Yes ☐ No

1. Provide a brief report of activities and accomplishments related to your Grant Project during this reporting period.

1. NC Tracks Registration

We successfully completed our NC Tracks registration with the North Carolina Medicaid Management Information System. This registration is vital for managing Medicaid transactions efficiently and ensuring compliance with state requirements. It establishes our capacity for accurate Medicaid billing and reimbursement, supporting sustainable financial operations for the services provided.

2. Consulting Engagement with Treatment Scaling Solutions

Wilkes Recovery Revolution, Inc. (WRR) maintained ongoing collaboration with contracted consultants from Treatment Scaling Solutions. During this period, consultants provided valuable support in several areas:

- **Policies and Procedures:** Finalized the organization's comprehensive Policies and Procedures Manual to standardize operations and support compliance with licensure requirements.
- **Electronic Health Record (EHR) Software Implementation:** Initiated the integration of Kipu EHR software to streamline client and service documentation, critical for quality care and reporting.
- **Business Plan Review:** Conducted a comprehensive review and updates to the organization's business plan, aligning strategic goals with operational requirements.
- **Licensure Preparation:** Worked closely with consultants to ensure that our documentation and protocols align with state licensure standards.

3. Legal Counsel

Our legal counsel provided thorough review and guidance for both the Policies and Procedures Manual and Human Resources policies, ensuring compliance with state regulations and best practices. This critical step prepares WRR for both the hiring and licensure phases, reinforcing organizational accountability and readiness.

4. Medical Director Collaboration

Our contracted Medical Director has been instrumental in preparing WRR for the licensure process. Key contributions include:

- **Policy and Procedure Review:** The Medical Director reviewed the policies to confirm they meet medical and clinical standards.
- **EHR Integration:** Participated in an implementation call for Kipu to align medical processes with the EHR software, supporting quality care and data management.

5. Treatment Center Subcommittee Meetings

During the reporting period, the Treatment Center Subcommittee convened twice to evaluate project progress and align efforts. The subcommittee's collective impact approach is critical to the project's success, ensuring all key stakeholders are engaged and informed throughout the process.

6. State Licensure Progress

We were assigned a Licensure Representative, Miguel Sabillion, and conducted an initial interview to review the standard materials and discuss attestation requirements. This key step is on track for completion by November 31, 2024, which will enable WRR to begin operations by January 2025.

Summary

This reporting period has been marked by significant advancements in administrative setup, compliance, and preparatory work necessary for WRR's licensure and operational launch. Through diligent engagement with consultants, legal counsel, and the Medical Director, we are building a strong foundation for service delivery by January 2025.

2. Provide an update on the objectives, as outlined in your Scope of Work, of the grant project. Have you accomplished any of your objectives?

Roadmap for Program Launch and Development

This roadmap outlines the objectives for the phased launch of treatment programs for Wilkes Recovery Revolution, Inc., designed to expand levels of care incrementally while ensuring operational readiness and compliance.

- **Partial Hospitalization (PHP) and Intensive Outpatient Programs (IOP)**
 - **Licensing:** December 2024 (Currently going through licensure process, expected to be completed by November 2024.)
 - **Opening:** January 2025 (We are set to open at 240 Jefferson St. North Wilkesboro, NC 28659 for Intensive Outpatient Service on Jan. 1st, 2025. We are also expected to open SACOT at the state road facility on Jan. 1st as well.
 - **CARF Accreditation:** March 2025 (Still on track to complete)
- **Detox and Residential Treatment Services**
 - **Licensing:** August 2025
 - **Opening:** September 2025
 - **CARF Accreditation:** November 2025

This staged rollout enables WRR to establish outpatient services first, providing immediate access to care, while gradually introducing detox and residential services. By distributing licensing, accreditation, and funding requirements, we are able to manage resources efficiently and maintain a logical, sustainable progression of services. Timelines may be adjusted as needed to support optimal program implementation.

3. Provide an update on your expected results, as outlined in your Scope of Work, of the Grant Project(s). Have you accomplished any of your expected results?

Project Overview

Wilkes Recovery Revolution, Inc. is expanding access to evidence-based inpatient residential treatment for individuals with substance use disorder in North Carolina. This project involves the acquisition, renovation, and licensure of a facility at 2587 Pleasant Ridge Road in State Road, North Carolina, which will serve as a treatment center.

Expected Results

1. **Expand access to evidence-based inpatient residential treatment for individuals with substance use disorders in North Carolina.**
2. **Purchase the property at 2587 Pleasant Ridge Road.**
3. **Renovate the facility to meet the required standards.**
4. **Obtain licensure to operate the treatment facility.**

Progress and Accomplishments

1. **Property Acquisition**
 - **Status:** Completed
 - **Details:** We successfully acquired the property at 2587 Pleasant Ridge Road, securing it below market value and achieving a substantial saving below the initial listed rate. This acquisition is a significant milestone, as it establishes a permanent location for the treatment center in Wilkes County, enabling WRR to begin renovations and prepare the site for licensing.
2. **Facility Renovation**
 - **Status:** In Progress
 - **Details:** Renovation is underway, with significant progress made in multiple buildings on the property:
 - The **Partial Hospitalization Program (PHP) building** renovations, including modifications to meet licensure and accreditation requirements, were completed by June 31st.
 - The **Intensive Outpatient Program (IOP) and Substance Abuse Intensive Outpatient Program (SAIOP) building** has been painted, and all necessary furniture has been delivered. The next steps involve finalizing any additional requirements to align the facility with operational standards.
 - The Residential Inpatient and Detoxification Units are currently accessing needs and making necessary repairs to the kitchen. The camera and security systems have been installed alongside the internet and phone at all locations.
3. **Licensure to Operate**
 - **Status:** In Progress
 - **Details:** We initiated the licensure process for the PHP building and have been assigned a licensure representative, Miguel, from the North Carolina Division of Health Regulations. During the initial interview, we reviewed the requirements, and we are on track to submit the Policies and Procedures Manual, staffing documentation, and schedule the facility walkthrough. This progress is essential for achieving operational readiness by the target date.

Summary

Wilkes Recovery Revolution, Inc. has made substantial progress toward achieving the expected results outlined in the project's scope of work. With the successful acquisition of the property, ongoing renovations, and the initial steps of the licensure process underway, we are on track to open the facility and expand access to evidence-based treatment for substance use disorders in North Carolina.

4. Provide an update on your performance measures, as outlined in your Scope of Work, for the Grant Project(s).

Our performance measures are directly tied to the successful licensure, launch, and operation of our treatment facilities; therefore, measurable results are not yet available during this initial phase. As we continue to work through the licensure, accreditation, and facility preparation stages, our focus remains on establishing a solid foundation to support our program goals. Once the facilities are operational, we will be able to collect data on key performance indicators, including client enrollment, treatment outcomes, and community impact. Until then, our progress will primarily be documented through milestones achieved in infrastructure readiness, regulatory compliance, and phased program launches.

5. Provide a summary of expenditures during the reporting period (quarter).

Expenditure Category	Amount of Expenditure
Personnel/Contracted Services Expenses (e.g., program-related staffing)	\$ 82,739.43
Supplies and Materials Expenses (e.g., office supplies):	\$ 5,172.78
Non-Fixed Operating Expenses (e.g., travel, utilities):	\$ 31,275.88
Fixed Operating Expenses (e.g., office rent, dues and subscriptions):	\$ 3,701.40
Property and Equipment Expenses (e.g., buildings and improvements):	\$ 5,190.65
Services/Contracts Expenses (e.g., purchase of services, contracts with service providers):	\$ 0
Other Expenses (Specify):	\$ 13,434.68
Total Expenses:	\$ 141,514.82

6. Provide a summary of year-to-date expenditures through the end of the current reporting period. The total expenditures on this table should equal the cumulative expenditures from all completed quarterly reports to date.

Expenditure Category	Amount of Expenditure
Personnel/Contracted Services Expenses (e.g., program-related staffing)	\$ 82,739.43
Supplies and Materials Expenses (e.g., office supplies):	\$ 5,172.78
Non-Fixed Operating Expenses (e.g., travel, utilities):	\$ 31,275.88
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Services/Contracts Expenses (e.g., purchase of services, contracts with service providers):	\$ 0
Other Expenses (Specify):	\$ 13,434.68
Total Expenses:	\$ 141,514.82

If there are any questions, please contact the Contract Administrator.

ATTACHMENT D REPORTING REQUIREMENTS & TEMPLATES

Reporting Requirements: This is a subaward for financial assistance. Financial Assistance Contracts are subject to the Uniform Administration of State Awards, Oversight and Reporting Requirements for recipient and subrecipients described in [N.C. General Statue § 143C-6-23\(d\)](#) and in [09 NCAC 03M.205](#).

A non-governmental grantee who receives a combined \$500,000 or more funds from all state agencies must continue to submit a single or program-specific audit prepared and completed in accordance with Generally Accepted Government Auditing Standards, also known as a Yellow Book audit, to Risk Mitigation and Audit Monitoring at NCGrantsReporting@dhhs.nc.gov within 9 months of the grantee's fiscal year end.

DHHS encourages all of its non-governmental grantees that receive funds from other state agencies or DHHS divisions to contact their assigned contract monitor(s) to determine if year-end reports must be submitted for those particular grants/awards.

North Carolina State agencies are exempt from the reporting requirements of this section. Local governments that have reporting requirements for the Local Government Commission are exempt. All others are not exempt and must adhere to the reporting requirements of this section.

(1) Quarterly Reports: see template in this attachment.

(2) Reporting Timeframes and Due Dates:

Reporting Period	Due Date
July 1, 2024 – September 30, 2024	October 15, 2024
October 1, 2024 – December 31, 2024	January 15, 2025
January 1, 2025 – March 31, 2025	April 15, 2025
April 1, 2025 – June 30, 2025	July 15, 2025
July 1, 2025 - September 30, 2025	October 15, 2025
October 1, 2025 – December 31, 2025	January 15, 2026
January 1, 2026 – March 31, 2026	April 15, 2026
April 1, 2026 – June 30, 2026	July 15, 2026

N.C. General Statute § 143C-6-21-23. State Grant Funds: Administration; Oversight and Reporting Requirements.

§ 143C-6-21. Payments to nonprofits. Except as otherwise provided by law, an annual appropriation of one hundred thousand dollars (\$100,000) or less to or for the use of a nonprofit corporation may be made in a single annual payment, in the discretion of the Director of the Budget. An annual appropriation of more than one hundred thousand dollars (\$100,000) to or for the use of a nonprofit corporation shall be made in quarterly or monthly payments, in the discretion of the Director of the Budget. (2006-203, s. 3; 2013-360, s. 6.12(o).)

§ 143C-6-22. Use of State funds by non-State entities. (a) Disbursement and Use of State Funds. - Every non-State entity that receives, uses, or expends any State funds shall use or expend the funds only for the purposes for which they were appropriated by the General Assembly. State funds include federal funds that flow through the State Treasury. (b) Compliance by Non-State Entities. - If the Director of the Budget finds that a non-State entity has spent or encumbered State funds for an unauthorized purpose, or fails to submit or falsifies the information required by G.S. 143C-6-23 or any other provision of law, the Director shall take appropriate administrative action to ensure that no further irregularities or violations of law occur and shall report to the Attorney General any facts that pertain to an apparent violation of a criminal law or an apparent instance of malfeasance, misfeasance, or nonfeasance in connection with the use of State funds. Appropriate administrative action may include suspending or withholding the disbursement of State funds and recovering State funds previously disbursed. (c) Civil Actions. - Civil actions to recover State funds or to obtain other mandatory orders in the name of the State on relation of the Attorney General, or in the name of the Office of State Budget and Management, shall be filed in the General Court of Justice in Wake County. (2006-203, s. 3.)

§ 143C-6-23. State grant funds: administration; oversight and reporting requirements.

(a) Definitions. - The following definitions apply in this section:

- (1) Grant or grant funds. - State funds disbursed as a grant by a State agency; however, the terms do not include any payment made by the Medicaid program, the State Health Plan for Teachers and State Employees, or other similar medical programs.
- (2) Grantee. - A non-State entity that receives State funds as a grant from a State agency but does not include any non-State entity subject to the audit and other reporting requirements of the Local Government Commission.
- (3) Encumbrance. - A financial obligation created by a purchase order, contract, salary commitment, unearned or prepaid collections for services provided, or other legally binding agreement. A financial obligation is not an encumbrance for purposes of this section unless it (i) is in writing and has been signed by a person or entity who has authority to legally bind the grantee or subgrantee to spend the funds or (ii) was created by the provision of goods or services to the grantee or subgrantee by a third party under circumstances that create a legally binding obligation to pay for the goods or services.
- (4) Subgrantee. - A non-State entity that receives State funds as a grant from a grantee or from another subgrantee but does not include any non-State entity subject to the audit and other reporting requirements of the Local Government Commission.

(b) Conflict of Interest Policy. - Every grantee shall file with the State agency disbursing funds to the grantee a copy of that grantee's policy addressing conflicts of interest that may arise involving the grantee's management employees and the members of its board of directors or other governing body. The policy shall address situations in which any of these individuals may directly or indirectly benefit, except as the grantee's employees or members of its board or other governing body, from the grantee's disbursing of State funds, and shall include actions to be taken by the grantee or the individual, or both, to avoid conflicts of interest and the appearance of impropriety. The policy shall be filed before the disbursing State agency may disburse the grant funds.

(c) No Overdue Tax Debts. - Every grantee shall file with the State agency or department disbursing funds to the grantee a written statement completed by that grantee's board of directors or other governing body stating that the grantee does not have any overdue tax debts, as defined by G.S. 105-243.1, at the federal, State, or local level. The written statement shall be made under oath and shall be filed before the disbursing State agency or department may disburse the grant funds. A person who makes a false statement in violation of this subsection is guilty of a criminal offense punishable as provided by G.S. 143C-10-1.

(d) Office of State Budget Rules Must Require Uniform Administration of State Grants. - The Office of State Budget and Management shall adopt rules to ensure the uniform administration of State grants by all

grantor State agencies and grantees or subgrantees. The Office of State Budget and Management shall consult with the Office of the State Auditor and the Attorney General in establishing the rules required by this subsection. The rules shall establish policies and procedures for disbursements of State grants and for State agency oversight, monitoring, and evaluation of grantees and subgrantees. The policies and procedures shall:

- (1) Ensure that the purpose and reporting requirements of each grant are specified to the grantee.
- (2) Ensure that grantees specify the purpose and reporting requirements for grants made to subgrantees.
- (3) Ensure that State funds are spent in accordance with the purposes for which they were granted.
- (4) Hold the grantees and subgrantees accountable for the legal and appropriate expenditure of grant funds.
- (5) Provide for adequate oversight and monitoring to prevent the misuse of grant funds. These policies shall require each grantee and subgrantee to ensure that, for accounting purposes, State funds and interest earned on those funds remain separate and apart from other funds in the possession or control of the grantee or subgrantee.
- (6) Establish mandatory periodic reporting requirements for grantees and subgrantees, including methods of reporting, to provide financial and program performance information. The mandatory periodic reporting requirements shall require grantees and subgrantees to file with the State Auditor copies of reports and statements that are filed with State agencies pursuant to this subsection. Compliance with the mandatory periodic reporting requirements of this subdivision shall not require grantees and subgrantees to file with the State Auditor the information described in subsections (b) and (c) of this section.
- (7) Require grantees and subgrantees to maintain reports, records, and other information to properly account for the expenditure of all grant funds and to make such reports, records, and other information available to the grantor State agency for oversight, monitoring, and evaluation purposes.
- (8) Require grantees and subgrantees to ensure that work papers in the possession of their auditors are available to the State Auditor for the purposes set out in subsection (i) of this section.
- (9) Require grantees to be responsible for managing and monitoring each project, program, or activity supported by grant funds and each subgrantee project, program, or activity supported by grant funds.
- (10) Provide procedures for the suspension of further disbursements or use of grant funds for noncompliance with these rules or other inappropriate use of the funds.
- (11) Provide procedures for use in appropriate circumstances for reinstatement of disbursements that have been suspended for noncompliance with these rules or other inappropriate use of grant funds.
- (12) Provide procedures for the recovery and return to the grantor State agency of unexpended grant funds from a grantee or subgrantee (i) in accordance with subsection (f1) of this section or (ii) in the event that the grantee or subgrantee is unable to fulfill the purposes of the grant for a reason not set forth in that subsection.

(d1) Required Grant Terms. – The terms of each grant shall include all of the following, which shall be deemed a part of the grant:

- (1) The limitation contained in G.S. 143C-6-8 concerning the availability of appropriated funds.
- (2) The relevant provisions of any legislation authorizing or governing the administration of the grant.
- (3) The terms of this section.

(e) Rules Are Subject to the Administrative Procedure Act. – Notwithstanding the provisions of G.S. 150B-2(8a)b. rules adopted pursuant to subsection (d) of this section are subject to the provisions of Chapter 150B of the General Statutes.

(f) Suspension and Recovery of Funds to Grant Recipients for Noncompliance. – The Office of State Budget and Management, after consultation with the administering State agency, shall have the power to suspend disbursement of grant funds to grantees or subgrantees, to prevent further use of grant funds already disbursed, and to recover grant funds already disbursed for noncompliance with rules adopted pursuant to subsection (d) of this section. If the grant funds are a pass-through of funds granted by an agency of the United States, then the Office of State Budget and Management must consult with the granting agency of the United States and the State agency that is the recipient of the pass-through funds prior to taking the actions authorized by this subsection.

(f1) Return of Grant Funds. – Except as otherwise required by federal law, a grantee or subgrantee shall return to the State all affected grant funds and interest earned on those funds if any of the following occurs:

- (1) The funds are in the possession or control of a grantee and are not expended, made subject to an encumbrance, or disbursed to a subgrantee by August 31 immediately following the fiscal year in which

the funds are appropriated by the General Assembly, or a different period set forth in the terms of the applicable appropriation or federal grant.

(2) The funds remain unexpended at the time that the grantee or subgrantee dissolves, ceases operations, or otherwise indicates that it does not intend to spend the funds.

(3) The Office of State Budget and Management seeks to recover the funds pursuant to subsection (f) of this section.

(f2) Use of Returned Grant Funds. – Encumbered funds returned to the State pursuant to subsection (f1) of this section by a grantee or subgrantee shall upon appropriation by the General Assembly be spent in accordance with the terms of the encumbrance. All other funds returned to the State by a grantee or subgrantee pursuant to subsection (f1) of this section shall be credited to the fund from which they were appropriated and shall remain unexpended and unencumbered until appropriated by the General Assembly. Nothing in this section shall be construed to authorize an expenditure pursuant to an unlawful encumbrance or in a manner that would violate the terms of the appropriation of the grant funds at issue.

(g) Audit Oversight. – The State Auditor has audit oversight, with respect to grant funds received by the grantee or subgrantee, pursuant to Article 5A of Chapter 147 of the General Statutes, of every grantee or subgrantee that receives, uses, or expends grant funds. A grantee or subgrantee must, upon request, furnish to the State Auditor for audit all books, records, and other information necessary for the State Auditor to account fully for the use and expenditure of grant funds received by the grantee or subgrantee. The grantee or subgrantee must furnish any additional financial or budgetary information requested by the State Auditor, including audit work papers in the possession of any auditor of a grantee or subgrantee directly related to the use and expenditure of grant funds.

(h) Report on Grant Recipients That Failed to Comply. – Not later than May 1, 2007, and by May 1 of every succeeding year, the Office of State Budget and Management shall report to the Joint Legislative Commission on Governmental Operations and the Fiscal Research Division on all grantees or subgrantees that failed to comply with this section with respect to grant funds received in the prior fiscal year.

(i) State Agencies to Submit Grant List to Auditor. – No later than October 1 of each year, each State agency shall submit a list to the State Auditor, in the format prescribed by the State Auditor, of every grantee to which the agency disbursed grant funds in the prior fiscal year. The list shall include the amount disbursed to each grantee and other information as required by the State Auditor to comply with the requirements of this section.

(j) Use of Interest Earned on Grant Funds. – Except as otherwise required by federal law or the terms of a federal grant, interest earned on grant funds after receipt of the funds by a grantee or subgrantee shall be credited to the grantee or subgrantee and shall be used for the same purposes for which the grant or subgrant was made.

(k) Reporting by Grantees and Subgrantees That Cease Operations. – A grantee or subgrantee that intends to dissolve or cease operations shall report that decision in writing to the Office of State Budget and Management and to the Fiscal Research Division at least 30 days prior to taking that action. (2006-203, s. 3; 2007-323, s. 28.22A(o); 2007-345, s. 12; 2014-100, s. 6.5(a); 2015-264, s. 21.)

09 NCAC 03M .0205 Minimum Reporting Requirements for Recipients and Subrecipients.

(a) For the purposes of this Subchapter, there are three reporting levels established for recipients and subrecipients receiving State financial assistance. Reporting levels are based on the level of State financial assistance from all funding sources. The reporting levels are:

(1) Level I – A recipient or subrecipient that receives, holds, uses, or expends State financial assistance in an amount less than twenty-five thousand dollars (\$25,000) within its fiscal year.

(2) Level II - A recipient or subrecipient that receives, holds, uses, or expends State financial assistance in an amount of at least twenty-five thousand (\$25,000) or greater, but less than five hundred thousand dollars (\$500,000) within its fiscal year.

(3) Level III – A recipient or subrecipient that receives, holds, uses, or expends State financial assistance in an amount equal to or greater than five hundred thousand dollars (\$500,000) within its fiscal year.

(b) Agencies shall establish reporting requirements for recipients that meet the following reporting standards on an annual basis:

(1) All recipients and subrecipients shall provide a certification that State financial assistance received or, held was used for the purposes for which it was awarded.

(2) All recipients and subrecipients shall provide an accounting of all State financial assistance received, held, used, or expended.

(3) Level II and III recipients and subrecipients shall report on activities and accomplishments undertaken by the recipient, including reporting on any performance measures established in the contract.

(4) Level III recipients and subrecipients shall have a single or program-specific audit prepared and completed in accordance with Generally Accepted Government Auditing Standards, also known as the Yellow Book.

(c) All reports shall be filed with the disbursing agency in the format and method specified by the agency no later than three months after the end of the recipient's fiscal year, unless the same information is already required through more frequent reporting. Audits must be provided to the funding agency no later than nine months after the end of the recipient's fiscal year.

(d) Agency-established reporting requirements to meet the standards set forth in Paragraph (b) of this Rule shall be specified in each recipient's contract.

(e) Unless prohibited by law, the costs of audits made in accordance with the provisions of this Rule shall be allowable charges to State and Federal awards. The charges may be considered a direct cost or an allocated indirect cost, as determined in accordance with cost principles outlined in the Code of Federal Regulations, 2 CFR Part 200. The cost of any audit not conducted in accordance with this Subchapter shall not be charged to State awards.

(f) Notwithstanding the provisions of this Subchapter, a recipient may satisfy the reporting requirements of Subparagraph (b)(4) of this Rule by submitting a copy of the report required under federal law with respect to the same funds.

QUARTERLY STATUS REPORT

Please use this reporting template for each of the quarterly reports

Recipient Name:	Wilkes Recovery Revolution, Inc.
Recipient Tax ID #	81-1600840
Project/Activity Title:	State Directed Grant: WRR Treatment Services
Reporting Period (Quarter):	Q2 2024
Report Completion Date:	01/15/2025
Preparer of This Report:	Devin Lyall: Executive Director/CEO

Were grant funds expended during this reporting period? ☒ Yes ☐ No

Do you certify that all expenses to date have been submitted for this grant? ☒ Yes ☐ No

Do you certify that all funds to date were used for the purposes for which they were awarded and in compliance with your contract? ☒ Yes ☐ No

1. Provide a brief report of activities and accomplishments related to your Grant Project during this reporting period.

During this reporting period, the Cameron Ridge Treatment Center made significant progress in property development, licensure, provider enrollment, workforce expansion, and community outreach.

Infrastructure improvements included security system adjustments, scheduled water inspections, and ongoing efforts to complete lead service line compliance. Licensing processes advanced with an appointed representative for the final walkthrough, the onboarding of Medical Director Dr. Neil Guffey, and the submission of all necessary documentation.

Provider enrollment efforts continued, with taxonomy code adjustments and initial discussions with Blue Cross Blue Shield, Cigna, and United Healthcare for network partnerships. Hiring efforts led to multiple interviews for key positions, with a Clinical Director offer extended and strong CADC candidates identified.

Technology implementation progressed with KIPU software integration, and Radeus emerged as the preferred electronic health record provider. Community outreach expanded with the hiring of a Development Assistant, engagement with local organizations, and planning for two open houses pending licensure approval. Financial reports were submitted, and consultant-led strategic planning meetings helped refine the budget and sustainability strategies.

These achievements mark key milestones in establishing Cameron Ridge as a critical resource for recovery and behavioral health services.

2. Provide an update on the objectives, as outlined in your Scope of Work, of the grant project. Have you accomplished any of your objectives?

Wilkes Recovery Revolution (WRR) has made substantial progress toward achieving the objectives outlined in the grant's Scope of Work. The Partial Hospitalization (PHP) and Intensive Outpatient Programs (IOP) have been established, with construction and renovations nearing completion. The targeted launch date for both programs is March 2025, and the CARF Accreditation process has been initiated to ensure the highest standards of care.

WRR is actively working through the licensure process with the Division of Health Service Regulation, Mental Health Licensure & Certification Section of NC DHHS. The final steps include scheduling the required walkthrough and completing any outstanding compliance requirements. In preparation for the launch, a press release and open house events are being organized to engage stakeholders and introduce the new facilities to the community. WRR is also coordinating with Addiction Professionals of NC and other partners to ensure a successful program rollout.

WRR has secured the Medical Director and Clinical Director for both facilities. To strengthen community engagement, WRR has hired a Director of Community Engagement, who is actively reaching out to agencies and partners to discuss programming and establish referral pathways. We are currently interviewing for behavioral health techs. Additionally, WRR is in the final stages of implementing an Electronic Health Records (EHR) system, which will streamline client management, documentation, and billing.

Following the successful launch of PHP and IOP services, WRR will move forward with the development of a Residential Inpatient Building and a Detoxification Unit, further expanding treatment options for individuals in need.

With key milestones already achieved, WRR is on track for the March 2025 opening, with final licensure approvals, EHR system completion, and open house events as the remaining priorities. These advancements position WRR to provide critical, high-quality treatment services for underserved populations across North Carolina.

3. Provide an update on your expected results, as outlined in your Scope of Work, of the Grant Project(s). Have you accomplished any of your expected results?

1. Expansion of Evidence-Based Treatment

Status: In Progress

- WRR is actively working toward expanding access to Partial Hospitalization (PHP), Intensive Outpatient (IOP), Residential Inpatient, and Detoxification services at the newly acquired facility.
- PHP and IOP programs are set to launch in March 2025, with plans to initiate residential inpatient and detox services following their successful implementation.

2. Property Acquisition

Status: Completed

- The property at 2587 Pleasant Ridge Road has been successfully acquired, securing a permanent location for the treatment center. The acquisition was completed below market value, allowing WRR to allocate additional resources toward renovations and facility improvements. We also secured and remodeled a satellite location within city limits for intensive outpatient services located at 230 Jefferson Street, North Wilkesboro, NC 28659

3. Facility Renovation

Status: In Progress

- Renovations have been completed for the PHP building, ensuring it meets all licensure and accreditation requirements.
- The IOP and SAIOP buildings have been painted, and all necessary furniture has been delivered to IOP with SAIOP furniture by February 2025. Final adjustments are being made to ensure the facility is fully operational.
- The Residential Inpatient and Detoxification Units are currently undergoing assessments and upgrades, with kitchen repairs in progress and security systems, cameras, internet, and phone installations completed at all locations.
- The entire facility required a lead line service inspection as well as HVAC repairs, and water tank inspections.

4. Licensure to Operate

Status: In Progress

- The PHP/SACOT building licensure process has begun, with a licensure representative, Miguel, assigned from the North Carolina Division of Health Regulations. Preparing for Walk-Through currently.
- The IOP building licensure process was initiated, with a licensure representative, Savannah assigned from the North Carolina Division of Health Regulations.
- Initial licensure meetings have taken place, and WRR is on track to submit Policies and Procedures, staffing documentation, safety and security plans, and schedule the facility walkthrough in preparation for operational approval.

Wilkes Recovery Revolution, Inc. has achieved key milestones, including successful property acquisition and substantial renovations. The PHP and IOP programs are set to launch on schedule, and licensure efforts are progressing as planned. With continued focus on compliance, final renovations, and facility readiness, WRR remains on track to expand access to comprehensive, evidence-based treatment for substance use disorders in North Carolina.

4. Provide an update on your performance measures, as outlined in your Scope of Work, for the Grant Project(s).

Our performance measures are directly tied to the successful licensure, launch, and operation of our treatment facilities. As we remain in the initial phase of facility development, measurable client outcomes and service impact data are not yet available. However, significant milestones have been achieved, reflecting steady progress toward program implementation.

During this reporting period, we have focused on critical pre-launch objectives, including:

- Advancing the licensure process for Partial Hospitalization (PHP) and Intensive Outpatient Programs (IOP) with the North Carolina Division of Health Service Regulation.
- Completing key facility renovations to ensure compliance with accreditation and operational standards.
- Implementing our Electronic Health Records (EHR) system, a foundational step for tracking future performance metrics.
- Hiring a Director of Community Engagement, who is actively establishing referral pathways and building community partnerships.
- Preparing for a March 2025 launch, at which point measurable service delivery data will become available.

Once the facilities are fully operational, we will begin tracking key performance indicators (KPIs), including client enrollment numbers, retention rates, treatment outcomes, and overall community impact. Until then, progress continues to be measured by infrastructure readiness, regulatory compliance, and phased program launches, ensuring a strong foundation for long-term success.

5. Provide a summary of expenditures during the reporting period (quarter).

Expenditure Category	Amount of Expenditure
Personnel/Contracted Services Expenses (e.g., program-related staffing)	\$ 51,064.83
Supplies and Materials Expenses (e.g., office supplies):	\$ 4,808.66
Non-Fixed Operating Expenses (e.g., travel, utilities):	\$ 92,006.61
Fixed Operating Expenses (e.g., office rent, dues and subscriptions):	\$ 12,993.58
Property and Equipment Expenses (e.g., buildings and improvements):	\$ 9,104.51
Services/Contracts Expenses (e.g., purchase of services, contracts with service providers):	\$ 0
Other Expenses (Specify):	\$ 13,815.24
Total Expenses:	\$ 183,793.43

6. Provide a summary of year-to-date expenditures through the end of the current reporting period. The total expenditures on this table should equal the cumulative expenditures from all completed quarterly reports to date.

Expenditure Category	Amount of Expenditure
Personnel/Contracted Services Expenses (e.g., program-related staffing)	\$ 133,804.26
Supplies and Materials Expenses (e.g., office supplies):	\$ 9,981.44
Non-Fixed Operating Expenses (e.g., travel, utilities):	\$ 123,282.49
Fixed Operating Expenses (e.g., office rent, dues and subscriptions):	\$ 16,694.98
Property and Equipment Expenses (e.g., buildings and improvements):	\$ 14,295.16
Services/Contracts Expenses (e.g., purchase of services, contracts with service providers):	\$ 0
Other Expenses (Specify):	\$ 27,249.92
Total Expenses:	\$ 325,308.25

If there are any questions, please contact the Contract Administrator.

ATTACHMENT D REPORTING REQUIREMENTS & TEMPLATES

Reporting Requirements: This is a subaward for financial assistance. Financial Assistance Contracts are subject to the Uniform Administration of State Awards, Oversight and Reporting Requirements for recipient and subrecipients described in [N.C. General Statue § 143C-6-23\(d\)](#) and in [09 NCAC 03M.205](#).

A non-governmental grantee who receives a combined \$500,000 or more funds from all state agencies must continue to submit a single or program-specific audit prepared and completed in accordance with Generally Accepted Government Auditing Standards, also known as a Yellow Book audit, to Risk Mitigation and Audit Monitoring at NCGrantsReporting@dhhs.nc.gov within 9 months of the grantee's fiscal year end.

DHHS encourages all of its non-governmental grantees that receive funds from other state agencies or DHHS divisions to contact their assigned contract monitor(s) to determine if year-end reports must be submitted for those particular grants/awards.

North Carolina State agencies are exempt from the reporting requirements of this section. Local governments that have reporting requirements for the Local Government Commission are exempt. All others are not exempt and must adhere to the reporting requirements of this section.

(1) Quarterly Reports: see template in this attachment.

(2) Reporting Timeframes and Due Dates:

Reporting Period	Due Date
July 1, 2024 – September 30, 2024	October 15, 2024
October 1, 2024 – December 31, 2024	January 15, 2025
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N.C. General Statute § 143C-6-21-23. State Grant Funds: Administration; Oversight and Reporting Requirements.

§ 143C-6-21. Payments to nonprofits. Except as otherwise provided by law, an annual appropriation of one hundred thousand dollars (\$100,000) or less to or for the use of a nonprofit corporation may be made in a single annual payment, in the discretion of the Director of the Budget. An annual appropriation of more than one hundred thousand dollars (\$100,000) to or for the use of a nonprofit corporation shall be made in quarterly or monthly payments, in the discretion of the Director of the Budget. (2006-203, s. 3; 2013-360, s. 6.12(o).)

§ 143C-6-22. Use of State funds by non-State entities. (a) Disbursement and Use of State Funds. - Every non-State entity that receives, uses, or expends any State funds shall use or expend the funds only for the purposes for which they were appropriated by the General Assembly. State funds include federal funds that flow through the State Treasury. (b) Compliance by Non-State Entities. - If the Director of the Budget finds that a non-State entity has spent or encumbered State funds for an unauthorized purpose, or fails to submit or falsifies the information required by G.S. 143C-6-23 or any other provision of law, the Director shall take appropriate administrative action to ensure that no further irregularities or violations of law occur and shall report to the Attorney General any facts that pertain to an apparent violation of a criminal law or an apparent instance of malfeasance, misfeasance, or nonfeasance in connection with the use of State funds. Appropriate administrative action may include suspending or withholding the disbursement of State funds and recovering State funds previously disbursed. (c) Civil Actions. - Civil actions to recover State funds or to obtain other mandatory orders in the name of the State on relation of the Attorney General, or in the name of the Office of State Budget and Management, shall be filed in the General Court of Justice in Wake County. (2006-203, s. 3.)

§ 143C-6-23. State grant funds: administration; oversight and reporting requirements.

(a) Definitions. - The following definitions apply in this section:

- (1) Grant or grant funds. - State funds disbursed as a grant by a State agency; however, the terms do not include any payment made by the Medicaid program, the State Health Plan for Teachers and State Employees, or other similar medical programs.
- (2) Grantee. - A non-State entity that receives State funds as a grant from a State agency but does not include any non-State entity subject to the audit and other reporting requirements of the Local Government Commission.
- (3) Encumbrance. - A financial obligation created by a purchase order, contract, salary commitment, unearned or prepaid collections for services provided, or other legally binding agreement. A financial obligation is not an encumbrance for purposes of this section unless it (i) is in writing and has been signed by a person or entity who has authority to legally bind the grantee or subgrantee to spend the funds or (ii) was created by the provision of goods or services to the grantee or subgrantee by a third party under circumstances that create a legally binding obligation to pay for the goods or services.
- (4) Subgrantee. - A non-State entity that receives State funds as a grant from a grantee or from another subgrantee but does not include any non-State entity subject to the audit and other reporting requirements of the Local Government Commission.

(b) Conflict of Interest Policy. - Every grantee shall file with the State agency disbursing funds to the grantee a copy of that grantee's policy addressing conflicts of interest that may arise involving the grantee's management employees and the members of its board of directors or other governing body. The policy shall address situations in which any of these individuals may directly or indirectly benefit, except as the grantee's employees or members of its board or other governing body, from the grantee's disbursing of State funds, and shall include actions to be taken by the grantee or the individual, or both, to avoid conflicts of interest and the appearance of impropriety. The policy shall be filed before the disbursing State agency may disburse the grant funds.

(c) No Overdue Tax Debts. - Every grantee shall file with the State agency or department disbursing funds to the grantee a written statement completed by that grantee's board of directors or other governing body stating that the grantee does not have any overdue tax debts, as defined by G.S. 105-243.1, at the federal, State, or local level. The written statement shall be made under oath and shall be filed before the disbursing State agency or department may disburse the grant funds. A person who makes a false statement in violation of this subsection is guilty of a criminal offense punishable as provided by G.S. 143C-10-1.

(d) Office of State Budget Rules Must Require Uniform Administration of State Grants. - The Office of State Budget and Management shall adopt rules to ensure the uniform administration of State grants by all

grantor State agencies and grantees or subgrantees. The Office of State Budget and Management shall consult with the Office of the State Auditor and the Attorney General in establishing the rules required by this subsection. The rules shall establish policies and procedures for disbursements of State grants and for State agency oversight, monitoring, and evaluation of grantees and subgrantees. The policies and procedures shall:

- (1) Ensure that the purpose and reporting requirements of each grant are specified to the grantee.
- (2) Ensure that grantees specify the purpose and reporting requirements for grants made to subgrantees.
- (3) Ensure that State funds are spent in accordance with the purposes for which they were granted.
- (4) Hold the grantees and subgrantees accountable for the legal and appropriate expenditure of grant funds.
- (5) Provide for adequate oversight and monitoring to prevent the misuse of grant funds. These policies shall require each grantee and subgrantee to ensure that, for accounting purposes, State funds and interest earned on those funds remain separate and apart from other funds in the possession or control of the grantee or subgrantee.
- (6) Establish mandatory periodic reporting requirements for grantees and subgrantees, including methods of reporting, to provide financial and program performance information. The mandatory periodic reporting requirements shall require grantees and subgrantees to file with the State Auditor copies of reports and statements that are filed with State agencies pursuant to this subsection. Compliance with the mandatory periodic reporting requirements of this subdivision shall not require grantees and subgrantees to file with the State Auditor the information described in subsections (b) and (c) of this section.
- (7) Require grantees and subgrantees to maintain reports, records, and other information to properly account for the expenditure of all grant funds and to make such reports, records, and other information available to the grantor State agency for oversight, monitoring, and evaluation purposes.
- (8) Require grantees and subgrantees to ensure that work papers in the possession of their auditors are available to the State Auditor for the purposes set out in subsection (i) of this section.
- (9) Require grantees to be responsible for managing and monitoring each project, program, or activity supported by grant funds and each subgrantee project, program, or activity supported by grant funds.
- (10) Provide procedures for the suspension of further disbursements or use of grant funds for noncompliance with these rules or other inappropriate use of the funds.
- (11) Provide procedures for use in appropriate circumstances for reinstatement of disbursements that have been suspended for noncompliance with these rules or other inappropriate use of grant funds.
- (12) Provide procedures for the recovery and return to the grantor State agency of unexpended grant funds from a grantee or subgrantee (i) in accordance with subsection (f1) of this section or (ii) in the event that the grantee or subgrantee is unable to fulfill the purposes of the grant for a reason not set forth in that subsection.

(d1) Required Grant Terms. – The terms of each grant shall include all of the following, which shall be deemed a part of the grant:

- (1) The limitation contained in G.S. 143C-6-8 concerning the availability of appropriated funds.
- (2) The relevant provisions of any legislation authorizing or governing the administration of the grant.
- (3) The terms of this section.

(e) Rules Are Subject to the Administrative Procedure Act. – Notwithstanding the provisions of G.S. 150B-2(8a)b. rules adopted pursuant to subsection (d) of this section are subject to the provisions of Chapter 150B of the General Statutes.

(f) Suspension and Recovery of Funds to Grant Recipients for Noncompliance. – The Office of State Budget and Management, after consultation with the administering State agency, shall have the power to suspend disbursement of grant funds to grantees or subgrantees, to prevent further use of grant funds already disbursed, and to recover grant funds already disbursed for noncompliance with rules adopted pursuant to subsection (d) of this section. If the grant funds are a pass-through of funds granted by an agency of the United States, then the Office of State Budget and Management must consult with the granting agency of the United States and the State agency that is the recipient of the pass-through funds prior to taking the actions authorized by this subsection.

(f1) Return of Grant Funds. – Except as otherwise required by federal law, a grantee or subgrantee shall return to the State all affected grant funds and interest earned on those funds if any of the following occurs:

- (1) The funds are in the possession or control of a grantee and are not expended, made subject to an encumbrance, or disbursed to a subgrantee by August 31 immediately following the fiscal year in which

the funds are appropriated by the General Assembly, or a different period set forth in the terms of the applicable appropriation or federal grant.

(2) The funds remain unexpended at the time that the grantee or subgrantee dissolves, ceases operations, or otherwise indicates that it does not intend to spend the funds.

(3) The Office of State Budget and Management seeks to recover the funds pursuant to subsection (f) of this section.

(f2) Use of Returned Grant Funds. – Encumbered funds returned to the State pursuant to subsection (f1) of this section by a grantee or subgrantee shall upon appropriation by the General Assembly be spent in accordance with the terms of the encumbrance. All other funds returned to the State by a grantee or subgrantee pursuant to subsection (f1) of this section shall be credited to the fund from which they were appropriated and shall remain unexpended and unencumbered until appropriated by the General Assembly. Nothing in this section shall be construed to authorize an expenditure pursuant to an unlawful encumbrance or in a manner that would violate the terms of the appropriation of the grant funds at issue.

(g) Audit Oversight. – The State Auditor has audit oversight, with respect to grant funds received by the grantee or subgrantee, pursuant to Article 5A of Chapter 147 of the General Statutes, of every grantee or subgrantee that receives, uses, or expends grant funds. A grantee or subgrantee must, upon request, furnish to the State Auditor for audit all books, records, and other information necessary for the State Auditor to account fully for the use and expenditure of grant funds received by the grantee or subgrantee. The grantee or subgrantee must furnish any additional financial or budgetary information requested by the State Auditor, including audit work papers in the possession of any auditor of a grantee or subgrantee directly related to the use and expenditure of grant funds.

(h) Report on Grant Recipients That Failed to Comply. – Not later than May 1, 2007, and by May 1 of every succeeding year, the Office of State Budget and Management shall report to the Joint Legislative Commission on Governmental Operations and the Fiscal Research Division on all grantees or subgrantees that failed to comply with this section with respect to grant funds received in the prior fiscal year.

(i) State Agencies to Submit Grant List to Auditor. – No later than October 1 of each year, each State agency shall submit a list to the State Auditor, in the format prescribed by the State Auditor, of every grantee to which the agency disbursed grant funds in the prior fiscal year. The list shall include the amount disbursed to each grantee and other information as required by the State Auditor to comply with the requirements of this section.

(j) Use of Interest Earned on Grant Funds. – Except as otherwise required by federal law or the terms of a federal grant, interest earned on grant funds after receipt of the funds by a grantee or subgrantee shall be credited to the grantee or subgrantee and shall be used for the same purposes for which the grant or subgrant was made.

(k) Reporting by Grantees and Subgrantees That Cease Operations. – A grantee or subgrantee that intends to dissolve or cease operations shall report that decision in writing to the Office of State Budget and Management and to the Fiscal Research Division at least 30 days prior to taking that action. (2006-203, s. 3; 2007-323, s. 28.22A(o); 2007-345, s. 12; 2014-100, s. 6.5(a); 2015-264, s. 21.)

09 NCAC 03M .0205 Minimum Reporting Requirements for Recipients and Subrecipients.

(a) For the purposes of this Subchapter, there are three reporting levels established for recipients and subrecipients receiving State financial assistance. Reporting levels are based on the level of State financial assistance from all funding sources. The reporting levels are:

(1) Level I – A recipient or subrecipient that receives, holds, uses, or expends State financial assistance in an amount less than twenty-five thousand dollars (\$25,000) within its fiscal year.

(2) Level II - A recipient or subrecipient that receives, holds, uses, or expends State financial assistance in an amount of at least twenty-five thousand (\$25,000) or greater, but less than five hundred thousand dollars (\$500,000) within its fiscal year.

(3) Level III – A recipient or subrecipient that receives, holds, uses, or expends State financial assistance in an amount equal to or greater than five hundred thousand dollars (\$500,000) within its fiscal year.

(b) Agencies shall establish reporting requirements for recipients that meet the following reporting standards on an annual basis:

(1) All recipients and subrecipients shall provide a certification that State financial assistance received or, held was used for the purposes for which it was awarded.

(2) All recipients and subrecipients shall provide an accounting of all State financial assistance received, held, used, or expended.

(3) Level II and III recipients and subrecipients shall report on activities and accomplishments undertaken by the recipient, including reporting on any performance measures established in the contract.

(4) Level III recipients and subrecipients shall have a single or program-specific audit prepared and completed in accordance with Generally Accepted Government Auditing Standards, also known as the Yellow Book.

(c) All reports shall be filed with the disbursing agency in the format and method specified by the agency no later than three months after the end of the recipient's fiscal year, unless the same information is already required through more frequent reporting. Audits must be provided to the funding agency no later than nine months after the end of the recipient's fiscal year.

(d) Agency-established reporting requirements to meet the standards set forth in Paragraph (b) of this Rule shall be specified in each recipient's contract.

(e) Unless prohibited by law, the costs of audits made in accordance with the provisions of this Rule shall be allowable charges to State and Federal awards. The charges may be considered a direct cost or an allocated indirect cost, as determined in accordance with cost principles outlined in the Code of Federal Regulations, 2 CFR Part 200. The cost of any audit not conducted in accordance with this Subchapter shall not be charged to State awards.

(f) Notwithstanding the provisions of this Subchapter, a recipient may satisfy the reporting requirements of Subparagraph (b)(4) of this Rule by submitting a copy of the report required under federal law with respect to the same funds.

QUARTERLY STATUS REPORT

Please use this reporting template for each of the quarterly reports

Recipient Name:	Wilkes Recovery Revolution, Inc.
Recipient Tax ID #	81-1600840
Project/Activity Title:	State Directed Grant: WRR Treatment Services
Reporting Period (Quarter):	Q3 2024-2025
Report Completion Date:	04/10/2025
Preparer of This Report:	Devin Lyall: Executive Director/CEO

Were grant funds expended during this reporting period? ☒ Yes ☐ No

Do you certify that all expenses to date have been submitted for this grant? ☒ Yes ☐ No

Do you certify that all funds to date were used for the purposes for which they were awarded and in compliance with your contract? ☒ Yes ☐ No

1. Provide a brief report of activities and accomplishments related to your Grant Project during this reporting period.

During this reporting period, the Cameron Ridge Treatment Center made significant progress in property development, licensure, provider enrollment, workforce expansion, and community outreach.

1. Facility Preparation & Infrastructure Updates

Significant HVAC repairs were completed after issues arose with heating systems across multiple buildings. Inspections and adjustments were made to ensure reliable climate control across the entire campus.

The facility benefitted from the strategic reuse of furniture from the former Lowes building, reducing costs while meeting licensure and operational needs.

Routine property compliance activities were conducted, including quarterly lead and copper water testing and the successful inspection of the 6-gallon water tank.

2. Staffing & Workforce Expansion

The Clinical Director, Medical Director, and CADC have officially begun employment and are actively engaged in licensure preparation and operational planning.

Recruitment for additional positions, including Behavioral Health Technicians, is ongoing, with interviews continuing into the next quarter.

3. Licensure & Provider Enrollment

The PHP/SACOT building remains in preparation for its walkthrough, pending final furniture and equipment readiness.

The IOP/OP building walkthrough is scheduled for early May 2025, a critical next step toward program launch.

Once licensure is secured, WRR will resume the NC Tracks enrollment process and move forward with payer enrollment, including Vaya Health.

4. Technology & Equipment Purchases

Lab testing equipment and supplies from Radeas have been delivered, including laptops, printers, testing supplies, and secured pick-up protocols. Testing will commence once patient services begin.

WRR continues to work with KIPU, its Electronic Health Record (EHR) provider, to finalize templates and customize workflows.

5. Website Development & Marketing

WRR engaged Greenstick to overhaul its main website and create a new web presence for the Cameron Ridge Treatment Center. The CRTC website is complete and ready to go live upon opening. This includes a referral link form, an AI Assistant through chat to help with navigation of admissions, and insurance approval tool.

2. Provide an update on the objectives, as outlined in your Scope of Work, of the grant project. Have you accomplished any of your objectives?

Wilkes Recovery Revolution, Inc. (WRR) continues to make steady progress toward the objectives outlined in the grant's Scope of Work.

Objective 1: Establish and Launch Partial Hospitalization Program (PHP) and Intensive Outpatient Program (IOP)

- The IOP/OP building is fully furnished and prepared for the final licensure walkthrough, which has been scheduled for early May 2025.
- The PHP/SACOT building is still undergoing final preparations related to furniture placement and readiness for licensure.
- Both facilities are on track for an anticipated program launch shortly after licensure is secured.

Objective 2: Expand Internal Staffing Capacity

- WRR has successfully hired a Medical Director, Clinical Director, and CADC, all of whom have officially started working and are actively contributing to licensure preparation and operational planning.
- Recruitment is ongoing for additional support roles, including Behavioral Health Technicians.
- Director of Outreach has on-going meetings scheduled with referral sources across the state to provide information on admission criteria and referrals for services and various levels of care.

Objective 3: Develop and Implement Operational Systems

- KIPU EHR system implementation is almost finalized, with templates under review and customization for the treatment programs. New staff onboarding is scheduled. The clinical director will receive thorough training on top of normal staff onboarding to be prepared to train upon new staff hires.
- Radeas Lab Testing equipment has been delivered and is ready for operational use upon opening.

Objective 4: Achieve Facility Licensure

- WRR continues to work closely with the NC Division of Health Service Regulation.
- The IOP/OP walkthrough is scheduled, and PHP/SACOT is progressing toward readiness.

Following the successful launch of PHP and IOP services, WRR will move forward with the development of a Residential Inpatient Building and a Detoxification Unit, further expanding treatment options for individuals in need.

With key milestones already achieved, WRR is on track for the March 2025 opening, with final licensure approvals, EHR system completion, and open house events as the remaining priorities. These

advancements position WRR to provide critical, high-quality treatment services for underserved populations across North Carolina.

3. Provide an update on your expected results, as outlined in your Scope of Work, of the Grant Project(s). Have you accomplished any of your expected results?

1. Expansion of Evidence-Based Treatment

Status: In Progress

WRR remains on track to offer PHP, IOP, Residential Inpatient, and Detoxification services. PHP and IOP are expected to launch upon successful licensure in May 2025. Residential and Detox services will follow in future phases.

2. Property Acquisition

Status: Completed

The property at 2587 Pleasant Ridge Road has been fully acquired.

3. Facility Renovation

Status: In Progress

Construction and renovations continued this quarter with HVAC repairs, property maintenance, and preparations for licensure walkthroughs. Furniture acquisition and placement from the former Lowes building helped offset costs.

4. Licensure to Operate

Status: In Progress

Licensure processes for both the PHP/SACOT and IOP/OP buildings continue to move forward. The IOP/OP walkthrough is scheduled for May 2025.

4. Provide an update on your performance measures, as outlined in your Scope of Work, for the Grant Project(s).

As the treatment center has not yet opened to clients, performance measures related to service delivery are not yet available. However, WRR continues to track pre-launch milestones, including:

- Hiring and onboarding key clinical and medical staff
- Facility readiness for licensure
- Implementation of EHR and lab systems
- Completion of infrastructure repairs and improvements
- Ongoing community outreach and stakeholder engagement

Once licensure is secured and services begin, WRR will begin collecting data on client enrollment, retention, treatment outcomes, and other key performance indicators.

5. Provide a summary of expenditures during the reporting period (quarter).

<u>Expenditure Category</u>	<u>Amount of Expenditure</u>
Personnel/Contracted Services Expenses (e.g., program-related staffing)	\$ 52,236.85
Supplies and Materials Expenses (e.g., office supplies):	\$ 3,522.79
Non-Fixed Operating Expenses (e.g., travel, utilities):	\$ 26,067.81
Fixed Operating Expenses (e.g., office rent, dues and subscriptions):	\$ 13,560.01
Property and Equipment Expenses (e.g., buildings and improvements):	\$ 9,097.39
Services/Contracts Expenses (e.g., purchase of services, contracts with service providers):	\$ 0
Other Expenses (Specify):	\$ 0
Total Expenses:	\$ 104,484.85

6. Provide a summary of year-to-date expenditures through the end of the current reporting period. The total expenditures on this table should equal the cumulative expenditures from all completed quarterly reports to date.

<u>Expenditure Category</u>	<u>Amount of Expenditure</u>
Personnel/Contracted Services Expenses (e.g., program-related staffing)	\$ 186,041.11
Supplies and Materials Expenses (e.g., office supplies):	\$ 13,504.23
Non-Fixed Operating Expenses (e.g., travel, utilities):	\$ 149,350.30
Fixed Operating Expenses (e.g., office rent, dues and subscriptions):	\$ 30,254.99
Property and Equipment Expenses (e.g., buildings and improvements):	\$ 23,392.55
Services/Contracts Expenses (e.g., purchase of services, contracts with service providers):	\$ 0
Other Expenses (Specify):	\$ 27,249.92
Total Expenses:	\$ 429,793.10

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§ 143C-6-23. State grant funds: administration; oversight and reporting requirements.

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- (3) Encumbrance. - A financial obligation created by a purchase order, contract, salary commitment, unearned or prepaid collections for services provided, or other legally binding agreement. A financial obligation is not an encumbrance for purposes of this section unless it (i) is in writing and has been signed by a person or entity who has authority to legally bind the grantee or subgrantee to spend the funds or (ii) was created by the provision of goods or services to the grantee or subgrantee by a third party under circumstances that create a legally binding obligation to pay for the goods or services.
- (4) Subgrantee. - A non-State entity that receives State funds as a grant from a grantee or from another subgrantee but does not include any non-State entity subject to the audit and other reporting requirements of the Local Government Commission.

(b) Conflict of Interest Policy. - Every grantee shall file with the State agency disbursing funds to the grantee a copy of that grantee's policy addressing conflicts of interest that may arise involving the grantee's management employees and the members of its board of directors or other governing body. The policy shall address situations in which any of these individuals may directly or indirectly benefit, except as the grantee's employees or members of its board or other governing body, from the grantee's disbursing of State funds, and shall include actions to be taken by the grantee or the individual, or both, to avoid conflicts of interest and the appearance of impropriety. The policy shall be filed before the disbursing State agency may disburse the grant funds.

(c) No Overdue Tax Debts. - Every grantee shall file with the State agency or department disbursing funds to the grantee a written statement completed by that grantee's board of directors or other governing body stating that the grantee does not have any overdue tax debts, as defined by G.S. 105-243.1, at the federal, State, or local level. The written statement shall be made under oath and shall be filed before the disbursing State agency or department may disburse the grant funds. A person who makes a false statement in violation of this subsection is guilty of a criminal offense punishable as provided by G.S. 143C-10-1.

(d) Office of State Budget Rules Must Require Uniform Administration of State Grants. - The Office of State Budget and Management shall adopt rules to ensure the uniform administration of State grants by all

grantor State agencies and grantees or subgrantees. The Office of State Budget and Management shall consult with the Office of the State Auditor and the Attorney General in establishing the rules required by this subsection. The rules shall establish policies and procedures for disbursements of State grants and for State agency oversight, monitoring, and evaluation of grantees and subgrantees. The policies and procedures shall:

- (1) Ensure that the purpose and reporting requirements of each grant are specified to the grantee.
- (2) Ensure that grantees specify the purpose and reporting requirements for grants made to subgrantees.
- (3) Ensure that State funds are spent in accordance with the purposes for which they were granted.
- (4) Hold the grantees and subgrantees accountable for the legal and appropriate expenditure of grant funds.
- (5) Provide for adequate oversight and monitoring to prevent the misuse of grant funds. These policies shall require each grantee and subgrantee to ensure that, for accounting purposes, State funds and interest earned on those funds remain separate and apart from other funds in the possession or control of the grantee or subgrantee.
- (6) Establish mandatory periodic reporting requirements for grantees and subgrantees, including methods of reporting, to provide financial and program performance information. The mandatory periodic reporting requirements shall require grantees and subgrantees to file with the State Auditor copies of reports and statements that are filed with State agencies pursuant to this subsection. Compliance with the mandatory periodic reporting requirements of this subdivision shall not require grantees and subgrantees to file with the State Auditor the information described in subsections (b) and (c) of this section.
- (7) Require grantees and subgrantees to maintain reports, records, and other information to properly account for the expenditure of all grant funds and to make such reports, records, and other information available to the grantor State agency for oversight, monitoring, and evaluation purposes.
- (8) Require grantees and subgrantees to ensure that work papers in the possession of their auditors are available to the State Auditor for the purposes set out in subsection (i) of this section.
- (9) Require grantees to be responsible for managing and monitoring each project, program, or activity supported by grant funds and each subgrantee project, program, or activity supported by grant funds.
- (10) Provide procedures for the suspension of further disbursements or use of grant funds for noncompliance with these rules or other inappropriate use of the funds.
- (11) Provide procedures for use in appropriate circumstances for reinstatement of disbursements that have been suspended for noncompliance with these rules or other inappropriate use of grant funds.
- (12) Provide procedures for the recovery and return to the grantor State agency of unexpended grant funds from a grantee or subgrantee (i) in accordance with subsection (f1) of this section or (ii) in the event that the grantee or subgrantee is unable to fulfill the purposes of the grant for a reason not set forth in that subsection.

(d1) Required Grant Terms. – The terms of each grant shall include all of the following, which shall be deemed a part of the grant:

- (1) The limitation contained in G.S. 143C-6-8 concerning the availability of appropriated funds.
- (2) The relevant provisions of any legislation authorizing or governing the administration of the grant.
- (3) The terms of this section.

(e) Rules Are Subject to the Administrative Procedure Act. – Notwithstanding the provisions of G.S. 150B-2(8a)b. rules adopted pursuant to subsection (d) of this section are subject to the provisions of Chapter 150B of the General Statutes.

(f) Suspension and Recovery of Funds to Grant Recipients for Noncompliance. – The Office of State Budget and Management, after consultation with the administering State agency, shall have the power to suspend disbursement of grant funds to grantees or subgrantees, to prevent further use of grant funds already disbursed, and to recover grant funds already disbursed for noncompliance with rules adopted pursuant to subsection (d) of this section. If the grant funds are a pass-through of funds granted by an agency of the United States, then the Office of State Budget and Management must consult with the granting agency of the United States and the State agency that is the recipient of the pass-through funds prior to taking the actions authorized by this subsection.

(f1) Return of Grant Funds. – Except as otherwise required by federal law, a grantee or subgrantee shall return to the State all affected grant funds and interest earned on those funds if any of the following occurs:

- (1) The funds are in the possession or control of a grantee and are not expended, made subject to an encumbrance, or disbursed to a subgrantee by August 31 immediately following the fiscal year in which

the funds are appropriated by the General Assembly, or a different period set forth in the terms of the applicable appropriation or federal grant.

(2) The funds remain unexpended at the time that the grantee or subgrantee dissolves, ceases operations, or otherwise indicates that it does not intend to spend the funds.

(3) The Office of State Budget and Management seeks to recover the funds pursuant to subsection (f) of this section.

(f2) Use of Returned Grant Funds. – Encumbered funds returned to the State pursuant to subsection (f1) of this section by a grantee or subgrantee shall upon appropriation by the General Assembly be spent in accordance with the terms of the encumbrance. All other funds returned to the State by a grantee or subgrantee pursuant to subsection (f1) of this section shall be credited to the fund from which they were appropriated and shall remain unexpended and unencumbered until appropriated by the General Assembly. Nothing in this section shall be construed to authorize an expenditure pursuant to an unlawful encumbrance or in a manner that would violate the terms of the appropriation of the grant funds at issue.

(g) Audit Oversight. – The State Auditor has audit oversight, with respect to grant funds received by the grantee or subgrantee, pursuant to Article 5A of Chapter 147 of the General Statutes, of every grantee or subgrantee that receives, uses, or expends grant funds. A grantee or subgrantee must, upon request, furnish to the State Auditor for audit all books, records, and other information necessary for the State Auditor to account fully for the use and expenditure of grant funds received by the grantee or subgrantee. The grantee or subgrantee must furnish any additional financial or budgetary information requested by the State Auditor, including audit work papers in the possession of any auditor of a grantee or subgrantee directly related to the use and expenditure of grant funds.

(h) Report on Grant Recipients That Failed to Comply. – Not later than May 1, 2007, and by May 1 of every succeeding year, the Office of State Budget and Management shall report to the Joint Legislative Commission on Governmental Operations and the Fiscal Research Division on all grantees or subgrantees that failed to comply with this section with respect to grant funds received in the prior fiscal year.

(i) State Agencies to Submit Grant List to Auditor. – No later than October 1 of each year, each State agency shall submit a list to the State Auditor, in the format prescribed by the State Auditor, of every grantee to which the agency disbursed grant funds in the prior fiscal year. The list shall include the amount disbursed to each grantee and other information as required by the State Auditor to comply with the requirements of this section.

(j) Use of Interest Earned on Grant Funds. – Except as otherwise required by federal law or the terms of a federal grant, interest earned on grant funds after receipt of the funds by a grantee or subgrantee shall be credited to the grantee or subgrantee and shall be used for the same purposes for which the grant or subgrant was made.

(k) Reporting by Grantees and Subgrantees That Cease Operations. – A grantee or subgrantee that intends to dissolve or cease operations shall report that decision in writing to the Office of State Budget and Management and to the Fiscal Research Division at least 30 days prior to taking that action. (2006-203, s. 3; 2007-323, s. 28.22A(o); 2007-345, s. 12; 2014-100, s. 6.5(a); 2015-264, s. 21.)

09 NCAC 03M .0205 Minimum Reporting Requirements for Recipients and Subrecipients.

(a) For the purposes of this Subchapter, there are three reporting levels established for recipients and subrecipients receiving State financial assistance. Reporting levels are based on the level of State financial assistance from all funding sources. The reporting levels are:

(1) Level I – A recipient or subrecipient that receives, holds, uses, or expends State financial assistance in an amount less than twenty-five thousand dollars (\$25,000) within its fiscal year.

(2) Level II - A recipient or subrecipient that receives, holds, uses, or expends State financial assistance in an amount of at least twenty-five thousand (\$25,000) or greater, but less than five hundred thousand dollars (\$500,000) within its fiscal year.

(3) Level III – A recipient or subrecipient that receives, holds, uses, or expends State financial assistance in an amount equal to or greater than five hundred thousand dollars (\$500,000) within its fiscal year.

(b) Agencies shall establish reporting requirements for recipients that meet the following reporting standards on an annual basis:

(1) All recipients and subrecipients shall provide a certification that State financial assistance received or, held was used for the purposes for which it was awarded.

(2) All recipients and subrecipients shall provide an accounting of all State financial assistance received, held, used, or expended.

(3) Level II and III recipients and subrecipients shall report on activities and accomplishments undertaken by the recipient, including reporting on any performance measures established in the contract.

(4) Level III recipients and subrecipients shall have a single or program-specific audit prepared and completed in accordance with Generally Accepted Government Auditing Standards, also known as the Yellow Book.

(c) All reports shall be filed with the disbursing agency in the format and method specified by the agency no later than three months after the end of the recipient's fiscal year, unless the same information is already required through more frequent reporting. Audits must be provided to the funding agency no later than nine months after the end of the recipient's fiscal year.

(d) Agency-established reporting requirements to meet the standards set forth in Paragraph (b) of this Rule shall be specified in each recipient's contract.

(e) Unless prohibited by law, the costs of audits made in accordance with the provisions of this Rule shall be allowable charges to State and Federal awards. The charges may be considered a direct cost or an allocated indirect cost, as determined in accordance with cost principles outlined in the Code of Federal Regulations, 2 CFR Part 200. The cost of any audit not conducted in accordance with this Subchapter shall not be charged to State awards.

(f) Notwithstanding the provisions of this Subchapter, a recipient may satisfy the reporting requirements of Subparagraph (b)(4) of this Rule by submitting a copy of the report required under federal law with respect to the same funds.

QUARTERLY STATUS REPORT

Please use this reporting template for each of the quarterly reports

Recipient Name:	Wilkes Recovery Revolution, Inc.
Recipient Tax ID #	81-1600840
Project/Activity Title:	State Directed Grant: WRR Treatment Services
Reporting Period (Quarter):	Q4 2024-2025
Report Completion Date:	06/11/2025
Preparer of This Report:	Devin Lyall: Executive Director/CEO

Were grant funds expended during this reporting period? ☒ Yes ☐ No

Do you certify that all expenses to date have been submitted for this grant? ☒ Yes ☐ No

Do you certify that all funds to date were used for the purposes for which they were awarded and in compliance with your contract? ☒ Yes ☐ No

1. Provide a brief report of activities and accomplishments related to your Grant Project during this reporting period.

During this reporting period, Wilkes Recovery Revolution, Inc. (WRR) achieved several major milestones in the development of the Cameron Ridge Treatment Center (CRTC), with key activities focused on licensure, staffing, provider enrollment, outreach, and operational readiness.

1. Facility Preparation & Infrastructure Updates

The IOP building walkthrough was successfully conducted on June 6, 2025, and the facility passed inspection. A minor camera adjustment was made to address privacy concerns, and the facility is now awaiting the official license number. The IOP program is scheduled to launch on July 1, 2025.

The PHP/SACOT licensure application remains in progress. Policies and procedures have been submitted and the walkthrough will be scheduled once documentation is fully reviewed and the facility is fully staged.

The State Road location is now fully furnished, with final touches such as IT setup, decorating, and signage underway.

2. Staffing & Workforce Expansion

WRR onboarded Jolene Barlow as Clinical Director and Michelle Whitney as Certified Alcohol and Drug Counselor (CADC). Both completed required training through Skills Training Solutions.

Additional hiring is ongoing, particularly for Behavioral Health Technicians, with plans to support both daytime and evening IOP groups to expand accessibility.

3. Licensure & Provider Enrollment

WRR continues to work through the NC Tracks process, awaiting final licensure to move forward with billing setup.

Engagement with Blue Cross Blue Shield has been promising, with in-network status being discussed and out-of-network approval already granted.

WRR is now pursuing dual accreditation with both The Joint Commission and CARF. The Joint Commission will provide a provisional accreditation code needed for provider enrollment while the full CARF process is completed.

4. Technology & Equipment Purchases

Lab equipment (from Radeas) including laptops, label printers, and supplies have been delivered to both the PHP and IOP buildings. The testing portal and pickup schedule are fully established.

KIPU Electronic Health Record customization continued, with staff reviewing and selecting treatment plan templates and workflows. Training and onboarding were conducted in preparation for go-live.

5. Outreach, Marketing, & Community Engagement

A press release was developed and submitted to local media to promote the June 24 IOP Ribbon Cutting and Open House.

The June 24 Open House & Ribbon Cutting Ceremony was scheduled for 12:00 PM with tours and refreshments to follow. Community partners such as DSS, hospital staff, and first responders were intentionally invited.

Alexis Triplett, Development Assistant, initiated meetings with referral agencies and began sharing program information and intake criteria across the region.

WRR worked with Greenstick to continue developing the CRTC website and enhancing SEO for digital outreach. The site is prepared to launch in coordination with service delivery.

2. Provide an update on the objectives, as outlined in your Scope of Work, of the grant project. Have you accomplished any of your objectives?

Wilkes Recovery Revolution, Inc. (WRR) has made significant progress toward the objectives outlined in the grant's Scope of Work, moving from development to near-operational readiness.

Objective 1: Launch of PHP and IOP Programs

Intensive Outpatient Program (IOP): The facility passed its walkthrough in June 2025 and is scheduled to launch on July 1, 2025.

Partial Hospitalization Program (PHP): Final documentation has been submitted, and the walkthrough will be scheduled upon completion of facility staging.

Objective 2: Hiring of Qualified Staff

WRR successfully onboarded a Clinical Director, CADC, and Medical Director.

Additional hiring of Behavioral Health Technicians and clinical support staff is ongoing.

Training and orientation processes have been established and implemented.

Objective 3: Establish Operational Infrastructure

WRR completed furniture staging, HVAC repairs, and property upgrades.

IT systems and signage are being finalized at the State Road facility.

Internal systems, such as KIPU EHR and lab testing infrastructure, have been implemented in both buildings.

Objective 4: Achieve Licensure and Begin Provider Enrollment

IOP licensure is expected to be finalized in July.

PHP licensure is underway.

NC Tracks enrollment will resume immediately upon licensure approval.

Joint Commission accreditation is in progress and will provide a provisional code to initiate billing processes.

3. Provide an update on your expected results, as outlined in your Scope of Work, of the Grant Project(s). Have you accomplished any of your expected results?

1. Expansion of Evidence-Based Treatment

Status: In Progress

WRR is set to launch IOP services in July 2025, with PHP expected to follow shortly thereafter. Residential inpatient and detox services remain in the planning phase and are expected in future quarters.

2. Property Acquisition

Status: Completed

The property at 2587 Pleasant Ridge Road was acquired in a prior reporting period.

3. Facility Renovation

Status: Substantially Completed

Both the PHP and IOP buildings have been furnished, equipped, and are undergoing final aesthetic and signage improvements.

HVAC upgrades, IT installation, lab testing setup, and safety improvements have been completed.

4. Licensure to Operate

Status: Nearly Completed

IOP passed its walkthrough and awaits final licensing number.

PHP licensure application has been submitted; walkthrough scheduling is anticipated in the next quarter.

4. Provide an update on your performance measures, as outlined in your Scope of Work, for the Grant Project(s).

As WRR transitions from development to service delivery, performance tracking systems are in place, and outcome data will begin to be collected in the next quarter. Key developments this quarter include:

- **Successful walkthrough** and scheduled launch of IOP
- **Full staffing** of core leadership roles (Clinical Director, CADC, Medical Director)
- **Infrastructure readiness** at both sites
- **Lab testing and EHR implementation**
- **Engagement with referral partners** and the local community
- **Planning and execution** of a public ribbon cutting and open house event

Beginning in Q1 of the next fiscal year, WRR will report on client engagement, service utilization, and treatment outcomes as key performance indicators.

5. Provide a summary of expenditures during the reporting period (quarter).

Expenditure Category	Amount of Expenditure
Personnel/Contracted Services Expenses (e.g., program-related staffing)	\$ 110,079.84
Supplies and Materials Expenses (e.g., office supplies):	\$ 100,603.50
Non-Fixed Operating Expenses (e.g., travel, utilities):	\$ 6,246.41
Fixed Operating Expenses (e.g., office rent, dues and subscriptions):	\$ 19,609.45
Property and Equipment Expenses (e.g., buildings and improvements):	\$ 23,495.46
Services/Contracts Expenses (e.g., purchase of services, contracts with service providers):	\$ 8,021.99
Other Expenses (Specify):	\$ 482.51
Total Expenses:	\$ 268,539.16

6. Provide a summary of year-to-date expenditures through the end of the current reporting period. The total expenditures on this table should equal the cumulative expenditures from all completed quarterly reports to date.

Expenditure Category	Amount of Expenditure
Personnel/Contracted Services Expenses (e.g., program-related staffing)	\$ 296,120.95
Supplies and Materials Expenses (e.g., office supplies):	\$ 114,107.73
Non-Fixed Operating Expenses (e.g., travel, utilities):	\$ 155,596.71
Fixed Operating Expenses (e.g., office rent, dues and subscriptions):	\$ 49,864.44
Property and Equipment Expenses (e.g., buildings and improvements):	\$ 46,888.01
Services/Contracts Expenses (e.g., purchase of services, contracts with service providers):	\$ 8,021.99
Other Expenses (Specify):	\$ 27,732.43
Total Expenses:	\$ 698,332.26

If there are any questions, please contact the Contract Administrator.

QUARTERLY STATUS REPORT

Please use this reporting template for each of the quarterly reports

Recipient Name:	ALSNC
Recipient Tax ID #	56-1609591
Project/Activity Title:	Care Services Delivery to the ALS Population in Every North Carolina County
Reporting Period (Quarter):	FYE: 2025 Q1
Report Completion Date:	10/17/2024
Preparer of This Report:	Holly Zahn

Were grant funds expended during this reporting period? ☒ Yes ☐ No

Do you certify that all expenses to date have been submitted for this grant? ☒ Yes ☐ No

Do you certify that all funds to date were used for the purposes for which they were awarded and in compliance with your contract? ☒ Yes ☐ No

1. Provide a brief report of activities and accomplishments related to your Grant Project during this reporting period.

These are outlined in the Performance Measures.

2. Provide an update on the objectives, as outlined in your Scope of Work, of the grant project. Have you accomplished any of your objectives?

We continue to serve the ALS community here in North Carolina. Details are outlined in the Performance Measures.

3. Provide an update on your expected results, as outlined in your Scope of Work, of the Grant Project(s). Have you accomplished any of your expected results?

Our annual survey will be initiated in Spring of 2025.

4. Provide an update on your performance measures, as outlined in your Scope of Work, for the Grant Project(s).

1. We will track and report the number of persons with ALS (pALS) served across North Carolina (NC) per quarter. 533
2. Our staff members are present or provide follow-up support for the 15-17 ALS clinic days scheduled across the state of NC each month. Staff offer both new and established patients & families, as well as clinic team members, information on our programs and services, education, emotional support, and act as a bridge between the clinic setting and the community. The Organization will report on the numbers seen at each clinic:
 - a. Number of new pALS: 79
 - b. Number of returning pALS: 340
3. We will facilitate Virtual Support Groups which are accessible to persons with ALS and their support system throughout the state of North Carolina. The Organization will note if:
 - a. Less than 15 connection groups are held each quarter: NA
 - b. Report on the number of groups offered that quarter: 26
4. We will maintain a financial assistance grant available to each ALS patient in NC to reimburse for ALS-related expenses that are not covered by insurance, Medicare or Medicaid. The Organization will report on the number of grants approved biannually.

Our first period 2024 grant resulted in 198 grants paid to people in NC in the amount of \$129,941
5. We will maintain 4 Equipment Loan Program locations across North Carolina for durable medical equipment and report on number of families utilizing the Loan Program. The Organization will report on:
 - a. The number of equipment requests each quarter: 88
 - b. The number of families utilizing this program: 282
6. We will report on the number of families served by the bereavement program. 188

5. Provide a summary of expenditures during the reporting period (quarter).

<u>Expenditure Category</u>	<u>Amount of Expenditure</u>	<u>Amount of Expenditure</u>
	SFY 2023-2024	SFY 2024-2025
<i>Amount of Appropriation</i>		
Personnel/Contracted Services Expenses (e.g., program-related staffing)	\$0	\$75,684.98
Supplies and Materials Expenses (e.g., office supplies):	\$	\$
Non-Fixed Operating Expenses (e.g., travel, utilities):	\$	\$
Fixed Operating Expenses (e.g., office rent, dues and subscriptions):	\$	\$
Property and Equipment Expenses (e.g., buildings and improvements):	\$0	\$19,315.02
Services/Contracts Expenses (e.g., purchase of services, contracts with service providers):	\$	\$
Other Expenses (Specify):	\$	\$
Total Expenses:	\$0	\$95,000

6. Provide a summary of year-to-date expenditures through the end of the current reporting period. The total expenditures on this table should equal the cumulative expenditures from all completed quarterly reports to date.

<u>Expenditure Category</u>	<u>Amount of Expenditure</u>	<u>Amount of Expenditure</u>
	SFY 2023-2024	SFY 2024-2025
<i>Amount of Appropriation</i>		
Personnel/Contracted Services Expenses (e.g., program-related staffing)	\$302,923.25	\$75,684.98
Supplies and Materials Expenses (e.g., office supplies):	\$	\$
Non-Fixed Operating Expenses (e.g., travel, utilities):	\$	\$
Fixed Operating Expenses (e.g., office rent, dues and subscriptions):	\$	\$
Property and Equipment Expenses (e.g., buildings and improvements):	\$77,076.75	\$19,315.02
Services/Contracts Expenses (e.g., purchase of services, contracts with service providers):	\$	\$
Other Expenses (Specify):	\$	\$
Total Expenses:	\$380,000.00	\$95,000.00

Name		1st Qtr	2nd Qtr	3rd Qtr	Qtr Totals
Budget Year 1					
Adams, Social Worker	\$ 46,750.31	\$ 11,323.20	\$ 14,909.22	\$ 11,417.56	\$ 9,100.33
Beirne, Dir of Care Svcs Operations	\$ 67,900.56	\$ 15,669.36	\$ 18,280.92	\$ 15,669.36	\$ 18,280.92
Cantoni, VP of Care Svcs	\$ 74,700.05	\$ 17,238.47	\$ 17,497.06	\$ 18,445.16	\$ 21,519.35
Cola, Social Worker	\$ 49,207.04	\$ 11,865.60	\$ 13,694.88	\$ 11,779.08	\$ 11,867.48
Richardson, Social Worker	\$ 52,300.00	\$ 12,000.00	\$ 14,300.00	\$ 12,075.00	\$ 13,925.00
Hardy (1st Qtr)/Armistead (3rd Qtr), Administrative & Database Manager	\$ 12,065.29	\$ 7,666.91		\$ 4,398.38	\$ -
Wages:	\$ 302,923.25	\$ 75,763.55	\$ 78,682.08	\$ 73,784.54	\$ 74,693.08
Rent:	\$ 77,076.75	\$ 19,236.45	\$ 19,236.45	\$ 19,288.83	\$ 19,315.02
	\$ 380,000.00				

Wages:	Budget Year 2	1st Qtr
Adams, Care Services Coor	\$ 49,291.68	\$ 12,229.97
Armistead, Administrative & Database Manager	\$ 8,374.91	\$ 3,219.19
Beirne, Director of Care Svcs Operations	\$ 67,900.56	\$ 16,452.84
Cantoni, VP, Care Svcs & Advocacy	\$ 74,700.05	\$ 18,445.16
Cola, Care Services Coor	\$ 49,207.04	\$ 12,497.81
Richardson, Care Services Coor	\$ 52,300.00	\$ 12,840.01
Wages:	\$ 301,774.24	\$ 75,684.98
Rent:	\$ 78,225.76	\$ 19,315.02
	\$ 380,000.00	\$ 95,000.00

If there are any questions, please contact the Contract Administrator.

QUARTERLY STATUS REPORT

Please use this reporting template for each of the quarterly reports

Recipient Name:	ALSNC
Recipient Tax ID #	56-1609591
Project/Activity Title:	Care Services Delivery to the ALS Population in Every North Carolina County
Reporting Period (Quarter):	FYE: 2025 Q2
Report Completion Date:	1/13/2025
Preparer of This Report:	Holly Zahn

Were grant funds expended during this reporting period? ☒ Yes ☐ No

Do you certify that all expenses to date have been submitted for this grant? ☒ Yes ☐ No

Do you certify that all funds to date were used for the purposes for which they were awarded and in compliance with your contract? ☒ Yes ☐ No

1. Provide a brief report of activities and accomplishments related to your Grant Project during this reporting period.

These are outlined in the Performance Measures.

2. Provide an update on the objectives, as outlined in your Scope of Work, of the grant project. Have you accomplished any of your objectives?

We continue to serve the ALS community here in North Carolina. Details are outlined in the Performance Measures.

3. Provide an update on your expected results, as outlined in your Scope of Work, of the Grant Project(s). Have you accomplished any of your expected results?

Our annual survey will be initiated in Spring of 2025.

4. Provide an update on your performance measures, as outlined in your Scope of Work, for the Grant Project(s).

1. We will track and report the number of persons with ALS (pALS) served across North Carolina (NC) per quarter. 559
2. Our staff members are present or provide follow-up support for the 15-17 ALS clinic days scheduled across the state of NC each month. Staff offer both new and established patients & families, as well as clinic team members, information on our programs and services, education, emotional support, and act as a bridge between the clinic setting and the community. The Organization will report on the numbers seen at each clinic:
 - a. Number of new pALS: 65
 - b. Number of returning pALS: 324
3. We will facilitate Virtual Support Groups which are accessible to persons with ALS and their support system throughout the state of North Carolina. The Organization will note if:
 - a. Less than 15 connection groups are held each quarter: NA
 - b. Report on the number of groups offered that quarter: 23
4. We will maintain a financial assistance grant available to each ALS patient in NC to reimburse for ALS-related expenses that are not covered by insurance, Medicare or Medicaid. The Organization will report on the number of grants approved biannually.

Our first period 2024 grant resulted in 198 grants paid to people in NC in the amount of \$129,941. We are still receiving requests through 1/31/2025 for the current period and will report on the 3rd quarter report.

5. We will maintain 4 Equipment Loan Program locations across North Carolina for durable medical equipment and report on number of families utilizing the Loan Program. The Organization will report on:
 - a. The number of equipment requests each quarter: 86
 - b. The number of families utilizing this program: 301
6. We will report on the number of families served by the bereavement program. 163

5. Provide a summary of expenditures during the reporting period (quarter).

<u>Expenditure Category</u>	<u>Amount of Expenditure</u>	<u>Amount of Expenditure</u>
	SFY 2023-2024	SFY 2024-2025
<i>Amount of Appropriation</i>		
Personnel/Contracted Services Expenses (e.g., program-related staffing)	\$	\$75,684.98
Supplies and Materials Expenses (e.g., office supplies):	\$	\$
Non-Fixed Operating Expenses (e.g., travel, utilities):	\$	\$
Fixed Operating Expenses (e.g., office rent, dues and subscriptions):	\$	\$
Property and Equipment Expenses (e.g., buildings and improvements):	\$	\$19,315.02
Services/Contracts Expenses (e.g., purchase of services, contracts with service providers):	\$	\$
Other Expenses (Specify):	\$	\$
Total Expenses:	\$	\$95,000

QUARTERLY STATUS REPORT

Please use this reporting template for each of the quarterly reports

Recipient Name:	ALSNC
Recipient Tax ID #	56-1609591
Project/Activity Title:	Care Services Delivery to the ALS Population in Every North Carolina County
Reporting Period (Quarter):	FYE: 2025 Q3
Report Completion Date:	4/16/2025
Preparer of This Report:	Holly Zahn

Were grant funds expended during this reporting period? ☒ Yes ☐ No

Do you certify that all expenses to date have been submitted for this grant? ☒ Yes ☐ No

Do you certify that all funds to date were used for the purposes for which they were awarded and in compliance with your contract? ☒ Yes ☐ No

1. Provide a brief report of activities and accomplishments related to your Grant Project during this reporting period.

These are outlined in the Performance Measures.

2. Provide an update on the objectives, as outlined in your Scope of Work, of the grant project. Have you accomplished any of your objectives?

We continue to serve the ALS community here in North Carolina. Details are outlined in the Performance Measures.

3. Provide an update on your expected results, as outlined in your Scope of Work, of the Grant Project(s). Have you accomplished any of your expected results?

Our annual survey will be initiated in Spring of 2025.

4. Provide an update on your performance measures, as outlined in your Scope of Work, for the Grant Project(s).

1. We will track and report the number of persons with ALS (pALS) served across North Carolina (NC) per quarter. 536
2. Our staff members are present or provide follow-up support for the 15-17 ALS clinic days scheduled across the state of NC each month. Staff offer both new and established patients & families, as well as clinic team members, information on our programs and services, education, emotional support, and act as a bridge between the clinic setting and the community. The Organization will report on the numbers seen at each clinic:
 - a. Number of new pALS: 59
 - b. Number of returning pALS: 311
3. We will facilitate Virtual Support Groups which are accessible to persons with ALS and their support system throughout the state of North Carolina. The Organization will note if:
 - a. Less than 15 connection groups are held each quarter: NA
 - b. Report on the number of groups offered that quarter: 25
4. We will maintain a financial assistance grant available to each ALS patient in NC to reimburse for ALS-related expenses that are not covered by insurance, Medicare or Medicaid. The Organization will report on the number of grants approved biannually.

Our second period 2024 grant period resulted in 204 grants paid to people in NC in the amount of \$130,413. Our first period 2025 grant period is currently accepting applications.
5. We will maintain 4 Equipment Loan Program locations across North Carolina for durable medical equipment and report on number of families utilizing the Loan Program. The Organization will report on:
 - a. The number of equipment requests each quarter: 91
 - b. The number of families utilizing this program: 332
6. We will report on the number of families served by the bereavement program. 141

5. Provide a summary of expenditures during the reporting period (quarter).

<u>Expenditure Category</u>	<u>Amount of Expenditure</u>	<u>Amount of Expenditure</u>
	SFY 2023-2024	SFY 2024-2025
<i>Amount of Appropriation</i>		
Personnel/Contracted Services Expenses (e.g., program-related staffing)	\$	\$75,079.62
Supplies and Materials Expenses (e.g., office supplies):	\$	\$
Non-Fixed Operating Expenses (e.g., travel, utilities):	\$	\$
Fixed Operating Expenses (e.g., office rent, dues and subscriptions):	\$	\$
Property and Equipment Expenses (e.g., buildings and improvements):	\$	\$19,920.38
Services/Contracts Expenses (e.g., purchase of services, contracts with service providers):	\$	\$
Other Expenses (Specify):	\$	\$
Total Expenses:	\$	\$95,000.00

6. Provide a summary of year-to-date expenditures through the end of the current reporting period. The total expenditures on this table should equal the cumulative expenditures from all completed quarterly reports to date.

<u>Expenditure Category</u>	<u>Amount of Expenditure</u>	<u>Amount of Expenditure</u>
	SFY 2023-2024	SFY 2024-2025
<i>Amount of Appropriation</i>		
Personnel/Contracted Services Expenses (e.g., program-related staffing)	\$302,923.35	\$226,449.58
Supplies and Materials Expenses (e.g., office supplies):	\$	\$
Non-Fixed Operating Expenses (e.g., travel, utilities):	\$	\$
Fixed Operating Expenses (e.g., office rent, dues and subscriptions):	\$	\$
Property and Equipment Expenses (e.g., buildings and improvements):	\$77,076.75	\$58,550.42
Services/Contracts Expenses (e.g., purchase of services, contracts with service providers):	\$	\$
Other Expenses (Specify):	\$	\$
Total Expenses:	\$380,000.00	\$285,000.00

Name	1st Qtr		2nd Qtr		3rd Qtr		Qtr Totals		
Budget Year 1									
Adams, Social Worker	\$ 46,750.31	\$ 11,323.20	\$ 14,909.22	\$ 11,417.56	\$ 9,100.33				
Beirne, Dir of Care Svcs Operations	\$ 67,900.56	\$ 15,669.36	\$ 18,280.92	\$ 15,669.36	\$ 18,280.92				
Cantoni, VP of Care Svcs	\$ 74,700.05	\$ 17,238.47	\$ 17,497.06	\$ 18,445.16	\$ 21,519.35				
Cola, Social Worker	\$ 49,207.04	\$ 11,865.60	\$ 13,694.88	\$ 11,779.08	\$ 11,867.48				
Richardson, Social Worker	\$ 52,300.00	\$ 12,000.00	\$ 14,300.00	\$ 12,075.00	\$ 13,925.00				
Hardy (1st Qtr)/Armistead (3rd Qtr), Administrative & Database Manager	\$ 12,065.29	\$ 7,666.91		\$ 4,398.38	\$ -				
Wages:	\$ 302,923.25	\$ 75,763.55	\$ 78,682.08	\$ 73,784.54	\$ 74,693.08				
Rent:	\$ 77,076.75	\$ 19,236.45	\$ 19,236.45	\$ 19,288.83	\$ 19,315.02				
\$ 380,000.00									
Budget Year 2		1st Qtr		2nd Qtr		3rd Qtr		As of 3/31/2025	
Adams, Care Services Coordinator	\$ 49,291.68	\$ 12,229.97	\$ 13,282.99	\$ 11,876.98	\$ 37,389.94				
Armistead, Administrative & Database Manager	\$ 8,374.91	\$ 3,219.19	\$ 1,943.26	\$ 3,212.00	\$ 8,374.46				
Beirne, Director of Care Services Operations	\$ 67,900.56	\$ 16,452.84	\$ 18,542.04	\$ 16,452.84	\$ 51,447.72				
Cantoni, VP, Care Services & Advocacy	\$ 74,700.05	\$ 18,445.16	\$ 19,364.58	\$ 18,445.16	\$ 56,254.89				
Cola, Care Services Coordinator	\$ 49,207.04	\$ 12,497.81	\$ 10,988.59	\$ 12,530.26	\$ 36,016.66				
Richardson, Care Services Coordinator	\$ 52,300.00	\$ 12,840.01	\$ 11,563.52	\$ 12,562.38	\$ 36,965.91				
Wages:	\$ 301,774.24	\$ 75,684.98	\$ 75,684.98	\$ 75,079.62	\$ 226,449.58				
Rent:	\$ 78,225.76	\$ 19,315.02	\$ 19,315.02	\$ 19,920.52	\$ 58,550.56				
\$ 380,000.00		\$ 95,000.00	\$ 95,000.00	\$ 95,000.14	\$ 285,000.14				

If there are any questions, please contact the Contract Administrator.

QUARTERLY STATUS REPORT

Please use this reporting template for each of the quarterly reports

Recipient Name:	ALSNC
Recipient Tax ID #	56-1609591
Project/Activity Title:	Care Services Delivery to the ALS Population in Every North Carolina County
Reporting Period (Quarter):	FYE: 2025 Q4
Report Completion Date:	07/11/2025
Preparer of This Report:	Holly Zahn

Were grant funds expended during this reporting period? ☒ Yes ☐ No

Do you certify that all expenses to date have been submitted for this grant? ☒ Yes ☐ No

Do you certify that all funds to date were used for the purposes for which they were awarded and in compliance with your contract? ☒ Yes ☐ No

1. Provide a brief report of activities and accomplishments related to your Grant Project during this reporting period.

These are outlined in the Performance Measures.

2. Provide an update on the objectives, as outlined in your Scope of Work, of the grant project. Have you accomplished any of your objectives?

We continue to serve the ALS community here in North Carolina. Details are outlined in the Performance Measures.

3. Provide an update on your expected results, as outlined in your Scope of Work, of the Grant Project(s). Have you accomplished any of your expected results?

Originally our annual survey would have been completed in Spring of 2025. Due to staffing changes we have it ready to be sent by the end of July 2025 and will report back to the state when the results are in.

4. Provide an update on your performance measures, as outlined in your Scope of Work, for the Grant Project(s).

1. We will track and report the number of persons with ALS (pALS) served across North Carolina (NC) per quarter. 532
2. Our staff members are present or provide follow-up support for the 15-17 ALS clinic days scheduled across the state of NC each month. Staff offer both new and established patients & families, as well as clinic team members, information on our programs and services, education, emotional support, and act as a bridge between the clinic setting and the community. The Organization will report on the numbers seen at each clinic:
 - a. Number of new pALS: 61; Annual: 263
 - b. Number of returning pALS: 330; Annual: 1,309
3. We will facilitate Virtual Support Groups which are accessible to persons with ALS and their support system throughout the state of North Carolina. The Organization will note if:
 - a. Less than 15 connection groups are held each quarter: NA
 - b. Report on the number of groups offered that quarter: 21; Annual: 95
4. We will maintain a financial assistance grant available to each ALS patient in NC to reimburse for ALS-related expenses that are not covered by insurance, Medicare or Medicaid. The Organization will report on the number of grants approved biannually.

Our second period 2024 grant period resulted in 204 grants paid to people in NC in the amount of \$130,413.

Our first period 2025 grant period is currently accepting applications. For first period 2024 (Feb – July 2024) we paid 199 grants for a total of \$126,221.34.

5. We will maintain 4 Equipment Loan Program locations across North Carolina for durable medical equipment and report on number of families utilizing the Loan Program. The Organization will report on:
 - a. The number of equipment requests each quarter: 83; Annual: 91+86+88
 - b. The number of families utilizing this program: 348
6. We will report on the number of families served by the bereavement program. 132

5. Provide a summary of expenditures during the reporting period (quarter).

<u>Expenditure Category</u>	<u>Amount of Expenditure</u>	<u>Amount of Expenditure</u>
	SFY 2023-2024	SFY 2024-2025
<i>Amount of Appropriation</i>		
Personnel/Contracted Services Expenses (e.g., program-related staffing)	\$	\$74,776.52
Supplies and Materials Expenses (e.g., office supplies):	\$	\$
Non-Fixed Operating Expenses (e.g., travel, utilities):	\$	\$
Fixed Operating Expenses (e.g., office rent, dues and subscriptions):	\$	\$
Property and Equipment Expenses (e.g., buildings and improvements):	\$	\$20,223.27
Services/Contracts Expenses (e.g., purchase of services, contracts with service providers):	\$	\$
Other Expenses (Specify):	\$	\$
Total Expenses:	\$	\$95,000.00

6. Provide a summary of year-to-date expenditures through the end of the current reporting period. The total expenditures on this table should equal the cumulative expenditures from all completed quarterly reports to date.

<u>Expenditure Category</u>	<u>Amount of Expenditure</u>	<u>Amount of Expenditure</u>
	SFY 2023-2024	SFY 2024-2025
<i>Amount of Appropriation</i>		
Personnel/Contracted Services Expenses (e.g., program-related staffing)	\$302,923.35	\$301,226.17
Supplies and Materials Expenses (e.g., office supplies):	\$	\$
Non-Fixed Operating Expenses (e.g., travel, utilities):	\$	\$
Fixed Operating Expenses (e.g., office rent, dues and subscriptions):	\$	\$
Property and Equipment Expenses (e.g., buildings and improvements):	\$77,076.75	\$79,076.58
Services/Contracts Expenses (e.g., purchase of services, contracts with service providers):	\$	\$
Other Expenses (Specify):	\$	\$
Total Expenses:	\$380,000.00	\$380,000.00

Budget Year 1 = Final Total	1st Qtr	2nd Qtr	3rd Qtr	4 th Qtr

Adams, Social Worker	\$ 6,750.31	\$ 11,323.20	\$ 14,909.22	\$ 11,417.56	\$ 9,100.33
Beirne, Dir of Care Svcs Operations	\$7,900.56	\$ 15,669.36	\$ 18,280.92	\$ 15,669.36	\$ 18,280.92
Cantoni, VP of Care Svcs	\$ 74,700.05	\$ 17,238.47	\$ 17,497.06	\$ 18,445.16	\$ 21,519.35
Cola, Social Worker	\$ 49,207.04	\$ 11,865.60	\$ 13,694.88	\$ 11,779.08	\$ 11,867.48
Richardson, Social Worker	\$ 52,300.00	\$ 12,000.00	\$ 14,300.00	\$ 12,075.00	\$ 13,925.00
Administrative & Database Manager	\$12,065.29	\$ 7,666.91		\$ 4,398.38	\$ -
Wages:	\$ 302,923.25	\$ 75,763.55	\$ 78,682.08	\$ 73,784.54	\$ 74,693.08
Rent:	\$ 77,076.75	\$ 19,236.45	\$ 19,236.45	\$ 19,288.83	\$ 19,315.02
	\$ 380,000.00				

Budget Year 2/amended budget=

	1st Qtr	2nd Qtr	3rd Qtr	4 th Qtr
Total for Yr				

Adams, Care Services Coordinator	\$ 49,291.68 /45,306.11	\$ 12,229.97	\$13,282.99	\$ 11,876.98	\$ 7,916.17
Armistead, Administrative & Database Manager	\$ 8,374.91 /17,381.16	\$ 3,219.19	\$ 1,943.26	\$ 3,212.00	\$ 9,006.63
Beirne, Director of Care Services Operations	\$ 67,900.56 /71,039.32	\$ 16,452.84	\$18,542.04	\$ 16,452.84	\$ 19,591.60
Cantoni, VP, Care Services & Advocacy	\$ 74,700.05 /74,699.84	\$ 18,445.16	\$19,364.58	\$ 18,445.16	\$ 18,444.94
Cola, Care Services Coordinator	\$ 49,207.04 /51,500.34	\$ 12,497.81	\$10,988.59	\$ 12,530.26	\$ 15,483.68
Richardson, Care Services Coordinator	\$ 52,300.00 /41,299.41	\$ 12,840.01	\$11,563.52	\$ 12,562.38	\$ 4,333.50
Wages:	\$ 301,774.24 /301,226.17	\$ 75,684.98	\$75,684.98	\$ 75,079.62	\$ 74,776.52
Rent:	\$ 78,225.76 /79,076.58	\$ 19,315.02	\$19,315.02	\$ 19,920.52	\$ 20,223.27
	\$ 380,000.00	\$ 95,000.00	\$95,000.00	\$ 95,000.14	\$ 94,999.79

If there are any questions, please contact the Contract Administrator.

ATTACHMENT D

REPORTING REQUIREMENTS & TEMPLATES

Reporting Requirements: This is a subaward for financial assistance. Financial Assistance Contracts are subject to the Uniform Administration of State Awards, Oversight and Reporting Requirements for recipient and subrecipients described in [N.C. General Statute § 143C-6-23\(d\)](#) and in [09 NCAC 03M.205](#).

A non-governmental grantee who receives a combined \$500,000 or more funds from all state agencies must continue to submit a single or program-specific audit prepared and completed in accordance with Generally Accepted Government Auditing Standards, also known as a Yellow Book audit, to Risk Mitigation and Audit Monitoring at NCGrantsReporting@dhhs.nc.gov within 9 months of the grantee's fiscal year end.

DHHS encourages all of its non-governmental grantees that receive funds from other state agencies or DHHS divisions to contact their assigned contract monitor(s) to determine if year-end reports must be submitted for those particular grants/awards.

North Carolina State agencies are exempt from the reporting requirements of this section. Local governments that have reporting requirements for the Local Government Commission are exempt. All others are not exempt and must adhere to the reporting requirements of this section.

(1) Quarterly Reports: see template in this attachment.

(2) Reporting Timeframes and Due Dates:

Reporting Period	Due Date
July 1, 2023 – December 31, 2023	January 15, 2024
January 1, 2024 – March 31, 2024	April 15, 2024
April 1, 2024 – June 30, 2024	July 15, 2024
July 1, 2024 - September 30, 2024	October 15, 2024
October 1, 2024 – December 31, 2024	January 15, 2025
January 1, 2025 – March 31, 2025	April 15, 2025
April 1, 2025 – June 30, 2025	July 15, 2025
July 1, 2025 - September 30, 2025	October 15, 2025
October 1, 2025 – December 31, 2025	January 15, 2026
January 1, 2026 – March 31, 2026	April 15, 2026
April 1, 2026 – June 30, 2026	July 15, 2026

N.C. General Statute § 143C-6-21-23. State Grant Funds: Administration; Oversight and Reporting Requirements.

§ 143C-6-21. Payments to nonprofits. Except as otherwise provided by law, an annual appropriation of one hundred thousand dollars (\$100,000) or less to or for the use of a nonprofit corporation may be made in a single annual payment, in the discretion of the Director of the Budget. An annual appropriation of more than one hundred thousand dollars (\$100,000) to or for the use of a nonprofit corporation shall be made in quarterly or monthly payments, in the discretion of the Director of the Budget. (2006-203, s. 3; 2013-360, s. 6.12(o).)

§ 143C-6-22. Use of State funds by non-State entities. (a) Disbursement and Use of State Funds. - Every non-State entity that receives, uses, or expends any State funds shall use or expend the funds only for the purposes for which they were appropriated by the General Assembly. State funds include federal funds that flow through the State Treasury. (b) Compliance by Non-State Entities. - If the Director of the Budget finds that a non-State entity has spent or encumbered State funds for an unauthorized purpose, or fails to submit or falsifies the information required by G.S. 143C-6-23 or any other provision of law, the Director shall take appropriate administrative action to ensure that no further irregularities or violations of law occur and shall report to the Attorney General any facts that pertain to an apparent violation of a criminal law or an apparent instance of malfeasance, misfeasance, or nonfeasance in connection with the use of State funds. Appropriate administrative action may include suspending or withholding the disbursement of State funds and recovering State funds previously disbursed. (c) Civil Actions. - Civil actions to recover State funds or to obtain other mandatory orders in the name of the State on relation of the Attorney General, or in the name of the Office of State Budget and Management, shall be filed in the General Court of Justice in Wake County. (2006-203, s. 3.)

§ 143C-6-23. State grant funds: administration; oversight and reporting requirements.

(a) Definitions. – The following definitions apply in this section:

- (1) Grant or grant funds. – State funds disbursed as a grant by a State agency; however, the terms do not include any payment made by the Medicaid program, the State Health Plan for Teachers and State Employees, or other similar medical programs.
- (2) Grantee. – A non-State entity that receives State funds as a grant from a State agency but does not include any non-State entity subject to the audit and other reporting requirements of the Local Government Commission.
- (3) Encumbrance. – A financial obligation created by a purchase order, contract, salary commitment, unearned or prepaid collections for services provided, or other legally binding agreement. A financial obligation is not an encumbrance for purposes of this section unless it (i) is in writing and has been signed by a person or entity who has authority to legally bind the grantee or subgrantee to spend the funds or (ii) was created by the provision of goods or services to the grantee or subgrantee by a third party under circumstances that create a legally binding obligation to pay for the goods or services.
- (4) Subgrantee. – A non-State entity that receives State funds as a grant from a grantee or from another subgrantee but does not include any non-State entity subject to the audit and other reporting requirements of the Local Government Commission.

(b) Conflict of Interest Policy. – Every grantee shall file with the State agency disbursing funds to the grantee a copy of that grantee's policy addressing conflicts of interest that may arise involving the grantee's management employees and the members of its board of directors or other governing body. The policy shall address situations in which any of these individuals may directly or indirectly benefit, except as the grantee's employees or members of its board or other governing body, from the grantee's disbursing of State funds, and shall include actions to be taken by the grantee or the individual, or both, to avoid conflicts of interest and the appearance of impropriety. The policy shall be filed before the disbursing State agency may disburse the grant funds.

(c) No Overdue Tax Debts. – Every grantee shall file with the State agency or department disbursing funds to the grantee a written statement completed by that grantee's board of directors or other governing body stating that the grantee does not have any overdue tax debts, as defined by G.S. 105-243.1, at the federal, State, or local level. The written statement shall be made under oath and shall be filed before the disbursing State agency or department may disburse the grant funds. A person who makes a false statement in violation of this subsection is guilty of a criminal offense punishable as provided by G.S. 143C-10-1.

(d) Office of State Budget Rules Must Require Uniform Administration of State Grants. – The Office of State Budget and Management shall adopt rules to ensure the uniform administration of State grants by all

grantor State agencies and grantees or subgrantees. The Office of State Budget and Management shall consult with the Office of the State Auditor and the Attorney General in establishing the rules required by this subsection. The rules shall establish policies and procedures for disbursements of State grants and for State agency oversight, monitoring, and evaluation of grantees and subgrantees. The policies and procedures shall:

- (1) Ensure that the purpose and reporting requirements of each grant are specified to the grantee.
- (2) Ensure that grantees specify the purpose and reporting requirements for grants made to subgrantees.
- (3) Ensure that State funds are spent in accordance with the purposes for which they were granted.
- (4) Hold the grantees and subgrantees accountable for the legal and appropriate expenditure of grant funds.
- (5) Provide for adequate oversight and monitoring to prevent the misuse of grant funds. These policies shall require each grantee and subgrantee to ensure that, for accounting purposes, State funds and interest earned on those funds remain separate and apart from other funds in the possession or control of the grantee or subgrantee.
- (6) Establish mandatory periodic reporting requirements for grantees and subgrantees, including methods of reporting, to provide financial and program performance information. The mandatory periodic reporting requirements shall require grantees and subgrantees to file with the State Auditor copies of reports and statements that are filed with State agencies pursuant to this subsection. Compliance with the mandatory periodic reporting requirements of this subdivision shall not require grantees and subgrantees to file with the State Auditor the information described in subsections (b) and (c) of this section.
- (7) Require grantees and subgrantees to maintain reports, records, and other information to properly account for the expenditure of all grant funds and to make such reports, records, and other information available to the grantor State agency for oversight, monitoring, and evaluation purposes.
- (8) Require grantees and subgrantees to ensure that work papers in the possession of their auditors are available to the State Auditor for the purposes set out in subsection (i) of this section.
- (9) Require grantees to be responsible for managing and monitoring each project, program, or activity supported by grant funds and each subgrantee project, program, or activity supported by grant funds.
- (10) Provide procedures for the suspension of further disbursements or use of grant funds for noncompliance with these rules or other inappropriate use of the funds.
- (11) Provide procedures for use in appropriate circumstances for reinstatement of disbursements that have been suspended for noncompliance with these rules or other inappropriate use of grant funds.
- (12) Provide procedures for the recovery and return to the grantor State agency of unexpended grant funds from a grantee or subgrantee (i) in accordance with subsection (f1) of this section or (ii) in the event that the grantee or subgrantee is unable to fulfill the purposes of the grant for a reason not set forth in that subsection.

(d1) Required Grant Terms. – The terms of each grant shall include all of the following, which shall be deemed a part of the grant:

- (1) The limitation contained in G.S. 143C-6-8 concerning the availability of appropriated funds.
- (2) The relevant provisions of any legislation authorizing or governing the administration of the grant.
- (3) The terms of this section.

(e) Rules Are Subject to the Administrative Procedure Act. – Notwithstanding the provisions of G.S. 150B-2(8a)b. rules adopted pursuant to subsection (d) of this section are subject to the provisions of Chapter 150B of the General Statutes.

(f) Suspension and Recovery of Funds to Grant Recipients for Noncompliance. – The Office of State Budget and Management, after consultation with the administering State agency, shall have the power to suspend disbursement of grant funds to grantees or subgrantees, to prevent further use of grant funds already disbursed, and to recover grant funds already disbursed for noncompliance with rules adopted pursuant to subsection (d) of this section. If the grant funds are a pass-through of funds granted by an agency of the United States, then the Office of State Budget and Management must consult with the granting agency of the United States and the State agency that is the recipient of the pass-through funds prior to taking the actions authorized by this subsection.

(f1) Return of Grant Funds. – Except as otherwise required by federal law, a grantee or subgrantee shall return to the State all affected grant funds and interest earned on those funds if any of the following occurs:

- (1) The funds are in the possession or control of a grantee and are not expended, made subject to an encumbrance, or disbursed to a subgrantee by August 31 immediately following the fiscal year in which

the funds are appropriated by the General Assembly, or a different period set forth in the terms of the applicable appropriation or federal grant.

(2) The funds remain unexpended at the time that the grantee or subgrantee dissolves, ceases operations, or otherwise indicates that it does not intend to spend the funds.

(3) The Office of State Budget and Management seeks to recover the funds pursuant to subsection (f) of this section.

(f2) Use of Returned Grant Funds. – Encumbered funds returned to the State pursuant to subsection (f1) of this section by a grantee or subgrantee shall upon appropriation by the General Assembly be spent in accordance with the terms of the encumbrance. All other funds returned to the State by a grantee or subgrantee pursuant to subsection (f1) of this section shall be credited to the fund from which they were appropriated and shall remain unexpended and unencumbered until appropriated by the General Assembly. Nothing in this section shall be construed to authorize an expenditure pursuant to an unlawful encumbrance or in a manner that would violate the terms of the appropriation of the grant funds at issue.

(g) Audit Oversight. – The State Auditor has audit oversight, with respect to grant funds received by the grantee or subgrantee, pursuant to Article 5A of Chapter 147 of the General Statutes, of every grantee or subgrantee that receives, uses, or expends grant funds. A grantee or subgrantee must, upon request, furnish to the State Auditor for audit all books, records, and other information necessary for the State Auditor to account fully for the use and expenditure of grant funds received by the grantee or subgrantee. The grantee or subgrantee must furnish any additional financial or budgetary information requested by the State Auditor, including audit work papers in the possession of any auditor of a grantee or subgrantee directly related to the use and expenditure of grant funds.

(h) Report on Grant Recipients That Failed to Comply. – Not later than May 1, 2007, and by May 1 of every succeeding year, the Office of State Budget and Management shall report to the Joint Legislative Commission on Governmental Operations and the Fiscal Research Division on all grantees or subgrantees that failed to comply with this section with respect to grant funds received in the prior fiscal year.

(i) State Agencies to Submit Grant List to Auditor. – No later than October 1 of each year, each State agency shall submit a list to the State Auditor, in the format prescribed by the State Auditor, of every grantee to which the agency disbursed grant funds in the prior fiscal year. The list shall include the amount disbursed to each grantee and other information as required by the State Auditor to comply with the requirements of this section.

(j) Use of Interest Earned on Grant Funds. – Except as otherwise required by federal law or the terms of a federal grant, interest earned on grant funds after receipt of the funds by a grantee or subgrantee shall be credited to the grantee or subgrantee and shall be used for the same purposes for which the grant or subgrant was made.

(k) Reporting by Grantees and Subgrantees That Cease Operations. – A grantee or subgrantee that intends to dissolve or cease operations shall report that decision in writing to the Office of State Budget and Management and to the Fiscal Research Division at least 30 days prior to taking that action. (2006-203, s. 3; 2007-323, s. 28.22A(o); 2007-345, s. 12; 2014-100, s. 6.5(a); 2015-264, s. 21.)

09 NCAC 03M .0205 Minimum Reporting Requirements for Recipients and Subrecipients.

(a) For the purposes of this Subchapter, there are three reporting levels established for recipients and subrecipients receiving State financial assistance. Reporting levels are based on the level of State financial assistance from all funding sources. The reporting levels are:

(1) Level I – A recipient or subrecipient that receives, holds, uses, or expends State financial assistance in an amount less than twenty-five thousand dollars (\$25,000) within its fiscal year.

(2) Level II - A recipient or subrecipient that receives, holds, uses, or expends State financial assistance in an amount of at least twenty-five thousand (\$25,000) or greater, but less than five hundred thousand dollars (\$500,000) within its fiscal year.

(3) Level III – A recipient or subrecipient that receives, holds, uses, or expends State financial assistance in an amount equal to or greater than five hundred thousand dollars (\$500,000) within its fiscal year.

(b) Agencies shall establish reporting requirements for recipients that meet the following reporting standards on an annual basis:

(1) All recipients and subrecipients shall provide a certification that State financial assistance received or, held was used for the purposes for which it was awarded.

(2) All recipients and subrecipients shall provide an accounting of all State financial assistance received, held, used, or expended.

(3) Level II and III recipients and subrecipients shall report on activities and accomplishments undertaken by the recipient, including reporting on any performance measures established in the contract.

(4) Level III recipients and subrecipients shall have a single or program-specific audit prepared and completed in accordance with Generally Accepted Government Auditing Standards, also known as the Yellow Book.

(c) All reports shall be filed with the disbursing agency in the format and method specified by the agency no later than three months after the end of the recipient's fiscal year, unless the same information is already required through more frequent reporting. Audits must be provided to the funding agency no later than nine months after the end of the recipient's fiscal year.

(d) Agency-established reporting requirements to meet the standards set forth in Paragraph (b) of this Rule shall be specified in each recipient's contract.

(e) Unless prohibited by law, the costs of audits made in accordance with the provisions of this Rule shall be allowable charges to State and Federal awards. The charges may be considered a direct cost or an allocated indirect cost, as determined in accordance with cost principles outlined in the Code of Federal Regulations, 2 CFR Part 200. The cost of any audit not conducted in accordance with this Subchapter shall not be charged to State awards.

(f) Notwithstanding the provisions of this Subchapter, a recipient may satisfy the reporting requirements of Subparagraph (b)(4) of this Rule by submitting a copy of the report required under federal law with respect to the same funds.

QUARTERLY STATUS REPORT

Please use this reporting template for each of the quarterly reports

Recipient Name:	North Carolina Coalition to End Homelessness
Recipient Tax ID #	56-2227722
Project/Activity Title:	Directed Grant
Reporting Period (Quarter):	July 1, 2024 - September 30, 2024
Report Completion Date:	December 13, 2024
Preparer of This Report:	Latonya L. Agard

Were grant funds expended during this reporting period? ☒ Yes ☐ No

Do you certify that all expenses to date have been submitted for this grant? ☒ Yes ☐ No

Do you certify that all funds to date were used for the purposes for which they were awarded and in compliance with your contract? ☐ Yes ☐ No

1. Provide a brief report of activities and accomplishments related to your Grant Project during this reporting period.

During this reporting period, NCCEH has continued to work with partners across the state to support and advocate for people experiencing homelessness. A significant aspect of that work involves our ability to remain focused, value-aligned, and strategic. Through its support for overhead and operating costs, the Directed Grant supported our quarterly Strategic Planning Session, which a consultant facilitates. This session helped us articulate and refine our SMART Goals for every Strategic Priority in the plan.

Executing the strategic plan during this reporting period included (1) preparing our staff and partners in the NC BoS for the PIT/HIC in January 2025, (2) submitting the HMIS APR for the BoS 2022 CoC grant, (3) co-sponsoring a book launch focusing on families navigating the homeless management system, (4) hosting the monthly Local Leadership Response Calls, (5) participating in the Housing RSF meetings since the Hurricane Helene disaster, (6) participating on the planning committee for BIH 2025, and (7) researching the possibility of data dashboards for the Durham, Orange, and NC BoS CoCs.

2. Provide an update on the objectives, as outlined in your Scope of Work, of the grant project. Have you accomplished any of your objectives?

One objective in the Scope of Work is to increase funding, enhance technical assistance, and improve training for agencies providing direct services to clients experiencing homelessness. This quarter, the BoS CoC team held a 2-day strategic planning meeting to evaluate the effectiveness and efficiency of administering the CoC. The session outcome provides enhanced focus, renewed enthusiasm, and clear work plans for staff, all of which translate to better, person-centered practices and processes. The BoS CoC also supported applicants interested in funding from the CoC Builds SNOFO, which would provide new revenue streams for local communities. The Data Center continued to meet with other HMIS implementations across NC to help advance the vision for a statewide database. Our SOAR staff supported case managers and advocated for more agencies to invest in SOAR training to improve their effectiveness when serving clients who qualify for SSI/SSDI benefits.

3. Provide an update on your expected results, as outlined in your Scope of Work, of the Grant Project(s). Have you accomplished any of your expected results?

- Improved coordination with local agencies to prepare for January PIT/HIC, which should result in better outreach and identification of needs in the NC BoS
- Preparation of the CoC Collaborative Application & ESG competition

4. Provide an update on your performance measures, as outlined in your Scope of Work, for the Grant Project(s).

The PIT/HIC count will occur in January 2025. Until then, we will continue to prepare our staff and support our partners who will submit data for this annual event.

5. Provide a summary of expenditures during the reporting period (quarter).

<u>Expenditure Category</u>	<u>Amount of Expenditure</u>	<u>Amount of Expenditure</u>
	SFY 2023-2024	SFY 2024-2025
<i>Amount of Appropriation</i>		
Personnel/Contracted Services Expenses (e.g., program-related staffing)	\$	\$25855.96
Supplies and Materials Expenses (e.g., office supplies):	\$	\$15.01
Non-Fixed Operating Expenses (e.g., travel, utilities):	\$	\$3514.84
Fixed Operating Expenses (e.g., office rent, dues and subscriptions):	\$	\$7104.17
Property and Equipment Expenses (e.g., buildings and improvements):	\$	\$503.07
Services/Contracts Expenses (e.g., purchase of services, contracts with service providers):	\$	\$1641.18
Other Expenses (Specify):	\$	\$934.52
Total Expenses:	\$	\$39568.75

6. Provide a summary of year-to-date expenditures through the end of the current reporting period. The total expenditures on this table should equal the cumulative expenditures from all completed quarterly reports to date.

<u>Expenditure Category</u>	<u>Amount of Expenditure</u>	<u>Amount of Expenditure</u>
	SFY 2023-2024	SFY 2024-2025
<i>Amount of Appropriation</i>		
Personnel/Contracted Services Expenses (e.g., program-related staffing)	\$	\$25,855.96
Supplies and Materials Expenses (e.g., office supplies):	\$	\$15.01
Non-Fixed Operating Expenses (e.g., travel, utilities):	\$	\$3514.84
Fixed Operating Expenses (e.g., office rent, dues and subscriptions):	\$	\$7104.17
Property and Equipment Expenses (e.g., buildings and improvements):	\$	\$503.07
Services/Contracts Expenses (e.g., purchase of services, contracts with service providers):	\$	\$1641.18
Other Expenses (Specify):	\$	\$934.52
Total Expenses:	\$	\$39568.75

If there are any questions, please contact the Contract Administrator.

ATTACHMENT D REPORTING REQUIREMENTS & TEMPLATES

Reporting Requirements: This is a subaward for financial assistance. Financial Assistance Contracts are subject to the Uniform Administration of State Awards, Oversight and Reporting Requirements for recipient and subrecipients described in [N.C. General Statue § 143C-6-23\(d\)](#) and in [09 NCAC 03M.205](#).

A non-governmental grantee who receives a combined \$500,000 or more funds from all state agencies must continue to submit a single or program-specific audit prepared and completed in accordance with Generally Accepted Government Auditing Standards, also known as a Yellow Book audit, to Risk Mitigation and Audit Monitoring at NCGrantsReporting@dhhs.nc.gov within 9 months of the grantee's fiscal year end.

DHHS encourages all of its non-governmental grantees that receive funds from other state agencies or DHHS divisions to contact their assigned contract monitor(s) to determine if year-end reports must be submitted for those particular grants/awards.

North Carolina State agencies are exempt from the reporting requirements of this section. Local governments that have reporting requirements for the Local Government Commission are exempt. All others are not exempt and must adhere to the reporting requirements of this section.

(1) Quarterly Reports: see template in this attachment.

(2) Reporting Timeframes and Due Dates:

Reporting Period	Due Date
July 1, 2023 – December 31, 2023	January 15, 2024
January 1, 2024 – March 31, 2024	April 15, 2024
April 1, 2024 – June 30, 2024	July 15, 2024
July 1, 2024 - September 30, 2024	October 15, 2024
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N.C. General Statute § 143C-6-21-23. State Grant Funds: Administration; Oversight and Reporting Requirements.

§ 143C-6-21. Payments to nonprofits. Except as otherwise provided by law, an annual appropriation of one hundred thousand dollars (\$100,000) or less to or for the use of a nonprofit corporation may be made in a single annual payment, in the discretion of the Director of the Budget. An annual appropriation of more than one hundred thousand dollars (\$100,000) to or for the use of a nonprofit corporation shall be made in quarterly or monthly payments, in the discretion of the Director of the Budget. (2006-203, s. 3; 2013-360, s. 6.12(o).)

§ 143C-6-22. Use of State funds by non-State entities. (a) Disbursement and Use of State Funds. - Every non-State entity that receives, uses, or expends any State funds shall use or expend the funds only for the purposes for which they were appropriated by the General Assembly. State funds include federal funds that flow through the State Treasury. (b) Compliance by Non-State Entities. - If the Director of the Budget finds that a non-State entity has spent or encumbered State funds for an unauthorized purpose, or fails to submit or falsifies the information required by G.S. 143C-6-23 or any other provision of law, the Director shall take appropriate administrative action to ensure that no further irregularities or violations of law occur and shall report to the Attorney General any facts that pertain to an apparent violation of a criminal law or an apparent instance of malfeasance, misfeasance, or nonfeasance in connection with the use of State funds. Appropriate administrative action may include suspending or withholding the disbursement of State funds and recovering State funds previously disbursed. (c) Civil Actions. - Civil actions to recover State funds or to obtain other mandatory orders in the name of the State on relation of the Attorney General, or in the name of the Office of State Budget and Management, shall be filed in the General Court of Justice in Wake County. (2006-203, s. 3.)

§ 143C-6-23. State grant funds: administration; oversight and reporting requirements.

(a) Definitions. – The following definitions apply in this section:

- (1) Grant or grant funds. – State funds disbursed as a grant by a State agency; however, the terms do not include any payment made by the Medicaid program, the State Health Plan for Teachers and State Employees, or other similar medical programs.
- (2) Grantee. – A non-State entity that receives State funds as a grant from a State agency but does not include any non-State entity subject to the audit and other reporting requirements of the Local Government Commission.
- (3) Encumbrance. – A financial obligation created by a purchase order, contract, salary commitment, unearned or prepaid collections for services provided, or other legally binding agreement. A financial obligation is not an encumbrance for purposes of this section unless it (i) is in writing and has been signed by a person or entity who has authority to legally bind the grantee or subgrantee to spend the funds or (ii) was created by the provision of goods or services to the grantee or subgrantee by a third party under circumstances that create a legally binding obligation to pay for the goods or services.
- (4) Subgrantee. – A non-State entity that receives State funds as a grant from a grantee or from another subgrantee but does not include any non-State entity subject to the audit and other reporting requirements of the Local Government Commission.

(b) Conflict of Interest Policy. – Every grantee shall file with the State agency disbursing funds to the grantee a copy of that grantee's policy addressing conflicts of interest that may arise involving the grantee's management employees and the members of its board of directors or other governing body. The policy shall address situations in which any of these individuals may directly or indirectly benefit, except as the grantee's employees or members of its board or other governing body, from the grantee's disbursing of State funds, and shall include actions to be taken by the grantee or the individual, or both, to avoid conflicts of interest and the appearance of impropriety. The policy shall be filed before the disbursing State agency may disburse the grant funds.

(c) No Overdue Tax Debts. – Every grantee shall file with the State agency or department disbursing funds to the grantee a written statement completed by that grantee's board of directors or other governing body stating that the grantee does not have any overdue tax debts, as defined by G.S. 105-243.1, at the federal, State, or local level. The written statement shall be made under oath and shall be filed before the disbursing State agency or department may disburse the grant funds. A person who makes a false statement in violation of this subsection is guilty of a criminal offense punishable as provided by G.S. 143C-10-1.

(d) Office of State Budget Rules Must Require Uniform Administration of State Grants. – The Office of State Budget and Management shall adopt rules to ensure the uniform administration of State grants by all grantor State agencies and grantees or subgrantees. The Office of State Budget and Management shall consult with the Office of the State Auditor and the Attorney General in establishing the rules required by this subsection. The rules shall establish policies and procedures for disbursements of State grants and for State agency oversight, monitoring, and evaluation of grantees and subgrantees. The policies and procedures shall:

- (1) Ensure that the purpose and reporting requirements of each grant are specified to the grantee.
- (2) Ensure that grantees specify the purpose and reporting requirements for grants made to subgrantees.
- (3) Ensure that State funds are spent in accordance with the purposes for which they were granted.
- (4) Hold the grantees and subgrantees accountable for the legal and appropriate expenditure of grant funds.
- (5) Provide for adequate oversight and monitoring to prevent the misuse of grant funds. These policies shall require each grantee and subgrantee to ensure that, for accounting purposes, State funds and interest earned on those funds remain separate and apart from other funds in the possession or control of the grantee or subgrantee.
- (6) Establish mandatory periodic reporting requirements for grantees and subgrantees, including methods of reporting, to provide financial and program performance information. The mandatory periodic reporting requirements shall require grantees and subgrantees to file with the State Auditor copies of reports and statements that are filed with State agencies pursuant to this subsection. Compliance with the mandatory periodic reporting requirements of this subdivision shall not require grantees and subgrantees to file with the State Auditor the information described in subsections (b) and (c) of this section.
- (7) Require grantees and subgrantees to maintain reports, records, and other information to properly account for the expenditure of all grant funds and to make such reports, records, and other information available to the grantor State agency for oversight, monitoring, and evaluation purposes.
- (8) Require grantees and subgrantees to ensure that work papers in the possession of their auditors are available to the State Auditor for the purposes set out in subsection (i) of this section.
- (9) Require grantees to be responsible for managing and monitoring each project, program, or activity supported by grant funds and each subgrantee project, program, or activity supported by grant funds.
- (10) Provide procedures for the suspension of further disbursements or use of grant funds for noncompliance with these rules or other inappropriate use of the funds.
- (11) Provide procedures for use in appropriate circumstances for reinstatement of disbursements that have been suspended for noncompliance with these rules or other inappropriate use of grant funds.
- (12) Provide procedures for the recovery and return to the grantor State agency of unexpended grant funds from a grantee or subgrantee (i) in accordance with subsection (f1) of this section or (ii) in the event that the grantee or subgrantee is unable to fulfill the purposes of the grant for a reason not set forth in that subsection.

(d1) Required Grant Terms. – The terms of each grant shall include all of the following, which shall be deemed a part of the grant:

- (1) The limitation contained in G.S. 143C-6-8 concerning the availability of appropriated funds.
- (2) The relevant provisions of any legislation authorizing or governing the administration of the grant.
- (3) The terms of this section.

(e) Rules Are Subject to the Administrative Procedure Act. – Notwithstanding the provisions of G.S. 150B-2(8a)b. rules adopted pursuant to subsection (d) of this section are subject to the provisions of Chapter 150B of the General Statutes.

(f) Suspension and Recovery of Funds to Grant Recipients for Noncompliance. – The Office of State Budget and Management, after consultation with the administering State agency, shall have the power to suspend disbursement of grant funds to grantees or subgrantees, to prevent further use of grant funds already disbursed, and to recover grant funds already disbursed for noncompliance with rules adopted pursuant to subsection (d) of this section. If the grant funds are a pass-through of funds granted by an agency of the United States, then the Office of State Budget and Management must consult with the granting agency of the United States and the State agency that is the recipient of the pass-through funds prior to taking the actions authorized by this subsection.

(f1) Return of Grant Funds. – Except as otherwise required by federal law, a grantee or subgrantee shall return to the State all affected grant funds and interest earned on those funds if any of the following occurs:

- (1) The funds are in the possession or control of a grantee and are not expended, made subject to an encumbrance, or disbursed to a subgrantee by August 31 immediately following the fiscal year in which the funds are appropriated by the General Assembly, or a different period set forth in the terms of the applicable appropriation or federal grant.
- (2) The funds remain unexpended at the time that the grantee or subgrantee dissolves, ceases operations, or otherwise indicates that it does not intend to spend the funds.
- (3) The Office of State Budget and Management seeks to recover the funds pursuant to subsection (f) of this section.

(f2) Use of Returned Grant Funds. – Encumbered funds returned to the State pursuant to subsection (f1) of this section by a grantee or subgrantee shall upon appropriation by the General Assembly be spent in accordance with the terms of the encumbrance. All other funds returned to the State by a grantee or subgrantee pursuant to subsection (f1) of this section shall be credited to the fund from which they were appropriated and shall remain unexpended and unencumbered until appropriated by the General Assembly. Nothing in this section shall be construed to authorize an expenditure pursuant to an unlawful encumbrance or in a manner that would violate the terms of the appropriation of the grant funds at issue.

(g) Audit Oversight. – The State Auditor has audit oversight, with respect to grant funds received by the grantee or subgrantee, pursuant to Article 5A of Chapter 147 of the General Statutes, of every grantee or subgrantee that receives, uses, or expends grant funds. A grantee or subgrantee must, upon request, furnish to the State Auditor for audit all books, records, and other information necessary for the State Auditor to account fully for the use and expenditure of grant funds received by the grantee or subgrantee. The grantee or subgrantee must furnish any additional financial or budgetary information requested by the State Auditor, including audit work papers in the possession of any auditor of a grantee or subgrantee directly related to the use and expenditure of grant funds.

(h) Report on Grant Recipients That Failed to Comply. – Not later than May 1, 2007, and by May 1 of every succeeding year, the Office of State Budget and Management shall report to the Joint Legislative Commission on Governmental Operations and the Fiscal Research Division on all grantees or subgrantees that failed to comply with this section with respect to grant funds received in the prior fiscal year.

(i) State Agencies to Submit Grant List to Auditor. – No later than October 1 of each year, each State agency shall submit a list to the State Auditor, in the format prescribed by the State Auditor, of every grantee to which the agency disbursed grant funds in the prior fiscal year. The list shall include the amount disbursed to each grantee and other information as required by the State Auditor to comply with the requirements of this section.

(j) Use of Interest Earned on Grant Funds. – Except as otherwise required by federal law or the terms of a federal grant, interest earned on grant funds after receipt of the funds by a grantee or subgrantee shall be credited to the grantee or subgrantee and shall be used for the same purposes for which the grant or subgrant was made.

(k) Reporting by Grantees and Subgrantees That Cease Operations. – A grantee or subgrantee that intends to dissolve or cease operations shall report that decision in writing to the Office of State Budget and Management and to the Fiscal Research Division at least 30 days prior to taking that action. (2006-203, s. 3; 2007-323, s. 28.22A(o); 2007-345, s. 12; 2014-100, s. 6.5(a); 2015-264, s. 21.)

09 NCAC 03M .0205 Minimum Reporting Requirements for Recipients and Subrecipients.

(a) For the purposes of this Subchapter, there are three reporting levels established for recipients and subrecipients receiving State financial assistance. Reporting levels are based on the level of State financial assistance from all funding sources. The reporting levels are:

- (1) Level I – A recipient or subrecipient that receives, holds, uses, or expends State financial assistance in an amount less than twenty-five thousand dollars (\$25,000) within its fiscal year.
- (2) Level II - A recipient or subrecipient that receives, holds, uses, or expends State financial assistance in an amount of at least twenty-five thousand (\$25,000) or greater, but less than five hundred thousand dollars (\$500,000) within its fiscal year.

(3) Level III – A recipient or subrecipient that receives, holds, uses, or expends State financial assistance in an amount equal to or greater than five hundred thousand dollars (\$500,000) within its fiscal year.

(b) Agencies shall establish reporting requirements for recipients that meet the following reporting standards on an annual basis:

(1) All recipients and subrecipients shall provide a certification that State financial assistance received or, held was used for the purposes for which it was awarded.

(2) All recipients and subrecipients shall provide an accounting of all State financial assistance received, held, used, or expended.

(3) Level II and III recipients and subrecipients shall report on activities and accomplishments undertaken by the recipient, including reporting on any performance measures established in the contract.

(4) Level III recipients and subrecipients shall have a single or program-specific audit prepared and completed in accordance with Generally Accepted Government Auditing Standards, also known as the Yellow Book.

(c) All reports shall be filed with the disbursing agency in the format and method specified by the agency no later than three months after the end of the recipient's fiscal year, unless the same information is already required through more frequent reporting. Audits must be provided to the funding agency no later than nine months after the end of the recipient's fiscal year.

(d) Agency-established reporting requirements to meet the standards set forth in Paragraph (b) of this Rule shall be specified in each recipient's contract.

(e) Unless prohibited by law, the costs of audits made in accordance with the provisions of this Rule shall be allowable charges to State and Federal awards. The charges may be considered a direct cost or an allocated indirect cost, as determined in accordance with cost principles outlined in the Code of Federal Regulations, 2 CFR Part 200. The cost of any audit not conducted in accordance with this Subchapter shall not be charged to State awards.

(f) Notwithstanding the provisions of this Subchapter, a recipient may satisfy the reporting requirements of Subparagraph (b)(4) of this Rule by submitting a copy of the report required under federal law with respect to the same funds.

QUARTERLY STATUS REPORT

Please use this reporting template for each of the quarterly reports

Recipient Name:	North Carolina Coalition to End Homelessness
Recipient Tax ID #	56-2227722
Project/Activity Title:	Directed Grant
Reporting Period (Quarter):	October 1, 2024 – December 31, 2024
Report Completion Date:	September 15, 2025
Preparer of This Report:	Latonya L. Agard

Were grant funds expended during this reporting period? ☒ Yes ☐ No

Do you certify that all expenses to date have been submitted for this grant? ☒ Yes ☐ No

Do you certify that all funds to date were used for the purposes for which they were awarded and in compliance with your contract? ☒ Yes ☐ No

1. Provide a brief report of activities and accomplishments related to your Grant Project during this reporting period.

During this reporting period, NCCEH has continued to work with partners across the state to support and advocate for people experiencing homelessness. A significant aspect of that work involves our ability to remain focused, value-aligned, and strategic. Through its support for overhead and operating costs, the Directed Grant supported our quarterly Strategic Planning Session, which a consultant facilitates. This quarter's session focused on refining SMART GOALS, communicating with the team, and updating the Board of Directors on our progress.

Executing the strategic plan during this reporting period included (1) preparing our staff and partners in the NC BoS for the PIT/HIC in January 2025, (2) the Executive Director's participation on the DHHS Housing Leadership Committee, (4) site visits to local communities, (5) participating in the Housing RSF, (6) participating on the planning committee for BIH 2025, (7) hosting Local Leadership Response calls, and submission of the FY2024 CoC Consolidated Application.

2. Provide an update on the objectives, as outlined in your Scope of Work, of the grant project. Have you accomplished any of your objectives?

One objective in the Scope of Work involves the BoS CoC and the Data Center working with partners in January to perform the annual HIC and PIT counts in their local communities. This quarter, both teams spent a significant amount of time on internal and external preparation for this major event. This includes reaching out to individual agencies across 79 counties in NC and coordinating with leaders in the Durham and Orange/Chapel Hill CoCs to ensure accurate data collection. We increased funding to end homelessness by securing a grant from Blue Cross Blue Shield to fund our work in the Safe@Home Program and submitting the BoS CoC Collaborative Application on time.

3. Provide an update on your expected results, as outlined in your Scope of Work, of the Grant Project(s). Have you accomplished any of your expected results?

- Improved coordination with local agencies to prepare for January PIT/HIC, which should result in better outreach and identification of needs in the NC BoS
- Submission of the CoC Collaborative Application

4. Provide an update on your performance measures, as outlined in your Scope of Work, for the Grant Project(s).

The PIT/HIC count will occur in January 2025. Until then, we will continue to prepare our staff and support our partners who will submit data for this annual event.

We anticipate receiving an award notification from HUD early next year.

5. Provide a summary of expenditures during the reporting period (quarter).

<u>Expenditure Category</u>	<u>Amount of Expenditure</u>	<u>Amount of Expenditure</u>
	SFY 2023-2024	SFY 2024-2025
<i>Amount of Appropriation</i>		
Personnel/Contracted Services Expenses (e.g., program-related staffing)	\$	\$22094.27
Supplies and Materials Expenses (e.g., office supplies):	\$	\$
Non-Fixed Operating Expenses (e.g., travel, utilities):	\$	\$1064.32
Fixed Operating Expenses (e.g., office rent, dues and subscriptions):	\$	\$7739.08
Property and Equipment Expenses (e.g., buildings and improvements):	\$	\$1651.18
Services/Contracts Expenses (e.g., purchase of services, contracts with service providers):	\$	\$6962.59
Other Expenses (Specify):	\$	\$57.31
Total Expenses:	\$	\$39568.75

6. Provide a summary of year-to-date expenditures through the end of the current reporting period. The total expenditures on this table should equal the cumulative expenditures from all completed quarterly reports to date.

<u>Expenditure Category</u>	<u>Amount of Expenditure</u>	<u>Amount of Expenditure</u>
	SFY 2023-2024	SFY 2024-2025
<i>Amount of Appropriation</i>		
Personnel/Contracted Services Expenses (e.g., program-related staffing)	\$	\$47950.23
Supplies and Materials Expenses (e.g., office supplies):	\$	\$15.01
Non-Fixed Operating Expenses (e.g., travel, utilities):	\$	\$4579.16
Fixed Operating Expenses (e.g., office rent, dues and subscriptions):	\$	\$14843.25
Property and Equipment Expenses (e.g., buildings and improvements):	\$	\$2154.25
Services/Contracts Expenses (e.g., purchase of services, contracts with service providers):	\$	\$8603.77
Other Expenses (Specify):	\$	\$991.83
Total Expenses:	\$	\$79137.50

If there are any questions, please contact the Contract Administrator.

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§ 143C-6-23. State grant funds: administration; oversight and reporting requirements.

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- (3) Encumbrance. - A financial obligation created by a purchase order, contract, salary commitment, unearned or prepaid collections for services provided, or other legally binding agreement. A financial obligation is not an encumbrance for purposes of this section unless it (i) is in writing and has been signed by a person or entity who has authority to legally bind the grantee or subgrantee to spend the funds or (ii) was created by the provision of goods or services to the grantee or subgrantee by a third party under circumstances that create a legally binding obligation to pay for the goods or services.
- (4) Subgrantee. - A non-State entity that receives State funds as a grant from a grantee or from another subgrantee but does not include any non-State entity subject to the audit and other reporting requirements of the Local Government Commission.

(b) Conflict of Interest Policy. - Every grantee shall file with the State agency disbursing funds to the grantee a copy of that grantee's policy addressing conflicts of interest that may arise involving the grantee's management employees and the members of its board of directors or other governing body. The policy shall address situations in which any of these individuals may directly or indirectly benefit, except as the grantee's employees or members of its board or other governing body, from the grantee's disbursing of State funds, and shall include actions to be taken by the grantee or the individual, or both, to avoid conflicts of interest and the appearance of impropriety. The policy shall be filed before the disbursing State agency may disburse the grant funds.

(c) No Overdue Tax Debts. - Every grantee shall file with the State agency or department disbursing funds to the grantee a written statement completed by that grantee's board of directors or other governing body stating that the grantee does not have any overdue tax debts, as defined by G.S. 105-243.1, at the federal, State, or local level. The written statement shall be made under oath and shall be filed before the disbursing State agency or department may disburse the grant funds. A person who makes a false statement in violation of this subsection is guilty of a criminal offense punishable as provided by G.S. 143C-10-1.

(d) Office of State Budget Rules Must Require Uniform Administration of State Grants. – The Office of State Budget and Management shall adopt rules to ensure the uniform administration of State grants by all grantor State agencies and grantees or subgrantees. The Office of State Budget and Management shall consult with the Office of the State Auditor and the Attorney General in establishing the rules required by this subsection. The rules shall establish policies and procedures for disbursements of State grants and for State agency oversight, monitoring, and evaluation of grantees and subgrantees. The policies and procedures shall:

- (1) Ensure that the purpose and reporting requirements of each grant are specified to the grantee.
- (2) Ensure that grantees specify the purpose and reporting requirements for grants made to subgrantees.
- (3) Ensure that State funds are spent in accordance with the purposes for which they were granted.
- (4) Hold the grantees and subgrantees accountable for the legal and appropriate expenditure of grant funds.
- (5) Provide for adequate oversight and monitoring to prevent the misuse of grant funds. These policies shall require each grantee and subgrantee to ensure that, for accounting purposes, State funds and interest earned on those funds remain separate and apart from other funds in the possession or control of the grantee or subgrantee.
- (6) Establish mandatory periodic reporting requirements for grantees and subgrantees, including methods of reporting, to provide financial and program performance information. The mandatory periodic reporting requirements shall require grantees and subgrantees to file with the State Auditor copies of reports and statements that are filed with State agencies pursuant to this subsection. Compliance with the mandatory periodic reporting requirements of this subdivision shall not require grantees and subgrantees to file with the State Auditor the information described in subsections (b) and (c) of this section.
- (7) Require grantees and subgrantees to maintain reports, records, and other information to properly account for the expenditure of all grant funds and to make such reports, records, and other information available to the grantor State agency for oversight, monitoring, and evaluation purposes.
- (8) Require grantees and subgrantees to ensure that work papers in the possession of their auditors are available to the State Auditor for the purposes set out in subsection (i) of this section.
- (9) Require grantees to be responsible for managing and monitoring each project, program, or activity supported by grant funds and each subgrantee project, program, or activity supported by grant funds.
- (10) Provide procedures for the suspension of further disbursements or use of grant funds for noncompliance with these rules or other inappropriate use of the funds.
- (11) Provide procedures for use in appropriate circumstances for reinstatement of disbursements that have been suspended for noncompliance with these rules or other inappropriate use of grant funds.
- (12) Provide procedures for the recovery and return to the grantor State agency of unexpended grant funds from a grantee or subgrantee (i) in accordance with subsection (f1) of this section or (ii) in the event that the grantee or subgrantee is unable to fulfill the purposes of the grant for a reason not set forth in that subsection.

(d1) Required Grant Terms. – The terms of each grant shall include all of the following, which shall be deemed a part of the grant:

- (1) The limitation contained in G.S. 143C-6-8 concerning the availability of appropriated funds.
- (2) The relevant provisions of any legislation authorizing or governing the administration of the grant.
- (3) The terms of this section.

(e) Rules Are Subject to the Administrative Procedure Act. – Notwithstanding the provisions of G.S. 150B-2(8a)b. rules adopted pursuant to subsection (d) of this section are subject to the provisions of Chapter 150B of the General Statutes.

(f) Suspension and Recovery of Funds to Grant Recipients for Noncompliance. – The Office of State Budget and Management, after consultation with the administering State agency, shall have the power to suspend disbursement of grant funds to grantees or subgrantees, to prevent further use of grant funds already disbursed, and to recover grant funds already disbursed for noncompliance with rules adopted pursuant to subsection (d) of this section. If the grant funds are a pass-through of funds granted by an agency of the United States, then the Office of State Budget and Management must consult with the granting agency of the United States and the State agency that is the recipient of the pass-through funds prior to taking the actions authorized by this subsection.

(f1) Return of Grant Funds. – Except as otherwise required by federal law, a grantee or subgrantee shall return to the State all affected grant funds and interest earned on those funds if any of the following occurs:

- (1) The funds are in the possession or control of a grantee and are not expended, made subject to an encumbrance, or disbursed to a subgrantee by August 31 immediately following the fiscal year in which the funds are appropriated by the General Assembly, or a different period set forth in the terms of the applicable appropriation or federal grant.
- (2) The funds remain unexpended at the time that the grantee or subgrantee dissolves, ceases operations, or otherwise indicates that it does not intend to spend the funds.
- (3) The Office of State Budget and Management seeks to recover the funds pursuant to subsection (f) of this section.

(f2) Use of Returned Grant Funds. – Encumbered funds returned to the State pursuant to subsection (f1) of this section by a grantee or subgrantee shall upon appropriation by the General Assembly be spent in accordance with the terms of the encumbrance. All other funds returned to the State by a grantee or subgrantee pursuant to subsection (f1) of this section shall be credited to the fund from which they were appropriated and shall remain unexpended and unencumbered until appropriated by the General Assembly. Nothing in this section shall be construed to authorize an expenditure pursuant to an unlawful encumbrance or in a manner that would violate the terms of the appropriation of the grant funds at issue.

(g) Audit Oversight. – The State Auditor has audit oversight, with respect to grant funds received by the grantee or subgrantee, pursuant to Article 5A of Chapter 147 of the General Statutes, of every grantee or subgrantee that receives, uses, or expends grant funds. A grantee or subgrantee must, upon request, furnish to the State Auditor for audit all books, records, and other information necessary for the State Auditor to account fully for the use and expenditure of grant funds received by the grantee or subgrantee. The grantee or subgrantee must furnish any additional financial or budgetary information requested by the State Auditor, including audit work papers in the possession of any auditor of a grantee or subgrantee directly related to the use and expenditure of grant funds.

(h) Report on Grant Recipients That Failed to Comply. – Not later than May 1, 2007, and by May 1 of every succeeding year, the Office of State Budget and Management shall report to the Joint Legislative Commission on Governmental Operations and the Fiscal Research Division on all grantees or subgrantees that failed to comply with this section with respect to grant funds received in the prior fiscal year.

(i) State Agencies to Submit Grant List to Auditor. – No later than October 1 of each year, each State agency shall submit a list to the State Auditor, in the format prescribed by the State Auditor, of every grantee to which the agency disbursed grant funds in the prior fiscal year. The list shall include the amount disbursed to each grantee and other information as required by the State Auditor to comply with the requirements of this section.

(j) Use of Interest Earned on Grant Funds. – Except as otherwise required by federal law or the terms of a federal grant, interest earned on grant funds after receipt of the funds by a grantee or subgrantee shall be credited to the grantee or subgrantee and shall be used for the same purposes for which the grant or subgrant was made.

(k) Reporting by Grantees and Subgrantees That Cease Operations. – A grantee or subgrantee that intends to dissolve or cease operations shall report that decision in writing to the Office of State Budget and Management and to the Fiscal Research Division at least 30 days prior to taking that action. (2006-203, s. 3; 2007-323, s. 28.22A(o); 2007-345, s. 12; 2014-100, s. 6.5(a); 2015-264, s. 21.)

09 NCAC 03M .0205 Minimum Reporting Requirements for Recipients and Subrecipients.

(a) For the purposes of this Subchapter, there are three reporting levels established for recipients and subrecipients receiving State financial assistance. Reporting levels are based on the level of State financial assistance from all funding sources. The reporting levels are:

- (1) Level I – A recipient or subrecipient that receives, holds, uses, or expends State financial assistance in an amount less than twenty-five thousand dollars (\$25,000) within its fiscal year.
- (2) Level II - A recipient or subrecipient that receives, holds, uses, or expends State financial assistance in an amount of at least twenty-five thousand (\$25,000) or greater, but less than five hundred thousand dollars (\$500,000) within its fiscal year.

(3) Level III – A recipient or subrecipient that receives, holds, uses, or expends State financial assistance in an amount equal to or greater than five hundred thousand dollars (\$500,000) within its fiscal year.

(b) Agencies shall establish reporting requirements for recipients that meet the following reporting standards on an annual basis:

(1) All recipients and subrecipients shall provide a certification that State financial assistance received or, held was used for the purposes for which it was awarded.

(2) All recipients and subrecipients shall provide an accounting of all State financial assistance received, held, used, or expended.

(3) Level II and III recipients and subrecipients shall report on activities and accomplishments undertaken by the recipient, including reporting on any performance measures established in the contract.

(4) Level III recipients and subrecipients shall have a single or program-specific audit prepared and completed in accordance with Generally Accepted Government Auditing Standards, also known as the Yellow Book.

(c) All reports shall be filed with the disbursing agency in the format and method specified by the agency no later than three months after the end of the recipient's fiscal year, unless the same information is already required through more frequent reporting. Audits must be provided to the funding agency no later than nine months after the end of the recipient's fiscal year.

(d) Agency-established reporting requirements to meet the standards set forth in Paragraph (b) of this Rule shall be specified in each recipient's contract.

(e) Unless prohibited by law, the costs of audits made in accordance with the provisions of this Rule shall be allowable charges to State and Federal awards. The charges may be considered a direct cost or an allocated indirect cost, as determined in accordance with cost principles outlined in the Code of Federal Regulations, 2 CFR Part 200. The cost of any audit not conducted in accordance with this Subchapter shall not be charged to State awards.

(f) Notwithstanding the provisions of this Subchapter, a recipient may satisfy the reporting requirements of Subparagraph (b)(4) of this Rule by submitting a copy of the report required under federal law with respect to the same funds.

QUARTERLY STATUS REPORT

Please use this reporting template for each of the quarterly reports

Recipient Name:	North Carolina Coalition to End Homelessness
Recipient Tax ID #	56-2227722
Project/Activity Title:	Directed Grant
Reporting Period (Quarter):	January 1, 2025 - March 31, 2025
Report Completion Date:	September 15, 2025
Preparer of This Report:	Latonya L. Agard

Were grant funds expended during this reporting period? ☒ Yes ☐ No

Do you certify that all expenses to date have been submitted for this grant? ☒ Yes ☐ No

Do you certify that all funds to date were used for the purposes for which they were awarded and in compliance with your contract? ☒ Yes ☐ No

1. Provide a brief report of activities and accomplishments related to your Grant Project during this reporting period.

During this reporting period, NCCEH has continued to work with partners across the state to support and advocate for people experiencing homelessness. A significant aspect of that work involves our ability to remain focused, value-aligned, and strategic. Through its support for overhead and operating costs, the Directed Grant supported our participation in a DHHS Housing Strategic Plan workgroup, execution of the PIT/HIC Count in the NC BoS CoC, PIT/HIC data collection and analysis for the BoS, Durham, and Orange CoCs, continuing efforts to plan the annual Bringing It Home Conference, and statewide collaboration to advocate for funding across all systems serving people experiencing homelessness.

Executing the strategic plan during this reporting period included (1) engaging media to educate the public about homelessness, its scope, and effective interventions, (2) participation in Housing Day 2025 with the NC Housing Coalition, and Habitat for Humanity, (3) site visits to local communities, (4) the Executive Director's participation in the UNC Law Conference on Race, Class, Gender, and Ethnicity, (5) training/education sessions for our Board of Directors, and (6) meeting with the John Rex Foundation.

2. Provide an update on the objectives, as outlined in your Scope of Work, of the grant project. Have you accomplished any of your objectives?

One objective in the Scope of Work is to increase funding, enhance technical assistance, and improve training for agencies providing direct services to clients experiencing homelessness. This quarter, the BoS CoC and Data Center Teams continued working on PIT/HIC data, which included follow-up calls to constituents across the BoS and coordinating with leaders from Durham and Orange/Chapel Hill CoCs. The brief funding freeze by the federal government and delays in federal grant contracts led to more intentional engagement with other CoCs in NC, NAEH, and NLHIC to coordinate education and advocacy efforts. This quarter also included more work researching activity in the General Assembly, preparing talking points for narrative framing, and more frequent meetings with the Board of Directors.

3. Provide an update on your expected results, as outlined in your Scope of Work, of the Grant Project(s). Have you accomplished any of your expected results?

- Improved coordination with local agencies to prepare for January PIT/HIC, which should result in better outreach and identification of needs in the NC BoS

4. Provide an update on your performance measures, as outlined in your Scope of Work, for the Grant Project(s).

The PIT/HIC count occurred in January. The Data Center is actively working to finalize that data for submission by the April 11th deadline.

On January 14, HUD released FY24 CoC Awards. The NC BoS CoC received \$16,518,693, nearly a \$2M increase from FY2023, to serve 79 of the 100 counties in our state

5. Provide a summary of expenditures during the reporting period (quarter).

<u>Expenditure Category</u>	<u>Amount of Expenditure</u>	<u>Amount of Expenditure</u>
	SFY 2023-2024	SFY 2024-2025
<i>Amount of Appropriation</i>		
Personnel/Contracted Services Expenses (e.g., program-related staffing)	\$	\$49790.33
Supplies and Materials Expenses (e.g., office supplies):	\$	\$
Non-Fixed Operating Expenses (e.g., travel, utilities):	\$	\$1534.48
Fixed Operating Expenses (e.g., office rent, dues and subscriptions):	\$	\$6270.91
Property and Equipment Expenses (e.g., buildings and improvements):	\$	\$
Services/Contracts Expenses (e.g., purchase of services, contracts with service providers):	\$	\$5832.67
Other Expenses (Specify):	\$	\$
Total Expenses:	\$	\$63428.39

6. Provide a summary of year-to-date expenditures through the end of the current reporting period. The total expenditures on this table should equal the cumulative expenditures from all completed quarterly reports to date.

<u>Expenditure Category</u>	<u>Amount of Expenditure</u>	<u>Amount of Expenditure</u>
	SFY 2023-2024	SFY 2024-2025
<i>Amount of Appropriation</i>		
Personnel/Contracted Services Expenses (e.g., program-related staffing)	\$	\$97740.56
Supplies and Materials Expenses (e.g., office supplies):	\$	\$15.01
Non-Fixed Operating Expenses (e.g., travel, utilities):	\$	\$6113.64
Fixed Operating Expenses (e.g., office rent, dues and subscriptions):	\$	\$21114.16
Property and Equipment Expenses (e.g., buildings and improvements):	\$	\$2154.25
Services/Contracts Expenses (e.g., purchase of services, contracts with service providers):	\$	\$14436.444
Other Expenses (Specify):	\$	\$991.83
Total Expenses:	\$	\$142565.89

If there are any questions, please contact the Contract Administrator.

ATTACHMENT D REPORTING REQUIREMENTS & TEMPLATES

Reporting Requirements: This is a subaward for financial assistance. Financial Assistance Contracts are subject to the Uniform Administration of State Awards, Oversight and Reporting Requirements for recipient and subrecipients described in [N.C. General Statue § 143C-6-23\(d\)](#) and in [09 NCAC 03M.205](#).

A non-governmental grantee who receives a combined \$500,000 or more funds from all state agencies must continue to submit a single or program-specific audit prepared and completed in accordance with Generally Accepted Government Auditing Standards, also known as a Yellow Book audit, to Risk Mitigation and Audit Monitoring at NCGrantsReporting@dhhs.nc.gov within 9 months of the grantee's fiscal year end.

DHHS encourages all of its non-governmental grantees that receive funds from other state agencies or DHHS divisions to contact their assigned contract monitor(s) to determine if year-end reports must be submitted for those particular grants/awards.

North Carolina State agencies are exempt from the reporting requirements of this section. Local governments that have reporting requirements for the Local Government Commission are exempt. All others are not exempt and must adhere to the reporting requirements of this section.

(1) Quarterly Reports: see template in this attachment.

(2) Reporting Timeframes and Due Dates:

Reporting Period	Due Date
July 1, 2023 – December 31, 2023	January 15, 2024
January 1, 2024 – March 31, 2024	April 15, 2024
April 1, 2024 – June 30, 2024	July 15, 2024
July 1, 2024 - September 30, 2024	October 15, 2024
October 1, 2024 – December 31, 2024	January 15, 2025
January 1, 2025 – March 31, 2025	April 15, 2025
April 1, 2025 – June 30, 2025	July 15, 2025
July 1, 2025 - September 30, 2025	October 15, 2025
October 1, 2025 – December 31, 2025	January 15, 2026
January 1, 2026 – March 31, 2026	April 15, 2026
April 1, 2026 – June 30, 2026	July 15, 2026

N.C. General Statute § 143C-6-21-23. State Grant Funds: Administration; Oversight and Reporting Requirements.

§ 143C-6-21. Payments to nonprofits. Except as otherwise provided by law, an annual appropriation of one hundred thousand dollars (\$100,000) or less to or for the use of a nonprofit corporation may be made in a single annual payment, in the discretion of the Director of the Budget. An annual appropriation of more than one hundred thousand dollars (\$100,000) to or for the use of a nonprofit corporation shall be made in quarterly or monthly payments, in the discretion of the Director of the Budget. (2006-203, s. 3; 2013-360, s. 6.12(o).)

§ 143C-6-22. Use of State funds by non-State entities. (a) Disbursement and Use of State Funds. - Every non-State entity that receives, uses, or expends any State funds shall use or expend the funds only for the purposes for which they were appropriated by the General Assembly. State funds include federal funds that flow through the State Treasury. (b) Compliance by Non-State Entities. - If the Director of the Budget finds that a non-State entity has spent or encumbered State funds for an unauthorized purpose, or fails to submit or falsifies the information required by G.S. 143C-6-23 or any other provision of law, the Director shall take appropriate administrative action to ensure that no further irregularities or violations of law occur and shall report to the Attorney General any facts that pertain to an apparent violation of a criminal law or an apparent instance of malfeasance, misfeasance, or nonfeasance in connection with the use of State funds. Appropriate administrative action may include suspending or withholding the disbursement of State funds and recovering State funds previously disbursed. (c) Civil Actions. - Civil actions to recover State funds or to obtain other mandatory orders in the name of the State on relation of the Attorney General, or in the name of the Office of State Budget and Management, shall be filed in the General Court of Justice in Wake County. (2006-203, s. 3.)

§ 143C-6-23. State grant funds: administration; oversight and reporting requirements.

(a) Definitions. – The following definitions apply in this section:

- (1) Grant or grant funds. – State funds disbursed as a grant by a State agency; however, the terms do not include any payment made by the Medicaid program, the State Health Plan for Teachers and State Employees, or other similar medical programs.
- (2) Grantee. – A non-State entity that receives State funds as a grant from a State agency but does not include any non-State entity subject to the audit and other reporting requirements of the Local Government Commission.
- (3) Encumbrance. – A financial obligation created by a purchase order, contract, salary commitment, unearned or prepaid collections for services provided, or other legally binding agreement. A financial obligation is not an encumbrance for purposes of this section unless it (i) is in writing and has been signed by a person or entity who has authority to legally bind the grantee or subgrantee to spend the funds or (ii) was created by the provision of goods or services to the grantee or subgrantee by a third party under circumstances that create a legally binding obligation to pay for the goods or services.
- (4) Subgrantee. – A non-State entity that receives State funds as a grant from a grantee or from another subgrantee but does not include any non-State entity subject to the audit and other reporting requirements of the Local Government Commission.

(b) Conflict of Interest Policy. – Every grantee shall file with the State agency disbursing funds to the grantee a copy of that grantee's policy addressing conflicts of interest that may arise involving the grantee's management employees and the members of its board of directors or other governing body. The policy shall address situations in which any of these individuals may directly or indirectly benefit, except as the grantee's employees or members of its board or other governing body, from the grantee's disbursing of State funds, and shall include actions to be taken by the grantee or the individual, or both, to avoid conflicts of interest and the appearance of impropriety. The policy shall be filed before the disbursing State agency may disburse the grant funds.

(c) No Overdue Tax Debts. – Every grantee shall file with the State agency or department disbursing funds to the grantee a written statement completed by that grantee's board of directors or other governing body stating that the grantee does not have any overdue tax debts, as defined by G.S. 105-243.1, at the federal, State, or local level. The written statement shall be made under oath and shall be filed before the disbursing State agency or department may disburse the grant funds. A person who makes a false statement in violation of this subsection is guilty of a criminal offense punishable as provided by G.S. 143C-10-1.

(d) Office of State Budget Rules Must Require Uniform Administration of State Grants. – The Office of State Budget and Management shall adopt rules to ensure the uniform administration of State grants by all grantor State agencies and grantees or subgrantees. The Office of State Budget and Management shall consult with the Office of the State Auditor and the Attorney General in establishing the rules required by this subsection. The rules shall establish policies and procedures for disbursements of State grants and for State agency oversight, monitoring, and evaluation of grantees and subgrantees. The policies and procedures shall:

- (1) Ensure that the purpose and reporting requirements of each grant are specified to the grantee.
- (2) Ensure that grantees specify the purpose and reporting requirements for grants made to subgrantees.
- (3) Ensure that State funds are spent in accordance with the purposes for which they were granted.
- (4) Hold the grantees and subgrantees accountable for the legal and appropriate expenditure of grant funds.
- (5) Provide for adequate oversight and monitoring to prevent the misuse of grant funds. These policies shall require each grantee and subgrantee to ensure that, for accounting purposes, State funds and interest earned on those funds remain separate and apart from other funds in the possession or control of the grantee or subgrantee.
- (6) Establish mandatory periodic reporting requirements for grantees and subgrantees, including methods of reporting, to provide financial and program performance information. The mandatory periodic reporting requirements shall require grantees and subgrantees to file with the State Auditor copies of reports and statements that are filed with State agencies pursuant to this subsection. Compliance with the mandatory periodic reporting requirements of this subdivision shall not require grantees and subgrantees to file with the State Auditor the information described in subsections (b) and (c) of this section.
- (7) Require grantees and subgrantees to maintain reports, records, and other information to properly account for the expenditure of all grant funds and to make such reports, records, and other information available to the grantor State agency for oversight, monitoring, and evaluation purposes.
- (8) Require grantees and subgrantees to ensure that work papers in the possession of their auditors are available to the State Auditor for the purposes set out in subsection (i) of this section.
- (9) Require grantees to be responsible for managing and monitoring each project, program, or activity supported by grant funds and each subgrantee project, program, or activity supported by grant funds.
- (10) Provide procedures for the suspension of further disbursements or use of grant funds for noncompliance with these rules or other inappropriate use of the funds.
- (11) Provide procedures for use in appropriate circumstances for reinstatement of disbursements that have been suspended for noncompliance with these rules or other inappropriate use of grant funds.
- (12) Provide procedures for the recovery and return to the grantor State agency of unexpended grant funds from a grantee or subgrantee (i) in accordance with subsection (f1) of this section or (ii) in the event that the grantee or subgrantee is unable to fulfill the purposes of the grant for a reason not set forth in that subsection.

(d1) Required Grant Terms. – The terms of each grant shall include all of the following, which shall be deemed a part of the grant:

- (1) The limitation contained in G.S. 143C-6-8 concerning the availability of appropriated funds.
- (2) The relevant provisions of any legislation authorizing or governing the administration of the grant.
- (3) The terms of this section.

(e) Rules Are Subject to the Administrative Procedure Act. – Notwithstanding the provisions of G.S. 150B-2(8a)b. rules adopted pursuant to subsection (d) of this section are subject to the provisions of Chapter 150B of the General Statutes.

(f) Suspension and Recovery of Funds to Grant Recipients for Noncompliance. – The Office of State Budget and Management, after consultation with the administering State agency, shall have the power to suspend disbursement of grant funds to grantees or subgrantees, to prevent further use of grant funds already disbursed, and to recover grant funds already disbursed for noncompliance with rules adopted pursuant to subsection (d) of this section. If the grant funds are a pass-through of funds granted by an agency of the United States, then the Office of State Budget and Management must consult with the granting agency of the United States and the State agency that is the recipient of the pass-through funds prior to taking the actions authorized by this subsection.

(f1) Return of Grant Funds. – Except as otherwise required by federal law, a grantee or subgrantee shall return to the State all affected grant funds and interest earned on those funds if any of the following occurs:

- (1) The funds are in the possession or control of a grantee and are not expended, made subject to an encumbrance, or disbursed to a subgrantee by August 31 immediately following the fiscal year in which the funds are appropriated by the General Assembly, or a different period set forth in the terms of the applicable appropriation or federal grant.
- (2) The funds remain unexpended at the time that the grantee or subgrantee dissolves, ceases operations, or otherwise indicates that it does not intend to spend the funds.
- (3) The Office of State Budget and Management seeks to recover the funds pursuant to subsection (f) of this section.

(f2) Use of Returned Grant Funds. – Encumbered funds returned to the State pursuant to subsection (f1) of this section by a grantee or subgrantee shall upon appropriation by the General Assembly be spent in accordance with the terms of the encumbrance. All other funds returned to the State by a grantee or subgrantee pursuant to subsection (f1) of this section shall be credited to the fund from which they were appropriated and shall remain unexpended and unencumbered until appropriated by the General Assembly. Nothing in this section shall be construed to authorize an expenditure pursuant to an unlawful encumbrance or in a manner that would violate the terms of the appropriation of the grant funds at issue.

(g) Audit Oversight. – The State Auditor has audit oversight, with respect to grant funds received by the grantee or subgrantee, pursuant to Article 5A of Chapter 147 of the General Statutes, of every grantee or subgrantee that receives, uses, or expends grant funds. A grantee or subgrantee must, upon request, furnish to the State Auditor for audit all books, records, and other information necessary for the State Auditor to account fully for the use and expenditure of grant funds received by the grantee or subgrantee. The grantee or subgrantee must furnish any additional financial or budgetary information requested by the State Auditor, including audit work papers in the possession of any auditor of a grantee or subgrantee directly related to the use and expenditure of grant funds.

(h) Report on Grant Recipients That Failed to Comply. – Not later than May 1, 2007, and by May 1 of every succeeding year, the Office of State Budget and Management shall report to the Joint Legislative Commission on Governmental Operations and the Fiscal Research Division on all grantees or subgrantees that failed to comply with this section with respect to grant funds received in the prior fiscal year.

(i) State Agencies to Submit Grant List to Auditor. – No later than October 1 of each year, each State agency shall submit a list to the State Auditor, in the format prescribed by the State Auditor, of every grantee to which the agency disbursed grant funds in the prior fiscal year. The list shall include the amount disbursed to each grantee and other information as required by the State Auditor to comply with the requirements of this section.

(j) Use of Interest Earned on Grant Funds. – Except as otherwise required by federal law or the terms of a federal grant, interest earned on grant funds after receipt of the funds by a grantee or subgrantee shall be credited to the grantee or subgrantee and shall be used for the same purposes for which the grant or subgrant was made.

(k) Reporting by Grantees and Subgrantees That Cease Operations. – A grantee or subgrantee that intends to dissolve or cease operations shall report that decision in writing to the Office of State Budget and Management and to the Fiscal Research Division at least 30 days prior to taking that action. (2006-203, s. 3; 2007-323, s. 28.22A(o); 2007-345, s. 12; 2014-100, s. 6.5(a); 2015-264, s. 21.)

09 NCAC 03M .0205 Minimum Reporting Requirements for Recipients and Subrecipients.

(a) For the purposes of this Subchapter, there are three reporting levels established for recipients and subrecipients receiving State financial assistance. Reporting levels are based on the level of State financial assistance from all funding sources. The reporting levels are:

- (1) Level I – A recipient or subrecipient that receives, holds, uses, or expends State financial assistance in an amount less than twenty-five thousand dollars (\$25,000) within its fiscal year.
- (2) Level II - A recipient or subrecipient that receives, holds, uses, or expends State financial assistance in an amount of at least twenty-five thousand (\$25,000) or greater, but less than five hundred thousand dollars (\$500,000) within its fiscal year.

(3) Level III – A recipient or subrecipient that receives, holds, uses, or expends State financial assistance in an amount equal to or greater than five hundred thousand dollars (\$500,000) within its fiscal year.

(b) Agencies shall establish reporting requirements for recipients that meet the following reporting standards on an annual basis:

(1) All recipients and subrecipients shall provide a certification that State financial assistance received or, held was used for the purposes for which it was awarded.

(2) All recipients and subrecipients shall provide an accounting of all State financial assistance received, held, used, or expended.

(3) Level II and III recipients and subrecipients shall report on activities and accomplishments undertaken by the recipient, including reporting on any performance measures established in the contract.

(4) Level III recipients and subrecipients shall have a single or program-specific audit prepared and completed in accordance with Generally Accepted Government Auditing Standards, also known as the Yellow Book.

(c) All reports shall be filed with the disbursing agency in the format and method specified by the agency no later than three months after the end of the recipient's fiscal year, unless the same information is already required through more frequent reporting. Audits must be provided to the funding agency no later than nine months after the end of the recipient's fiscal year.

(d) Agency-established reporting requirements to meet the standards set forth in Paragraph (b) of this Rule shall be specified in each recipient's contract.

(e) Unless prohibited by law, the costs of audits made in accordance with the provisions of this Rule shall be allowable charges to State and Federal awards. The charges may be considered a direct cost or an allocated indirect cost, as determined in accordance with cost principles outlined in the Code of Federal Regulations, 2 CFR Part 200. The cost of any audit not conducted in accordance with this Subchapter shall not be charged to State awards.

(f) Notwithstanding the provisions of this Subchapter, a recipient may satisfy the reporting requirements of Subparagraph (b)(4) of this Rule by submitting a copy of the report required under federal law with respect to the same funds.

QUARTERLY STATUS REPORT

Please use this reporting template for each of the quarterly reports

Recipient Name:	North Carolina Coalition to End Homelessness
Recipient Tax ID #	56-2227722
Project/Activity Title:	Directed Grant
Reporting Period (Quarter):	April 1, 2025 - June 30, 2025
Report Completion Date:	September 15, 2025
Preparer of This Report:	Latonya L. Agard

Were grant funds expended during this reporting period? ☒ Yes ☐ No

Do you certify that all expenses to date have been submitted for this grant? ☒ Yes ☐ No

Do you certify that all funds to date were used for the purposes for which they were awarded and in compliance with your contract? ☒ Yes ☐ No

1. Provide a brief report of activities and accomplishments related to your Grant Project during this reporting period.

During this reporting period, NCCEH has continued to work with partners across the state to support and advocate for people experiencing homelessness. A significant aspect of that work involves our ability to remain focused, value-aligned, and strategic. Through its support for overhead and operating costs, the Directed Grant supported our work to be a leading voice in solidarity with people experiencing homelessness and the organizations that serve them.

Executing the strategic plan during this reporting period included (1) submitting the PIT/HIC to HUD by the deadline, (2) directly engaging elected officials to educate and advise them on homelessness and housing first as a successful, evidence-based solution, (3) hiring new staff and adjusting workplans due to turnover, (4) participating in Housing Day with NC Housing Coalition and Habitat for Humanity, (4) hosting Local Leadership Response Calls, (5) Executive Director's site visit and listening session in the Gaston-Lincoln-Cleveland CoC, (6) supporting the Triangle Habitat Young Professionals/UNC Habitat Annual Fundraiser, and (7) publication of our quarterly newsletter, (8) hosting a "Know Your Rights" Webinar in partnership with ACLU of NC, (9) work with HUD TA to revise NC BoS CoC Governance, (10) weekly strategy meetings to support local and statewide advocacy, and hosting the annual Bringing It Home Conference with DHHS and the NC Housing Coalition.

2. Provide an update on the objectives, as outlined in your Scope of Work, of the grant project. Have you accomplished any of your objectives?

One objective in the Scope of Work is to increase funding, enhance technical assistance, and improve training for agencies providing direct services to clients experiencing homelessness. Through enhanced training and technical assistance, NCCEH has improved the overall submission rate of Data Quality Reports to just above 80% and has improved street outreach by working more closely with direct providers. Additionally, in January, the BoS CoC received an increase in HUD funding from the FY24 competition, but those funds are currently in question due to the new priorities and executive orders of the Trump Administration. An additional accomplishment includes a new contract from Blue Cross Blue Shield of NC to continue supporting DV survivors through the Safe@Home Program. Our efforts to educate constituents and advocate alongside people experiencing homelessness resulted in two harmful bills dying in the NC Senate during the Spring session of the General Assembly.

3. Provide an update on your expected results, as outlined in your Scope of Work, of the Grant Project(s). Have you accomplished any of your expected results?

- The annual PIT/HIC reveals increases in need while NC and other states grapple with advocates opposed to Housing First.
- Statewide education, media engagement, and mobilizing our community yielded positive results, including increased participation on the LLR calls, weekly strategy sessions, and a renewed interest in statewide CoC Collaboration.

4. Provide an update on your performance measures, as outlined in your Scope of Work, for the Grant Project(s).

As stated above, the PIT/HIC reveal increasing levels of need among people experiencing unsheltered homelessness. This includes children, unaccompanied youth, families, and adults.

5. Provide a summary of expenditures during the reporting period (quarter).

<u>Expenditure Category</u>	<u>Amount of Expenditure</u>	<u>Amount of Expenditure</u>
	SFY 2023-2024	SFY 2024-2025
<i>Amount of Appropriation</i>		
Personnel/Contracted Services Expenses (e.g., program-related staffing)	\$	\$4541.55
Supplies and Materials Expenses (e.g., office supplies):	\$	\$19.98
Non-Fixed Operating Expenses (e.g., travel, utilities):	\$	\$505.73
Fixed Operating Expenses (e.g., office rent, dues and subscriptions):	\$	\$4116.63
Property and Equipment Expenses (e.g., buildings and improvements):	\$	\$
Services/Contracts Expenses (e.g., purchase of services, contracts with service providers):	\$	\$622.60
Other Expenses (Specify):	\$	\$
Total Expenses:	\$	\$9806.49

6. Provide a summary of year-to-date expenditures through the end of the current reporting period. The total expenditures on this table should equal the cumulative expenditures from all completed quarterly reports to date.

<u>Expenditure Category</u>	<u>Amount of Expenditure</u>	<u>Amount of Expenditure</u>
	SFY 2023-2024	SFY 2024-2025
<i>Amount of Appropriation</i>		
Personnel/Contracted Services Expenses (e.g., program-related staffing)	\$	\$102282.11
Supplies and Materials Expenses (e.g., office supplies):	\$	\$34.99
Non-Fixed Operating Expenses (e.g., travel, utilities):	\$	\$6619.37
Fixed Operating Expenses (e.g., office rent, dues and subscriptions):	\$	\$25230.79
Property and Equipment Expenses (e.g., buildings and improvements):	\$	\$2154.25
Services/Contracts Expenses (e.g., purchase of services, contracts with service providers):	\$	\$15059.04
Other Expenses (Specify):	\$	\$991.83
Total Expenses:	\$	\$152372.38

If there are any questions, please contact the Contract Administrator.

ATTACHMENT D

REPORTING REQUIREMENTS & TEMPLATES

Reporting Requirements: This is a subaward for financial assistance. Financial Assistance Contracts are subject to the Uniform Administration of State Awards, Oversight and Reporting Requirements for recipient and subrecipients described in [N.C. General Statute § 143C-6-23\(d\)](#) and in [09 NCAC 03M.205](#).

A non-governmental grantee who receives a combined \$500,000 or more funds from all state agencies must continue to submit a single or program-specific audit prepared and completed in accordance with Generally Accepted Government Auditing Standards, also known as a Yellow Book audit, to Risk Mitigation and Audit Monitoring at NCGrantsReporting@dhhs.nc.gov within 9 months of the grantee's fiscal year end.

DHHS encourages all of its non-governmental grantees that receive funds from other state agencies or DHHS divisions to contact their assigned contract monitor(s) to determine if year-end reports must be submitted for those particular grants/awards.

North Carolina State agencies are exempt from the reporting requirements of this section. Local governments that have reporting requirements for the Local Government Commission are exempt. All others are not exempt and must adhere to the reporting requirements of this section.

(1) Quarterly Reports: see template in this attachment.

(2) Reporting Timeframes and Due Dates:

Reporting Period	Due Date
July 1, 2023 – December 31, 2023	January 15, 2024
January 1, 2024 – March 31, 2024	April 15, 2024
April 1, 2024 – June 30, 2024	July 15, 2024
July 1, 2024 - September 30, 2024	October 15, 2024
October 1, 2024 – December 31, 2024	January 15, 2025
January 1, 2025 – March 31, 2025	April 15, 2025
April 1, 2025 – June 30, 2025	July 15, 2025
July 1, 2025 - September 30, 2025	October 15, 2025
October 1, 2025 – December 31, 2025	January 15, 2026
January 1, 2026 – March 31, 2026	April 15, 2026
April 1, 2026 – June 30, 2026	July 15, 2026

N.C. General Statute § 143C-6-21-23. State Grant Funds: Administration; Oversight and Reporting Requirements.

§ 143C-6-21. Payments to nonprofits. Except as otherwise provided by law, an annual appropriation of one hundred thousand dollars (\$100,000) or less to or for the use of a nonprofit corporation may be made in a single annual payment, in the discretion of the Director of the Budget. An annual appropriation of more than one hundred thousand dollars (\$100,000) to or for the use of a nonprofit corporation shall be made in quarterly or monthly payments, in the discretion of the Director of the Budget. (2006-203, s. 3; 2013-360, s. 6.12(o).)

§ 143C-6-22. Use of State funds by non-State entities. (a) Disbursement and Use of State Funds. - Every non-State entity that receives, uses, or expends any State funds shall use or expend the funds only for the purposes for which they were appropriated by the General Assembly. State funds include federal funds that flow through the State Treasury. (b) Compliance by Non-State Entities. - If the Director of the Budget finds that a non-State entity has spent or encumbered State funds for an unauthorized purpose, or fails to submit or falsifies the information required by G.S. 143C-6-23 or any other provision of law, the Director shall take appropriate administrative action to ensure that no further irregularities or violations of law occur and shall report to the Attorney General any facts that pertain to an apparent violation of a criminal law or an apparent instance of malfeasance, misfeasance, or nonfeasance in connection with the use of State funds. Appropriate administrative action may include suspending or withholding the disbursement of State funds and recovering State funds previously disbursed. (c) Civil Actions. - Civil actions to recover State funds or to obtain other mandatory orders in the name of the State on relation of the Attorney General, or in the name of the Office of State Budget and Management, shall be filed in the General Court of Justice in Wake County. (2006-203, s. 3.)

§ 143C-6-23. State grant funds: administration; oversight and reporting requirements.

(a) Definitions. – The following definitions apply in this section:

- (1) Grant or grant funds. – State funds disbursed as a grant by a State agency; however, the terms do not include any payment made by the Medicaid program, the State Health Plan for Teachers and State Employees, or other similar medical programs.
- (2) Grantee. – A non-State entity that receives State funds as a grant from a State agency but does not include any non-State entity subject to the audit and other reporting requirements of the Local Government Commission.
- (3) Encumbrance. – A financial obligation created by a purchase order, contract, salary commitment, unearned or prepaid collections for services provided, or other legally binding agreement. A financial obligation is not an encumbrance for purposes of this section unless it (i) is in writing and has been signed by a person or entity who has authority to legally bind the grantee or subgrantee to spend the funds or (ii) was created by the provision of goods or services to the grantee or subgrantee by a third party under circumstances that create a legally binding obligation to pay for the goods or services.
- (4) Subgrantee. – A non-State entity that receives State funds as a grant from a grantee or from another subgrantee but does not include any non-State entity subject to the audit and other reporting requirements of the Local Government Commission.

(b) Conflict of Interest Policy. – Every grantee shall file with the State agency disbursing funds to the grantee a copy of that grantee's policy addressing conflicts of interest that may arise involving the grantee's management employees and the members of its board of directors or other governing body. The policy shall address situations in which any of these individuals may directly or indirectly benefit, except as the grantee's employees or members of its board or other governing body, from the grantee's disbursing of State funds, and shall include actions to be taken by the grantee or the individual, or both, to avoid conflicts of interest and the appearance of impropriety. The policy shall be filed before the disbursing State agency may disburse the grant funds.

(c) No Overdue Tax Debts. – Every grantee shall file with the State agency or department disbursing funds to the grantee a written statement completed by that grantee's board of directors or other governing body stating that the grantee does not have any overdue tax debts, as defined by G.S. 105-243.1, at the federal, State, or local level. The written statement shall be made under oath and shall be filed before the disbursing State agency or department may disburse the grant funds. A person who makes a false statement in violation of this subsection is guilty of a criminal offense punishable as provided by G.S. 143C-10-1.

(d) Office of State Budget Rules Must Require Uniform Administration of State Grants. – The Office of State Budget and Management shall adopt rules to ensure the uniform administration of State grants by all

grantor State agencies and grantees or subgrantees. The Office of State Budget and Management shall consult with the Office of the State Auditor and the Attorney General in establishing the rules required by this subsection. The rules shall establish policies and procedures for disbursements of State grants and for State agency oversight, monitoring, and evaluation of grantees and subgrantees. The policies and procedures shall:

- (1) Ensure that the purpose and reporting requirements of each grant are specified to the grantee.
- (2) Ensure that grantees specify the purpose and reporting requirements for grants made to subgrantees.
- (3) Ensure that State funds are spent in accordance with the purposes for which they were granted.
- (4) Hold the grantees and subgrantees accountable for the legal and appropriate expenditure of grant funds.
- (5) Provide for adequate oversight and monitoring to prevent the misuse of grant funds. These policies shall require each grantee and subgrantee to ensure that, for accounting purposes, State funds and interest earned on those funds remain separate and apart from other funds in the possession or control of the grantee or subgrantee.
- (6) Establish mandatory periodic reporting requirements for grantees and subgrantees, including methods of reporting, to provide financial and program performance information. The mandatory periodic reporting requirements shall require grantees and subgrantees to file with the State Auditor copies of reports and statements that are filed with State agencies pursuant to this subsection. Compliance with the mandatory periodic reporting requirements of this subdivision shall not require grantees and subgrantees to file with the State Auditor the information described in subsections (b) and (c) of this section.
- (7) Require grantees and subgrantees to maintain reports, records, and other information to properly account for the expenditure of all grant funds and to make such reports, records, and other information available to the grantor State agency for oversight, monitoring, and evaluation purposes.
- (8) Require grantees and subgrantees to ensure that work papers in the possession of their auditors are available to the State Auditor for the purposes set out in subsection (i) of this section.
- (9) Require grantees to be responsible for managing and monitoring each project, program, or activity supported by grant funds and each subgrantee project, program, or activity supported by grant funds.
- (10) Provide procedures for the suspension of further disbursements or use of grant funds for noncompliance with these rules or other inappropriate use of the funds.
- (11) Provide procedures for use in appropriate circumstances for reinstatement of disbursements that have been suspended for noncompliance with these rules or other inappropriate use of grant funds.
- (12) Provide procedures for the recovery and return to the grantor State agency of unexpended grant funds from a grantee or subgrantee (i) in accordance with subsection (f1) of this section or (ii) in the event that the grantee or subgrantee is unable to fulfill the purposes of the grant for a reason not set forth in that subsection.

(d1) Required Grant Terms. – The terms of each grant shall include all of the following, which shall be deemed a part of the grant:

- (1) The limitation contained in G.S. 143C-6-8 concerning the availability of appropriated funds.
- (2) The relevant provisions of any legislation authorizing or governing the administration of the grant.
- (3) The terms of this section.

(e) Rules Are Subject to the Administrative Procedure Act. – Notwithstanding the provisions of G.S. 150B-2(8a)b. rules adopted pursuant to subsection (d) of this section are subject to the provisions of Chapter 150B of the General Statutes.

(f) Suspension and Recovery of Funds to Grant Recipients for Noncompliance. – The Office of State Budget and Management, after consultation with the administering State agency, shall have the power to suspend disbursement of grant funds to grantees or subgrantees, to prevent further use of grant funds already disbursed, and to recover grant funds already disbursed for noncompliance with rules adopted pursuant to subsection (d) of this section. If the grant funds are a pass-through of funds granted by an agency of the United States, then the Office of State Budget and Management must consult with the granting agency of the United States and the State agency that is the recipient of the pass-through funds prior to taking the actions authorized by this subsection.

(f1) Return of Grant Funds. – Except as otherwise required by federal law, a grantee or subgrantee shall return to the State all affected grant funds and interest earned on those funds if any of the following occurs:

- (1) The funds are in the possession or control of a grantee and are not expended, made subject to an encumbrance, or disbursed to a subgrantee by August 31 immediately following the fiscal year in which

the funds are appropriated by the General Assembly, or a different period set forth in the terms of the applicable appropriation or federal grant.

(2) The funds remain unexpended at the time that the grantee or subgrantee dissolves, ceases operations, or otherwise indicates that it does not intend to spend the funds.

(3) The Office of State Budget and Management seeks to recover the funds pursuant to subsection (f) of this section.

(f2) Use of Returned Grant Funds. – Encumbered funds returned to the State pursuant to subsection (f1) of this section by a grantee or subgrantee shall upon appropriation by the General Assembly be spent in accordance with the terms of the encumbrance. All other funds returned to the State by a grantee or subgrantee pursuant to subsection (f1) of this section shall be credited to the fund from which they were appropriated and shall remain unexpended and unencumbered until appropriated by the General Assembly. Nothing in this section shall be construed to authorize an expenditure pursuant to an unlawful encumbrance or in a manner that would violate the terms of the appropriation of the grant funds at issue.

(g) Audit Oversight. – The State Auditor has audit oversight, with respect to grant funds received by the grantee or subgrantee, pursuant to Article 5A of Chapter 147 of the General Statutes, of every grantee or subgrantee that receives, uses, or expends grant funds. A grantee or subgrantee must, upon request, furnish to the State Auditor for audit all books, records, and other information necessary for the State Auditor to account fully for the use and expenditure of grant funds received by the grantee or subgrantee. The grantee or subgrantee must furnish any additional financial or budgetary information requested by the State Auditor, including audit work papers in the possession of any auditor of a grantee or subgrantee directly related to the use and expenditure of grant funds.

(h) Report on Grant Recipients That Failed to Comply. – Not later than May 1, 2007, and by May 1 of every succeeding year, the Office of State Budget and Management shall report to the Joint Legislative Commission on Governmental Operations and the Fiscal Research Division on all grantees or subgrantees that failed to comply with this section with respect to grant funds received in the prior fiscal year.

(i) State Agencies to Submit Grant List to Auditor. – No later than October 1 of each year, each State agency shall submit a list to the State Auditor, in the format prescribed by the State Auditor, of every grantee to which the agency disbursed grant funds in the prior fiscal year. The list shall include the amount disbursed to each grantee and other information as required by the State Auditor to comply with the requirements of this section.

(j) Use of Interest Earned on Grant Funds. – Except as otherwise required by federal law or the terms of a federal grant, interest earned on grant funds after receipt of the funds by a grantee or subgrantee shall be credited to the grantee or subgrantee and shall be used for the same purposes for which the grant or subgrant was made.

(k) Reporting by Grantees and Subgrantees That Cease Operations. – A grantee or subgrantee that intends to dissolve or cease operations shall report that decision in writing to the Office of State Budget and Management and to the Fiscal Research Division at least 30 days prior to taking that action. (2006-203, s. 3; 2007-323, s. 28.22A(o); 2007-345, s. 12; 2014-100, s. 6.5(a); 2015-264, s. 21.)

09 NCAC 03M .0205 Minimum Reporting Requirements for Recipients and Subrecipients.

(a) For the purposes of this Subchapter, there are three reporting levels established for recipients and subrecipients receiving State financial assistance. Reporting levels are based on the level of State financial assistance from all funding sources. The reporting levels are:

(1) Level I – A recipient or subrecipient that receives, holds, uses, or expends State financial assistance in an amount less than twenty-five thousand dollars (\$25,000) within its fiscal year.

(2) Level II - A recipient or subrecipient that receives, holds, uses, or expends State financial assistance in an amount of at least twenty-five thousand (\$25,000) or greater, but less than five hundred thousand dollars (\$500,000) within its fiscal year.

(3) Level III – A recipient or subrecipient that receives, holds, uses, or expends State financial assistance in an amount equal to or greater than five hundred thousand dollars (\$500,000) within its fiscal year.

(b) Agencies shall establish reporting requirements for recipients that meet the following reporting standards on an annual basis:

(1) All recipients and subrecipients shall provide a certification that State financial assistance received or, held was used for the purposes for which it was awarded.

(2) All recipients and subrecipients shall provide an accounting of all State financial assistance received, held, used, or expended.

(3) Level II and III recipients and subrecipients shall report on activities and accomplishments undertaken by the recipient, including reporting on any performance measures established in the contract.

(4) Level III recipients and subrecipients shall have a single or program-specific audit prepared and completed in accordance with Generally Accepted Government Auditing Standards, also known as the Yellow Book.

(c) All reports shall be filed with the disbursing agency in the format and method specified by the agency no later than three months after the end of the recipient's fiscal year, unless the same information is already required through more frequent reporting. Audits must be provided to the funding agency no later than nine months after the end of the recipient's fiscal year.

(d) Agency-established reporting requirements to meet the standards set forth in Paragraph (b) of this Rule shall be specified in each recipient's contract.

(e) Unless prohibited by law, the costs of audits made in accordance with the provisions of this Rule shall be allowable charges to State and Federal awards. The charges may be considered a direct cost or an allocated indirect cost, as determined in accordance with cost principles outlined in the Code of Federal Regulations, 2 CFR Part 200. The cost of any audit not conducted in accordance with this Subchapter shall not be charged to State awards.

(f) Notwithstanding the provisions of this Subchapter, a recipient may satisfy the reporting requirements of Subparagraph (b)(4) of this Rule by submitting a copy of the report required under federal law with respect to the same funds.

QUARTERLY STATUS REPORT

Please use this reporting template for each of the quarterly reports

Recipient Name:	North Carolina Housing Coalition
Recipient Tax ID #	58-1798953
Project/Activity Title:	Bringing It Home: Ending Homelessness in NC Conference
Reporting Period (Quarter):	Quarter 1 (July 1-September 30, 2024)
Report Completion Date:	
Preparer of This Report:	Haley Solomon

Were grant funds expended during this reporting period? ☒ Yes ☐ No

Do you certify that all expenses to date have been submitted for this grant? ☐ Yes ☒ No (still working on September finances)

Do you certify that all funds to date were used for the purposes for which they were awarded and in compliance with your contract? ☒ Yes ☐ No

1. Provide a brief report of activities and accomplishments related to your Grant Project during this reporting period.

During this reporting period, the North Carolina Housing Coalition convened the Bringing It Home: Ending Homelessness in NC planning committee to review the results of the 2024 conference and begin the planning process for the 2025 conference. The planning team met on July 10 to debrief on the June 4-5 conference. The team reviewed the post-conference survey results filled out by more than 100 of the conference's 450 attendees and discussed improvements for future conferences. On August 21, the planning team convened again for a 2025 conference planning kick-off, which included detailed discussions on expanding our audience statewide, including CoCs from across the state in the planning process, and selecting the most impactful topics for next year's conference. The planning team met again in September and will meet monthly to continue the planning process for Bringing It Home 2025.

2. Provide an update on the objectives, as outlined in your Scope of Work, of the grant project. Have you accomplished any of your objectives?

We are on schedule to execute a successful 2025 conference, with objective of strengthening organizational and service delivery capacity within the homeless and housing services arena throughout North Carolina. We are in the process of selecting high impact speakers and session topics to achieve this objective.

3. Provide an update on your expected results, as outlined in your Scope of Work, of the Grant Project(s). Have you accomplished any of your expected results?

Based on attendance at the 2024 conference, we now anticipate between 400-450 will participate in the 2025 conference.

4. Provide an update on your performance measures, as outlined in your Scope of Work, for the Grant Project(s).

We will have our standard performance measures in place following the completion of the 2025 conference.

5. Provide a summary of expenditures during the reporting period (quarter).

<u>Expenditure Category</u>	<u>Amount of Expenditure</u>	<u>Amount of Expenditure</u>
	SFY 2023-2024	SFY 2024-2025
<i>Amount of Appropriation</i>		
Personnel/Contracted Services Expenses (e.g., program-related staffing)	\$	\$9763.8
		\$
		\$
		\$251.76
		\$
		\$
		\$
		\$10015.56
Supplies and Materials Expenses (e.g., office supplies):	\$	\$
Non-Fixed Operating Expenses (e.g., travel, utilities):	\$	\$
Fixed Operating Expenses (e.g., office rent, dues and subscriptions):	\$	\$251.76
Property and Equipment Expenses (e.g., buildings and improvements):	\$	\$
Services/Contracts Expenses (e.g., purchase of services, contracts with service providers):	\$	\$
Other Expenses (Specify):	\$	\$
Total Expenses:	\$	\$10015.56

6. Provide a summary of year-to-date expenditures through the end of the current reporting period. The total expenditures on this table should equal the cumulative expenditures from all completed quarterly reports to date.

<u>Expenditure Category</u>	<u>Amount of Expenditure</u>	<u>Amount of Expenditure</u>
	SFY 2023-2024	SFY 2024-2025
<i>Amount of Appropriation</i>		
Personnel/Contracted Services Expenses (e.g., program-related staffing)	\$	\$9763.8
Supplies and Materials Expenses (e.g., office supplies):	\$	\$
Non-Fixed Operating Expenses (e.g., travel, utilities):	\$	\$
Fixed Operating Expenses (e.g., office rent, dues and subscriptions):	\$	\$251.76
Property and Equipment Expenses (e.g., buildings and improvements):	\$	\$
Services/Contracts Expenses (e.g., purchase of services, contracts with service providers):	\$	\$
Other Expenses (Specify):	\$	\$
Total Expenses:	\$	\$10015.56

If there are any questions, please contact the Contract Administrator.

ATTACHMENT D

REPORTING REQUIREMENTS & TEMPLATES

Reporting Requirements: This is a subaward for financial assistance. Financial Assistance Contracts are subject to the Uniform Administration of State Awards, Oversight and Reporting Requirements for recipient and subrecipients described in [N.C. General Statute § 143C-6-23\(d\)](#) and in [09 NCAC 03M.205](#).

A non-governmental grantee who receives a combined \$500,000 or more funds from all state agencies must continue to submit a single or program-specific audit prepared and completed in accordance with Generally Accepted Government Auditing Standards, also known as a Yellow Book audit, to Risk Mitigation and Audit Monitoring at NCGrantsReporting@dhhs.nc.gov within 9 months of the grantee's fiscal year end.

DHHS encourages all of its non-governmental grantees that receive funds from other state agencies or DHHS divisions to contact their assigned contract monitor(s) to determine if year-end reports must be submitted for those particular grants/awards.

North Carolina State agencies are exempt from the reporting requirements of this section. Local governments that have reporting requirements for the Local Government Commission are exempt. All others are not exempt and must adhere to the reporting requirements of this section.

(1) Quarterly Reports: see template in this attachment.

(2) Reporting Timeframes and Due Dates:

Reporting Period	Due Date
July 1, 2023 – December 31, 2023	January 15, 2024
January 1, 2024 – March 31, 2024	April 15, 2024
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July 1, 2024 - September 30, 2024	October 15, 2024
October 1, 2024 – December 31, 2024	January 15, 2025
January 1, 2025 – March 31, 2025	April 15, 2025
April 1, 2025 – June 30, 2025	July 15, 2025
July 1, 2025 - September 30, 2025	October 15, 2025
October 1, 2025 – December 31, 2025	January 15, 2026
January 1, 2026 – March 31, 2026	April 15, 2026
April 1, 2026 – June 30, 2026	July 15, 2026

N.C. General Statute § 143C-6-21-23. State Grant Funds: Administration; Oversight and Reporting Requirements.

§ 143C-6-21. Payments to nonprofits. Except as otherwise provided by law, an annual appropriation of one hundred thousand dollars (\$100,000) or less to or for the use of a nonprofit corporation may be made in a single annual payment, in the discretion of the Director of the Budget. An annual appropriation of more than one hundred thousand dollars (\$100,000) to or for the use of a nonprofit corporation shall be made in quarterly or monthly payments, in the discretion of the Director of the Budget. (2006-203, s. 3; 2013-360, s. 6.12(o).)

§ 143C-6-22. Use of State funds by non-State entities. (a) Disbursement and Use of State Funds. - Every non-State entity that receives, uses, or expends any State funds shall use or expend the funds only for the purposes for which they were appropriated by the General Assembly. State funds include federal funds that flow through the State Treasury. (b) Compliance by Non-State Entities. - If the Director of the Budget finds that a non-State entity has spent or encumbered State funds for an unauthorized purpose, or fails to submit or falsifies the information required by G.S. 143C-6-23 or any other provision of law, the Director shall take appropriate administrative action to ensure that no further irregularities or violations of law occur and shall report to the Attorney General any facts that pertain to an apparent violation of a criminal law or an apparent instance of malfeasance, misfeasance, or nonfeasance in connection with the use of State funds. Appropriate administrative action may include suspending or withholding the disbursement of State funds and recovering State funds previously disbursed. (c) Civil Actions. - Civil actions to recover State funds or to obtain other mandatory orders in the name of the State on relation of the Attorney General, or in the name of the Office of State Budget and Management, shall be filed in the General Court of Justice in Wake County. (2006-203, s. 3.)

§ 143C-6-23. State grant funds: administration; oversight and reporting requirements.

(a) Definitions. – The following definitions apply in this section:

- (1) Grant or grant funds. – State funds disbursed as a grant by a State agency; however, the terms do not include any payment made by the Medicaid program, the State Health Plan for Teachers and State Employees, or other similar medical programs.
- (2) Grantee. – A non-State entity that receives State funds as a grant from a State agency but does not include any non-State entity subject to the audit and other reporting requirements of the Local Government Commission.
- (3) Encumbrance. – A financial obligation created by a purchase order, contract, salary commitment, unearned or prepaid collections for services provided, or other legally binding agreement. A financial obligation is not an encumbrance for purposes of this section unless it (i) is in writing and has been signed by a person or entity who has authority to legally bind the grantee or subgrantee to spend the funds or (ii) was created by the provision of goods or services to the grantee or subgrantee by a third party under circumstances that create a legally binding obligation to pay for the goods or services.
- (4) Subgrantee. – A non-State entity that receives State funds as a grant from a grantee or from another subgrantee but does not include any non-State entity subject to the audit and other reporting requirements of the Local Government Commission.

(b) Conflict of Interest Policy. – Every grantee shall file with the State agency disbursing funds to the grantee a copy of that grantee's policy addressing conflicts of interest that may arise involving the grantee's management employees and the members of its board of directors or other governing body. The policy shall address situations in which any of these individuals may directly or indirectly benefit, except as the grantee's employees or members of its board or other governing body, from the grantee's disbursing of State funds, and shall include actions to be taken by the grantee or the individual, or both, to avoid conflicts of interest and the appearance of impropriety. The policy shall be filed before the disbursing State agency may disburse the grant funds.

(c) No Overdue Tax Debts. – Every grantee shall file with the State agency or department disbursing funds to the grantee a written statement completed by that grantee's board of directors or other governing body stating that the grantee does not have any overdue tax debts, as defined by G.S. 105-243.1, at the federal, State, or local level. The written statement shall be made under oath and shall be filed before the disbursing State agency or department may disburse the grant funds. A person who makes a false statement in violation of this subsection is guilty of a criminal offense punishable as provided by G.S. 143C-10-1.

(d) Office of State Budget Rules Must Require Uniform Administration of State Grants. – The Office of State Budget and Management shall adopt rules to ensure the uniform administration of State grants by all

grantor State agencies and grantees or subgrantees. The Office of State Budget and Management shall consult with the Office of the State Auditor and the Attorney General in establishing the rules required by this subsection. The rules shall establish policies and procedures for disbursements of State grants and for State agency oversight, monitoring, and evaluation of grantees and subgrantees. The policies and procedures shall:

- (1) Ensure that the purpose and reporting requirements of each grant are specified to the grantee.
- (2) Ensure that grantees specify the purpose and reporting requirements for grants made to subgrantees.
- (3) Ensure that State funds are spent in accordance with the purposes for which they were granted.
- (4) Hold the grantees and subgrantees accountable for the legal and appropriate expenditure of grant funds.
- (5) Provide for adequate oversight and monitoring to prevent the misuse of grant funds. These policies shall require each grantee and subgrantee to ensure that, for accounting purposes, State funds and interest earned on those funds remain separate and apart from other funds in the possession or control of the grantee or subgrantee.
- (6) Establish mandatory periodic reporting requirements for grantees and subgrantees, including methods of reporting, to provide financial and program performance information. The mandatory periodic reporting requirements shall require grantees and subgrantees to file with the State Auditor copies of reports and statements that are filed with State agencies pursuant to this subsection. Compliance with the mandatory periodic reporting requirements of this subdivision shall not require grantees and subgrantees to file with the State Auditor the information described in subsections (b) and (c) of this section.
- (7) Require grantees and subgrantees to maintain reports, records, and other information to properly account for the expenditure of all grant funds and to make such reports, records, and other information available to the grantor State agency for oversight, monitoring, and evaluation purposes.
- (8) Require grantees and subgrantees to ensure that work papers in the possession of their auditors are available to the State Auditor for the purposes set out in subsection (i) of this section.
- (9) Require grantees to be responsible for managing and monitoring each project, program, or activity supported by grant funds and each subgrantee project, program, or activity supported by grant funds.
- (10) Provide procedures for the suspension of further disbursements or use of grant funds for noncompliance with these rules or other inappropriate use of the funds.
- (11) Provide procedures for use in appropriate circumstances for reinstatement of disbursements that have been suspended for noncompliance with these rules or other inappropriate use of grant funds.
- (12) Provide procedures for the recovery and return to the grantor State agency of unexpended grant funds from a grantee or subgrantee (i) in accordance with subsection (f1) of this section or (ii) in the event that the grantee or subgrantee is unable to fulfill the purposes of the grant for a reason not set forth in that subsection.

(d1) Required Grant Terms. – The terms of each grant shall include all of the following, which shall be deemed a part of the grant:

- (1) The limitation contained in G.S. 143C-6-8 concerning the availability of appropriated funds.
- (2) The relevant provisions of any legislation authorizing or governing the administration of the grant.
- (3) The terms of this section.

(e) Rules Are Subject to the Administrative Procedure Act. – Notwithstanding the provisions of G.S. 150B-2(8a)b. rules adopted pursuant to subsection (d) of this section are subject to the provisions of Chapter 150B of the General Statutes.

(f) Suspension and Recovery of Funds to Grant Recipients for Noncompliance. – The Office of State Budget and Management, after consultation with the administering State agency, shall have the power to suspend disbursement of grant funds to grantees or subgrantees, to prevent further use of grant funds already disbursed, and to recover grant funds already disbursed for noncompliance with rules adopted pursuant to subsection (d) of this section. If the grant funds are a pass-through of funds granted by an agency of the United States, then the Office of State Budget and Management must consult with the granting agency of the United States and the State agency that is the recipient of the pass-through funds prior to taking the actions authorized by this subsection.

(f1) Return of Grant Funds. – Except as otherwise required by federal law, a grantee or subgrantee shall return to the State all affected grant funds and interest earned on those funds if any of the following occurs:

- (1) The funds are in the possession or control of a grantee and are not expended, made subject to an encumbrance, or disbursed to a subgrantee by August 31 immediately following the fiscal year in which

the funds are appropriated by the General Assembly, or a different period set forth in the terms of the applicable appropriation or federal grant.

(2) The funds remain unexpended at the time that the grantee or subgrantee dissolves, ceases operations, or otherwise indicates that it does not intend to spend the funds.

(3) The Office of State Budget and Management seeks to recover the funds pursuant to subsection (f) of this section.

(f2) Use of Returned Grant Funds. – Encumbered funds returned to the State pursuant to subsection (f1) of this section by a grantee or subgrantee shall upon appropriation by the General Assembly be spent in accordance with the terms of the encumbrance. All other funds returned to the State by a grantee or subgrantee pursuant to subsection (f1) of this section shall be credited to the fund from which they were appropriated and shall remain unexpended and unencumbered until appropriated by the General Assembly. Nothing in this section shall be construed to authorize an expenditure pursuant to an unlawful encumbrance or in a manner that would violate the terms of the appropriation of the grant funds at issue.

(g) Audit Oversight. – The State Auditor has audit oversight, with respect to grant funds received by the grantee or subgrantee, pursuant to Article 5A of Chapter 147 of the General Statutes, of every grantee or subgrantee that receives, uses, or expends grant funds. A grantee or subgrantee must, upon request, furnish to the State Auditor for audit all books, records, and other information necessary for the State Auditor to account fully for the use and expenditure of grant funds received by the grantee or subgrantee. The grantee or subgrantee must furnish any additional financial or budgetary information requested by the State Auditor, including audit work papers in the possession of any auditor of a grantee or subgrantee directly related to the use and expenditure of grant funds.

(h) Report on Grant Recipients That Failed to Comply. – Not later than May 1, 2007, and by May 1 of every succeeding year, the Office of State Budget and Management shall report to the Joint Legislative Commission on Governmental Operations and the Fiscal Research Division on all grantees or subgrantees that failed to comply with this section with respect to grant funds received in the prior fiscal year.

(i) State Agencies to Submit Grant List to Auditor. – No later than October 1 of each year, each State agency shall submit a list to the State Auditor, in the format prescribed by the State Auditor, of every grantee to which the agency disbursed grant funds in the prior fiscal year. The list shall include the amount disbursed to each grantee and other information as required by the State Auditor to comply with the requirements of this section.

(j) Use of Interest Earned on Grant Funds. – Except as otherwise required by federal law or the terms of a federal grant, interest earned on grant funds after receipt of the funds by a grantee or subgrantee shall be credited to the grantee or subgrantee and shall be used for the same purposes for which the grant or subgrant was made.

(k) Reporting by Grantees and Subgrantees That Cease Operations. – A grantee or subgrantee that intends to dissolve or cease operations shall report that decision in writing to the Office of State Budget and Management and to the Fiscal Research Division at least 30 days prior to taking that action. (2006-203, s. 3; 2007-323, s. 28.22A(o); 2007-345, s. 12; 2014-100, s. 6.5(a); 2015-264, s. 21.)

09 NCAC 03M .0205 Minimum Reporting Requirements for Recipients and Subrecipients.

(a) For the purposes of this Subchapter, there are three reporting levels established for recipients and subrecipients receiving State financial assistance. Reporting levels are based on the level of State financial assistance from all funding sources. The reporting levels are:

(1) Level I – A recipient or subrecipient that receives, holds, uses, or expends State financial assistance in an amount less than twenty-five thousand dollars (\$25,000) within its fiscal year.

(2) Level II - A recipient or subrecipient that receives, holds, uses, or expends State financial assistance in an amount of at least twenty-five thousand (\$25,000) or greater, but less than five hundred thousand dollars (\$500,000) within its fiscal year.

(3) Level III – A recipient or subrecipient that receives, holds, uses, or expends State financial assistance in an amount equal to or greater than five hundred thousand dollars (\$500,000) within its fiscal year.

(b) Agencies shall establish reporting requirements for recipients that meet the following reporting standards on an annual basis:

(1) All recipients and subrecipients shall provide a certification that State financial assistance received or, held was used for the purposes for which it was awarded.

(2) All recipients and subrecipients shall provide an accounting of all State financial assistance received, held, used, or expended.

(3) Level II and III recipients and subrecipients shall report on activities and accomplishments undertaken by the recipient, including reporting on any performance measures established in the contract.

(4) Level III recipients and subrecipients shall have a single or program-specific audit prepared and completed in accordance with Generally Accepted Government Auditing Standards, also known as the Yellow Book.

(c) All reports shall be filed with the disbursing agency in the format and method specified by the agency no later than three months after the end of the recipient's fiscal year, unless the same information is already required through more frequent reporting. Audits must be provided to the funding agency no later than nine months after the end of the recipient's fiscal year.

(d) Agency-established reporting requirements to meet the standards set forth in Paragraph (b) of this Rule shall be specified in each recipient's contract.

(e) Unless prohibited by law, the costs of audits made in accordance with the provisions of this Rule shall be allowable charges to State and Federal awards. The charges may be considered a direct cost or an allocated indirect cost, as determined in accordance with cost principles outlined in the Code of Federal Regulations, 2 CFR Part 200. The cost of any audit not conducted in accordance with this Subchapter shall not be charged to State awards.

(f) Notwithstanding the provisions of this Subchapter, a recipient may satisfy the reporting requirements of Subparagraph (b)(4) of this Rule by submitting a copy of the report required under federal law with respect to the same funds.

QUARTERLY STATUS REPORT

Please use this reporting template for each of the quarterly reports

Recipient Name:	North Carolina Housing Coalition, Inc.
Recipient Tax ID #	58-179893
Project/Activity Title:	Bringing It Home: Ending Homelessness in NC
Reporting Period (Quarter):	Quarter 2 2025
Report Completion Date:	7/15/25
Preparer of This Report:	Haley Solomon

Were grant funds expended during this reporting period? ☒ Yes ☐ No

Do you certify that all expenses to date have been submitted for this grant? ☒ Yes ☐ No

Do you certify that all funds to date were used for the purposes for which they were awarded and in compliance with your contract? ☒ Yes ☐ No

1. Provide a brief report of activities and accomplishments related to your Grant Project during this reporting period.

Bringing It Home: Ending Homelessness in NC 2025 took place on May 15-16 at the McKimmon Center in Raleigh. 418 homelessness services professionals, people with lived experience, and allies attended the conference in person, and an additional 78 people participated in the conference virtually. The two-day conference included an address from NC Governor Josh Stein and NCDHHS Secretary Dr. Devdutta Sangvai, a lived expertise panel discussion, and more than 20 breakout sessions on a variety of homelessness services topics.

2. Provide an update on the objectives, as outlined in your Scope of Work, of the grant project. Have you accomplished any of your objectives?

We have successfully completed our objective to hold two Bringing It Home: Ending Homelessness in NC conferences in 2024 and 2025. Each of these conferences successfully included sessions on key homelessness services topics led by state and national experts, panel discussions led by people with lived experience, and networking opportunities for professionals from across the state. The 2024 and 2025 conferences provided up-to-date information on best practices and innovative solutions that will strengthen the organizational and service delivery capacity of the attending organizations.

3. Provide an update on your expected results, as outlined in your Scope of Work, of the Grant Project(s). Have you accomplished any of your expected results?

We expected that at least 400 individuals from homelessness service organizations across the state would participate in each conference. The 2025 conference had 496 registered attendees, including 418 in-person attendees and 78 virtual attendees.

4. Provide an update on your performance measures, as outlined in your Scope of Work, for the Grant Project(s).

To measure the success of our conference objectives, we provided a post conference survey to our 2025 attendees to evaluate the quality of the conference and its effectiveness in improving their work. Out of 496 attendees, 160 responded to the 2025 post-survey. The conference received majority positive reviews, with 91% of survey respondents agreeing or strongly agreeing that they would recommend the conference to others. 90% of respondents agreed or strongly agreed that the conference was useful to them professionally, and 76% agreed or strongly agreed that the breakout sessions they attended would help them perform their job more effectively.

5. Provide a summary of expenditures during the reporting period (quarter).

<u>Expenditure Category</u>	<u>Amount of Expenditure</u>	<u>Amount of Expenditure</u>
	SFY 2023-2024	SFY 2024-2025
<i>Amount of Appropriation</i>		
Personnel/Contracted Services Expenses (e.g., program-related staffing)	\$	\$62,551.00
Supplies and Materials Expenses (e.g., office supplies):	\$	\$
Non-Fixed Operating Expenses (e.g., travel, utilities):	\$	\$
Fixed Operating Expenses (e.g., office rent, dues and subscriptions):	\$	\$7,972.60
Property and Equipment Expenses (e.g., buildings and improvements):	\$	\$
Services/Contracts Expenses (e.g., purchase of services, contracts with service providers):	\$	\$
Other Expenses (Specify):	\$	\$
Total Expenses:	\$	\$70,523.60

6. Provide a summary of year-to-date expenditures through the end of the current reporting period. The total expenditures on this table should equal the cumulative expenditures from all completed quarterly reports to date.

<u>Expenditure Category</u>	<u>Amount of Expenditure</u>	<u>Amount of Expenditure</u>
	SFY 2023-2024	SFY 2024-2025
<i>Amount of Appropriation</i>		
Personnel/Contracted Services Expenses (e.g., program-related staffing)	\$	\$87,012.25
Supplies and Materials Expenses (e.g., office supplies):	\$	\$
Non-Fixed Operating Expenses (e.g., travel, utilities):	\$	\$
Fixed Operating Expenses (e.g., office rent, dues and subscriptions):	\$	\$12,987.75
Property and Equipment Expenses (e.g., buildings and improvements):	\$	\$
Services/Contracts Expenses (e.g., purchase of services, contracts with service providers):	\$	\$
Other Expenses (Specify):	\$	\$
Total Expenses:	\$	\$100,000.00

If there are any questions, please contact the Contract Administrator.

ATTACHMENT D REPORTING REQUIREMENTS & TEMPLATES

Reporting Requirements: This is a subaward for financial assistance. Financial Assistance Contracts are subject to the Uniform Administration of State Awards, Oversight and Reporting Requirements for recipient and subrecipients described in N.C. General Statute § 143C-6-23(d) and in 09 NCAC 03M.205.

A non-governmental grantee who receives a combined \$500,000 or more funds from all state agencies must continue to submit a single or program-specific audit prepared and completed in accordance with Generally Accepted Government Auditing Standards, also known as a Yellow Book audit, to Risk Mitigation and Audit Monitoring at NCGrantsReporting@dhhs.nc.gov within 9 months of the grantee's fiscal year end.

DHHS encourages all of its non-governmental grantees that receive funds from other state agencies or DHHS divisions to contact their assigned contract monitor(s) to determine if year-end reports must be submitted for those particular grants/awards.

North Carolina State agencies are exempt from the reporting requirements of this section. Local governments that have reporting requirements for the Local Government Commission are exempt. All others are not exempt and must adhere to the reporting requirements of this section.

(1) Quarterly Reports: see template in this attachment.

(2) Reporting Timeframes and Due Dates:

Reporting Period	Due Date
July 1, 2023 - December 31, 2023	January 15, 2024
January 1, 2024 - March 31, 2024	April 15, 2024
April 1, 2024 - June 30, 2024	July 15, 2024
July 1, 2024 - September 30, 2024	October 15, 2024
October 1, 2024 - December 31, 2024	January 15, 2025
January 1, 2025 - March 31, 2025	April 15, 2025
April 1, 2025 - June 30, 2025	July 15, 2025
July 1, 2025 - September 30, 2025	October 15, 2025
October 1, 2025 - December 31, 2025	January 15, 2026
January 1, 2026 - March 31, 2026	April 15, 2026
April 1, 2026 - June 30, 2026	July 15, 2026

N.C. General Statute § 143C-6-21-23. State Grant Funds: Administration; Oversight and Reporting Requirements.

§ 143C-6-21. Payments to nonprofits. Except as otherwise provided by law, an annual appropriation of one hundred thousand dollars (\$100,000) or less to or for the use of a nonprofit corporation may be made in a single annual payment, in the discretion of the Director of the Budget. An annual appropriation of more than one hundred thousand dollars (\$100,000) to or for the use of a nonprofit corporation shall be made in quarterly or monthly payments, in the discretion of the Director of the Budget. (2006-203, s. 3; 2013-360, s. 6.12(0).)

§ 143C-6-22. Use of State funds by non-State entities. (a) Disbursement and Use of State Funds. - Every non-State entity that receives, uses, or expends any State funds shall use or expend the funds only for the purposes for which they were appropriated by the General Assembly. State funds include federal funds that flow through the State Treasury. (b) Compliance by Non-State Entities. - If the Director of the Budget finds that a non-State entity has spent or encumbered State funds for an unauthorized purpose, or fails to submit or falsifies the information required by G.S. 143C-6-23 or any other provision of law, the Director shall take appropriate administrative action to ensure that no further irregularities or violations of law occur and shall report to the Attorney General any facts that pertain to an apparent violation of a criminal law or an apparent instance of malfeasance, misfeasance, or nonfeasance in connection with the use of State funds. Appropriate administrative action may include suspending or withholding the disbursement of State funds and recovering State funds previously disbursed. (c) Civil Actions. - Civil actions to recover State funds or to obtain other mandatory orders in the name of the State on relation of the Attorney General, or in the name of the Office of State Budget and Management, shall be filed in the General Court of Justice in Wake County. (2006-203, s. 3.)

§ 143C-6-23. State grant funds: administration; oversight and reporting requirements.

(a) Definitions. - The following definitions apply in this section:

(1) Grant or grant funds. - State funds disbursed as a grant by a State agency; however, the terms do not include any payment made by the Medicaid program, the State Health Plan for Teachers and State Employees, or other similar medical programs.

(2) Grantee. - A non-State entity that receives State funds as a grant from a State agency but does not include any non-State entity subject to the audit and other reporting requirements of the Local Government Commission.

(3) Encumbrance. - A financial obligation created by a purchase order, contract, salary commitment, unearned or prepaid collections for services provided, or other legally binding agreement. A financial obligation is not an encumbrance for purposes of this section unless it (i) is in writing and has been signed by a person or entity who has authority to legally bind the grantee or subgrantee to spend the funds or (ii) was created by the provision of goods or services to the grantee or subgrantee by a third party under circumstances that create a legally binding obligation to pay for the goods or services.

(4) Subgrantee. - A non-State entity that receives State funds as a grant from a grantee or from another subgrantee but does not include any non-State entity subject to the audit and other reporting requirements of the Local Government Commission.

(b) Conflict of Interest Policy. - Every grantee shall file with the State agency disbursing funds to the grantee a copy of that grantee's policy addressing conflicts of interest that may arise involving the grantee's management employees and the members of its board of directors or other governing body. The policy shall address situations in which any of these individuals may directly or indirectly benefit, except as the grantee's employees or members of its board or other governing body, from the grantee's disbursing of State funds, and shall include actions to be taken by the grantee or the individual, or both, to avoid conflicts of interest and the appearance of impropriety. The policy shall be filed before the disbursing State agency may disburse the grant funds.

(c) No Overdue Tax Debts. - Every grantee shall file with the State agency or department disbursing funds to the grantee a written statement completed by that grantee's board of directors or other governing body stating that the grantee does not have any overdue tax debts, as defined by G.S. 105-243.1, at the federal, State, or local level. The written statement shall be made under oath and shall be filed before the disbursing State agency or department may disburse the grant funds. A person who makes a false statement in violation of this subsection is guilty of a criminal offense punishable as provided by G.S. 143C-10-1.

(d) Office of State Budget Rules Must Require Uniform Administration of State Grants. - The Office of State Budget and Management shall adopt rules to ensure the uniform administration of State grants by all grantor State agencies and grantees or subgrantees. The Office of State Budget and Management shall consult with the Office of the State Auditor and the Attorney General in establishing the rules required by this subsection. The rules shall establish policies and procedures for disbursements of State grants and for State agency oversight, monitoring, and evaluation of grantees and subgrantees. The policies and procedures shall:

- (1) Ensure that the purpose and reporting requirements of each grant are specified to the grantee.
- (2) Ensure that grantees specify the purpose and reporting requirements for grants made to subgrantees.
- (3) Ensure that State funds are spent in accordance with the purposes for which they were granted.
- (4) Hold the grantees and subgrantees accountable for the legal and appropriate expenditure of grant funds.
- (5) Provide for adequate oversight and monitoring to prevent the misuse of grant funds. These policies shall require each grantee and subgrantee to ensure that, for accounting purposes, State funds and interest earned on those funds remain separate and apart from other funds in the possession or control of the grantee or subgrantee.
- (6) Establish mandatory periodic reporting requirements for grantees and subgrantees, including methods of reporting, to provide financial and program performance information. The mandatory periodic reporting requirements shall require grantees and subgrantees to file with the State Auditor copies of reports and statements that are filed with State agencies pursuant to this subsection. Compliance with the mandatory periodic reporting requirements of this subdivision shall not require grantees and subgrantees to file with the State Auditor the information described in subsections (b) and (c) of this section.
- (7) Require grantees and subgrantees to maintain reports, records, and other information to properly account for the expenditure of all grant funds and to make such reports, records, and other information available to the grantor State agency for oversight, monitoring, and evaluation purposes.
- (8) Require grantees and subgrantees to ensure that work papers in the possession of their auditors are available to the State Auditor for the purposes set out in subsection (i) of this section.
- (9) Require grantees to be responsible for managing and monitoring each project, program, or activity supported by grant funds and each subgrantee project, program, or activity supported by grant funds.
- (10) Provide procedures for the suspension of further disbursements or use of grant funds for noncompliance with these rules or other inappropriate use of the funds.
- (11) Provide procedures for use in appropriate circumstances for reinstatement of disbursements that have been suspended for noncompliance with these rules or other inappropriate use of grant funds.
- (12) Provide procedures for the recovery and return to the grantor State agency of unexpended grant funds from a grantee or subgrantee (i) in accordance with subsection (f1) of this section or (ii) in the event that the grantee or subgrantee is unable to fulfill the purposes of the grant for a reason not set forth in that subsection.

(d1) Required Grant Terms. - The terms of each grant shall include all of the following, which shall be deemed a part of the grant:

- (1) The limitation contained in G.S. 143C-6-8 concerning the availability of appropriated funds.
- (2) The relevant provisions of any legislation authorizing or governing the administration of the grant.
- (3) The terms of this section.

(e) Rules Are Subject to the Administrative Procedure Act. - Notwithstanding the provisions of G.S. 150B-2(8a)b. rules adopted pursuant to subsection (d) of this section are subject to the provisions of Chapter 150B of the General Statutes.

(f) Suspension and Recovery of Funds to Grant Recipients for Noncompliance. - The Office of State Budget and Management, after consultation with the administering State agency, shall have the power to suspend disbursement of grant funds to grantees or subgrantees, to prevent further use of grant funds already disbursed, and to recover grant funds already disbursed for noncompliance with rules adopted pursuant to subsection (d) of this section. If the grant funds are a pass-through of funds granted by an agency of the United States, then the Office of State Budget and Management must consult with the granting agency of the United States and the State agency that is the recipient of the pass-through funds prior to taking the actions authorized by this subsection.

(f1) Return of Grant Funds. - Except as otherwise required by federal law, a grantee or subgrantee shall return to the State all affected grant funds and interest earned on those funds if any of the following occurs:

- (1) The funds are in the possession or control of a grantee and are not expended, made subject to an encumbrance, or disbursed to a subgrantee by August 31 immediately following the fiscal year in which the funds are appropriated by the General Assembly, or a different period set forth in the terms of the applicable appropriation or federal grant.
- (2) The funds remain unexpended at the time that the grantee or subgrantee dissolves, ceases operations, or otherwise indicates that it does not intend to spend the funds.
- (3) The Office of State Budget and Management seeks to recover the funds pursuant to subsection (f) of this section.

(f2) Use of Returned Grant Funds. - Encumbered funds returned to the State pursuant to subsection (f1) of this section by a grantee or subgrantee shall upon appropriation by the General Assembly be spent in accordance with the terms of the encumbrance. All other funds returned to the State by a grantee or subgrantee pursuant to subsection (f1) of this section shall be credited to the fund from which they were appropriated and shall remain unexpended and unencumbered until appropriated by the General Assembly. Nothing in this section shall be construed to authorize an expenditure pursuant to an unlawful encumbrance or in a manner that would violate the terms of the appropriation of the grant funds at issue.

(g) Audit Oversight. - The State Auditor has audit oversight, with respect to grant funds received by the grantee or subgrantee, pursuant to Article SA of Chapter 147 of the General Statutes, of every grantee or subgrantee that receives, uses, or expends grant funds. A grantee or subgrantee must, upon request, furnish to the State Auditor for audit all books, records, and other information necessary for the State Auditor to account fully for the use and expenditure of grant funds received by the grantee or subgrantee. The grantee or subgrantee must furnish any additional financial or budgetary information requested by the State Auditor, including audit work papers in the possession of any auditor of a grantee or subgrantee directly related to the use and expenditure of grant funds.

(h) Report on Grant Recipients That Failed to Comply. - Not later than May 1, 2007, and by May 1 of every succeeding year, the Office of State Budget and Management shall report to the Joint Legislative Commission on Governmental Operations and the Fiscal Research Division on all grantees or subgrantees that failed to comply with this section with respect to grant funds received in the prior fiscal year.

(i) State Agencies to Submit Grant List to Auditor. - No later than October 1 of each year, each State agency shall submit a list to the State Auditor, in the format prescribed by the State Auditor, of every grantee to which the agency disbursed grant funds in the prior fiscal year. The list shall include the amount disbursed to each grantee and other information as required by the State Auditor to comply with the requirements of this section.

U) Use of Interest Earned on Grant Funds. - Except as otherwise required by federal law or the terms of a federal grant, interest earned on grant funds after receipt of the funds by a grantee or subgrantee shall be credited to the grantee or subgrantee and shall be used for the same purposes for which the grant or subgrant was made.

(k) Reporting by Grantees and Subgrantees That Cease Operations. - A grantee or subgrantee that intends to dissolve or cease operations shall report that decision in writing to the Office of State Budget and Management and to the Fiscal Research Division at least 30 days prior to taking that action. (2006-203, s. 3; 2007-323, s. 28.22A(o); 2007-345, s. 12; 2014-100, s. 6.5(a); 2015-264, s. 21.)

09 NCAC 03M .0205 Minimum Reporting Requirements for Recipients and Subrecipients.

(a) For the purposes of this Subchapter, there are three reporting levels established for recipients and subrecipients receiving State financial assistance. Reporting levels are based on the level of State financial assistance from all funding sources. The reporting levels are:

- (1) Level I - A recipient or subrecipient that receives, holds, uses, or expends State financial assistance in an amount less than twenty-five thousand dollars (\$25,000) within its fiscal year.
- (2) Level II - A recipient or subrecipient that receives, holds, uses, or expends State financial assistance in an amount of at least twenty-five thousand (\$25,000) or greater, but less than five hundred thousand dollars (\$500,000) within its fiscal year.

(3) Level III -A recipient or subrecipient that receives, holds, uses, or expends State financial assistance in an amount equal to or greater than five hundred thousand dollars (\$500,000) within its fiscal year.

(b) Agencies shall establish reporting requirements for recipients that meet the following reporting standards on an annual basis:

(1) All recipients and subrecipients shall provide a certification that State financial assistance received or, held was used for the purposes for which it was awarded.

(2) All recipients and subrecipients shall provide an accounting of all State financial assistance received, held, used, or expended.

(3) Level II and III recipients and subrecipients shall report on activities and accomplishments undertaken by the recipient, including reporting on any performance measures established in the contract.

(4) Level III recipients and subrecipients shall have a single or program-specific audit prepared and completed in accordance with Generally Accepted Government Auditing Standards, also known as the Yellow Book.

(c) All reports shall be filed with the disbursing agency in the format and method specified by the agency no later than three months after the end of the recipient's fiscal year, unless the same information is already required through more frequent reporting. Audits must be provided to the funding agency no later than nine months after the end of the recipient's fiscal year.

(d) Agency-established reporting requirements to meet the standards set forth in Paragraph (b) of this Rule shall be specified in each recipient's contract.

(e) Unless prohibited by law, the costs of audits made in accordance with the provisions of this Rule shall be allowable charges to State and Federal awards. The charges may be considered a direct cost or an allocated indirect cost, as determined in accordance with cost principles outlined in the Code of Federal Regulations, 2 CFR Part 200. The cost of any audit not conducted in accordance with this Subchapter shall not be charged to State awards.

(f) Notwithstanding the provisions of this Subchapter, a recipient may satisfy the reporting requirements of Subparagraph (b)(4) of this Rule by submitting a copy of the report required under federal law with respect to the same funds.

QUARTERLY STATUS REPORT
Please use this reporting template for each of the quarterly reports

Recipient Name:	North Carolina Senior Games, Inc.
Recipient Tax ID#	58-1584785
Project/Activity Title:	Senior Games Year-Round Programming
Reporting Period (Quarter):	FY 24-25 Q1 July, August, September 2024
Report Completion Date:	10-1-24
Preparer of This Report:	Brad Allen

Were grant funds expended during this reporting period? ☒ Yes ☐ No

Do you certify that all expenses to date have been submitted for this grant? ☒ Yes ☐ No

Do you certify that all funds to date were used for the purposes for which they were awarded and in compliance with your contract? ☒ Yes ☐ No

1. Provide a brief report of activities and accomplishments related to your Grant Project during this reporting period. -Conducted the largest Senior Games State Finals in our 40-year history, with over 3,600 participants; - Held the largest State Finals single event in history, with 916 Pickleball players; - Engaged over 1,000 volunteers • Received nearly \$70,000 in in-kind revenue/resources
2. Provide an update on the objectives, as outlined in your Scope of Work, of the grant project. Have you accomplished any of your objectives? -Outreach to diverse and rural communities continues; - NCSG continues to provide service to all 100 counties in North Carolina

3. Provide an update on your expected results, as outlined in your Scope of Work, of the Grant Project(s). Have you accomplished any of your expected results?

- With the largest overall participation at State Finals and the largest event in Senior Games history, metrics continue to show greater results than expected;
- For the past program year, there were over 17,000 year round participants

4. Provide an update on your performance measures, as outlined in your Scope of Work, for the Grant Project(s).

- Participation is still returning to pre-pandemic levels and NCSG will serve as a health promotion catalyst for older people in the wake of the hurricane devastation in Western NC;
- NCSG has been very active in the work of the "All Ages, All Stages" initiative to reinforce the importance of wellness and health promotion for older persons.

5. Provide a summary of expenditures during the reporting period (quarter).

<u>Expenditure Category</u>	Amount of	Amount of
	Exoenditure	Exoenditure
	SFY 2023-2024	SFY 2024-2025
<i>Amount of Annrooration</i>		
Personnel/Contracted Services Expenses (e.g., program-related staffing)	\$250,000	\$62,500
Suoolies and Materials Expenses (e.g., office supplies):	\$	\$
Non-Fixed OperatinQ Exoenses (e.Q., travel, utilities):	\$	\$
Fixed Operating Expenses (e.g., office rent, dues and subscriptions):	\$	\$
Property and Equipment Expenses (e.g., buildings and improvements):	\$	\$
Services/Contracts Expenses (e.g., purchase of services, contracts with service providers):	\$	\$
Other Expenses (Specify):	\$	\$
Total Expenses:	\$250,000	\$62,500

6 . Provide a summary of year-to-date expenditures through the end of the current reporting period. The total expenditures on this table should equal the cumulative expenditures from all completed quarterly reports to date.

<u>Expenditure Category</u>	<u>Amount of Expenditure</u>	<u>Amount of Expenditure</u>
	SFY 2023-2024	SFY 2024-2025
<i>Amount of Appropriation</i>		
Personnel/Contracted Services Expenses (e.g., program-related staff)	\$250,000	\$62,500
Supplies and Materials Expenses (e.g., office supplies):	\$	\$
Non-Fixed Operating Expenses (e.g., travel, utilities):	\$	\$
Fixed Operating Expenses (e.g., office rent, dues and subscriptions):	\$	\$
Property and Equipment Expenses (e.g., buildings and improvements):	\$	\$
Services/Contracts Expenses (e.g., purchase of services, contracts with service providers):	\$	\$
Other Expenses (Specify):	\$	\$
Total Expenses:	\$250,000	\$62,500

If there are any questions, please contact the Contract Administrator.

QUARTERLY STATUS REPORT

Please use this reporting template for each of the quarterly reports

Recipient Name:	ABC of NC Child Development Center
Recipient Tax ID #	30-0111894
Project/Activity Title:	Autism Early Intervention Model Program
Reporting Period (Quarter):	1 st quarter
Report Completion Date:	10/14/2024
Preparer of This Report:	Selene Johnson and Angela Pesenti

Were grant funds expended during this reporting period? ☒ Yes ☐ No

Do you certify that all expenses to date have been submitted for this grant? ☒ Yes ☐ No

Was any interest earned on these grant funds during this reporting period? ☐ Yes ☒ No

If Yes, specify the amount of interest earned over the life of the grant through this reporting period: \$ _____

Do you certify that all funds expended to date were used for the purposes for which they were awarded and in compliance with your contract? ☒ Yes ☐ No

Did you make any subawards of this funding during this reporting period? ☐ Yes ☒ No

If yes, attach a list of subawards including subrecipient entity name, tax ID number, subaward amount, and an indication that the subrecipient is not on the State Suspension of Funding List or suspended or debarred from receiving federal funds if applicable. Please note that per the contract terms and conditions and State law, your organization is responsible for ensuring that any subrecipients comply with all requirements of your contract and provide you with adequate and timely information to fulfill your reporting obligations.

Final reports should be submitted once all funds are expended and grant activities are completed. Is this your final quarterly report? ☐ Yes ☒ No

If yes, please complete and sign below indicating that all expenses have been submitted and all funds and any interest earned were used for the purposes for which they were awarded and in compliance with the contract. Please note that all records pertaining to this grant must be retained for a minimum of five years or until all audit exceptions have been resolved, whichever is longer. If the contract is subject to federal policy and regulations, record retention may be longer than five years. Recipients are required to maintain reports and accounting records that support the allowable expenditure of State funds and shall make available all reports and records for inspection by the NC Department of Health and Human Services, the Office of State Budget and Management, and the Office of the State Auditor for oversight, monitoring, and evaluation purposes.

____ Selene Johnson, Executive Director _____
Name and Title of Organization Official

Selene Johnson
Signature of Organization Official

10/14/2024 _____
Date

1. Provide a brief report of activities and accomplishments related to your Grant Project during this reporting period.

ABC of NC served 9 children in the intensive early intervention program and offered, on average, 3.33 autism-specific parent/caregiver classes each month (July=3; Aug=3; Sept=4). We also provided quarterly team meetings, home visits, or virtual visits for parents/caregivers.

2. Provide an update on the objectives, as outlined in your Scope of Work, of the grant project. Have you accomplished any of your objectives?

All students enrolled in the early intervention program had individualized education plans (IEPs) developed within the first 30 days of enrollment. IEP goals were developed from results of assessments, including the PICS, VB-MAPP, and Structured Play Assessment. All students are making progress towards their goals. Parents will complete the satisfaction survey at the conclusion of the school year (June, 2025).

3. Provide an update on your expected results, as outlined in your Scope of Work, of the Grant Project(s). Have you accomplished any of your expected results?

We anticipate that we will meet the expectations outlined in our Deliverables/Outputs and our Expected Outcomes. Final assessments will be completed during the 4th quarter for final reporting on all objectives and measures.

4. Provide an update on your performance measures, as outlined in your Scope of Work, for the Grant Project(s).

All students enrolled in the early intervention program had individualized education plans (IEPs) developed within the first 30 days of enrollment. IEP goals were developed from results of assessments, including the PICS, VB-MAPP, and Structured Play Assessment. All students are making progress towards their goals. Parents will complete the satisfaction survey at the conclusion of the school year (June, 2025).

5. Provide a summary of expenditures during the reporting period (quarter).

Expenditure Category	Amount of Expenditure
Personnel/Contracted Services Expenses (e.g., program-related staffing)	\$91,526.76
Supplies and Materials Expenses (e.g., office supplies):	\$2,354.75
Non-Fixed Operating Expenses (e.g., travel, utilities):	\$1,238.87
Fixed Operating Expenses (e.g., office rent, dues and subscriptions):	\$-0-
Property and Equipment Expenses (e.g., buildings and improvements):	\$1,062.07
Services/Contracts Expenses (e.g., purchase of services, contracts with service providers):	\$2,006.25
Other Expenses (Specify): Insurance, Background checks and med testing	\$1,811.30
Total Expenses:	\$100,000.00

6. Provide a summary of all grant expenditures through the end of the current reporting period. The total expenditures on this table should equal the cumulative expenditures from all completed quarterly reports to date.

Expenditure Category	Amount of Expenditure
Personnel/Contracted Services Expenses (e.g., program-related staffing)	\$91,526.76
Supplies and Materials Expenses (e.g., office supplies):	\$2,354.75
Non-Fixed Operating Expenses (e.g., travel, utilities):	\$1,238.87
Fixed Operating Expenses (e.g., office rent, dues and subscriptions):	\$-0-
Property and Equipment Expenses (e.g., buildings and improvements):	\$1,062.07
Services/Contracts Expenses (e.g., purchase of services, contracts with service providers):	\$2,006.25
Other Expenses (Specify): Insurance, Background checks and med testing	\$1,811.30
Total Expenses:	\$100,000.00

Please contact your Contract Administrator with any questions.

ATTACHMENT D

REPORTING REQUIREMENTS & TEMPLATES

Reporting Requirements: This is a subaward for financial assistance. Financial Assistance Contracts are subject to the Uniform Administration of State Awards, Oversight and Reporting Requirements for recipient and subrecipients described in [N.C. General Statute § 143C-6-23\(d\)](#) and in [09 NCAC 03M.205](#).

A non-governmental grantee who receives a combined \$500,000 or more funds from all state agencies must continue to submit a single or program-specific audit prepared and completed in accordance with Generally Accepted Government Auditing Standards, also known as a Yellow Book audit, to Risk Mitigation and Audit Monitoring at NCGrantsReporting@dhhs.nc.gov within 9 months of the grantee's fiscal year end.

DHHS encourages all of its non-governmental grantees that receive funds from other state agencies or DHHS divisions to contact their assigned contract monitor(s) to determine if year-end reports must be submitted for those particular grants/awards.

North Carolina State agencies are exempt from the reporting requirements of this section. Local governments that have reporting requirements for the Local Government Commission are exempt. All others are not exempt and must adhere to the reporting requirements of this section.

- (1) Quarterly Reports: see template in this attachment.
- (2) Reporting Timeframes and Due Dates. Once all grant funds are expended and activities concluded, a final report can be submitted and no additional reports are required:

Reporting Period	Due Date
July 1, 2024 – September 30, 2024	October 15, 2024
October 1, 2024 – December 31, 2024	January 15, 2025
January 1, 2025 – March 31, 2025	April 15, 2025
April 1, 2025 – June 30, 2025	July 15, 2025
July 1, 2025 - September 30, 2025	October 15, 2025
October 1, 2025 – December 31, 2025	January 15, 2026
January 1, 2026 – March 31, 2026	April 15, 2026
April 1, 2026 – June 30, 2026	July 15, 2026

N.C. General Statute § 143C-6-21-23. State Grant Funds: Administration; Oversight and Reporting Requirements.

§ 143C-6-21. Payments to nonprofits. Except as otherwise provided by law, an annual appropriation of one hundred thousand dollars (\$100,000) or less to or for the use of a nonprofit corporation may be made in a single annual payment, in the discretion of the Director of the Budget. An annual appropriation of more than one hundred thousand dollars (\$100,000) to or for the use of a nonprofit corporation shall be made in quarterly or monthly payments, in the discretion of the Director of the Budget. (2006-203, s. 3; 2013-360, s. 6.12(o).)

§ 143C-6-22. Use of State funds by non-State entities. (a) Disbursement and Use of State Funds. - Every non-State entity that receives, uses, or expends any State funds shall use or expend the funds only for the purposes for which they were appropriated by the General Assembly. State funds include federal funds that flow through the State Treasury. (b) Compliance by Non-State Entities. - If the Director of the Budget finds that a non-State entity has spent or encumbered State funds for an unauthorized purpose, or fails to submit or falsifies the information required by G.S. 143C-6-23 or any other provision of law, the Director shall take appropriate administrative action to ensure that no further irregularities or violations of law occur and shall report to the Attorney General any facts that pertain to an apparent violation of a criminal law or an apparent instance of malfeasance, misfeasance, or nonfeasance in connection with the use of State funds. Appropriate administrative action may include suspending or withholding the disbursement of State funds and recovering State funds previously disbursed. (c) Civil Actions. - Civil actions to recover State funds or to obtain other mandatory orders in the name of the State on relation of the Attorney General, or in the name of the Office of State Budget and Management, shall be filed in the General Court of Justice in Wake County. (2006-203, s. 3.)

§ 143C-6-23. State grant funds: administration; oversight and reporting requirements.

(a) Definitions. - The following definitions apply in this section:

- (1) Grant or grant funds. - State funds disbursed as a grant by a State agency; however, the terms do not include any payment made by the Medicaid program, the State Health Plan for Teachers and State Employees, or other similar medical programs.
- (2) Grantee. - A non-State entity that receives State funds as a grant from a State agency but does not include any non-State entity subject to the audit and other reporting requirements of the Local Government Commission.
- (3) Encumbrance. - A financial obligation created by a purchase order, contract, salary commitment, unearned or prepaid collections for services provided, or other legally binding agreement. A financial obligation is not an encumbrance for purposes of this section unless it (i) is in writing and has been signed by a person or entity who has authority to legally bind the grantee or subgrantee to spend the funds or (ii) was created by the provision of goods or services to the grantee or subgrantee by a third party under circumstances that create a legally binding obligation to pay for the goods or services.
- (4) Subgrantee. - A non-State entity that receives State funds as a grant from a grantee or from another subgrantee but does not include any non-State entity subject to the audit and other reporting requirements of the Local Government Commission.

(b) Conflict of Interest Policy. - Every grantee shall file with the State agency disbursing funds to the grantee a copy of that grantee's policy addressing conflicts of interest that may arise involving the grantee's management employees and the members of its board of directors or other governing body. The policy shall address situations in which any of these individuals may directly or indirectly benefit, except as the grantee's employees or members of its board or other governing body, from the grantee's disbursing of State funds, and shall include actions to be taken by the grantee or the individual, or both, to avoid conflicts of interest and the appearance of impropriety. The policy shall be filed before the disbursing State agency may disburse the grant funds.

(c) No Overdue Tax Debts. - Every grantee shall file with the State agency or department disbursing funds to the grantee a written statement completed by that grantee's board of directors or other governing body stating that the grantee does not have any overdue tax debts, as defined by G.S. 105-243.1, at the federal, State, or local level. The written statement shall be made under oath and shall be filed before the disbursing State agency or department may disburse the grant funds. A person who makes a false statement in violation of this subsection is guilty of a criminal offense punishable as provided by G.S. 143C-10-1.

(d) Office of State Budget Rules Must Require Uniform Administration of State Grants. – The Office of State Budget and Management shall adopt rules to ensure the uniform administration of State grants by all grantor State agencies and grantees or subgrantees. The Office of State Budget and Management shall consult with the Office of the State Auditor and the Attorney General in establishing the rules required by this subsection. The rules shall establish policies and procedures for disbursements of State grants and for State agency oversight, monitoring, and evaluation of grantees and subgrantees. The policies and procedures shall:

- (1) Ensure that the purpose and reporting requirements of each grant are specified to the grantee.
- (2) Ensure that grantees specify the purpose and reporting requirements for grants made to subgrantees.
- (3) Ensure that State funds are spent in accordance with the purposes for which they were granted.
- (4) Hold the grantees and subgrantees accountable for the legal and appropriate expenditure of grant funds.
- (5) Provide for adequate oversight and monitoring to prevent the misuse of grant funds. These policies shall require each grantee and subgrantee to ensure that, for accounting purposes, State funds and interest earned on those funds remain separate and apart from other funds in the possession or control of the grantee or subgrantee.
- (6) Establish mandatory periodic reporting requirements for grantees and subgrantees, including methods of reporting, to provide financial and program performance information. The mandatory periodic reporting requirements shall require grantees and subgrantees to file with the State Auditor copies of reports and statements that are filed with State agencies pursuant to this subsection. Compliance with the mandatory periodic reporting requirements of this subdivision shall not require grantees and subgrantees to file with the State Auditor the information described in subsections (b) and (c) of this section.
- (7) Require grantees and subgrantees to maintain reports, records, and other information to properly account for the expenditure of all grant funds and to make such reports, records, and other information available to the grantor State agency for oversight, monitoring, and evaluation purposes.
- (8) Require grantees and subgrantees to ensure that work papers in the possession of their auditors are available to the State Auditor for the purposes set out in subsection (i) of this section.
- (9) Require grantees to be responsible for managing and monitoring each project, program, or activity supported by grant funds and each subgrantee project, program, or activity supported by grant funds.
- (10) Provide procedures for the suspension of further disbursements or use of grant funds for noncompliance with these rules or other inappropriate use of the funds.
- (11) Provide procedures for use in appropriate circumstances for reinstatement of disbursements that have been suspended for noncompliance with these rules or other inappropriate use of grant funds.
- (12) Provide procedures for the recovery and return to the grantor State agency of unexpended grant funds from a grantee or subgrantee (i) in accordance with subsection (f1) of this section or (ii) in the event that the grantee or subgrantee is unable to fulfill the purposes of the grant for a reason not set forth in that subsection.

(d1) Required Grant Terms. – The terms of each grant shall include all of the following, which shall be deemed a part of the grant:

- (1) The limitation contained in G.S. 143C-6-8 concerning the availability of appropriated funds.
- (2) The relevant provisions of any legislation authorizing or governing the administration of the grant.
- (3) The terms of this section.

(e) Rules Are Subject to the Administrative Procedure Act. – Notwithstanding the provisions of G.S. 150B-2(8a)b. rules adopted pursuant to subsection (d) of this section are subject to the provisions of Chapter 150B of the General Statutes.

(f) Suspension and Recovery of Funds to Grant Recipients for Noncompliance. – The Office of State Budget and Management, after consultation with the administering State agency, shall have the power to suspend disbursement of grant funds to grantees or subgrantees, to prevent further use of grant funds already disbursed, and to recover grant funds already disbursed for noncompliance with rules adopted pursuant to subsection (d) of this section. If the grant funds are a pass-through of funds granted by an agency of the United States, then the Office of State Budget and Management must consult with the granting agency of the United States and the State agency that is the recipient of the pass-through funds prior to taking the actions authorized by this subsection.

(f1) Return of Grant Funds. – Except as otherwise required by federal law, a grantee or subgrantee shall return to the State all affected grant funds and interest earned on those funds if any of the following occurs:

- (1) The funds are in the possession or control of a grantee and are not expended, made subject to an encumbrance, or disbursed to a subgrantee by August 31 immediately following the fiscal year in which the funds are appropriated by the General Assembly, or a different period set forth in the terms of the applicable appropriation or federal grant.
- (2) The funds remain unexpended at the time that the grantee or subgrantee dissolves, ceases operations, or otherwise indicates that it does not intend to spend the funds.
- (3) The Office of State Budget and Management seeks to recover the funds pursuant to subsection (f) of this section.

(f2) Use of Returned Grant Funds. – Encumbered funds returned to the State pursuant to subsection (f1) of this section by a grantee or subgrantee shall upon appropriation by the General Assembly be spent in accordance with the terms of the encumbrance. All other funds returned to the State by a grantee or subgrantee pursuant to subsection (f1) of this section shall be credited to the fund from which they were appropriated and shall remain unexpended and unencumbered until appropriated by the General Assembly. Nothing in this section shall be construed to authorize an expenditure pursuant to an unlawful encumbrance or in a manner that would violate the terms of the appropriation of the grant funds at issue.

(g) Audit Oversight. – The State Auditor has audit oversight, with respect to grant funds received by the grantee or subgrantee, pursuant to Article 5A of Chapter 147 of the General Statutes, of every grantee or subgrantee that receives, uses, or expends grant funds. A grantee or subgrantee must, upon request, furnish to the State Auditor for audit all books, records, and other information necessary for the State Auditor to account fully for the use and expenditure of grant funds received by the grantee or subgrantee. The grantee or subgrantee must furnish any additional financial or budgetary information requested by the State Auditor, including audit work papers in the possession of any auditor of a grantee or subgrantee directly related to the use and expenditure of grant funds.

(h) Report on Grant Recipients That Failed to Comply. – Not later than May 1, 2007, and by May 1 of every succeeding year, the Office of State Budget and Management shall report to the Joint Legislative Commission on Governmental Operations and the Fiscal Research Division on all grantees or subgrantees that failed to comply with this section with respect to grant funds received in the prior fiscal year.

(i) State Agencies to Submit Grant List to Auditor. – No later than October 1 of each year, each State agency shall submit a list to the State Auditor, in the format prescribed by the State Auditor, of every grantee to which the agency disbursed grant funds in the prior fiscal year. The list shall include the amount disbursed to each grantee and other information as required by the State Auditor to comply with the requirements of this section.

(j) Use of Interest Earned on Grant Funds. – Except as otherwise required by federal law or the terms of a federal grant, interest earned on grant funds after receipt of the funds by a grantee or subgrantee shall be credited to the grantee or subgrantee and shall be used for the same purposes for which the grant or subgrant was made.

(k) Reporting by Grantees and Subgrantees That Cease Operations. – A grantee or subgrantee that intends to dissolve or cease operations shall report that decision in writing to the Office of State Budget and Management and to the Fiscal Research Division at least 30 days prior to taking that action. (2006-203, s. 3; 2007-323, s. 28.22A(o); 2007-345, s. 12; 2014-100, s. 6.5(a); 2015-264, s. 21.)

09 NCAC 03M .0205 Minimum Reporting Requirements for Recipients and Subrecipients.

(a) For the purposes of this Subchapter, there are three reporting levels established for recipients and subrecipients receiving State financial assistance. Reporting levels are based on the level of State financial assistance from all funding sources. The reporting levels are:

- (1) Level I – A recipient or subrecipient that receives, holds, uses, or expends State financial assistance in an amount less than twenty-five thousand dollars (\$25,000) within its fiscal year.
- (2) Level II - A recipient or subrecipient that receives, holds, uses, or expends State financial assistance in an amount of at least twenty-five thousand (\$25,000) or greater, but less than five hundred thousand dollars (\$500,000) within its fiscal year.

(3) Level III – A recipient or subrecipient that receives, holds, uses, or expends State financial assistance in an amount equal to or greater than five hundred thousand dollars (\$500,000) within its fiscal year.

(b) Agencies shall establish reporting requirements for recipients that meet the following reporting standards on an annual basis:

(1) All recipients and subrecipients shall provide a certification that State financial assistance received or, held was used for the purposes for which it was awarded.

(2) All recipients and subrecipients shall provide an accounting of all State financial assistance received, held, used, or expended.

(3) Level II and III recipients and subrecipients shall report on activities and accomplishments undertaken by the recipient, including reporting on any performance measures established in the contract.

(4) Level III recipients and subrecipients shall have a single or program-specific audit prepared and completed in accordance with Generally Accepted Government Auditing Standards, also known as the Yellow Book.

(c) All reports shall be filed with the disbursing agency in the format and method specified by the agency no later than three months after the end of the recipient's fiscal year, unless the same information is already required through more frequent reporting. Audits must be provided to the funding agency no later than nine months after the end of the recipient's fiscal year.

(d) Agency-established reporting requirements to meet the standards set forth in Paragraph (b) of this Rule shall be specified in each recipient's contract.

(e) Unless prohibited by law, the costs of audits made in accordance with the provisions of this Rule shall be allowable charges to State and Federal awards. The charges may be considered a direct cost or an allocated indirect cost, as determined in accordance with cost principles outlined in the Code of Federal Regulations, 2 CFR Part 200. The cost of any audit not conducted in accordance with this Subchapter shall not be charged to State awards.

(f) Notwithstanding the provisions of this Subchapter, a recipient may satisfy the reporting requirements of Subparagraph (b)(4) of this Rule by submitting a copy of the report required under federal law with respect to the same funds.

QUARTERLY STATUS REPORT

Please use this reporting template for each of the quarterly reports

Recipient Name:	ABC of NC Child Development Center
Recipient Tax ID #	30-0111894
Project/Activity Title:	Autism Early Intervention Model Program
Reporting Period (Quarter):	2 nd quarter
Report Completion Date:	01/14/2024
Preparer of This Report:	Selene Johnson and Angela Pesenti

Were grant funds expended during this reporting period? ☒ Yes ☐ No

Do you certify that all expenses to date have been submitted for this grant? ☒ Yes ☐ No

Was any interest earned on these grant funds during this reporting period? ☐ Yes ☒ No

If Yes, specify the amount of interest earned over the life of the grant through this reporting period: \$ _____

Do you certify that all funds expended to date were used for the purposes for which they were awarded and in compliance with your contract? ☒ Yes ☐ No

Did you make any subawards of this funding during this reporting period? ☐ Yes ☒ No

If yes, attach a list of subawards including subrecipient entity name, tax ID number, subaward amount, and an indication that the subrecipient is not on the State Suspension of Funding List or suspended or debarred from receiving federal funds if applicable. Please note that per the contract terms and conditions and State law, your organization is responsible for ensuring that any subrecipients comply with all requirements of your contract and provide you with adequate and timely information to fulfill your reporting obligations.

Final reports should be submitted once all funds are expended and grant activities are completed. Is this your final quarterly report? ☐ Yes ☒ No

If yes, please complete and sign below indicating that all expenses have been submitted and all funds and any interest earned were used for the purposes for which they were awarded and in compliance with the contract. Please note that all records pertaining to this grant must be retained for a minimum of five years or until all audit exceptions have been resolved, whichever is longer. If the contract is subject to federal policy and regulations, record retention may be longer than five years. Recipients are required to maintain reports and accounting records that support the allowable expenditure of State funds and shall make available all reports and records for inspection by the NC Department of Health and Human Services, the Office of State Budget and Management, and the Office of the State Auditor for oversight, monitoring, and evaluation purposes.

____ Selene Johnson, Executive Director _____
Name and Title of Organization Official

Selene Johnson
Signature of Organization Official

01/14/2024 _____
Date

1. Provide a brief report of activities and accomplishments related to your Grant Project during this reporting period.

ABC of NC served 10 children in the intensive early intervention program and offered, on average, 1.33 autism-specific parent/caregiver classes each month (Oct=1; Nov=3; Dec=0). We also provided quarterly team meetings, home visits, or virtual visits for parents/caregivers.

2. Provide an update on the objectives, as outlined in your Scope of Work, of the grant project. Have you accomplished any of your objectives?

All students enrolled in the early intervention program had individualized education plans (IEPs) developed within the first 30 days of enrollment. IEP goals were developed from results of assessments, including the PICS, VB-MAPP, and Structured Play Assessment. All students are making progress towards their goals. Parents will complete the satisfaction survey at the conclusion of the school year (June, 2025).

3. Provide an update on your expected results, as outlined in your Scope of Work, of the Grant Project(s). Have you accomplished any of your expected results?

We anticipate that we will meet the expectations outlined in our Deliverables/Outputs and our Expected Outcomes. Final assessments will be completed during the 4th quarter for final reporting on all objectives and measures.

4. Provide an update on your performance measures, as outlined in your Scope of Work, for the Grant Project(s).

All students enrolled in the early intervention program had individualized education plans (IEPs) developed within the first 30 days of enrollment. IEP goals were developed from results of assessments, including the PICS, VB-MAPP, and Structured Play Assessment. All students are making progress towards their goals. Parents will complete the satisfaction survey at the conclusion of the school year (June, 2025).

5. Provide a summary of expenditures during the reporting period (quarter).

Expenditure Category	Amount of Expenditure
Personnel/Contracted Services Expenses (e.g., program-related staffing)	\$91,526.76
Supplies and Materials Expenses (e.g., office supplies):	\$2,576.31
Non-Fixed Operating Expenses (e.g., travel, utilities):	\$1,037.79
Fixed Operating Expenses (e.g., office rent, dues and subscriptions):	\$-0-
Property and Equipment Expenses (e.g., buildings and improvements):	\$2,802.90
Services/Contracts Expenses (e.g., purchase of services, contracts with service providers):	\$1,352.24
Other Expenses (Specify): Insurance, Background checks and med testing	\$704.00
Total Expenses:	\$100,000.00

6. Provide a summary of all grant expenditures through the end of the current reporting period. The total expenditures on this table should equal the cumulative expenditures from all completed quarterly reports to date.

Expenditure Category	Amount of Expenditure
Personnel/Contracted Services Expenses (e.g., program-related staffing)	\$183,053.52
Supplies and Materials Expenses (e.g., office supplies):	\$4,931.06
Non-Fixed Operating Expenses (e.g., travel, utilities):	\$2,276.66
Fixed Operating Expenses (e.g., office rent, dues and subscriptions):	\$-0-
Property and Equipment Expenses (e.g., buildings and improvements):	\$3,864.97
Services/Contracts Expenses (e.g., purchase of services, contracts with service providers):	\$3,358.49
Other Expenses (Specify): Insurance, Background checks and med testing	\$2,515.30
Total Expenses:	\$200,000.00

Please contact your Contract Administrator with any questions.

ATTACHMENT D REPORTING REQUIREMENTS & TEMPLATES

Reporting Requirements: This is a subaward for financial assistance. Financial Assistance Contracts are subject to the Uniform Administration of State Awards, Oversight and Reporting Requirements for recipient and subrecipients described in [N.C. General Statue § 143C-6-23\(d\)](#) and in [09 NCAC 03M.205](#).

A non-governmental grantee who receives a combined \$500,000 or more funds from all state agencies must continue to submit a single or program-specific audit prepared and completed in accordance with Generally Accepted Government Auditing Standards, also known as a Yellow Book audit, to Risk Mitigation and Audit Monitoring at NCGrantsReporting@dhhs.nc.gov within 9 months of the grantee's fiscal year end.

DHHS encourages all of its non-governmental grantees that receive funds from other state agencies or DHHS divisions to contact their assigned contract monitor(s) to determine if year-end reports must be submitted for those particular grants/awards.

North Carolina State agencies are exempt from the reporting requirements of this section. Local governments that have reporting requirements for the Local Government Commission are exempt. All others are not exempt and must adhere to the reporting requirements of this section.

(1) Quarterly Reports: see template in this attachment.

(2) Reporting Timeframes and Due Dates. Once all grant funds are expended and activities concluded, a final report can be submitted and no additional reports are required:

Reporting Period	Due Date
July 1, 2024 – September 30, 2024	October 15, 2024
October 1, 2024 – December 31, 2024	January 15, 2025
January 1, 2025 – March 31, 2025	April 15, 2025
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July 1, 2025 - September 30, 2025	October 15, 2025
October 1, 2025 – December 31, 2025	January 15, 2026
January 1, 2026 – March 31, 2026	April 15, 2026
April 1, 2026 – June 30, 2026	July 15, 2026

N.C. General Statute § 143C-6-21-23. State Grant Funds: Administration; Oversight and Reporting Requirements.

§ 143C-6-21. Payments to nonprofits. Except as otherwise provided by law, an annual appropriation of one hundred thousand dollars (\$100,000) or less to or for the use of a nonprofit corporation may be made in a single annual payment, in the discretion of the Director of the Budget. An annual appropriation of more than one hundred thousand dollars (\$100,000) to or for the use of a nonprofit corporation shall be made in quarterly or monthly payments, in the discretion of the Director of the Budget. (2006-203, s. 3; 2013-360, s. 6.12(o).)

§ 143C-6-22. Use of State funds by non-State entities. (a) Disbursement and Use of State Funds. - Every non-State entity that receives, uses, or expends any State funds shall use or expend the funds only for the purposes for which they were appropriated by the General Assembly. State funds include federal funds that flow through the State Treasury. (b) Compliance by Non-State Entities. - If the Director of the Budget finds that a non-State entity has spent or encumbered State funds for an unauthorized purpose, or fails to submit or falsifies the information required by G.S. 143C-6-23 or any other provision of law, the Director shall take appropriate administrative action to ensure that no further irregularities or violations of law occur and shall report to the Attorney General any facts that pertain to an apparent violation of a criminal law or an apparent instance of malfeasance, misfeasance, or nonfeasance in connection with the use of State funds. Appropriate administrative action may include suspending or withholding the disbursement of State funds and recovering State funds previously disbursed. (c) Civil Actions. - Civil actions to recover State funds or to obtain other mandatory orders in the name of the State on relation of the Attorney General, or in the name of the Office of State Budget and Management, shall be filed in the General Court of Justice in Wake County. (2006-203, s. 3.)

§ 143C-6-23. State grant funds: administration; oversight and reporting requirements.

(a) Definitions. – The following definitions apply in this section:

- (1) Grant or grant funds. – State funds disbursed as a grant by a State agency; however, the terms do not include any payment made by the Medicaid program, the State Health Plan for Teachers and State Employees, or other similar medical programs.
- (2) Grantee. – A non-State entity that receives State funds as a grant from a State agency but does not include any non-State entity subject to the audit and other reporting requirements of the Local Government Commission.
- (3) Encumbrance. – A financial obligation created by a purchase order, contract, salary commitment, unearned or prepaid collections for services provided, or other legally binding agreement. A financial obligation is not an encumbrance for purposes of this section unless it (i) is in writing and has been signed by a person or entity who has authority to legally bind the grantee or subgrantee to spend the funds or (ii) was created by the provision of goods or services to the grantee or subgrantee by a third party under circumstances that create a legally binding obligation to pay for the goods or services.
- (4) Subgrantee. – A non-State entity that receives State funds as a grant from a grantee or from another subgrantee but does not include any non-State entity subject to the audit and other reporting requirements of the Local Government Commission.

(b) Conflict of Interest Policy. – Every grantee shall file with the State agency disbursing funds to the grantee a copy of that grantee's policy addressing conflicts of interest that may arise involving the grantee's management employees and the members of its board of directors or other governing body. The policy shall address situations in which any of these individuals may directly or indirectly benefit, except as the grantee's employees or members of its board or other governing body, from the grantee's disbursing of State funds, and shall include actions to be taken by the grantee or the individual, or both, to avoid conflicts of interest and the appearance of impropriety. The policy shall be filed before the disbursing State agency may disburse the grant funds.

(c) No Overdue Tax Debts. – Every grantee shall file with the State agency or department disbursing funds to the grantee a written statement completed by that grantee's board of directors or other governing body stating that the grantee does not have any overdue tax debts, as defined by G.S. 105-243.1, at the federal, State, or local level. The written statement shall be made under oath and shall be filed before the disbursing State agency or department may disburse the grant funds. A person who makes a false statement in violation of this subsection is guilty of a criminal offense punishable as provided by G.S. 143C-10-1.

(d) Office of State Budget Rules Must Require Uniform Administration of State Grants. – The Office of State Budget and Management shall adopt rules to ensure the uniform administration of State grants by all

grantor State agencies and grantees or subgrantees. The Office of State Budget and Management shall consult with the Office of the State Auditor and the Attorney General in establishing the rules required by this subsection. The rules shall establish policies and procedures for disbursements of State grants and for State agency oversight, monitoring, and evaluation of grantees and subgrantees. The policies and procedures shall:

- (1) Ensure that the purpose and reporting requirements of each grant are specified to the grantee.
- (2) Ensure that grantees specify the purpose and reporting requirements for grants made to subgrantees.
- (3) Ensure that State funds are spent in accordance with the purposes for which they were granted.
- (4) Hold the grantees and subgrantees accountable for the legal and appropriate expenditure of grant funds.
- (5) Provide for adequate oversight and monitoring to prevent the misuse of grant funds. These policies shall require each grantee and subgrantee to ensure that, for accounting purposes, State funds and interest earned on those funds remain separate and apart from other funds in the possession or control of the grantee or subgrantee.
- (6) Establish mandatory periodic reporting requirements for grantees and subgrantees, including methods of reporting, to provide financial and program performance information. The mandatory periodic reporting requirements shall require grantees and subgrantees to file with the State Auditor copies of reports and statements that are filed with State agencies pursuant to this subsection. Compliance with the mandatory periodic reporting requirements of this subdivision shall not require grantees and subgrantees to file with the State Auditor the information described in subsections (b) and (c) of this section.
- (7) Require grantees and subgrantees to maintain reports, records, and other information to properly account for the expenditure of all grant funds and to make such reports, records, and other information available to the grantor State agency for oversight, monitoring, and evaluation purposes.
- (8) Require grantees and subgrantees to ensure that work papers in the possession of their auditors are available to the State Auditor for the purposes set out in subsection (i) of this section.
- (9) Require grantees to be responsible for managing and monitoring each project, program, or activity supported by grant funds and each subgrantee project, program, or activity supported by grant funds.
- (10) Provide procedures for the suspension of further disbursements or use of grant funds for noncompliance with these rules or other inappropriate use of the funds.
- (11) Provide procedures for use in appropriate circumstances for reinstatement of disbursements that have been suspended for noncompliance with these rules or other inappropriate use of grant funds.
- (12) Provide procedures for the recovery and return to the grantor State agency of unexpended grant funds from a grantee or subgrantee (i) in accordance with subsection (f1) of this section or (ii) in the event that the grantee or subgrantee is unable to fulfill the purposes of the grant for a reason not set forth in that subsection.

(d1) Required Grant Terms. – The terms of each grant shall include all of the following, which shall be deemed a part of the grant:

- (1) The limitation contained in G.S. 143C-6-8 concerning the availability of appropriated funds.
- (2) The relevant provisions of any legislation authorizing or governing the administration of the grant.
- (3) The terms of this section.

(e) Rules Are Subject to the Administrative Procedure Act. – Notwithstanding the provisions of G.S. 150B-2(8a)b. rules adopted pursuant to subsection (d) of this section are subject to the provisions of Chapter 150B of the General Statutes.

(f) Suspension and Recovery of Funds to Grant Recipients for Noncompliance. – The Office of State Budget and Management, after consultation with the administering State agency, shall have the power to suspend disbursement of grant funds to grantees or subgrantees, to prevent further use of grant funds already disbursed, and to recover grant funds already disbursed for noncompliance with rules adopted pursuant to subsection (d) of this section. If the grant funds are a pass-through of funds granted by an agency of the United States, then the Office of State Budget and Management must consult with the granting agency of the United States and the State agency that is the recipient of the pass-through funds prior to taking the actions authorized by this subsection.

(f1) Return of Grant Funds. – Except as otherwise required by federal law, a grantee or subgrantee shall return to the State all affected grant funds and interest earned on those funds if any of the following occurs:

- (1) The funds are in the possession or control of a grantee and are not expended, made subject to an encumbrance, or disbursed to a subgrantee by August 31 immediately following the fiscal year in which

the funds are appropriated by the General Assembly, or a different period set forth in the terms of the applicable appropriation or federal grant.

(2) The funds remain unexpended at the time that the grantee or subgrantee dissolves, ceases operations, or otherwise indicates that it does not intend to spend the funds.

(3) The Office of State Budget and Management seeks to recover the funds pursuant to subsection (f) of this section.

(f2) Use of Returned Grant Funds. – Encumbered funds returned to the State pursuant to subsection (f1) of this section by a grantee or subgrantee shall upon appropriation by the General Assembly be spent in accordance with the terms of the encumbrance. All other funds returned to the State by a grantee or subgrantee pursuant to subsection (f1) of this section shall be credited to the fund from which they were appropriated and shall remain unexpended and unencumbered until appropriated by the General Assembly. Nothing in this section shall be construed to authorize an expenditure pursuant to an unlawful encumbrance or in a manner that would violate the terms of the appropriation of the grant funds at issue.

(g) Audit Oversight. – The State Auditor has audit oversight, with respect to grant funds received by the grantee or subgrantee, pursuant to Article 5A of Chapter 147 of the General Statutes, of every grantee or subgrantee that receives, uses, or expends grant funds. A grantee or subgrantee must, upon request, furnish to the State Auditor for audit all books, records, and other information necessary for the State Auditor to account fully for the use and expenditure of grant funds received by the grantee or subgrantee. The grantee or subgrantee must furnish any additional financial or budgetary information requested by the State Auditor, including audit work papers in the possession of any auditor of a grantee or subgrantee directly related to the use and expenditure of grant funds.

(h) Report on Grant Recipients That Failed to Comply. – Not later than May 1, 2007, and by May 1 of every succeeding year, the Office of State Budget and Management shall report to the Joint Legislative Commission on Governmental Operations and the Fiscal Research Division on all grantees or subgrantees that failed to comply with this section with respect to grant funds received in the prior fiscal year.

(i) State Agencies to Submit Grant List to Auditor. – No later than October 1 of each year, each State agency shall submit a list to the State Auditor, in the format prescribed by the State Auditor, of every grantee to which the agency disbursed grant funds in the prior fiscal year. The list shall include the amount disbursed to each grantee and other information as required by the State Auditor to comply with the requirements of this section.

(j) Use of Interest Earned on Grant Funds. – Except as otherwise required by federal law or the terms of a federal grant, interest earned on grant funds after receipt of the funds by a grantee or subgrantee shall be credited to the grantee or subgrantee and shall be used for the same purposes for which the grant or subgrant was made.

(k) Reporting by Grantees and Subgrantees That Cease Operations. – A grantee or subgrantee that intends to dissolve or cease operations shall report that decision in writing to the Office of State Budget and Management and to the Fiscal Research Division at least 30 days prior to taking that action. (2006-203, s. 3; 2007-323, s. 28.22A(o); 2007-345, s. 12; 2014-100, s. 6.5(a); 2015-264, s. 21.)

09 NCAC 03M .0205 Minimum Reporting Requirements for Recipients and Subrecipients.

(a) For the purposes of this Subchapter, there are three reporting levels established for recipients and subrecipients receiving State financial assistance. Reporting levels are based on the level of State financial assistance from all funding sources. The reporting levels are:

(1) Level I – A recipient or subrecipient that receives, holds, uses, or expends State financial assistance in an amount less than twenty-five thousand dollars (\$25,000) within its fiscal year.

(2) Level II - A recipient or subrecipient that receives, holds, uses, or expends State financial assistance in an amount of at least twenty-five thousand (\$25,000) or greater, but less than five hundred thousand dollars (\$500,000) within its fiscal year.

(3) Level III – A recipient or subrecipient that receives, holds, uses, or expends State financial assistance in an amount equal to or greater than five hundred thousand dollars (\$500,000) within its fiscal year.

(b) Agencies shall establish reporting requirements for recipients that meet the following reporting standards on an annual basis:

(1) All recipients and subrecipients shall provide a certification that State financial assistance received or, held was used for the purposes for which it was awarded.

(2) All recipients and subrecipients shall provide an accounting of all State financial assistance received, held, used, or expended.

(3) Level II and III recipients and subrecipients shall report on activities and accomplishments undertaken by the recipient, including reporting on any performance measures established in the contract.

(4) Level III recipients and subrecipients shall have a single or program-specific audit prepared and completed in accordance with Generally Accepted Government Auditing Standards, also known as the Yellow Book.

(c) All reports shall be filed with the disbursing agency in the format and method specified by the agency no later than three months after the end of the recipient's fiscal year, unless the same information is already required through more frequent reporting. Audits must be provided to the funding agency no later than nine months after the end of the recipient's fiscal year.

(d) Agency-established reporting requirements to meet the standards set forth in Paragraph (b) of this Rule shall be specified in each recipient's contract.

(e) Unless prohibited by law, the costs of audits made in accordance with the provisions of this Rule shall be allowable charges to State and Federal awards. The charges may be considered a direct cost or an allocated indirect cost, as determined in accordance with cost principles outlined in the Code of Federal Regulations, 2 CFR Part 200. The cost of any audit not conducted in accordance with this Subchapter shall not be charged to State awards.

(f) Notwithstanding the provisions of this Subchapter, a recipient may satisfy the reporting requirements of Subparagraph (b)(4) of this Rule by submitting a copy of the report required under federal law with respect to the same funds.

QUARTERLY STATUS REPORT

Please use this reporting template for each of the quarterly reports

Recipient Name:	ABC of NC Child Development Center
Recipient Tax ID #	30-0111894
Project/Activity Title:	Autism Early Intervention Model Program
Reporting Period (Quarter):	2 nd quarter
Report Completion Date:	01/14/2024
Preparer of This Report:	Selene Johnson and Angela Pesenti

Were grant funds expended during this reporting period? ☒ Yes ☐ No

Do you certify that all expenses to date have been submitted for this grant? ☒ Yes ☐ No

Was any interest earned on these grant funds during this reporting period? ☐ Yes ☒ No

If Yes, specify the amount of interest earned over the life of the grant through this reporting period: \$ _____

Do you certify that all funds expended to date were used for the purposes for which they were awarded and in compliance with your contract? ☒ Yes ☐ No

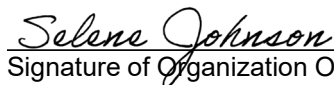
Did you make any subawards of this funding during this reporting period? ☐ Yes ☒ No

If yes, attach a list of subawards including subrecipient entity name, tax ID number, subaward amount, and an indication that the subrecipient is not on the State Suspension of Funding List or suspended or debarred from receiving federal funds if applicable. Please note that per the contract terms and conditions and State law, your organization is responsible for ensuring that any subrecipients comply with all requirements of your contract and provide you with adequate and timely information to fulfill your reporting obligations.

Final reports should be submitted once all funds are expended and grant activities are completed. Is this your final quarterly report? ☐ Yes ☒ No

If yes, please complete and sign below indicating that all expenses have been submitted and all funds and any interest earned were used for the purposes for which they were awarded and in compliance with the contract. Please note that all records pertaining to this grant must be retained for a minimum of five years or until all audit exceptions have been resolved, whichever is longer. If the contract is subject to federal policy and regulations, record retention may be longer than five years. Recipients are required to maintain reports and accounting records that support the allowable expenditure of State funds and shall make available all reports and records for inspection by the NC Department of Health and Human Services, the Office of State Budget and Management, and the Office of the State Auditor for oversight, monitoring, and evaluation purposes.

Selene Johnson, Executive Director
Name and Title of Organization Official


Signature of Organization Official

04/11/2025
Date

1. Provide a brief report of activities and accomplishments related to your Grant Project during this reporting period.

ABC of NC served 10 children in the intensive early intervention program during 3rd quarter, and we have served 12 students in the program this year. We offered, on average, 3.67 autism-specific parent/caregiver classes each month (Jan=4; Feb=3; March=4). We also provided quarterly team meetings, home visits, or virtual visits for parents/caregivers.

2. Provide an update on the objectives, as outlined in your Scope of Work, of the grant project. Have you accomplished any of your objectives?

All students enrolled in the early intervention program had individualized education plans (IEPs) developed within the first 30 days of enrollment. IEP goals were developed from results of assessments, including the PICS, VB-MAPP, and Structured Play Assessment. All students are making progress towards their goals. Parents will complete the satisfaction survey at the conclusion of the school year (June, 2025).

3. Provide an update on your expected results, as outlined in your Scope of Work, of the Grant Project(s). Have you accomplished any of your expected results?

We anticipate that we will meet the expectations outlined in our Deliverables/Outputs and our Expected Outcomes. Final assessments will be completed during the 4th quarter for final reporting on all objectives and measures.

4. Provide an update on your performance measures, as outlined in your Scope of Work, for the Grant Project(s).

All students enrolled in the early intervention program had individualized education plans (IEPs) developed within the first 30 days of enrollment. IEP goals were developed from results of assessments, including the PICS, VB-MAPP, and Structured Play Assessment. All students are making progress towards their goals. Parents will complete the satisfaction survey at the conclusion of the school year (June, 2025).

5. Provide a summary of expenditures during the reporting period (quarter).

Expenditure Category	Amount of Expenditure
Personnel/Contracted Services Expenses (e.g., program-related staffing)	\$91,526.75
Supplies and Materials Expenses (e.g., office supplies):	\$2,609.28
Non-Fixed Operating Expenses (e.g., travel, utilities):	\$1,041.38
Fixed Operating Expenses (e.g., office rent, dues and subscriptions):	\$-0-
Property and Equipment Expenses (e.g., buildings and improvements):	\$1,884.03
Services/Contracts Expenses (e.g., purchase of services, contracts with service providers):	\$1,297.77
Other Expenses (Specify): Insurance, Background checks and med testing	\$1,640.79
Total Expenses:	\$100,000.00

6. Provide a summary of all grant expenditures through the end of the current reporting period. The total expenditures on this table should equal the cumulative expenditures from all completed quarterly reports to date.

Expenditure Category	Amount of Expenditure
Personnel/Contracted Services Expenses (e.g., program-related staffing)	\$274,580.27
Supplies and Materials Expenses (e.g., office supplies):	\$7,540.34
Non-Fixed Operating Expenses (e.g., travel, utilities):	\$3,318.04
Fixed Operating Expenses (e.g., office rent, dues and subscriptions):	\$-0-
Property and Equipment Expenses (e.g., buildings and improvements):	\$5,749.00
Services/Contracts Expenses (e.g., purchase of services, contracts with service providers):	\$4,656.26
Other Expenses (Specify): Insurance, Background checks and med testing	\$4,156.09
Total Expenses:	\$300,000.00

Please contact your Contract Administrator with any questions.

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(1) Quarterly Reports: see template in this attachment.

(2) Reporting Timeframes and Due Dates. Once all grant funds are expended and activities concluded, a final report can be submitted and no additional reports are required:

Reporting Period	Due Date
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October 1, 2025 – December 31, 2025	January 15, 2026
January 1, 2026 – March 31, 2026	April 15, 2026
April 1, 2026 – June 30, 2026	July 15, 2026

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§ 143C-6-21. Payments to nonprofits. Except as otherwise provided by law, an annual appropriation of one hundred thousand dollars (\$100,000) or less to or for the use of a nonprofit corporation may be made in a single annual payment, in the discretion of the Director of the Budget. An annual appropriation of more than one hundred thousand dollars (\$100,000) to or for the use of a nonprofit corporation shall be made in quarterly or monthly payments, in the discretion of the Director of the Budget. (2006-203, s. 3; 2013-360, s. 6.12(o).)

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§ 143C-6-23. State grant funds: administration; oversight and reporting requirements.

(a) Definitions. – The following definitions apply in this section:

- (1) Grant or grant funds. – State funds disbursed as a grant by a State agency; however, the terms do not include any payment made by the Medicaid program, the State Health Plan for Teachers and State Employees, or other similar medical programs.
- (2) Grantee. – A non-State entity that receives State funds as a grant from a State agency but does not include any non-State entity subject to the audit and other reporting requirements of the Local Government Commission.
- (3) Encumbrance. – A financial obligation created by a purchase order, contract, salary commitment, unearned or prepaid collections for services provided, or other legally binding agreement. A financial obligation is not an encumbrance for purposes of this section unless it (i) is in writing and has been signed by a person or entity who has authority to legally bind the grantee or subgrantee to spend the funds or (ii) was created by the provision of goods or services to the grantee or subgrantee by a third party under circumstances that create a legally binding obligation to pay for the goods or services.
- (4) Subgrantee. – A non-State entity that receives State funds as a grant from a grantee or from another subgrantee but does not include any non-State entity subject to the audit and other reporting requirements of the Local Government Commission.

(b) Conflict of Interest Policy. – Every grantee shall file with the State agency disbursing funds to the grantee a copy of that grantee's policy addressing conflicts of interest that may arise involving the grantee's management employees and the members of its board of directors or other governing body. The policy shall address situations in which any of these individuals may directly or indirectly benefit, except as the grantee's employees or members of its board or other governing body, from the grantee's disbursing of State funds, and shall include actions to be taken by the grantee or the individual, or both, to avoid conflicts of interest and the appearance of impropriety. The policy shall be filed before the disbursing State agency may disburse the grant funds.

(c) No Overdue Tax Debts. – Every grantee shall file with the State agency or department disbursing funds to the grantee a written statement completed by that grantee's board of directors or other governing body stating that the grantee does not have any overdue tax debts, as defined by G.S. 105-243.1, at the federal, State, or local level. The written statement shall be made under oath and shall be filed before the disbursing State agency or department may disburse the grant funds. A person who makes a false statement in violation of this subsection is guilty of a criminal offense punishable as provided by G.S. 143C-10-1.

(d) Office of State Budget Rules Must Require Uniform Administration of State Grants. – The Office of State Budget and Management shall adopt rules to ensure the uniform administration of State grants by all

grantor State agencies and grantees or subgrantees. The Office of State Budget and Management shall consult with the Office of the State Auditor and the Attorney General in establishing the rules required by this subsection. The rules shall establish policies and procedures for disbursements of State grants and for State agency oversight, monitoring, and evaluation of grantees and subgrantees. The policies and procedures shall:

- (1) Ensure that the purpose and reporting requirements of each grant are specified to the grantee.
- (2) Ensure that grantees specify the purpose and reporting requirements for grants made to subgrantees.
- (3) Ensure that State funds are spent in accordance with the purposes for which they were granted.
- (4) Hold the grantees and subgrantees accountable for the legal and appropriate expenditure of grant funds.
- (5) Provide for adequate oversight and monitoring to prevent the misuse of grant funds. These policies shall require each grantee and subgrantee to ensure that, for accounting purposes, State funds and interest earned on those funds remain separate and apart from other funds in the possession or control of the grantee or subgrantee.
- (6) Establish mandatory periodic reporting requirements for grantees and subgrantees, including methods of reporting, to provide financial and program performance information. The mandatory periodic reporting requirements shall require grantees and subgrantees to file with the State Auditor copies of reports and statements that are filed with State agencies pursuant to this subsection. Compliance with the mandatory periodic reporting requirements of this subdivision shall not require grantees and subgrantees to file with the State Auditor the information described in subsections (b) and (c) of this section.
- (7) Require grantees and subgrantees to maintain reports, records, and other information to properly account for the expenditure of all grant funds and to make such reports, records, and other information available to the grantor State agency for oversight, monitoring, and evaluation purposes.
- (8) Require grantees and subgrantees to ensure that work papers in the possession of their auditors are available to the State Auditor for the purposes set out in subsection (i) of this section.
- (9) Require grantees to be responsible for managing and monitoring each project, program, or activity supported by grant funds and each subgrantee project, program, or activity supported by grant funds.
- (10) Provide procedures for the suspension of further disbursements or use of grant funds for noncompliance with these rules or other inappropriate use of the funds.
- (11) Provide procedures for use in appropriate circumstances for reinstatement of disbursements that have been suspended for noncompliance with these rules or other inappropriate use of grant funds.
- (12) Provide procedures for the recovery and return to the grantor State agency of unexpended grant funds from a grantee or subgrantee (i) in accordance with subsection (f1) of this section or (ii) in the event that the grantee or subgrantee is unable to fulfill the purposes of the grant for a reason not set forth in that subsection.

(d1) Required Grant Terms. – The terms of each grant shall include all of the following, which shall be deemed a part of the grant:

- (1) The limitation contained in G.S. 143C-6-8 concerning the availability of appropriated funds.
- (2) The relevant provisions of any legislation authorizing or governing the administration of the grant.
- (3) The terms of this section.

(e) Rules Are Subject to the Administrative Procedure Act. – Notwithstanding the provisions of G.S. 150B-2(8a)b. rules adopted pursuant to subsection (d) of this section are subject to the provisions of Chapter 150B of the General Statutes.

(f) Suspension and Recovery of Funds to Grant Recipients for Noncompliance. – The Office of State Budget and Management, after consultation with the administering State agency, shall have the power to suspend disbursement of grant funds to grantees or subgrantees, to prevent further use of grant funds already disbursed, and to recover grant funds already disbursed for noncompliance with rules adopted pursuant to subsection (d) of this section. If the grant funds are a pass-through of funds granted by an agency of the United States, then the Office of State Budget and Management must consult with the granting agency of the United States and the State agency that is the recipient of the pass-through funds prior to taking the actions authorized by this subsection.

(f1) Return of Grant Funds. – Except as otherwise required by federal law, a grantee or subgrantee shall return to the State all affected grant funds and interest earned on those funds if any of the following occurs:

- (1) The funds are in the possession or control of a grantee and are not expended, made subject to an encumbrance, or disbursed to a subgrantee by August 31 immediately following the fiscal year in which

the funds are appropriated by the General Assembly, or a different period set forth in the terms of the applicable appropriation or federal grant.

(2) The funds remain unexpended at the time that the grantee or subgrantee dissolves, ceases operations, or otherwise indicates that it does not intend to spend the funds.

(3) The Office of State Budget and Management seeks to recover the funds pursuant to subsection (f) of this section.

(f2) Use of Returned Grant Funds. – Encumbered funds returned to the State pursuant to subsection (f1) of this section by a grantee or subgrantee shall upon appropriation by the General Assembly be spent in accordance with the terms of the encumbrance. All other funds returned to the State by a grantee or subgrantee pursuant to subsection (f1) of this section shall be credited to the fund from which they were appropriated and shall remain unexpended and unencumbered until appropriated by the General Assembly. Nothing in this section shall be construed to authorize an expenditure pursuant to an unlawful encumbrance or in a manner that would violate the terms of the appropriation of the grant funds at issue.

(g) Audit Oversight. – The State Auditor has audit oversight, with respect to grant funds received by the grantee or subgrantee, pursuant to Article 5A of Chapter 147 of the General Statutes, of every grantee or subgrantee that receives, uses, or expends grant funds. A grantee or subgrantee must, upon request, furnish to the State Auditor for audit all books, records, and other information necessary for the State Auditor to account fully for the use and expenditure of grant funds received by the grantee or subgrantee. The grantee or subgrantee must furnish any additional financial or budgetary information requested by the State Auditor, including audit work papers in the possession of any auditor of a grantee or subgrantee directly related to the use and expenditure of grant funds.

(h) Report on Grant Recipients That Failed to Comply. – Not later than May 1, 2007, and by May 1 of every succeeding year, the Office of State Budget and Management shall report to the Joint Legislative Commission on Governmental Operations and the Fiscal Research Division on all grantees or subgrantees that failed to comply with this section with respect to grant funds received in the prior fiscal year.

(i) State Agencies to Submit Grant List to Auditor. – No later than October 1 of each year, each State agency shall submit a list to the State Auditor, in the format prescribed by the State Auditor, of every grantee to which the agency disbursed grant funds in the prior fiscal year. The list shall include the amount disbursed to each grantee and other information as required by the State Auditor to comply with the requirements of this section.

(j) Use of Interest Earned on Grant Funds. – Except as otherwise required by federal law or the terms of a federal grant, interest earned on grant funds after receipt of the funds by a grantee or subgrantee shall be credited to the grantee or subgrantee and shall be used for the same purposes for which the grant or subgrant was made.

(k) Reporting by Grantees and Subgrantees That Cease Operations. – A grantee or subgrantee that intends to dissolve or cease operations shall report that decision in writing to the Office of State Budget and Management and to the Fiscal Research Division at least 30 days prior to taking that action. (2006-203, s. 3; 2007-323, s. 28.22A(o); 2007-345, s. 12; 2014-100, s. 6.5(a); 2015-264, s. 21.)

09 NCAC 03M .0205 Minimum Reporting Requirements for Recipients and Subrecipients.

(a) For the purposes of this Subchapter, there are three reporting levels established for recipients and subrecipients receiving State financial assistance. Reporting levels are based on the level of State financial assistance from all funding sources. The reporting levels are:

(1) Level I – A recipient or subrecipient that receives, holds, uses, or expends State financial assistance in an amount less than twenty-five thousand dollars (\$25,000) within its fiscal year.

(2) Level II - A recipient or subrecipient that receives, holds, uses, or expends State financial assistance in an amount of at least twenty-five thousand (\$25,000) or greater, but less than five hundred thousand dollars (\$500,000) within its fiscal year.

(3) Level III – A recipient or subrecipient that receives, holds, uses, or expends State financial assistance in an amount equal to or greater than five hundred thousand dollars (\$500,000) within its fiscal year.

(b) Agencies shall establish reporting requirements for recipients that meet the following reporting standards on an annual basis:

(1) All recipients and subrecipients shall provide a certification that State financial assistance received or, held was used for the purposes for which it was awarded.

(2) All recipients and subrecipients shall provide an accounting of all State financial assistance received, held, used, or expended.

(3) Level II and III recipients and subrecipients shall report on activities and accomplishments undertaken by the recipient, including reporting on any performance measures established in the contract.

(4) Level III recipients and subrecipients shall have a single or program-specific audit prepared and completed in accordance with Generally Accepted Government Auditing Standards, also known as the Yellow Book.

(c) All reports shall be filed with the disbursing agency in the format and method specified by the agency no later than three months after the end of the recipient's fiscal year, unless the same information is already required through more frequent reporting. Audits must be provided to the funding agency no later than nine months after the end of the recipient's fiscal year.

(d) Agency-established reporting requirements to meet the standards set forth in Paragraph (b) of this Rule shall be specified in each recipient's contract.

(e) Unless prohibited by law, the costs of audits made in accordance with the provisions of this Rule shall be allowable charges to State and Federal awards. The charges may be considered a direct cost or an allocated indirect cost, as determined in accordance with cost principles outlined in the Code of Federal Regulations, 2 CFR Part 200. The cost of any audit not conducted in accordance with this Subchapter shall not be charged to State awards.

(f) Notwithstanding the provisions of this Subchapter, a recipient may satisfy the reporting requirements of Subparagraph (b)(4) of this Rule by submitting a copy of the report required under federal law with respect to the same funds.

QUARTERLY STATUS REPORT

Please use this reporting template for each of the quarterly reports

Recipient Name:	ABC of NC Child Development Center
Recipient Tax ID #	30-0111894
Project/Activity Title:	Autism Early Intervention Model Program
Reporting Period (Quarter):	4th quarter
Report Completion Date:	07/15/2025
Preparer of This Report:	Selene Johnson and Angela Pesenti

Were grant funds expended during this reporting period? ☒ Yes ☐ No

Do you certify that all expenses to date have been submitted for this grant? ☒ Yes ☐ No

Was any interest earned on these grant funds during this reporting period? ☐ Yes ☒ No

If Yes, specify the amount of interest earned over the life of the grant through this reporting period: \$ _____

Do you certify that all funds expended to date were used for the purposes for which they were awarded and in compliance with your contract? ☒ Yes ☐ No

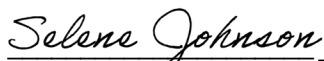
Did you make any subawards of this funding during this reporting period? ☐ Yes ☒ No

If yes, attach a list of subawards including subrecipient entity name, tax ID number, subaward amount, and an indication that the subrecipient is not on the State Suspension of Funding List or suspended or debarred from receiving federal funds if applicable. Please note that per the contract terms and conditions and State law, your organization is responsible for ensuring that any subrecipients comply with all requirements of your contract and provide you with adequate and timely information to fulfill your reporting obligations.

Final reports should be submitted once all funds are expended and grant activities are completed. Is this your final quarterly report? ☒ Yes ☐ No

If yes, please complete and sign below indicating that all expenses have been submitted and all funds and any interest earned were used for the purposes for which they were awarded and in compliance with the contract. Please note that all records pertaining to this grant must be retained for a minimum of five years or until all audit exceptions have been resolved, whichever is longer. If the contract is subject to federal policy and regulations, record retention may be longer than five years. Recipients are required to maintain reports and accounting records that support the allowable expenditure of State funds and shall make available all reports and records for inspection by the NC Department of Health and Human Services, the Office of State Budget and Management, and the Office of the State Auditor for oversight, monitoring, and evaluation purposes.

Selene Johnson, Executive Director
Name and Title of Organization Official



Signature of Organization Official

 07/10/2025
Date

1. Provide a brief report of activities and accomplishments related to your Grant Project during this reporting period.

Each year, serve 11-18 children diagnosed with or identified at risk for developing an autism spectrum disorder. MET. ABC of NC served 12 children in the intensive early intervention program this year.

Each year, provide quarterly team meetings, home visits, or virtual visits for parents/caregivers. MET. We also provided quarterly team meetings, home visits, or virtual visits for parents/caregivers.

Each year, offer autism-specific parent/caregiver classes on average twice per month. We offered, on average, 3.1 autism-specific parent/caregiver classes each month throughout the year (4th quarter data are: April=5; May=3; June=4).

2. Provide an update on the objectives, as outlined in your Scope of Work, of the grant project. Have you accomplished any of your objectives?

All students enrolled in the early intervention program had individualized education plans (IEPs) developed within the first 30 days of enrollment. IEP goals were developed from results of assessments, including the PICS, VB-MAPP, and Structured Play Assessment. All students are making progress towards their goals.

3. Provide an update on your expected results, as outlined in your Scope of Work, of the Grant Project(s). Have you accomplished any of your expected results?

Each year, 75% of early intervention program students will make progress on at least 60% of all initial IEP goals. MET. 89% of students made progress on at least 60% of initial IEP goals (students who participated one quarter or less were not included in the totals).

Each year, 75% of early intervention program students will demonstrate improvement on at least one (1) measure of the Pictorial Infant Communication Scales (PICS) – MET 88% of students met this goal or reached the maximum score; five (5) measures of the Verbal Behavior Milestones Assessment and Placement Program (VB-MAPP) – MET 88% of students met this goal or reached the maximum score; and at least one (1) level on the structured play assessment – MET 100% of students met the goal or reached the maximum score. Students who participated one quarter or less and those who received parent/caregiver consultation were not included in the totals.

4. Provide an update on your performance measures, as outlined in your Scope of Work, for the Grant Project(s).

Each year, parents/caregivers will report 85% satisfaction or higher with the services; data were collected via anonymous survey administered in June 2025. One parent/caregiver completed the survey. MET. Survey results indicated that 100% of respondents were “very likely” to use ABC of NC’s services again, given choices of very likely, likely, somewhat likely, and not at all likely.

5. Provide a summary of expenditures during the reporting period (quarter).

<u>Expenditure Category</u>	<u>Amount of Expenditure</u>
Personnel/Contracted Services Expenses (e.g., program-related staffing)	\$91,526.73
Supplies and Materials Expenses (e.g., office supplies):	\$1,878.66
Non-Fixed Operating Expenses (e.g., travel, utilities):	\$1,468.96
Fixed Operating Expenses (e.g., office rent, dues and subscriptions):	\$-0-
Property and Equipment Expenses (e.g., buildings and improvements):	\$-0-
Services/Contracts Expenses (e.g., purchase of services, contracts with service providers):	\$2,469.74
Other Expenses (Specify): Insurance, Background checks and med testing	\$2,655.91
Total Expenses:	\$100,000.00

6. Provide a summary of all grant expenditures through the end of the current reporting period. The total expenditures on this table should equal the cumulative expenditures from all completed quarterly reports to date.

<u>Expenditure Category</u>	<u>Amount of Expenditure</u>
Personnel/Contracted Services Expenses (e.g., program-related staffing)	\$366,107.00
Supplies and Materials Expenses (e.g., office supplies):	\$9,419.00
Non-Fixed Operating Expenses (e.g., travel, utilities):	\$4,787.00
Fixed Operating Expenses (e.g., office rent, dues and subscriptions):	\$-0-
Property and Equipment Expenses (e.g., buildings and improvements):	\$5,749.00
Services/Contracts Expenses (e.g., purchase of services, contracts with service providers):	\$7,126.00
Other Expenses (Specify): Insurance, Background checks and med testing	\$6,812.00
Total Expenses:	\$400,000.00

Please contact your Contract Administrator with any questions.

ATTACHMENT D REPORTING REQUIREMENTS & TEMPLATES

Reporting Requirements: This is a subaward for financial assistance. Financial Assistance Contracts are subject to the Uniform Administration of State Awards, Oversight and Reporting Requirements for recipient and subrecipients described in N.C. General Statute§ 143C-6-23(d) and in 09 NCAC 03M.205.

A non-governmental grantee who receives a combined \$500,000 or more funds from all state agencies must continue to submit a single or program-specific audit prepared and completed in accordance with Generally Accepted Government Auditing Standards, also known as a Yellow Book audit, to Risk Mitigation and Audit Monitoring at NCGrantsReporting@dhhs.nc.gov within 9 months of the grantee's fiscal year end.

DHHS encourages all of its non-governmental grantees that receive funds from other state agencies or DHHS divisions to contact their assigned contract monitor(s) to determine if year-end reports must be submitted for those particular grants/awards.

North Carolina State agencies are exempt from the reporting requirements of this section. Local governments that have reporting requirements for the Local Government Commission are exempt. All others are not exempt and must adhere to the reporting requirements of this section.

- (1) Quarterly Reports: see template in this attachment.
- (2) Reporting Timeframes and Due Dates. Once all grantfunds are expended and activities concluded, a final report can be submitted and no additional reports are required:

Reporting Period	Due Date
July 1, 2024- September 30, 2024	October 15, 2024
October 1, 2024 - December 31, 2024	January 15, 2025
January 1, 2025 - March 31, 2025	April 15, 2025
April 1, 2025- June 30, 2025	July 15, 2025
July 1, 2025 - September 30, 2025	October 15, 2025
October 1, 2025 - December 31, 2025	January 15, 2026
January 1, 2026 - March 31, 2026	April 15, 2026
April 1, 2026- June 30, 2026	July 15, 2026

N.C. General Statute § 143C-6-21-23. State Grant Funds: Administration; Oversight and Reporting Requirements.

§ 143C-6-21. Payments to nonprofits. Except as otherwise provided by law, an annual appropriation of one hundred thousand dollars (\$100,000) or less to or for the use of a nonprofit corporation may be made in a single annual payment, in the discretion of the Director of the Budget. An annual appropriation of more than one hundred thousand dollars (\$100,000) to or for the use of a nonprofit corporation shall be made in quarterly or monthly payments, in the discretion of the Director of the Budget. (2006-203, s. 3; 2013-360, s. 6.12(0).)

§ 143C-6-22. Use of State funds by non-State entities. (a) Disbursement and Use of State Funds. - Every non-State entity that receives, uses, or expends any State funds shall use or expend the funds only for the purposes for which they were appropriated by the General Assembly. State funds include federal funds that flow through the State Treasury. (b) Compliance by Non-State Entities. - If the Director of the Budget finds that a non-State entity has spent or encumbered State funds for an unauthorized purpose, or fails to submit or falsifies the information required by G.S. 143C-6-23 or any other provision of law, the Director shall take appropriate administrative action to ensure that no further irregularities or violations of law occur and shall report to the Attorney General any facts that pertain to an apparent violation of a criminal law or an apparent instance of malfeasance, misfeasance, or nonfeasance in connection with the use of State funds. Appropriate administrative action may include suspending or withholding the disbursement of State funds and recovering State funds previously disbursed. (c) Civil Actions. - Civil actions to recover State funds or to obtain other mandatory orders in the name of the State on relation of the Attorney General, or in the name of the Office of State Budget and Management, shall be filed in the General Court of Justice in Wake County. (2006-203, s. 3.)

§ 143C-6-23. State grant funds: administration; oversight and reporting requirements.

(a) Definitions. - The following definitions apply in this section:

(1) Grant or grant funds. - State funds disbursed as a grant by a State agency; however, the terms do not include any payment made by the Medicaid program, the State Health Plan for Teachers and State Employees, or other similar medical programs.

(2) Grantee. -A non-State entity that receives State funds as a grant from a State agency but does not include any non-State entity subject to the audit and other reporting requirements of the Local Government Commission.

(3) Encumbrance. -A financial obligation created by a purchase order, contract, salary commitment, unearned or prepaid collections for services provided, or other legally binding agreement. A financial obligation is not an encumbrance for purposes of this section unless it (i) is in writing and has been signed by a person or entity who has authority to legally bind the grantee or subgrantee to spend the funds or (ii) was created by the provision of goods or services to the grantee or subgrantee by a third party under circumstances that create a legally binding obligation to pay for the goods or services.

(4) Subgrantee. -A non-State entity that receives State funds as a grant from a grantee or from another subgrantee but does not include any non-State entity subject to the audit and other reporting requirements of the Local Government Commission.

(b) Conflict of Interest Policy. - Every grantee shall file with the State agency disbursing funds to the grantee a copy of that grantee's policy addressing conflicts of interest that may arise involving the grantee's management employees and the members of its board of directors or other governing body. The policy shall address situations in which any of these individuals may directly or indirectly benefit, except as the grantee's employees or members of its board or other governing body, from the grantee's disbursing of State funds, and shall include actions to be taken by the grantee or the individual, or both, to avoid conflicts of interest and the appearance of impropriety. The policy shall be filed before the disbursing State agency may disburse the grant funds.

(c) No Overdue Tax Debts. - Every grantee shall file with the State agency or department disbursing funds to the grantee a written statement completed by that grantee's board of directors or other governing body stating that the grantee does not have any overdue tax debts, as defined by G.S. 105-243.1, at the federal, State, or local level. The written statement shall be made under oath and shall be filed before the disbursing State agency or department may disburse the grant funds. A person who makes a false statement in violation of this subsection is guilty of a criminal offense punishable as provided by G.S. 143C-10-1.

(d) Office of State Budget Rules Must Require Uniform Administration of State Grants. - The Office of State Budget and Management shall adopt rules to ensure the uniform administration of State grants by all

grantor State agencies and grantees or subgrantees. The Office of State Budget and Management shall consult with the Office of the State Auditor and the Attorney General in establishing the rules required by this subsection. The rules shall establish policies and procedures for disbursements of State grants and for State agency oversight, monitoring, and evaluation of grantees and subgrantees. The policies and procedures shall:

- (1) Ensure that the purpose and reporting requirements of each grant are specified to the grantee.
- (2) Ensure that grantees specify the purpose and reporting requirements for grants made to subgrantees.
- (3) Ensure that State funds are spent in accordance with the purposes for which they were granted.
- (4) Hold the grantees and subgrantees accountable for the legal and appropriate expenditure of grant funds.
- (5) Provide for adequate oversight and monitoring to prevent the misuse of grant funds. These policies shall require each grantee and subgrantee to ensure that, for accounting purposes, State funds and interest earned on those funds remain separate and apart from other funds in the possession or control of the grantee or subgrantee.
- (6) Establish mandatory periodic reporting requirements for grantees and subgrantees, including methods of reporting, to provide financial and program performance information. The mandatory periodic reporting requirements shall require grantees and subgrantees to file with the State Auditor copies of reports and statements that are filed with State agencies pursuant to this subsection. Compliance with the mandatory periodic reporting requirements of this subdivision shall not require grantees and subgrantees to file with the State Auditor the information described in subsections (b) and (c) of this section.
- (7) Require grantees and subgrantees to maintain reports, records, and other information to properly account for the expenditure of all grant funds and to make such reports, records, and other information available to the grantor State agency for oversight, monitoring, and evaluation purposes.
- (8) Require grantees and subgrantees to ensure that work papers in the possession of their auditors are available to the State Auditor for the purposes set out in subsection (i) of this section.
- (9) Require grantees to be responsible for managing and monitoring each project, program, or activity supported by grant funds and each subgrantee project, program, or activity supported by grant funds.
- (10) Provide procedures for the suspension of further disbursements or use of grant funds for noncompliance with these rules or other inappropriate use of the funds.
- (11) Provide procedures for use in appropriate circumstances for reinstatement of disbursements that have been suspended for noncompliance with these rules or other inappropriate use of grant funds.
- (12) Provide procedures for the recovery and return to the grantor State agency of unexpended grant funds from a grantee or subgrantee (i) in accordance with subsection (f1) of this section or (ii) in the event that the grantee or subgrantee is unable to fulfill the purposes of the grant for a reason not set forth in that subsection.

(d1) Required Grant Terms. - The terms of each grant shall include all of the following, which shall be deemed a part of the grant:

- (1) The limitation contained in G.S. 143C-6-8 concerning the availability of appropriated funds.
- (2) The relevant provisions of any legislation authorizing or governing the administration of the grant.
- (3) The terms of this section.

(e) Rules Are Subject to the Administrative Procedure Act. - Notwithstanding the provisions of G.S. 150B-2(8a)b. rules adopted pursuant to subsection (d) of this section are subject to the provisions of Chapter 1508 of the General Statutes.

(f) Suspension and Recovery of Funds to Grant Recipients for Noncompliance. - The Office of State Budget and Management, after consultation with the administering State agency, shall have the power to suspend disbursement of grant funds to grantees or subgrantees, to prevent further use of grant funds already disbursed, and to recover grant funds already disbursed for noncompliance with rules adopted pursuant to subsection (d) of this section. If the grant funds are a pass-through of funds granted by an agency of the United States, then the Office of State Budget and Management must consult with the granting agency of the United States and the State agency that is the recipient of the pass-through funds prior to taking the actions authorized by this subsection.

(f1) Return of Grant Funds. - Except as otherwise required by federal law, a grantee or subgrantee shall return to the State all affected grant funds and interest earned on those funds if any of the following occurs:

- (1) The funds are in the possession or control of a grantee and are not expended, made subject to an encumbrance, or disbursed to a subgrantee by August 31 immediately following the fiscal year in which

the funds are appropriated by the General Assembly, or a different period set forth in the terms of the applicable appropriation or federal grant.

(2) The funds remain unexpended at the time that the grantee or subgrantee dissolves, ceases operations, or otherwise indicates that it does not intend to spend the funds.

(3) The Office of State Budget and Management seeks to recover the funds pursuant to subsection (f) of this section.

(f2) Use of Returned Grant Funds. - Encumbered funds returned to the State pursuant to subsection (f1) of this section by a grantee or subgrantee shall upon appropriation by the General Assembly be spent in accordance with the terms of the encumbrance. All other funds returned to the State by a grantee or subgrantee pursuant to subsection (f1) of this section shall be credited to the fund from which they were appropriated and shall remain unexpended and unencumbered until appropriated by the General Assembly. Nothing in this section shall be construed to authorize an expenditure pursuant to an unlawful encumbrance or in a manner that would violate the terms of the appropriation of the grant funds at issue.

(g) Audit Oversight. - The State Auditor has audit oversight, with respect to grant funds received by the grantee or subgrantee, pursuant to Article SA of Chapter 147 of the General Statutes, of every grantee or subgrantee that receives, uses, or expends grant funds. A grantee or subgrantee must, upon request, furnish to the State Auditor for audit all books, records, and other information necessary for the State Auditor to account fully for the use and expenditure of grant funds received by the grantee or subgrantee. The grantee or subgrantee must furnish any additional financial or budgetary information requested by the State Auditor, including audit work papers in the possession of any auditor of a grantee or subgrantee directly related to the use and expenditure of grant funds.

(h) Report on Grant Recipients That Failed to Comply. - Not later than May 1, 2007, and by May 1 of every succeeding year, the Office of State Budget and Management shall report to the Joint Legislative Commission on Governmental Operations and the Fiscal Research Division on all grantees or subgrantees that failed to comply with this section with respect to grant funds received in the prior fiscal year.

(i) State Agencies to Submit Grant List to Auditor. - No later than October 1 of each year, each State agency shall submit a list to the State Auditor, in the format prescribed by the State Auditor, of every grantee to which the agency disbursed grant funds in the prior fiscal year. The list shall include the amount disbursed to each grantee and other information as required by the State Auditor to comply with the requirements of this section.

(j) Use of Interest Earned on Grant Funds. - Except as otherwise required by federal law or the terms of a federal grant, interest earned on grant funds after receipt of the funds by a grantee or subgrantee shall be credited to the grantee or subgrantee and shall be used for the same purposes for which the grant or subgrant was made.

(k) Reporting by Grantees and Subgrantees That Cease Operations. - A grantee or subgrantee that intends to dissolve or cease operations shall report that decision in writing to the Office of State Budget and Management and to the Fiscal Research Division at least 30 days prior to taking that action. (2006-203, s. 3; 2007-323, s. 28.22A(o); 2007-345, s. 12; 2014-100, s. 6.5(a); 2015-264, s. 21.)

09 NCAC 03M .0205 Minimum Reporting Requirements for Recipients and Subrecipients.

(a) For the purposes of this Subchapter, there are three reporting levels established for recipients and subrecipients receiving State financial assistance. Reporting levels are based on the level of State financial assistance from all funding sources. The reporting levels are:

(1) Level I - A recipient or subrecipient that receives, holds, uses, or expends State financial assistance in an amount less than twenty-five thousand dollars (\$25,000) within its fiscal year.

(2) Level II - A recipient or subrecipient that receives, holds, uses, or expends State financial assistance in an amount of at least twenty-five thousand (\$25,000) or greater, but less than five hundred thousand dollars (\$500,000) within its fiscal year.

(3) Level III - A recipient or subrecipient that receives, holds, uses, or expends State financial assistance in an amount equal to or greater than five hundred thousand dollars (\$500,000) within its fiscal year.

(b) Agencies shall establish reporting requirements for recipients that meet the following reporting standards on an annual basis:

(1) All recipients and subrecipients shall provide a certification that State financial assistance received or, held was used for the purposes for which it was awarded.

(2) All recipients and subrecipients shall provide an accounting of all State financial assistance received, held, used, or expended.

(3) Level II and III recipients and subrecipients shall report on activities and accomplishments undertaken by the recipient, including reporting on any performance measures established in the contract.

(4) Level III recipients and subrecipients shall have a single or program-specific audit prepared and completed in accordance with Generally Accepted Government Auditing Standards, also known as the Yellow Book.

(c) All reports shall be filed with the disbursing agency in the format and method specified by the agency no later than three months after the end of the recipient's fiscal year, unless the same information is already required through more frequent reporting. Audits must be provided to the funding agency no later than nine months after the end of the recipient's fiscal year.

(d) Agency-established reporting requirements to meet the standards set forth in Paragraph (b) of this Rule shall be specified in each recipient's contract.

(e) Unless prohibited by law, the costs of audits made in accordance with the provisions of this Rule shall be allowable charges to State and Federal awards. The charges may be considered a direct cost or an allocated indirect cost, as determined in accordance with cost principles outlined in the Code of Federal Regulations, 2 CFR Part 200. The cost of any audit not conducted in accordance with this Subchapter shall not be charged to State awards.

(f) Notwithstanding the provisions of this Subchapter, a recipient may satisfy the reporting requirements of Subparagraph (b)(4) of this Rule by submitting a copy of the report required under federal law with respect to the same funds.

QUARTERLY STATUS REPORT

Please use this reporting template for each of the quarterly reports

Recipient Name:	Autism Society of North Carolina
Recipient Tax ID #	23-7087887
Project/Activity Title:	Autism Society of North Carolina
Reporting Period (Quarter):	Q1 SFY25
Report Completion Date:	10/15/24
Preparer of This Report:	Kerri Erb, Chief Program Officer and Edgar Moreno, Chief Financial Officer

Were grant funds expended during this reporting period? ☒ Yes ☐ No

Do you certify that all expenses to date have been submitted for this grant? ☒ Yes ☐ No

Was any interest earned on these grant funds during this reporting period? ☐ Yes ☒ No

If Yes, specify the amount of interest earned over the life of the grant through this reporting period: \$ _____

Do you certify that all funds expended to date were used for the purposes for which they were awarded and in compliance with your contract? ☒ Yes ☐ No

Did you make any subawards of this funding during this reporting period? ☐ Yes ☒ No

If yes, attach a list of subawards including subrecipient entity name, tax ID number, subaward amount, and an indication that the subrecipient is not on the State Suspension of Funding List or suspended or debarred from receiving federal funds if applicable. Please note that per the contract terms and conditions and State law, your organization is responsible for ensuring that any subrecipients comply with all requirements of your contract and provide you with adequate and timely information to fulfill your reporting obligations.

Final reports should be submitted once all funds are expended and grant activities are completed. Is this your final quarterly report? ☐ Yes ☒ No

If yes, please complete and sign below indicating that all expenses have been submitted and all funds and any interest earned were used for the purposes for which they were awarded and in compliance with the contract. Please note that all records pertaining to this grant must be retained for a minimum of five years or until all audit exceptions have been resolved, whichever is longer. If the contract is subject to federal policy and regulations, record retention may be longer than five years. Recipients are required to maintain reports and accounting records that support the allowable expenditure of State funds and shall make available all reports and records for inspection by the NC Department of Health and Human Services, the Office of State Budget and Management, and the Office of the State Auditor for oversight, monitoring, and evaluation purposes.


Name Kerri Erb Title of Chief Financial Officer

Signature

10/28/24
Date

1. Provide a brief report of activities and accomplishments related to your Grant Project during this reporting period.

Please see below in question 4 for a full report

2. Provide an update on the objectives, as outlined in your Scope of Work, of the grant project. Have you accomplished any of your objectives?

Yes, we are on track as predicted, and even exceeding in many areas at the end of 01.

3. Provide an update on your expected results, as outlined in your Scope of **Work**, of the Grant Project(s). Have you accomplished any of your expected results?

Yes- see numbers in attached document and in section 4 below.

4. Provide an update on your performance measures, as outlined in your Scope of Work, for the Grant Project(s).

QI: Parent to Parent Support

Yearly goal: An estimated 5,000 individuals will receive support by phone, through email, via video conferencing, via letter, and at in person meetings.

QI

- Number of calls/encounters:
 - o QI - 1811
 - o Number of crisis calls/encounters:
 - o QI - 69
- Number of in-person meetings:
 - o QI - 61
 - o Note that most meetings are now being held by phone or video conference, not in-person.
- Number of non-English speaking calls/encounters:
 - o QI - 61
- Number of newly diagnosed families referred to ASNC by diagnostic centers and outside agencies:
 - o QI - 115 (website: 45)
 - o Outcomes of these calls/encounters:
 - 4t** Of these calls/encounters, 95% will get at least one of the following immediate outcomes: attempted to contact, provided active/supportive listening, provided problem solving, provided information, provided referral and connection to resources, met with or attended meeting with individual.
 - 4t** 100% of encounters received at least one immediate outcome.
 - 4t** QI -100%

Outcome percentages - *note that percentages add up to more than 100% because individuals may receive more than one outcome per encounter.*

- Attempted to contact - 315, 17%
- Made calls to other agencies on behalf of - 34, 2%
- Provided active/supportive listening - 1280, 71%
- Provided problem solving -1329, 73%
 - ☐ Provided information -1138, 63%
 - ☐ Provided referral and connection to resources - 1060, 59%
 - ☐ Of the routine calls/encounters, 8% will get routine follow up
 - Quarterly follow-up across all routine calls: 12%
 - Of the crisis calls, 95% of those crisis calls/encounters will receive follow up
 - Quarterly percentage: 100%
- Additionally, staff including our Connection Specialist successfully connected 1143 people to various supports and information across our organization (ARS, Support Groups, Social Rec, toolkits, etc.) after they called for assistance to someone other than an Autism Resource Specialist.

Number of newly diagnosed families referred to ASNC by diagnostic centers and outside agencies: 115

{ASNC website: 45)

In addition, for the newly diagnosed families referred to us by diagnostic centers and outside agencies across the state ASNC will report on the following outcomes: 85% will get a letter from ASNC, 60% will get contact with ASNC ARS and 35% will receive contact from an ASNC chapter.

Current Quarter Newly Diagnosed/Welcome Packets:

- Received a letter and welcome packet from ASNC QI -100%
- Were contacted by an ARS QI - 99%
- Were contacted by a local support group QI - 100%

Support Groups

- o An estimated 20,000 individuals will receive on-going support through the Support Group online network, in face-to-face support meetings, and in information sessions.
 - o ASNC will also report the number of support groups, counties covered, volunteer hours (estimated) and Hispanic support groups
 - ☐ Number of Support Groups: 79 groups covering 80 counties
 - ☐ Hispanic Support Groups: 11
 - o ASNC will report on the number of people involved in in-person support activities: yearly goal is 2500
 - ☐ Quarterly in-person participants: 1,321
 - o ASNC will report on the number of families supported online: yearly goal is 20,000
 - ☐ Electronic/Online participation: 42,064

QI Outreach, Education and Trainings Report

- o Yearly goal: An estimated 4500 parents, family members, self-advocates, professionals, and other community members will receive direct training and support. 100 workshops to 4500 participants, 12 of those as webinars.
 - o Total number of workshops and attendees this quarter: QI Workshops 33 (ARS); 26 (Clinical); 3 (regional)
 - o Number of attendees: 666 (ARS); 1945 (Clinical); 64 reported from regions
 - o The number of webinars: 3
- o Number of workshops delivered in Spanish as part of Hispanic outreach: 1
- o Outcomes: These are combined outcomes across all ASNC workshops and trainings.
- o The outcome GOALS are as follows:
 - o Outcome - at least 80% of attendees indicate an increase in comfort level of information provided
 - o Outcome - at least 80% of people see an increase in their confidence in explaining/demonstrating content
 - o Outcome - at least 75% indicate intention to make changes to their behavior as a result of
- o The MEASURED outcomes for this year are:
 - o Outcome - 98 % of attendees indicated an increase in comfort level with the information provided in QI
 - o Outcome - 99% of people indicated an increase in their confidence in explaining/demonstrating the content in Q1
 - o Outcome - 98% indicated intention to make changes to their behavior as a result of workshop in QI

- o Yearly goal: 200 clinical training participants; 1945 QI
- o Total number of workshops: 63, training 2855 people

QI Direct Service Provision Report

Clinical

- o Provision of at least 50 consultative cases for families experiencing crisis to prevent institutionalization and develop strategies to keep them in the home. Total in QI: 11 (Behavioral); 3 (LCSW) - note LCSW left us this Q, likely will not be able to rehire until Q3, clinical and ARS staff absorbing the needs for now

Social Recreation

- o An estimated 300 overnight and 50 off season campers will receive therapeutic recreational services at Camp Royall.
 - o reduced due to staffing - 143
- o An estimated 500 participants (individuals with ASD and their families) will receive respite and support at Camp Royall
 - o Number of participants - 139
 - o Additional Outcomes GOALS for Social/Rec: Yearly survey should meet the following goals:
 - o Post survey indicates greater than 75% of attendees reported respite was provided for family or camper -100%
 - o 75% or greater report willingness to try new activities/broader range as a result of the training event - 97%
 - o 60% or greater report increased socialization as a result of the event - 100%

Residential/Day and Community Services

- o An estimated 14 individuals will receive residential services through community living arrangements.
 - o Number of participants for quarter: 14
- o An estimated 70 individuals will receive day program services
 - o Number of participants for quarter: 100
- o An estimated 600 families will be supported in their communities
 - o Number of participants for quarter: 668
- o An estimated 45 individuals will receive services through GHA.
 - o Number of participants for the quarter: 45
- o Outcomes also to be reported include
 - o Annual Satisfaction survey score - na this Q

Employment

- o An estimated 200 individuals will receive employment supports to obtain and maintain employment.
 - o Number of participants for quarter/FY: 252
 - o Outcomes to also be reported
 - ☐ Number who maintained employment: 145
 - 0 Number who obtained a new paid position: 14
 - 0 Average wage: \$15.21

FY 2024-2025 Quarter 1 Outreach and Education Report

Objective - An estimated five hundred parents and professionals will receive training services at the annual conference and regional conferences.

- The conference committee continued planning and preparation for the 2025 conference. Next year's event will be March 8 at the McKimmon Center in Raleigh.

Objective - Monthly informational email newsletter delivered to at least 19,000 unique addresses. (E-newsletter is twice monthly).

- ASNC (Autism Society of North Carolina) continued to email monthly newsletters to an average of 56,000 stakeholders. Each newsletter includes information about workshops and training (in person and virtual), links to resource materials, strategies, services, policy, and community events.

Objective - Printed informational publications to 29,000+ readers per edition.

- The 2024 Spectrum was printed and mailed to 29,475 addresses in August.

Objective - Social media reporting - number of members via Facebook, Instagram, and Twitter

- ASNC uses social media to share information that educates the autism community. Social media includes the Meta products Facebook and Instagram, X (formerly Twitter), and our blog.
- During the first quarter the Communications team posted consistently to social media channels sharing information, news, and helpful links.
- Audience growth for the quarter:
 - D Our Facebook Fan page grew by 323 to 20,934 followers.
 - D Instagram followers increased by 200 and now total 3,799.
 - D The X feed (formerly Twitter) has over 6,000 followers.
- **Reach** is the number of people who saw any content from our page and **Visits** are the number of times someone visited our pages.
 - D Our Facebook **Reach** during Q1 was 129,400, a 353% increase. Links clicks increase to 2,600, an increase of 800%
 - D Instagram **Reach** during the quarter was 13,000 users, an increase of 100%.
- ASNC also moderates a statewide group for parents/caregivers, autistic adults, and professionals. This group grew by 407 members in Q1 to 11,907.
- ASNC continued to facilitate additional online connectivity via 60+ local Facebook support groups including one for Spanish speaking members.
- Blog -ASNC posted 7 original blog articles during the quarter, including information about programs, staff profiles, legislative and policy, and parenting strategies.

Objective - Website - Increase visitors to website/blog by 3-5% annually.

- Users - or website visitors - totaled 66,000, a 4% increase from the previous reporting period. New users account for 64,000 of the visits.
- Page views totaled 185,000.
- Top pages visited while on the site were the Find Help map, careers, calendar of events, Camp Royall, and Talk with an Autism Resource Specialist.
- Top landing pages included Careers, Calendar of Events, talk with an Autism Resource Specialist, Run Walks for Autism, find a Diagnosis, and Find a Support Group.

Objective - Number of outreach and awareness events, media outreach:

The number of outreach events for the year:

- During Q1 ASNC participated in several awareness and educational outreach events such as the annual Autism Awareness promotion at UNC football in September.
- The department supported numerous statewide and local activities, including run/walk events,

local autism awareness activities, "friend-raisers" and public presentations.

- Community outreach via public relations through interviews and correspondence with traditional media continued. Topics included:
 - o Events hosted by ASNC.
 - o Autism information (signs, symptoms, and best practices for treatment)
 - o Local and statewide resources
 - o Employment and neurodiversity
- TV Designated Market Areas for NC and population reach:
 - o Statewide outlets: PBS North Carolina, NPR, Spectrum News, Univision/Telemundo
 - o Raleigh/Durham/Fayetteville - 1,237,230
 - o Greensboro/Winston-Salem - 717,110
 - o Asheville (includes Greenville/Spartanburg SC) - 940,000
 - o Charlotte - 1,290,660
- Newspaper and radio - Same DMAs targeted.

9. Deliverables

Please note any deliverables that were met during this contract period.

See above. Almost every deliverable exceeded projections, speaking to demand especially for Autism Resource Specialist's engagement and training to various community groups. We imagine Hurricane Helene will have an impact on some numbers in Q2, remains to be seen which direction.

5. Provide a summary of expenditures during the reporting period (quarter).

<u>Expenditure Category</u>	<u>Amount of Expenditure</u>
Personnel/Contracted Services Expenses (e.g., program-related staffing)	\$78,180
Supplies and Materials Expenses (e.g., office supplies):	\$
Non-Fixed Operating Expenses (e.g., travel, utilities):	\$10,266
Fixed Operating Expenses (e.g., office rent, dues and subscriptions):	\$8,649
Property and Equipment Expenses (e.g., buildings and improvements):	\$
Services/Contracts Expenses (e.g., purchase of services, contracts with service providers):	\$1,179
Other Expenses (Specify)	\$
Total Expenses:	\$98,274

6. Provide a summary of all grant expenditures through the end of the current reporting period. The total expenditures on this table should equal the cumulative expenditures from all completed quarterly reports to date.

<u>Expenditure Category</u>	<u>Amount of Expenditure</u>
Personnel/Contracted Services Expenses (e.g., program-related staffing):	\$78,180
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Services/Contracts Expenses (e.g., purchase of services, contracts with service providers):	\$1,179
Other Expenses (Specify):	\$
Total Expenses:	\$98,274

Please contact your Contract Administrator with any questions.

QUARTERLY STATUS REPORT
Please use this reporting template for each of the quarterly reports

Recipient Name:	Autism Society of North Carolina, Inc.
Recipient Tax ID #	23-7087887
Project/Activity Title:	47045
Reporting Period (Quarter):	4
Report Completion Date:	1/15/25
Preparer of This Report:	Edgar Moreno, CFO, Kerri Erb, CPO

Were grant funds expended during this reporting period? ☒ Yes ☐ No

Do you certify that all expenses to date have been submitted for this grant? ☒ Yes ☐ No

Do you certify that all funds to date were used for the purposes for which they were awarded and in compliance with your contract? ☐ Yes ☒ No

1. Provide a brief report of activities and accomplishments related to your Grant Project during this reporting period.

Q2: Parent to Parent Support

Yearly goal: An estimated 5,000 individuals will receive support by phone, through email, via video conferencing, via letter, and at in person meetings.

Number of calls/encounters: 1754

Number of crisis calls/encounters: 68

Number of in-person meetings: 125

*Note that most meetings are now being held by phone or video conference, not in-person.

Number of non-English speaking calls/encounters: 145

Number of newly diagnosed families referred to ASNC by diagnostic centers and outside agencies: 90

Outcomes of these calls/encounters:

Of these calls/encounters, 95% will get at least one of the following immediate outcomes: attempted to contact, provided active/supportive listening, provided problem solving, provided information, provided referral and connection to resources, met with or attended meeting with individual.

2. Provide an update on the objectives, as outlined in your Scope of Work, of the grant project. Have you accomplished any of your objectives?

Yes, see above for details.

3. Provide an update on your expected results, as outlined in your Scope of Work, of the Grant Project(s). Have you accomplished any of your expected results?

Yes, outcomes and deliverables are complete and in progress for the FY. See Section 1 for full details.

4. Provide an update on your performance measures, as outlined in your Scope of Work, for the Grant Project(s).

Please see Section 1.

5. Provide a summary of expenditures during the reporting period (quarter).

<u>Expenditure Category</u>	<u>Amount of Expenditure</u>	<u>Amount of Expenditure</u>
	SFY 2023-2024	SFY 2024-2025
<i>Amount of Appropriation</i>	358,608.00	358,608.00
Personnel/Contracted Services Expenses (e.g., program-related staffing)	\$ 75,347.00	\$ 76,810.00
Supplies and Materials Expenses (e.g., office supplies):	\$ 0.00	\$ 0.00
Non-Fixed Operating Expenses (e.g., travel, utilities):	\$ 3,311.00	\$ 1,890.00
Fixed Operating Expenses (e.g., office rent, dues and subscriptions):	\$ 8,868.00	\$ 8,649.00
Property and Equipment Expenses (e.g., buildings and improvements):	\$ 0.00	\$
Services/Contracts Expenses (e.g., purchase of services, contracts with service providers):	\$ 1,179.00	\$ 1,179.00
Other Expenses (Specify):	\$ 0.00	\$
Total Expenses:	\$ 88,705.00	\$ 88,528.00

6. Provide a summary of year-to-date expenditures through the end of the current reporting period. The total expenditures on this table should equal the cumulative expenditures from all completed quarterly reports to date.

<u>Expenditure Category</u>	<u>Amount of Expenditure</u>	<u>Amount of Expenditure</u>
	SFY 2023-2024	SFY 2024-2025
<i>Amount of Appropriation</i>	358,608.00	358,608.00
Personnel/Contracted Services Expenses (e.g., program-related staffing)	\$ 301,388.00	\$ 154,990.00
Supplies and Materials Expenses (e.g., office supplies):	\$ 0.00	\$
Non-Fixed Operating Expenses (e.g., travel, utilities):	\$ 17,032.00	\$ 12,156.00
Fixed Operating Expenses (e.g., office rent, dues and subscriptions):	\$ 35,472.00	\$ 17,298.00
Property and Equipment Expenses (e.g., buildings and improvements):	\$ 0.00	\$
Services/Contracts Expenses (e.g., purchase of services, contracts with service providers):	\$ 4,716.00	\$ 2,358.00
Other Expenses (Specify):	\$ 0.00	\$
Total Expenses:	\$ 358,608.00	\$ 169,504.00

If there are any questions, please contact the Contract Administrator.

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Were grant funds expended during this reporting period? ☒ Yes ☐ No

Do you certify that all expenses to date have been submitted for this grant? ☒ Yes ☐ No

Do you certify that all funds to date were used for the purposes for which they were awarded and in compliance with your contract? ☒ Yes ☐ No

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Of these calls/encounters, 95% will get at least one of the following immediate outcomes: attempted to contact, provided active/supportive listening, provided problem solving, provided information, provided referral and connection to resources, met with or attended meeting with individual.

2. Provide an update on the objectives, as outlined in your Scope of Work, of the grant project. Have you accomplished any of your objectives?

Yes, see above for details.

3. Provide an update on your expected results, as outlined in your Scope of Work, of the Grant Project(s). Have you accomplished any of your expected results?

Yes, outcomes and deliverables are complete and in progress for the FY. See Section 1 for full details.

4. Provide an update on your performance measures, as outlined in your Scope of Work, for the Grant Project(s).

Please see Section 1.

5. Provide a summary of expenditures during the reporting period (quarter).

<u>Expenditure Category</u>	<u>Amount of Expenditure</u>	<u>Amount of Expenditure</u>
	SFY 2023-2024	SFY 2024-2025
<i>Amount of Appropriation</i>	358,608.00	358,608.00
Personnel/Contracted Services Expenses (e.g., program-related staffing)	\$ 75,347.00	\$ 76,810.00
Supplies and Materials Expenses (e.g., office supplies):	\$ 0.00	\$ 0.00
Non-Fixed Operating Expenses (e.g., travel, utilities):	\$ 3,311.00	\$ 1,890.00
Fixed Operating Expenses (e.g., office rent, dues and subscriptions):	\$ 8,868.00	\$ 8,649.00
Property and Equipment Expenses (e.g., buildings and improvements):	\$ 0.00	\$
Services/Contracts Expenses (e.g., purchase of services, contracts with service providers):	\$ 1,179.00	\$ 1,179.00
Other Expenses (Specify):	\$ 0.00	\$
Total Expenses:	\$ 88,705.00	\$ 88,528.00

6. Provide a summary of year-to-date expenditures through the end of the current reporting period. The total expenditures on this table should equal the cumulative expenditures from all completed quarterly reports to date.

<u>Expenditure Category</u>	<u>Amount of Expenditure</u>	<u>Amount of Expenditure</u>
	SFY 2023-2024	SFY 2024-2025
<i>Amount of Appropriation</i>	358,608.00	358,608.00
Personnel/Contracted Services Expenses (e.g., program-related staffing)	\$ 301,388.00	\$ 154,990.00
Supplies and Materials Expenses (e.g., office supplies):	\$ 0.00	\$
Non-Fixed Operating Expenses (e.g., travel, utilities):	\$ 17,032.00	\$ 12,156.00
Fixed Operating Expenses (e.g., office rent, dues and subscriptions):	\$ 35,472.00	\$ 17,298.00
Property and Equipment Expenses (e.g., buildings and improvements):	\$ 0.00	\$
Services/Contracts Expenses (e.g., purchase of services, contracts with service providers):	\$ 4,716.00	\$ 2,358.00
Other Expenses (Specify):	\$ 0.00	\$
Total Expenses:	\$ 358,608.00	\$ 169,504.00

If there are any questions, please contact the Contract Administrator.

QUARTERLY STATUS REPORT

Please use this reporting template for each of the quarterly reports

Recipient Name:	Easterseals UCP NC & VA, Inc
Recipient Tax ID #	56-0670676
Project/Activity Title:	START
Reporting Period (Quarter):	Q1
Report Completion Date:	10/11/24
Preparer of This Report:	Brittney Peters-Barnes

Were grant funds expended during this reporting period? ☒ Yes ☐ No

Do you certify that all expenses to date have been submitted for this grant? ☒ Yes ☐ No

Was any interest earned on these grant funds during this reporting period? ☐ Yes ☒ No

If Yes, specify the amount of interest earned over the life of the grant through this reporting period: \$ _____

Do you certify that all funds expended to date were used for the purposes for which they were awarded and in compliance with your contract? ☒ Yes ☐ No

Did you make any subawards of this funding during this reporting period? ☐ Yes ☒ No

If yes, attach a list of subawards including subrecipient entity name, tax ID number, subaward amount, and an indication that the subrecipient is not on the State Suspension of Funding List or suspended or debarred from receiving federal funds if applicable. Please note that per the contract terms and conditions and State law, your organization is responsible for ensuring that any subrecipients comply with all requirements of your contract and provide you with adequate and timely information to fulfill your reporting obligations.

Final reports should be submitted once all funds are expended and grant activities are completed. Is this your final quarterly report? ☐ Yes ☒ No

If yes, please complete and sign below indicating that all expenses have been submitted and all funds and any interest earned were used for the purposes for which they were awarded and in compliance with the contract. Please note that all records pertaining to this grant must be retained for a minimum of five years or until all audit exceptions have been resolved, whichever is longer. If the contract is subject to federal policy and regulations, record retention may be longer than five years. Recipients are required to maintain reports and accounting records that support the allowable expenditure of State funds and shall make available all reports and records for inspection by the NC Department of Health and Human Services, the Office of State Budget and Management, and the Office of the State Auditor for oversight, monitoring, and evaluation purposes.

____Tristan Robertson, Vice President, Child & Family Services_____
Name and Title of Organization Official

____*Tristan Robertson*_____
Signature of Organization Official

____10/11/24_____
Date

1. Provide a brief report of activities and accomplishments related to your Grant Project during this reporting period.

- The program is continuing to make progress with decreasing the waitlist for services for adults. We continue to take referrals for Children with Complex Needs (CWCN) from all LME-MCOs.
- Increase in utilization of the Resource Center and overall new adult START recipients who are accessing the Resource Center.
- Individuals served who experience crises have decreased loss of placement. As of this report, 89% of individuals who experience crisis events have maintained their placement.

2. Provide an update on the objectives, as outlined in your Scope of Work, of the grant project. Have you accomplished any of your objectives?

- NC START Central is working to develop additional training partnerships with the NC START East and West teams in collaboration with DMH
- Continued maintenance of training partnerships with UNC School of Social Work and the Arc of NC. This increases capacity for providers at multiple levels of the system of care. Leadership has completed trainings within the UNC School of Social Work and with other providers
- As of this report, NC START Central is now fully staffed for coordinators. NC START Central's clinical specialist continues to maintain the waitlist for services with monthly outreach to individual awaiting services. 27 individuals have been removed from the waitlist within Q1.

3. Provide an update on your expected results, as outlined in your Scope of Work, of the Grant Project(s). Have you accomplished any of your expected results?

Within Q1, 19 of the 146 individuals served experienced crisis events. 89% of those individuals were able to maintain their placement. Through crisis prevention activities and intervention support, individuals served experienced a decrease in emergency services and ED utilization. Significant decreases occurred for children and transition age youth. Ongoing training throughout central NC, and the state at large continue to increase community capacity.

4. Provide an update on your performance measures, as outlined in your Scope of Work, for the Grant Project(s).

1. 80% or more of persons served will maintain their setting (current living environment) and avoid long-term hospitalization or a higher level of care. This decreases the strain on the broader system of care and improves outcomes for persons served.

- 19 individuals served made up 37 crisis contacts during Q1. Of those 25 individuals, 17 maintained their setting and 2 did not. Maintain setting also includes individuals who briefly went to the ED and were released back to their original home/setting. 89% of individuals who experienced crisis events during this quarter maintained their setting.

2. Individuals served will experience at least a 20% reduction in emergency services usage compared to usage prior to receiving START services

- This is an annual overall goal. Within the Q1 reporting period, 146 individuals received services within the Central region during this period.
- Prior to receiving services, 39% of these individuals (57 people) experienced psychiatric hospitalization. Post involvement with START, 20% of those individuals (29 people) experienced hospitalization. This is an overall 48% reduction in psychiatric hospitalization.
- Prior to receiving services, 49% of these individuals (72 people) experienced emergency department visits. Post involvement with START, 45% of these individuals (67 people) experience ED usage. This is an overall 7% reduction in ED usage. This number is impacted by ongoing systemic challenges which has led to an increase in providers using the emergency department. This number is also impacted by regional transfers of START recipients from the East and West teams who have moved to the Central region after significant crisis histories. The team is working to address these concerns within the next reporting quarter.

3. At least 10% of individuals served over the age of 18 will utilize the NC START Resource Center for planned and emergency supports.

- This is an overall annual goal. 15 individuals accessed the Resource Center in Q1. There were 75 eligible (over 18) individuals during this reporting period. This is 20% of eligible individuals accessing the Resource Center

4. Community outreach (defined as trainings that are not specific to one individual) will exceed 150 training hours per year for all planned services and supports for the purpose of improved capacity of the community systems to provide effective crisis prevention and intervention.

- This is an overall annual goal. Within Q1, the START program has completed 24 hours of community training.

5. Waitlist for NC START will be decreased by 15% to increase access to services for adult recipients (15% or more of individuals on the waitlist will be activated for services or removed from the waitlist per year).

- This is an overall annual goal. At the beginning of this fiscal year the waitlist size was 138 individuals. Within this reporting quarter, the waitlist decreased to 117 individuals
- Individuals removed from waitlist: Q1 – 27

5. Provide a summary of expenditures during the reporting period (quarter).

Expenditure Category	Amount of Expenditure
Personnel/Contracted Services Expenses (e.g., program-related staffing)	\$80,111
Supplies and Materials Expenses (e.g., office supplies):	\$
Non-Fixed Operating Expenses (e.g., travel, utilities):	\$ 224
Fixed Operating Expenses (e.g., office rent, dues and subscriptions):	\$
Property and Equipment Expenses (e.g., buildings and improvements):	\$
Services/Contracts Expenses (e.g., purchase of services, contracts with service providers):	\$ 17,640
Other Expenses (Specify):	\$
Total Expenses:	\$97,975

6. Provide a summary of all grant expenditures through the end of the current reporting period. The total expenditures on this table should equal the cumulative expenditures from all completed quarterly reports to date.

Expenditure Category	Amount of Expenditure
Personnel/Contracted Services Expenses (e.g., program-related staffing)	\$80,111
Supplies and Materials Expenses (e.g., office supplies):	\$
Non-Fixed Operating Expenses (e.g., travel, utilities):	\$
Fixed Operating Expenses (e.g., office rent, dues and subscriptions):	\$ 224
Property and Equipment Expenses (e.g., buildings and improvements):	\$
Services/Contracts Expenses (e.g., purchase of services, contracts with service providers):	\$17,640
Other Expenses (Specify):	\$
Total Expenses:	\$97,975

Please contact your Contract Administrator with any questions.

QUARTERLY STATUS REPORT

Please use this reporting template for each of the quarterly reports

Recipient Name:	Easterseals UCP NC & VA, Inc
Recipient Tax ID #	56-0670676
Project/Activity Title:	START
Reporting Period (Quarter):	Q2
Report Completion Date:	1/21/25
Preparer of This Report:	Brittney Peters-Barnes

Were grant funds expended during this reporting period? ☒ Yes ☐ No

Do you certify that all expenses to date have been submitted for this grant? ☒ Yes ☐ No

Was any interest earned on these grant funds during this reporting period? ☐ Yes ☒ No

If Yes, specify the amount of interest earned over the life of the grant through this reporting period: \$ _____

Do you certify that all funds expended to date were used for the purposes for which they were awarded and in compliance with your contract? ☒ Yes ☐ No

Did you make any subawards of this funding during this reporting period? ☐ Yes ☒ No

If yes, attach a list of subawards including subrecipient entity name, tax ID number, subaward amount, and an indication that the subrecipient is not on the State Suspension of Funding List or suspended or debarred from receiving federal funds if applicable. Please note that per the contract terms and conditions and State law, your organization is responsible for ensuring that any subrecipients comply with all requirements of your contract and provide you with adequate and timely information to fulfill your reporting obligations.

Final reports should be submitted once all funds are expended and grant activities are completed. Is this your final quarterly report? ☐ Yes ☒ No

If yes, please complete and sign below indicating that all expenses have been submitted and all funds and any interest earned were used for the purposes for which they were awarded and in compliance with the contract. Please note that all records pertaining to this grant must be retained for a minimum of five years or until all audit exceptions have been resolved, whichever is longer. If the contract is subject to federal policy and regulations, record retention may be longer than five years. Recipients are required to maintain reports and accounting records that support the allowable expenditure of State funds and shall make available all reports and records for inspection by the NC Department of Health and Human Services, the Office of State Budget and Management, and the Office of the State Auditor for oversight, monitoring, and evaluation purposes.

__Tristan Robertson, Vice President Child & Family Services__
Name and Title of Organization Official

_____*Tristan Robertson*_____
Signature of Organization Official

__1/21/25_____
Date

1. Provide a brief report of activities and accomplishments related to your Grant Project during this reporting period.

- Continued training and collaboration partnerships are being developed with Wake County Public Schools (WCPSS) and the Carolina Institute for Developmental Disabilities (CIDD)
- Collaboration with Alliance to incorporate training with the Skills System
- Continued improvement of Resource Center usage
- The program is continuing to make progress with decreasing the waitlist for services for adults. We continue to take referrals for Children with Complex Needs (CWCN) from all LME-MCOs.
- Increase in individuals requesting reactivation for services and individuals transferring to the Central region from other East/West START programs
- Individuals served who experience crises have decreased loss of placement. As of this report, 80% of individuals who experience crisis events have maintained their placement.

2. Provide an update on the objectives, as outlined in your Scope of Work, of the grant project. Have you accomplished any of your objectives?

- NC START Central is working to develop additional training partnerships with the NC START East and West teams in collaboration with DMH
- Continued maintenance of training partnerships with UNC School of Social Work and the Arc of NC. Development of training and collaborative partnerships with CIDD/Alliance Health and WCPSS. This increases capacity for providers at multiple levels of the system of care. Leadership has completed trainings within the UNC School of Social Work and with other community providers
- NC START Central's clinical specialist continues to maintain the waitlist for services with monthly outreach to individual awaiting services. 32 individuals have been removed from the waitlist within Q2.

3. Provide an update on your expected results, as outlined in your Scope of Work, of the Grant Project(s). Have you accomplished any of your expected results?

Within Q2, 15 of the 146 individuals served experienced crisis events (requiring crisis response from START staff). 80% of those individuals were able to maintain their placement. Through crisis prevention activities and intervention support, individuals served experienced a decrease in emergency services and ED utilization. Significant decreases occurred for children and transition age youth. Ongoing training throughout central NC, and the state at large continue to increase community capacity.

4. Provide an update on your performance measures, as outlined in your Scope of Work, for the Grant Project(s).

1. 80% or more of persons served will maintain their setting (current living environment) and avoid long-term hospitalization or a higher level of care. This decreases the strain on the broader system of care and improves outcomes for persons served.

15 individuals served made up 24 crisis contacts during Q2. Of those 15 individuals, 12 maintained their setting and 3 did not. Maintain setting also includes individuals who briefly went to the ED and were released back to their original home/setting. 80% of individuals who experienced crisis events during this quarter maintained their setting.

2. Individuals served will experience at least a 20% reduction in emergency services usage compared to usage prior to receiving START services

This is an annual overall goal. Within the Q2 reporting period, 146 individuals received services within the Central region during this period.

- Prior to receiving services, 38% of these individuals (55 people) experienced psychiatric hospitalization. Post involvement with START, 20% of those individuals (29 people) experienced hospitalization. This is an overall 47% reduction in psychiatric hospitalization.

- Prior to receiving services, 51% of these individuals (74 people) experienced emergency department visits. Post involvement with START, 47% of these individuals (68 people) experience ED usage. This is an overall 8% reduction in ED usage. This number is impacted by ongoing systemic challenges which has led to an increase in providers using the emergency department. This number is also impacted by regional transfers of START recipients from the East and West teams who have moved to the Central region after significant crisis histories. The team is collaborating with community partners to address these concerns within the next reporting quarter.

3. At least 10% of individuals served over the age of 18 will utilize the NC START Resource Center for planned and emergency supports

This is an overall annual goal. 10 individuals accessed the Resource Center in Q2. There were 77 eligible (over 18) individuals during this reporting period. This is 13% of eligible individuals accessing the Resource Center

4. Community outreach (defined as trainings that are not specific to one individual) will exceed 150 training hours per year for all planned services and supports for the purpose of improved capacity of the community systems to provide effective crisis prevention and intervention.

This is an overall annual goal. As of Q2, the START program has completed 49 hours of community training.

5. Waitlist for NC START will be decreased by 15% to increase access to services for adult recipients (15% or more of individuals on the waitlist will be activated for services or removed from the waitlist per year)

This is an overall annual goal. At the beginning of this fiscal year the waitlist size was 138 individuals. Within this reporting quarter, the waitlist decreased to 98 individuals, which included the addition of 11 new referrals
Individuals removed from waitlist: Q1 – 27, Q2 - 32

5. Provide a summary of expenditures during the reporting period (quarter).

Expenditure Category	Amount of Expenditure
Personnel/Contracted Services Expenses (e.g., program-related staffing)	\$73,697
Supplies and Materials Expenses (e.g., office supplies):	\$
Non-Fixed Operating Expenses (e.g., travel, utilities):	\$ 224
Fixed Operating Expenses (e.g., office rent, dues and subscriptions):	\$
Property and Equipment Expenses (e.g., buildings and improvements):	\$
Services/Contracts Expenses (e.g., purchase of services, contracts with service providers):	\$
Other Expenses (Specify): training	\$ 2,850
Total Expenses:	\$76,772

6. Provide a summary of all grant expenditures through the end of the current reporting period. The total expenditures on this table should equal the cumulative expenditures from all completed quarterly reports to date.

Expenditure Category	Amount of Expenditure
Personnel/Contracted Services Expenses (e.g., program-related staffing)	\$153,808
Supplies and Materials Expenses (e.g., office supplies):	\$
Non-Fixed Operating Expenses (e.g., travel, utilities):	\$ 449
Fixed Operating Expenses (e.g., office rent, dues and subscriptions):	\$ 17,640
Property and Equipment Expenses (e.g., buildings and improvements):	\$
Services/Contracts Expenses (e.g., purchase of services, contracts with service providers):	\$
Other Expenses (Specify): training	\$ 2,850
Total Expenses:	\$174,747

Please contact your Contract Administrator with any questions.

QUARTERLY STATUS REPORT

Please use this reporting template for each of the quarterly reports

Recipient Name:	Easterseals UCP NC & VA, Inc
Recipient Tax ID #	56-0670676
Project/Activity Title:	START
Reporting Period (Quarter):	Q3
Report Completion Date:	4/15/25
Preparer of This Report:	Brittney Peters-Barnes

Were grant funds expended during this reporting period? ☒ Yes ☐ No

Do you certify that all expenses to date have been submitted for this grant? ☒ Yes ☐ No

Was any interest earned on these grant funds during this reporting period? ☐ Yes ☒ No

If Yes, specify the amount of interest earned over the life of the grant through this reporting period: \$ _____

Do you certify that all funds expended to date were used for the purposes for which they were awarded and in compliance with your contract? ☒ Yes ☐ No

Did you make any subawards of this funding during this reporting period? ☐ Yes ☒ No

If yes, attach a list of subawards including subrecipient entity name, tax ID number, subaward amount, and an indication that the subrecipient is not on the State Suspension of Funding List or suspended or debarred from receiving federal funds if applicable. Please note that per the contract terms and conditions and State law, your organization is responsible for ensuring that any subrecipients comply with all requirements of your contract and provide you with adequate and timely information to fulfill your reporting obligations.

Final reports should be submitted once all funds are expended and grant activities are completed. Is this your final quarterly report? ☐ Yes ☒ No

If yes, please complete and sign below indicating that all expenses have been submitted and all funds and any interest earned were used for the purposes for which they were awarded and in compliance with the contract. Please note that all records pertaining to this grant must be retained for a minimum of five years or until all audit exceptions have been resolved, whichever is longer. If the contract is subject to federal policy and regulations, record retention may be longer than five years. Recipients are required to maintain reports and accounting records that support the allowable expenditure of State funds and shall make available all reports and records for inspection by the NC Department of Health and Human Services, the Office of State Budget and Management, and the Office of the State Auditor for oversight, monitoring, and evaluation purposes.

__Tristan Robertson, VP of Child & Family Services_____
Name and Title of Organization Official

Tristan Robertson
Signature of Organization Official

4/15/25
Date

1. Provide a brief report of activities and accomplishments related to your Grant Project during this reporting period.

- New collaboration with the Durham HEART Co-responder program
- New collaboration with Triangle Disability and Autism Services, providing training to staff within their Building Abilities Day Program
- Continued training and collaboration partnerships with Wake County Public Schools (WCPSS) and the Carolina Institute for Developmental Disabilities (CIDD). Initiated collaboration partnership with Guilford County Public Schools
- Continued collaboration with Alliance to incorporate training with the Skills System
- The program is continuing to make progress with decreasing the waitlist for services for adults. We continue to take referrals for Children with Complex Needs (CWCN) from all LME-MCOs.
- Individuals served who experience crises continue to experience a decreased in loss of placement. As of this report, 80% of individuals who experience crisis events have maintained their placement.

2. Provide an update on the objectives, as outlined in your Scope of Work, of the grant project. Have you accomplished any of your objectives?

- Continued maintenance of training partnerships with UNC School of Social Work, the Arc of NC, and regional CIT programs. Development of training and collaborative partnerships with CIDD/Alliance Health and WCPSS. This increases capacity for providers at multiple levels of the system of care. Leadership has completed trainings within the UNC School of Social Work and with other community providers. Leadership is also collaborating with local family advocates to identify resources and develop collaboration to support families within the I/DD community
- Additional training partnership with the Durham County Library to support staff with understanding and supporting individuals with I/DD
- NC START Central's clinical specialist continues to maintain the waitlist for services with monthly outreach to individual awaiting services. 25 individuals have been removed from the waitlist within Q3.

3. Provide an update on your expected results, as outlined in your Scope of Work, of the Grant Project(s). Have you accomplished any of your expected results?

Within Q2, 15 of the 146 individuals served experienced crisis events (requiring crisis response from START staff). 80% of those individuals were able to maintain their placement. Through crisis prevention activities and intervention support, individuals served experienced a decrease in emergency services and ED utilization. Ongoing training throughout central NC, and the state at large continue to increase community capacity. NC START Central continues to increase access to the START Resource center to new recipients. The program has accomplished the goal of reducing the waitlist for services within this fiscal year.

4. Provide an update on your performance measures, as outlined in your Scope of Work, for the Grant Project(s).

1. 80% or more of persons served will maintain their setting (current living environment) and avoid long-term hospitalization or a higher level of care. This decreases the strain on the broader system of care and improves outcomes for persons served.

15 individuals served made up 20 crisis contacts during Q3. Of those 15 individuals, 12 maintained their setting and 3 did not. Maintain setting also includes individuals who briefly went to the ED and were released back to their original home/setting. 80% of individuals who experienced crisis events during this quarter maintained their setting.

2. Individuals served will experience at least a 20% reduction in emergency services usage compared to usage prior to receiving START services

This is an annual overall goal. Within the Q3 reporting period, the National Center for START Services has shifted reporting to highlight individuals who were enrolled in START services within the past 2 years. For NC START Central this is 75 individuals.

- Prior to receiving services, 29% of these individuals (22 people) experienced psychiatric hospitalization. Post involvement with START, 12% of those individuals (9 people) experienced hospitalization. This is an overall 59% reduction in psychiatric hospitalization.

- Prior to receiving services, 45% of these individuals (34 people) experienced emergency department visits. Post involvement with START, 33% of these individuals (25 people) experience ED usage. This is an overall 26% reduction in ED usage.

3. At least 10% of individuals served over the age of 18 will utilize the NC START Resource Center for planned and emergency supports

This is an overall annual goal. 9 individuals accessed the Resource Center in Q3. There were 60 eligible (over 18) individuals during this reporting period. This is 15% of eligible individuals accessing the Resource Center

4. Community outreach (defined as trainings that are not specific to one individual) will exceed 150 training hours per year for all planned services and supports for the purpose of improved capacity of the community systems to provide effective crisis prevention and intervention.

This is an overall annual goal. As of Q3, the START program has completed 78 hours of community training. This is on pace to be below the previous goal of 150 hours due to decreased staffing capacity in FY25.

5. Waitlist for NC START will be decreased by 15% to increase access to services for adult recipients (15% or more of individuals on the waitlist will be activated for services or removed from the waitlist per year)

This is an overall annual goal. At the beginning of this fiscal year the waitlist size was 138 individuals. Within this reporting quarter, the waitlist decreased to 74 individuals, which included the addition of 3 new referrals
Individuals removed from waitlist: Q1 – 27, Q2 – 32, Q3 - 25

5. Provide a summary of expenditures during the reporting period (quarter).

Expenditure Category	Amount of Expenditure
Personnel/Contracted Services Expenses (e.g., program-related staffing)	\$87,678
Supplies and Materials Expenses (e.g., office supplies):	\$
Non-Fixed Operating Expenses (e.g., travel, utilities):	\$ 231
Fixed Operating Expenses (e.g., office rent, dues and subscriptions):	\$
Property and Equipment Expenses (e.g., buildings and improvements):	\$
Services/Contracts Expenses (e.g., purchase of services, contracts with service providers):	\$
Other Expenses (Specify): training	\$ 0
Total Expenses:	\$87,909

6. Provide a summary of all grant expenditures through the end of the current reporting period. The total expenditures on this table should equal the cumulative expenditures from all completed quarterly reports to date.

Expenditure Category	Amount of Expenditure
Personnel/Contracted Services Expenses (e.g., program-related staffing)	\$241,486
Supplies and Materials Expenses (e.g., office supplies):	\$
Non-Fixed Operating Expenses (e.g., travel, utilities):	\$ 680
Fixed Operating Expenses (e.g., office rent, dues and subscriptions):	\$ 17,640
Property and Equipment Expenses (e.g., buildings and improvements):	\$
Services/Contracts Expenses (e.g., purchase of services, contracts with service providers):	\$
Other Expenses (Specify): training	\$ 2,850
Total Expenses:	\$262,656

Please contact your Contract Administrator with any questions.

QUARTERLY STATUS REPORT

Please use this reporting template for each of the quarterly reports

Recipient Name:	Easterseals UCP NC & VA, Inc
Recipient Tax ID #	56-0670676
Project/Activity Title:	START
Reporting Period (Quarter):	Q4
Report Completion Date:	7/14/25
Preparer of This Report:	Brittney Peters-Barnes

Were grant funds expended during this reporting period? ☒ Yes ☐ No

Do you certify that all expenses to date have been submitted for this grant? ☒ Yes ☐ No

Was any interest earned on these grant funds during this reporting period? ☐ Yes ☒ No

If Yes, specify the amount of interest earned over the life of the grant through this reporting period: \$ _____

Do you certify that all funds expended to date were used for the purposes for which they were awarded and in compliance with your contract? ☒ Yes ☐ No

Did you make any subawards of this funding during this reporting period? ☐ Yes ☒ No

If yes, attach a list of subawards including subrecipient entity name, tax ID number, subaward amount, and an indication that the subrecipient is not on the State Suspension of Funding List or suspended or debarred from receiving federal funds if applicable. Please note that per the contract terms and conditions and State law, your organization is responsible for ensuring that any subrecipients comply with all requirements of your contract and provide you with adequate and timely information to fulfill your reporting obligations.

Final reports should be submitted once all funds are expended and grant activities are completed. Is this your final quarterly report? ☒ Yes ☐ No

If yes, please complete and sign below indicating that all expenses have been submitted and all funds and any interest earned were used for the purposes for which they were awarded and in compliance with the contract. Please note that all records pertaining to this grant must be retained for a minimum of five years or until all audit exceptions have been resolved, whichever is longer. If the contract is subject to federal policy and regulations, record retention may be longer than five years. Recipients are required to maintain reports and accounting records that support the allowable expenditure of State funds and shall make available all reports and records for inspection by the NC Department of Health and Human Services, the Office of State Budget and Management, and the Office of the State Auditor for oversight, monitoring, and evaluation purposes.

__ Tristan Robertson, Vice President Child & Family Services _____
Name and Title of Organization Official

Tristan Robertson
Signature of Organization Official

7/14/25
Date

1. Provide a brief report of activities and accomplishments related to your Grant Project during this reporting period.

- New collaboration with the Triangle IDD Nonprofit leadership collaborative
- Continued training and collaboration partnerships with Wake County Public Schools (WCPSS) and the Carolina Institute for Developmental Disabilities (CIDD). Initiated collaboration partnership with Guilford County Public Schools
- Continued collaboration with Alliance to incorporate training with the Skills System
- The program has made significant progress with decreasing the waitlist for services for adults in FY25. We continue to take referrals for Children with Complex Needs (CWCN) from all LME-MCOs.
- Individuals served who experience crises continue to experience a decreased in loss of placement. For FY25, 85% of individuals who experience crisis events have maintained their placement.

2. Provide an update on the objectives, as outlined in your Scope of Work, of the grant project. Have you accomplished any of your objectives?

- Continued maintenance of training partnerships with UNC School of Social Work, the Arc of NC, and regional CIT programs. Development of training and collaborative partnerships with CIDD/Alliance Health and WCPSS. This increases capacity for providers at multiple levels of the system of care. Leadership has completed trainings within the UNC School of Social Work and with other community providers. Leadership is also collaborating with local family advocates to identify resources and develop collaboration to support families within the I/DD community
- NC START Central's clinical specialist continues to maintain the waitlist for services with monthly outreach to individual awaiting services.
- Increased collaboration with community partners to support the broader system of care. This includes connecting with members of the Triangle I/DD Nonprofit leadership group. Program is also working to develop training partnerships with area subject matter experts.

3. Provide an update on your expected results, as outlined in your Scope of Work, of the Grant Project(s). Have you accomplished any of your expected results?

The program was able to accomplish almost all stated goals within this fiscal year.

- On average, 85% of individuals served who experience crisis events maintained their setting.
- Through crisis prevention activities and intervention support, individuals served experienced a decrease in emergency services and ED utilization. Of the individuals receiving START services as of 2023, there is a documented 72% decrease in psychiatric hospitalization and a 44% reduction in emergency department usage
- Ongoing training throughout central NC, and the state at large continue to increase community capacity. NC START Central continues to increase access to the START Resource center to new recipients.
- The program has accomplished the goal of reducing the waitlist for services by 56% within this fiscal year.

4. Provide an update on your performance measures, as outlined in your Scope of Work, for the Grant Project(s).

1. 80% or more of persons served will maintain their setting (current living environment) and avoid long-term hospitalization or a higher level of care. This decreases the strain on the broader system of care and improves outcomes for persons served.

11 individuals served made up 15 crisis contacts during Q4. Of those 11 individuals, 10 maintained their setting and 1 did not. Maintain setting also includes individuals who briefly went to the ED and were released back to their original home/setting. 91% of individuals who experienced crisis events during this quarter maintained their setting.

Q1- 89%
Q2 – 80%
Q3 – 80%
Q4 – 91%

Overall 85% of individuals served maintained their setting which meets this goal.

2. Individuals served will experience at least a 20% reduction in emergency services usage compared to usage prior to receiving START services

This is an annual overall goal. Within the Q3 reporting period, the National Center for START Services has shifted reporting to highlight individuals who were enrolled in START services within the past 2 years.

For NC START Central this is 106 individuals, as of this report.

- Prior to receiving services, 37% of these individuals (39 people) experienced psychiatric hospitalization. Post involvement with START, 10% of those individuals (11 people) experienced hospitalization. **This is an overall 72% reduction in psychiatric hospitalization.**

- Prior to receiving services, 51% of these individuals (54 people) experienced emergency department visits. Post involvement with START, 28% of these individuals (30 people) experience ED usage. **This is an overall 44% reduction in ED usage.**

3. At least 10% of individuals served over the age of 18 will utilize the NC START Resource Center for planned and emergency supports

This is an overall annual goal. 9 individuals accessed the Resource Center in Q4. There were 58 eligible (over 18) individuals during this reporting period. This is 15% of eligible individuals accessing the Resource Center

Q1- 20%
Q2 – 13%
Q3 – 15%
Q4 - 15%

Overall an average of 16% of eligible individuals utilized the Resource Center

5. Community outreach (defined as trainings that are not specific to one individual) will exceed 150 training hours per year for all planned services and supports for the purpose of improved capacity of the community systems to provide effective crisis prevention and intervention.

This is an overall annual goal. Including Q4, the START program has completed a total of 108 hours of community training. This is below the stated goal of 150 hours due to decreased staffing capacity in FY25.

5. Waitlist for NC START will be decreased by 15% to increase access to services for adult recipients (15% or more of individuals on the waitlist will be activated for services or removed from the waitlist per year)

This is an overall annual goal. At the beginning of this fiscal year the waitlist size was 138 individuals. Within this reporting quarter, the waitlist decreased to 61 individuals, which included the addition of 10 new referrals
Individuals removed from waitlist: Q1 – 27, Q2 – 32, Q3 – 25, Q4 – 21. **This is an annual decrease of 56%.**

6. Provide a summary of expenditures during the reporting period (quarter).

<u>Expenditure Category</u>	<u>Amount of Expenditure</u>
Personnel/Contracted Services Expenses (e.g., program-related staffing)	\$ 15,334
Supplies and Materials Expenses (e.g., office supplies):	\$
Non-Fixed Operating Expenses (e.g., travel, utilities):	\$ 1,320
Fixed Operating Expenses (e.g., office rent, dues and subscriptions):	\$
Property and Equipment Expenses (e.g., buildings and improvements):	\$
Services/Contracts Expenses (e.g., purchase of services, contracts with service providers):	\$
Other Expenses (Specify): training	\$ 0
Total Expenses:	\$ 16,654

7. Provide a summary of all grant expenditures through the end of the current reporting period. The total expenditures on this table should equal the cumulative expenditures from all completed quarterly reports to date.

<u>Expenditure Category</u>	<u>Amount of Expenditure</u>
Personnel/Contracted Services Expenses (e.g., program-related staffing)	\$ 256,820
Supplies and Materials Expenses (e.g., office supplies):	\$
Non-Fixed Operating Expenses (e.g., travel, utilities):	\$ 2,000
Fixed Operating Expenses (e.g., office rent, dues and subscriptions):	\$ 17,640
Property and Equipment Expenses (e.g., buildings and improvements):	\$
Services/Contracts Expenses (e.g., purchase of services, contracts with service providers):	\$
Other Expenses (Specify): training	\$ 2,850
Total Expenses:	\$ 279,310

Please contact your Contract Administrator with any questions.

QUARTERLY STATUS REPORT

Please use this reporting template for each of the quarterly reports

Recipient Name:	Autism Society of North Carolina, Inc.
Recipient Tax ID #	23-7087887
Project/Activity Title:	47045
Reporting Period (Quarter):	4
Report Completion Date:	1/15/25
Preparer of This Report:	Edgar Moreno, CFO, Kerri Erb, CPO

Were grant funds expended during this reporting period? ☒ Yes ☐ No

Do you certify that all expenses to date have been submitted for this grant? ☒ Yes ☐ No

Do you certify that all funds to date were used for the purposes for which they were awarded and in compliance with your contract? ☒ Yes ☐ No

1. Provide a brief report of activities and accomplishments related to your Grant Project during this reporting period.

Q2: Parent to Parent Support

Yearly goal: An estimated 5,000 individuals will receive support by phone, through email, via video conferencing, via letter, and at in person meetings.

Number of calls/encounters: 1754

Number of crisis calls/encounters: 68

Number of in-person meetings: 125

*Note that most meetings are now being held by phone or video conference, not in-person.

Number of non-English speaking calls/encounters: 145

Number of newly diagnosed families referred to ASNC by diagnostic centers and outside agencies: 90

Outcomes of these calls/encounters:

Of these calls/encounters, 95% will get at least one of the following immediate outcomes: attempted to contact, provided active/supportive listening, provided problem solving, provided information, provided referral and connection to resources, met with or attended meeting with individual.

2. Provide an update on the objectives, as outlined in your Scope of Work, of the grant project. Have you accomplished any of your objectives?

Yes, see above for details.

3. Provide an update on your expected results, as outlined in your Scope of Work, of the Grant Project(s). Have you accomplished any of your expected results?

Yes, outcomes and deliverables are complete and in progress for the FY. See Section 1 for full details.

4. Provide an update on your performance measures, as outlined in your Scope of Work, for the Grant Project(s).

Please see Section 1.

5. Provide a summary of expenditures during the reporting period (quarter).

<u>Expenditure Category</u>	<u>Amount of Expenditure</u>	<u>Amount of Expenditure</u>
	SFY 2023-2024	SFY 2024-2025
<i>Amount of Appropriation</i>	358,608.00	358,608.00
Personnel/Contracted Services Expenses (e.g., program-related staffing)	\$ 75,347.00	\$ 76,810.00
Supplies and Materials Expenses (e.g., office supplies):	\$ 0.00	\$ 0.00
Non-Fixed Operating Expenses (e.g., travel, utilities):	\$ 3,311.00	\$ 1,890.00
Fixed Operating Expenses (e.g., office rent, dues and subscriptions):	\$ 8,868.00	\$ 8,649.00
Property and Equipment Expenses (e.g., buildings and improvements):	\$ 0.00	\$
Services/Contracts Expenses (e.g., purchase of services, contracts with service providers):	\$ 1,179.00	\$ 1,179.00
Other Expenses (Specify):	\$ 0.00	\$
Total Expenses:	\$ 88,705.00	\$ 88,528.00

6. Provide a summary of year-to-date expenditures through the end of the current reporting period. The total expenditures on this table should equal the cumulative expenditures from all completed quarterly reports to date.

<u>Expenditure Category</u>	<u>Amount of Expenditure</u>	<u>Amount of Expenditure</u>
	SFY 2023-2024	SFY 2024-2025
<i>Amount of Appropriation</i>	358,608.00	358,608.00
Personnel/Contracted Services Expenses (e.g., program-related staffing)	\$ 301,388.00	\$ 154,990.00
Supplies and Materials Expenses (e.g., office supplies):	\$ 0.00	\$
Non-Fixed Operating Expenses (e.g., travel, utilities):	\$ 17,032.00	\$ 12,156.00
Fixed Operating Expenses (e.g., office rent, dues and subscriptions):	\$ 35,472.00	\$ 17,298.00
Property and Equipment Expenses (e.g., buildings and improvements):	\$ 0.00	\$
Services/Contracts Expenses (e.g., purchase of services, contracts with service providers):	\$ 4,716.00	\$ 2,358.00
Other Expenses (Specify):	\$ 0.00	\$
Total Expenses:	\$ 358,608.00	\$ 169,504.00

If there are any questions, please contact the Contract Administrator.

QUARTERLY STATUS REPORT

Please use this reporting template for each of the quarterly reports

Recipient Name:	Autism Society of North Carolina, Inc.
Recipient Tax ID #	23-7087887
Project/Activity Title:	47045
Reporting Period (Quarter):	4
Report Completion Date:	1/15/25
Preparer of This Report:	Edgar Moreno, CFO, Kerri Erb, CPO

Were grant funds expended during this reporting period? ☒ Yes ☐ No

Do you certify that all expenses to date have been submitted for this grant? ☒ Yes ☐ No

Do you certify that all funds to date were used for the purposes for which they were awarded and in compliance with your contract? ☐ Yes ☒ No

1. Provide a brief report of activities and accomplishments related to your Grant Project during this reporting period.

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*Note that most meetings are now being held by phone or video conference, not in-person.

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Outcomes of these calls/encounters:

Of these calls/encounters, 95% will get at least one of the following immediate outcomes: attempted to contact, provided active/supportive listening, provided problem solving, provided information, provided referral and connection to resources, met with or attended meeting with individual.

2. Provide an update on the objectives, as outlined in your Scope of Work, of the grant project. Have you accomplished any of your objectives?

Yes, see above for details.

3. Provide an update on your expected results, as outlined in your Scope of Work, of the Grant Project(s). Have you accomplished any of your expected results?

Yes, outcomes and deliverables are complete and in progress for the FY. See Section 1 for full details.

4. Provide an update on your performance measures, as outlined in your Scope of Work, for the Grant Project(s).

Please see Section 1.

5. Provide a summary of expenditures during the reporting period (quarter).

<u>Expenditure Category</u>	<u>Amount of Expenditure</u>	<u>Amount of Expenditure</u>
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<u>Expenditure Category</u>	<u>Amount of Expenditure</u>	<u>Amount of Expenditure</u>
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Property and Equipment Expenses (e.g., buildings and improvements):	\$ 0.00	\$
Services/Contracts Expenses (e.g., purchase of services, contracts with service providers):	\$ 4,716.00	\$ 2,358.00
Other Expenses (Specify):	\$ 0.00	\$
Total Expenses:	\$ 358,608.00	\$ 169,504.00

If there are any questions, please contact the Contract Administrator.

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Recipient Tax ID #	23-7087887
Project/Activity Title:	47045
Reporting Period (Quarter):	4
Report Completion Date:	1/15/25
Preparer of This Report:	Edgar Moreno, CFO, Kerri Erb, CPO

Were grant funds expended during this reporting period? ☒ Yes ☐ No

Do you certify that all expenses to date have been submitted for this grant? ☒ Yes ☐ No

Do you certify that all funds to date were used for the purposes for which they were awarded and in compliance with your contract? ☒ Yes ☐ No

1. Provide a brief report of activities and accomplishments related to your Grant Project during this reporting period.

Q2: Parent to Parent Support

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*Note that most meetings are now being held by phone or video conference, not in-person.

Number of non-English speaking calls/encounters: 145

Number of newly diagnosed families referred to ASNC by diagnostic centers and outside agencies: 90

Outcomes of these calls/encounters:

Of these calls/encounters, 95% will get at least one of the following immediate outcomes: attempted to contact, provided active/supportive listening, provided problem solving, provided information, provided referral and connection to resources, met with or attended meeting with individual.

2. Provide an update on the objectives, as outlined in your Scope of Work, of the grant project. Have you accomplished any of your objectives?

Yes, see above for details.

3. Provide an update on your expected results, as outlined in your Scope of Work, of the Grant Project(s). Have you accomplished any of your expected results?

Yes, outcomes and deliverables are complete and in progress for the FY. See Section 1 for full details.

4. Provide an update on your performance measures, as outlined in your Scope of Work, for the Grant Project(s).

Please see Section 1.

5. Provide a summary of expenditures during the reporting period (quarter).

<u>Expenditure Category</u>	<u>Amount of Expenditure</u>	<u>Amount of Expenditure</u>
	SFY 2023-2024	SFY 2024-2025
<i>Amount of Appropriation</i>	358,608.00	358,608.00
Personnel/Contracted Services Expenses (e.g., program-related staffing)	\$ 75,347.00	\$ 76,810.00
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Services/Contracts Expenses (e.g., purchase of services, contracts with service providers):	\$ 1,179.00	\$ 1,179.00
Other Expenses (Specify):	\$ 0.00	\$
Total Expenses:	\$ 88,705.00	\$ 88,528.00

6. Provide a summary of year-to-date expenditures through the end of the current reporting period. The total expenditures on this table should equal the cumulative expenditures from all completed quarterly reports to date.

<u>Expenditure Category</u>	<u>Amount of Expenditure</u>	<u>Amount of Expenditure</u>
	SFY 2023-2024	SFY 2024-2025
<i>Amount of Appropriation</i>	358,608.00	358,608.00
Personnel/Contracted Services Expenses (e.g., program-related staffing)	\$ 301,388.00	\$ 154,990.00
Supplies and Materials Expenses (e.g., office supplies):	\$ 0.00	\$
Non-Fixed Operating Expenses (e.g., travel, utilities):	\$ 17,032.00	\$ 12,156.00
Fixed Operating Expenses (e.g., office rent, dues and subscriptions):	\$ 35,472.00	\$ 17,298.00
Property and Equipment Expenses (e.g., buildings and improvements):	\$ 0.00	\$
Services/Contracts Expenses (e.g., purchase of services, contracts with service providers):	\$ 4,716.00	\$ 2,358.00
Other Expenses (Specify):	\$ 0.00	\$
Total Expenses:	\$ 358,608.00	\$ 169,504.00

If there are any questions, please contact the Contract Administrator.

DocuSigned by:
Angela Harper-King
BE84A9EBA28647D...

Contract Administrator

10/16/24 | 12:57 PM EDT

QUARTERLY STATUS REPORT
Please use this reporting template for each of the quarterly reports

Recipient Name:	Oxford House, Inc.
Recipient Tax ID #	52-1582231
Project/Activity Title:	23-25 Biennium Directed Grant - Oxford House, Inc.
Reporting Period (Quarter):	7/1/24-9/30/24
Report Completion Date:	10/15/2024
Preparer of This Report:	Will Madison, Crystal Wood

Were grant funds expended during this reporting period? ☒ Yes ☐ No

Do you certify that all expenses to date have been submitted for this grant? ☒ Yes ☐ No

Do you certify that all funds to date were used for the purposes for which they were awarded and in compliance with your contract? ☒ Yes ☐ No

1. Provide a brief report of activities and accomplishments related to your Grant Project during this reporting period.

During this reporting period, our Grant Project has continues to achieved notable progress in enhancing support for individuals recovering from Substance Use Disorder (SUD) in North Carolina. We've successfully maintained and elevated an information exchange with key stakeholders to match individuals with Oxford House vacancies, conducted educational outreach through monthly presentations, and improved our vacancy reporting system for better communication. The administration of start-up loans from the NC Revolving Loan Fund has supported the expansion of Oxford Houses, while our partnerships with educational institutions have been strengthened through targeted presentations and collaborations. We've also focused on quality assurance through regular training and house visits, alongside fostering peer support and personal growth among residents, contributing significantly to our mission of building a sustainable recovery infrastructure.

2. Provide an update on the objectives, as outlined in your Scope of Work, of the grant project. Have you accomplished any of your objectives?

In our continuous pursuit to enhance the Oxford House Model™ in North Carolina, we have made significant advancements in line with our strategic objectives and goals. Our efforts this period have been multifaceted, focusing on strengthening our support network, optimizing our operations, and expanding our partnerships. Below are the highlights of our progress:

Enhanced Information Exchange and Community Integration:**

We have successfully implemented and are maintaining a robust information exchange system involving key stakeholders such as DHHS MH/DD/SAS, LME/MCOs, treatment providers, and the judicial system, among others. This initiative has been pivotal in matching recovering individuals with suitable Oxford House vacancies, thereby facilitating smoother transitions for those seeking recovery home residences.

Educational Outreach and Support System Improvements:**

- Monthly statewide discussion groups and presentations have been held consistently, targeting individuals nearing treatment completion. These sessions have been instrumental in informing them about the benefits of recovery homes, aligning with Objective 1.3.
- Significant enhancements have been made to the vacancy reporting system, ensuring its efficiency and effectiveness in bridging communication between Oxford House, prospective residents, and treatment providers, as per Objective 1.4.

Administration of Start-up Loans:**

- In accordance with Goal 2.0, we have diligently administered the application, administration, and repayment processes for start-up loans from the North Carolina Revolving Loan Fund (RLF), supporting eligible groups and facilitating the expansion of Oxford Houses.

Development of Educational Partnerships:**

- Our outreach efforts have included over twelve presentations to collegiate recovery programs and campuses across NC, showcasing the benefits of Oxford House residency during academic pursuits, meeting Objective 3.1.
- Collaborative efforts have been extended to various services and educational institutions, fostering a comprehensive support ecosystem for our residents.

Quality Assurance and Network Maintenance:**

- Staff training on the standard system of operations for Oxford Houses across NC has been conducted, ensuring the effective management of these homes.
- Consistent with Objective 4.2, our regional manager and outreach staff have conducted quarterly and unannounced visits to various houses to ensure compliance with Oxford House policies and procedures.

Peer Support and Personal Growth:**

- Our grant project has made significant strides in training Oxford House residents for self-management in line with the Oxford House Model. We've conducted 6 targeted training sessions across the state in this reporting period engaging 204 members in learning about officer roles, meeting management, and community service.

Beyond these sessions, our staff continues to offer individualized support during house visits, providing personalized guidance that's crucial but not captured in our training count. This ongoing, one-on-one training ensures all residents are equipped for their roles, contributing to the Oxford House community's strength and resilience.

- Initiatives to promote personal growth and community engagement among Oxford House residents have been strengthened, fostering a supportive and active recovery community.

In summary, our efforts during this reporting period have significantly contributed to the fortification and expansion of the Oxford House Model™ in North Carolina. Through strategic partnerships, operational enhancements, and a focus on community integration and personal growth, we are steadfast in our commitment to providing a solid foundation for individuals in recovery

3. Provide an update on your expected results, as outlined in your Scope of Work, of the Grant Project(s). Have you accomplished any of your expected results?

- Oxford House Staff has connected and worked with various landlords, property management groups and realty agencies to locate and secure additional Oxford Houses.

- Oxford House Staff continues to maintain partnerships with DHHS, local treatment providers, city jails and federal prisons to link recovering individuals to Oxford Houses and holds monthly or biweekly group discussion/presentations to local treatment providers and clients. Oxford House Staff participated and facilitated eight (8) presentations with treatment providers and clients.

- Oxford House Staff monitors and maintains the internal Oxford House Vacancy System for accuracy, to effectively connect referral sources and clients to available beds through the state network of Oxford Houses.

- Oxford House Inc. has diligently administered the application, administration, and repayment processes for start-up loans from the North Carolina Revolving Loan Fund (RLF), supporting eligible groups and facilitating the expansion of Oxford Houses and reports regularly on the status of repayment and performance of the fund.

- Oxford House Staff has conducted two (2) of presentations/discussions with collegiate recovery programs and continues to partner with Colleges/Universities to link students with SUD to a safe supportive housing option in close proximity to the college campus.

- Nash Community College - Rocky Mount, NC

- University of North Carolina- Chapel Hill, NC

In addition to the presentation/discussions with collegiate recovery programs at Nash Community College and the University of North Carolina, Oxford House Staff continues to participate in ARHE Board Meetings and Belonging and Connection Meetings on a biweekly basis.

- Oxford House continues to provide safe and supportive housing for a total of 2468 beds in the State of North Carolina. Under the state directed grant, we are capable of serving 367 individuals.

4. Provide an update on your performance measures, as outlined in your Scope of Work, for the Grant Project(s).

OHI shall establish a minimum of six (6) new Oxford Houses by June 30, 2025 (three (3) per 12-month period:

- Oxford House Staff have continue to work with various local landlords, property management groups, and realty agencies to secure additional Oxford Houses across the state. Oxford House Staff successfully maintained and provided technical assistance/support to the (3) newly established Oxford Houses in the 12 month period (1) Oxford House in Fayetteville, NC (1) Oxford House in Durham, NC and (1) Oxford House in Asheville, NC.

The average annual occupancy rate in each Recovery House must be at least 80%.

- Average occupancy rate for NC State Houses is 80.2% for the quarter.

OHI, under this grant, shall maintain up to two (2) full-time staff;

- Oxford House continues to maintain two (2) full-time staff.

Administer loans from the NC Revolving Loan Fund (RLF) to North Carolina Oxford Houses occupied by no less than six individuals in recovery per home. Priority for housing admission must be given to individuals who have completed a treatment program and individuals continuing participation in outpatient, recovery support services, and opioid treatment services.

- Oxford House Inc. has made 3 repayments, paid to the division with report in this reporting period.

OHI maintains the Oxford House of North Carolina website for current house vacancies and house directory, manual, forms, chapter updates application, and eligibility information. Oxford House staff tracks the Monthly Housing Activity and provides a Report that includes data from each new house regarding the number of applications, number of beds available,number of admissions, and number of residents leaving the houses and the reasons for leaving a recurrence of use, voluntary or other causes.

- Quarterly Status Reports and Quarterly Payment Reports are due by the 15th day following the end of the quarter. Annual Financial Audit due six months following the end of their fiscal audit year. Annual Resident Profile Survey completed and reported to DMH/DD/SAS by November 30th, 2024,2025.

- Oxford House Inc. has and continues to report timely, the Quarterly Status Reports and Quarterly Payment Reports, Audit and Resident Profile are reported Annually

5. Provide a summary of expenditures during the reporting period (quarter).

<u>Expenditure Category</u>	<u>Amount of Expenditure</u>	<u>Amount of Expenditure</u>
	SFY 2023-2024	SFY 2024-2025
<i>Amount of Appropriation</i>		
Personnel/Contracted Services Expenses (e.g., program-related staffing)	\$	\$21,641.57
Supplies and Materials Expenses (e.g., office supplies):	\$	\$550.63
Non-Fixed Operating Expenses (e.g., travel, utilities):	\$	\$7,260.80
Fixed Operating Expenses (e.g., office rent, dues and subscriptions):	\$	\$0.00
Property and Equipment Expenses (e.g., buildings and improvements):	\$	\$0.00
Services/Contracts Expenses (e.g., purchase of services, contracts with service providers):	\$	\$150.00
Other Expenses (Specify):	\$	\$2,960.30
Total Expenses:	\$0.00	\$32,563.30

6. Provide a summary of year-to-date expenditures through the end of the current reporting period. The total expenditures on this table should equal the cumulative expenditures from all completed quarterly reports to date.

<u>Expenditure Category</u>	<u>Amount of Expenditure</u>	<u>Amount of Expenditure</u>
	SFY 2023-2024	SFY 2024-2025
<i>Amount of Appropriation</i>		
Personnel/Contracted Services Expenses (e.g., program-related staffing)	\$115,910.00	\$21,641.57
Supplies and Materials Expenses (e.g., office supplies):	\$4,515.45	\$550.63
Non-Fixed Operating Expenses (e.g., travel, utilities):	\$47,840.00	\$7,260.80
Fixed Operating Expenses (e.g., office rent, dues and subscriptions):	\$0.00	\$0.00
Property and Equipment Expenses (e.g., buildings and improvements):	\$0.00	\$0.00
Services/Contracts Expenses (e.g., purchase of services, contracts with service providers):	\$2,500.00	\$150.00
Other Expenses (Specify): Indirect Costs	\$17,076.55	\$2960.30
Total Expenses:	\$187,842.00	\$32,563.30

If there are any questions, please contact the Contract Administrator.

QUARTERLY STATUS REPORT
Please use this reporting template for each of the quarterly reports

Recipient Name:	Residential Services, Inc.
Recipient Tax ID#	56-1101520
Project/Activity Title:	RSI - Aberdeen
Reporting Period (Quarter):	1 st Quarter - July 1, 2024 to September 30, 2024
Report Completion Date:	October 15, 2024
Preparer of This Report:	Tara Henderson/Kymberlei Schmidt

Were grant funds expended during this reporting period? ☒ Yes ☐ No

Do you certify that all expenses to date have been submitted for this grant? ☒ Yes ☐ No

Was any interest earned on these grant funds during this reporting period? ☐ Yes ☒ No

If Yes, specify the amount of interest earned over the life of the grant through this reporting period: \$ _____

Do you certify that all funds expended to date were used for the purposes for which they were awarded and in compliance with your contract? ☒ Yes ☐ No

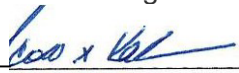
Did you make any subawards of this funding during this reporting period? ☐ Yes ☒ No

If yes, attach a list of subawards including subrecipient entity name, tax ID number, subaward amount, and an indication that the subrecipient is not on the State Suspension of Funding List or suspended or debarred from receiving federal funds if applicable. Please note that per the contract terms and conditions and State law, your organization is responsible for ensuring that any subrecipients comply with all requirements of your contract and provide you with adequate and timely information to fulfill your reporting obligations.

Final reports should be submitted once all funds are expended and grant activities are completed. Is this your final quarterly report? ☐ Yes ☒ No

If yes, please complete and sign below indicating that all expenses have been submitted and all funds and any interest earned were used for the purposes for which they were awarded and in compliance with the contract. Please note that all records pertaining to this grant must be retained for a minimum of five years or until all audit exceptions have been resolved, whichever is longer. If the contract is subject to federal policy and regulations, record retention may be longer than five years. Recipients are required to maintain reports and accounting records that support the allowable expenditure of State funds and shall make available all reports and records for inspection by the NC Department of Health and Human Services, the Office of State Budget and Management, and the Office of the State Auditor for oversight, monitoring, and evaluation purposes.

Scott A Keller f: fecuJ: iV: e 11r ec- iC.. ()
Name and Title of Organization Official


Signature of Organization Official

10/28/2024
Date

1. Provide a brief report of activities and accomplishments related to your Grant Project during this reporting period.

During the reporting period, RSI staff and individuals, met all the goals and outcomes outlined in the Direct Grant. This achievement has translated into an opportunity for the young men to not just participate but truly thrive within the community. Moreover, the grant provided these young men trans-formative experiences allowing the to develop independence in their daily living skills. This reporting period we have layed new foundation for the future of these men to strive within the community.

2. Provide an update on the objectives, as outlined in your Scope of Work, of the grant project. Have you accomplished any of your objectives?

- 1. The individuals living at the Residential Services, Inc. Aberdeen home will receive supports and services in a specialized community based residential environment with 24-hour awake staff for 100% of the contract year, in full compliance with the requirements of the HCBS Settings Final Rule.**

RSI provided support and service at Aberdeen group home (a specialized community based residential environment) 24 hours a day for 100% of the quarter.

- 2. 100% of the individuals living at Aberdeen will have supports needed to live in the community for 100% the contract year.**

The Aberdeen staff provided 100% of the individuals living at Aberdeen the supports needed to live in the community for 100% of the quarter.

- 3. 100% of the employees providing direct support at the Aberdeen home will receive specialized autism specific intervention training during their introductory period.**

This quarter 100% of the employees providing direct support at the Aberdeen home received specialized autism/resident specific intervention training during their employment introductory period.

3. Provide an update on your expected results, as outlined in your Scope of Work, of the Grant Project(s). Have you accomplished any of your expected results?

The young men who live at the Residential Services, Inc. Aberdeen home will live in the community with the supports and services they need to succeed and thrive. These young men have unique needs that are not supported by typical community residential programs and without the unique supports provided by RSI-Aberdeen, community living would not be possible. Because of their complex needs and behavioral challenges, each of the residents is at risk of requiring institutionalization, hospitalization, or law enforcement involvement if funding for Aberdeen is not secured. In compliance with the principles set forth in *Olmstead v. LC. (1999)* and in alignment with leveraging the updated North Carolina Olmstead Plan, the Aberdeen home provides a choice for its residents to live and participate in their community in the most inclusive and integrated setting available. Since its establishment, the Aberdeen home has successfully demonstrated tangible and measurable benefits in terms of improved well-being and significant cost savings when compared to the expenses associated with institutional care. Cost savings are immediate when individuals are successfully served in the community rather than in a more expensive institutional or hospital setting. The cost savings grow exponentially over time due to the community-based treatment provided, which leads to reduced long-term expenses throughout an individual's

4. Provide an update on your performance measures, as outlined in your Scope of Work, for the Grant Project(s).

1. The individuals living at Aberdeen will receive supports and services in a specialized community based residential environment with 24-hour awake staff for 100% of the contract year, in full compliance with the requirements of the HCBS Settings Final Rule.

The Aberdeen staff provided support and service in a specialized community based residential environment that provides 24 hour awake staff in full compliance with the requirements of the HCBS Setting Final Rule or 100% of the quarter.

2. 100% of the individuals living at Aberdeen will have supports needed to live in the community for 100% the contract year.

The Aberdeen staff provided 100% of the individuals living at Aberdeen the supports needed to live in the community for 100% of the quarter.

3. 100% of the employees providing direct support at the Aberdeen home will receive specialized autism specific intervention training during their introductory period.

This quarter 100% of the employees providing direct support at the Aberdeen home received specialized autism/resident specific intervention training during their employment introductory period.

4. Annually, Quality Surveys completed by parents, guardians, and community professionals will demonstrate a minimum 90% satisfaction rate with services provided by the Aberdeen home.

The 2024 parent, guardian and community professional survey for the Aberdeen results showed a 100% satisfaction rate.

5. 100% of Aberdeen residents will have an individualized support plan that addresses needs and preferences to live in their community for 100% of the contract year.

This quarter 100% of the Aberdeen residents have an individual support plan that addresses the needs and preference to live in their community for 100% of the quarter.

6. 100% of the individuals living at Aberdeen will successfully live in the community 100% of the time without institutionalization, incarceration, or hospital admission.

This quarter 100% of the individuals living at Aberdeen live in the community 100% of the time without respite, incarceration, institutionalization or admission to the hospital.

7. 100% of the individuals living at Aberdeen will access and participate in their local community (e.g. local parks, grocery shopping, school, religious activities, community events, etc.) each week for 100% of the contract year.

This quarter 100% of the individuals living at Aberdeen had access and participate in their local community each week for 100% of the quarter.

8. 100% of the individuals living at Aberdeen will have their healthcare needs met, including receiving follow-up as requested by the individual's medical and dental professionals, 100% of the contract year.

This quarter 100% of the individuals living at Aberdeen had their healthcare needs met, 100% of the quarter.

5. Provide a summary of expenditures during the reporting period (quarter).

Expenditure Category	Amount of Expenditure
Personnel/Contracted Services Expenses (e.g., program-related staff salaries)	\$53,181.24
Supplies and Materials Expenses (e.g., office supplies):	\$
Non-Fixed Operating Expenses (e.g., travel, utilities):	\$1,914.72
Fixed Operating Expenses (e.g., office rent, dues and subscriptions):	\$
Property and Equipment Expenses (e.g., buildings and improvements):	\$
Services/Contracts Expenses (e.g., purchase of services, contracts with service providers):	\$
Other Expenses (Specify):	\$
Total Expenses:	\$55,095.96

6. Provide a summary of all grant expenditures through the end of the current reporting period. The total expenditures on this table should equal the cumulative expenditures from all completed quarterly reports to date.

Expenditure Category	Amount of Expenditure
Personnel/Contracted Services Expenses (e.g., program-related staff salaries)	\$53,181.24
Supplies and Materials Expenses (e.g., office supplies):	\$
Non-Fixed Operating Expenses (e.g., travel, utilities):	\$1,914.72
Fixed Operating Expenses (e.g., office rent, dues and subscriptions):	\$
Property and Equipment Expenses (e.g., buildings and improvements):	\$
Services/Contracts Expenses (e.g., purchase of services, contracts with service providers):	\$
Other Expenses (Specify):	\$
Total Expenses:	\$55,095.96

Please contact your Contract Administrator with any questions.

QUARTERLY STATUS REPORT

Please use this reporting template for each of the quarterly reports

Recipient Name:	Residential Services Inc.
Recipient Tax ID #	56-1101520
Project/Activity Title:	RSI - Aberdeen
Reporting Period (Quarter):	2 nd quarter
Report Completion Date:	January 15, 2025
Preparer of This Report:	Tara Henderson/Kymberlei Schmidt

Were grant funds expended during this reporting period? ☒ Yes ☐ No

Do you certify that all expenses to date have been submitted for this grant? ☒ Yes ☐ No

Was any interest earned on these grant funds during this reporting period? ☐ Yes ☒ No

If Yes, specify the amount of interest earned over the life of the grant through this reporting period: \$ _____

Do you certify that all funds expended to date were used for the purposes for which they were awarded and in compliance with your contract? ☒ Yes ☐ No

Did you make any subawards of this funding during this reporting period? ☐ Yes ☒ No

If yes, attach a list of subawards including subrecipient entity name, tax ID number, subaward amount, and an indication that the subrecipient is not on the State Suspension of Funding List or suspended or debarred from receiving federal funds if applicable. Please note that per the contract terms and conditions and State law, your organization is responsible for ensuring that any subrecipients comply with all requirements of your contract and provide you with adequate and timely information to fulfill your reporting obligations.

Final reports should be submitted once all funds are expended and grant activities are completed. Is this your final quarterly report? ☐ Yes ☒ No

If yes, please complete and sign below indicating that all expenses have been submitted and all funds and any interest earned were used for the purposes for which they were awarded and in compliance with the contract. Please note that all records pertaining to this grant must be retained for a minimum of five years or until all audit exceptions have been resolved, whichever is longer. If the contract is subject to federal policy and regulations, record retention may be longer than five years. Recipients are required to maintain reports and accounting records that support the allowable expenditure of State funds and shall make available all reports and records for inspection by the NC Department of Health and Human Services, the Office of State Budget and Management, and the Office of the State Auditor for oversight, monitoring, and evaluation purposes.

Scott A. Keller

Name and Title of Organization Official

Signature of Organization Official

Date

1. Provide a brief report of activities and accomplishments related to your Grant Project during this reporting period.

During the second quarter, RSI staff and residents successfully met all goals and outcomes outlined in the Direct Grant. This accomplishment created opportunities for the young men to not only participate but thrive within the community. The grant facilitated transformative experiences, fostering growth in their independence and daily living skills. Throughout this reporting period, RSI has laid a strong foundation for these young men to continue building successful, independent lives within the community.

2. Provide an update on the objectives, as outlined in your Scope of Work, of the grant project. Have you accomplished any of your objectives?

All direct grant objectives were successfully achieved this quarter at Aberdeen Group Home. Every employee providing direct support completed specialized autism and resident-specific intervention training. Residents received continuous support, allowing them to live in the community 24 hours a day within Aberdeen's specialized residential environment. The home operated in full compliance with HCBS Setting Final Rule requirements, ensuring all residents' needs were met, fostering community participation, and maintaining consistent access to healthcare throughout the quarter.

3. Provide an update on your expected results, as outlined in your Scope of Work, of the Grant Project(s). Have you accomplished any of your expected results?

The young men residing at RSI's Aberdeen home will continue to thrive in the community with the specialized supports and services necessary for their success. These residents have unique needs that typical community residential programs cannot accommodate, making the tailored services at RSI-Aberdeen essential for sustaining their community living. Without this support, the complexity of their needs and behavioral challenges places them at risk of institutionalization, hospitalization, or law enforcement involvement.

Aberdeen operates in alignment with the principles of *Olmstead v. L.C.* (1999) and the updated North Carolina Olmstead Plan, ensuring residents can live and engage in their community in the most inclusive, integrated setting possible. Since its inception, Aberdeen has consistently demonstrated measurable benefits, improving residents' well-being while generating significant cost savings compared to institutional care. By successfully supporting individuals in the community, immediate savings are realized, with long-term costs continuing to decrease as community-based treatment reduces the need for more expensive care over time.

4. Provide an update on your performance measures, as outlined in your Scope of Work, for the Grant Project(s).

The Aberdeen staff consistently provided comprehensive support in a specialized residential environment, ensuring compliance with the HCBS Setting Final Rule throughout the entire quarter. All residents received the necessary assistance to continue living in the community without interruption. Every direct support employee underwent autism and resident-specific intervention training during their introductory period. A 2024 survey of parents, guardians, and community professionals reported 100% satisfaction. Each resident had an individualized support plan addressing their needs and preferences, enabling full community living without institutionalization or hospitalization. Residents participated in local community activities weekly, and all healthcare needs were met throughout the quarter.

5. Provide a summary of expenditures during the reporting period (quarter).

<u>Expenditure Category</u>	<u>Amount of Expenditure</u>
Personnel/Contracted Services Expenses (e.g., program-related staffing)	\$ 56,425.08
Supplies and Materials Expenses (e.g., office supplies):	\$
Non-Fixed Operating Expenses (e.g., travel, utilities):	\$ 1,707.60
Fixed Operating Expenses (e.g., office rent, dues and subscriptions):	\$
Property and Equipment Expenses (e.g., buildings and improvements):	\$
Services/Contracts Expenses (e.g., purchase of services, contracts with service providers):	\$
Other Expenses (Specify):	\$
Total Expenses:	\$ 58,132.68

6. Provide a summary of all grant expenditures through the end of the current reporting period. The total expenditures on this table should equal the cumulative expenditures from all completed quarterly reports to date.

<u>Expenditure Category</u>	<u>Amount of Expenditure</u>
Personnel/Contracted Services Expenses (e.g., program-related staffing)	\$ 109,606.32
Supplies and Materials Expenses (e.g., office supplies):	\$
Non-Fixed Operating Expenses (e.g., travel, utilities):	\$ 3,622.32
Fixed Operating Expenses (e.g., office rent, dues and subscriptions):	\$
Property and Equipment Expenses (e.g., buildings and improvements):	\$
Services/Contracts Expenses (e.g., purchase of services, contracts with service providers):	\$
Other Expenses (Specify):	\$
Total Expenses:	\$ 113,228.64

Please contact your Contract Administrator with any questions.

QUARTERLY STATUS REPORT

Please use this reporting template for each of the quarterly reports

Recipient Name:	Residential Services Inc.
Recipient Tax ID #	56-1101520
Project/Activity Title:	RSI - Aberdeen
Reporting Period (Quarter):	3rd quarter
Report Completion Date:	April 15, 2025
Preparer of This Report:	Tara Henderson/Kymberlei Schmidt

Were grant funds expended during this reporting period? ☒ Yes ☐ No

Do you certify that all expenses to date have been submitted for this grant? ☒ Yes ☐ No

Was any interest earned on these grant funds during this reporting period? ☐ Yes ☒ No

If Yes, specify the amount of interest earned over the life of the grant through this reporting period: \$ _____

Do you certify that all funds expended to date were used for the purposes for which they were awarded and in compliance with your contract? ☒ Yes ☐ No

Did you make any subawards of this funding during this reporting period? ☐ Yes ☒ No

If yes, attach a list of subawards including subrecipient entity name, tax ID number, subaward amount, and an indication that the subrecipient is not on the State Suspension of Funding List or suspended or debarred from receiving federal funds if applicable. Please note that per the contract terms and conditions and State law, your organization is responsible for ensuring that any subrecipients comply with all requirements of your contract and provide you with adequate and timely information to fulfill your reporting obligations.

Final reports should be submitted once all funds are expended and grant activities are completed. Is this your final quarterly report? ☐ Yes ☐ No

If yes, please complete and sign below indicating that all expenses have been submitted and all funds and any interest earned were used for the purposes for which they were awarded and in compliance with the contract. Please note that all records pertaining to this grant must be retained for a minimum of five years or until all audit exceptions have been resolved, whichever is longer. If the contract is subject to federal policy and regulations, record retention may be longer than five years. Recipients are required to maintain reports and accounting records that support the allowable expenditure of State funds and shall make available all reports and records for inspection by the NC Department of Health and Human Services, the Office of State Budget and Management, and the Office of the State Auditor for oversight, monitoring, and evaluation purposes.

Scott A. Keller
Name and Title of Organization Official

Signature of Organization Official

04/15/2025
Date

1. Provide a brief report of activities and accomplishments related to your Grant Project during this reporting period.

During the third quarter, RSI staff and residents successfully met all objectives of the Direct Grant, creating valuable opportunities for young men to engage and thrive in the community. The grant supported transformative experiences that enhanced their independence and daily living skills. Throughout this period, RSI laid a strong foundation, equipping residents with the tools and support needed to build successful, independent lives within the community.

2. Provide an update on the objectives, as outlined in your Scope of Work, of the grant project. Have you accomplished any of your objectives?

This quarter, Aberdeen Group Home successfully met all direct grant objectives. Staff providing direct support completed specialized autism and resident-specific intervention training. Residents received continuous 24-hour support within Aberdeen's specialized residential setting, enabling them to live and engage in the community. The home remained fully compliant with HCBS Setting Final Rule requirements, ensuring residents' needs were met, promoting community participation, and maintaining consistent access to healthcare.

3. Provide an update on your expected results, as outlined in your Scope of Work, of the Grant Project(s). Have you accomplished any of your expected results?

Residents of RSI's Aberdeen home continue to thrive in the community with specialized supports and services tailored to their unique needs. Unlike typical community residential programs, Aberdeen provides essential, individualized care that enables residents to sustain their community living. Without these supports, the complexity of their needs and behavioral challenges could increase the risk of institutionalization, hospitalization, or law enforcement involvement.

Aberdeen operates in alignment with *Olmstead v. L.C. (1999)* and North Carolina's updated Olmstead Plan, ensuring residents live in the most inclusive, integrated setting possible. Since its inception, the program has consistently demonstrated measurable benefits—enhancing residents' well-being while generating significant cost savings compared to institutional care. By successfully supporting individuals in the community, Aberdeen not only delivers immediate financial savings but also reduces long-term costs as community-based treatment lessens the need for more expensive care over time.

4. Provide an update on your performance measures, as outlined in your Scope of Work, for the Grant Project(s).

Aberdeen staff provided comprehensive support in a specialized residential setting, maintaining full compliance with the HCBS Setting Final Rule throughout the quarter. Residents received continuous assistance, allowing them to live in the community without interruption. Every direct support employee completed autism and resident-specific intervention training during their onboarding process.

A 2024 survey of parents, guardians, and community professionals reported 100% satisfaction with Aberdeen's services. Each resident had an individualized support plan tailored to their needs and preferences, ensuring they could live fully in the community without risk of institutionalization or hospitalization. Residents actively participated in local community activities each week, and all healthcare needs were consistently met throughout the quarter.

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5. Provide a summary of expenditures during the reporting period (quarter).

<u>Expenditure Category</u>	<u>Amount of Expenditure</u>
Personnel/Contracted Services Expenses (e.g., program-related staffing)	\$ 52,567.37
Supplies and Materials Expenses (e.g., office supplies):	\$
Non-Fixed Operating Expenses (e.g., travel, utilities):	\$ 3,068.81
Fixed Operating Expenses (e.g., office rent, dues and subscriptions):	\$
Property and Equipment Expenses (e.g., buildings and improvements):	\$
Services/Contracts Expenses (e.g., purchase of services, contracts with service providers):	\$
Other Expenses (Specify):	\$
Total Expenses:	\$ 55,636.18

6. Provide a summary of all grant expenditures through the end of the current reporting period. The total expenditures on this table should equal the cumulative expenditures from all completed quarterly reports to date.

<u>Expenditure Category</u>	<u>Amount of Expenditure</u>
Personnel/Contracted Services Expenses (e.g., program-related staffing)	\$ 162,173.69
Supplies and Materials Expenses (e.g., office supplies):	\$
Non-Fixed Operating Expenses (e.g., travel, utilities):	\$ 6,691.13
Fixed Operating Expenses (e.g., office rent, dues and subscriptions):	\$
Property and Equipment Expenses (e.g., buildings and improvements):	\$
Services/Contracts Expenses (e.g., purchase of services, contracts with service providers):	\$
Other Expenses (Specify):	\$
Total Expenses:	\$ 168,864.82

Please contact your Contract Administrator with any questions.

QUARTERLY STATUS REPORT

Please use this reporting template for each of the quarterly reports

Recipient Name:	Residential Services Inc.
Recipient Tax ID #	56-1101520
Project/Activity Title:	RSI - Aberdeen
Reporting Period (Quarter):	4 th quarter
Report Completion Date:	July 15, 2025
Preparer of This Report:	Tara Henderson/Kymberlei Schmidt

Were grant funds expended during this reporting period? ☒ Yes ☐ No

Do you certify that all expenses to date have been submitted for this grant? ☒ Yes ☐ No

Was any interest earned on these grant funds during this reporting period? ☐ Yes ☒ No

If Yes, specify the amount of interest earned over the life of the grant through this reporting period: \$ _____

Do you certify that all funds expended to date were used for the purposes for which they were awarded and in compliance with your contract? ☒ Yes ☐ No

Did you make any subawards of this funding during this reporting period? ☐ Yes ☒ No

If yes, attach a list of subawards including subrecipient entity name, tax ID number, subaward amount, and an indication that the subrecipient is not on the State Suspension of Funding List or suspended or debarred from receiving federal funds if applicable. Please note that per the contract terms and conditions and State law, your organization is responsible for ensuring that any subrecipients comply with all requirements of your contract and provide you with adequate and timely information to fulfill your reporting obligations.

Final reports should be submitted once all funds are expended and grant activities are completed. Is this your final quarterly report? ☒ Yes ☐ No

If yes, please complete and sign below indicating that all expenses have been submitted and all funds and any interest earned were used for the purposes for which they were awarded and in compliance with the contract. Please note that all records pertaining to this grant must be retained for a minimum of five years or until all audit exceptions have been resolved, whichever is longer. If the contract is subject to federal policy and regulations, record retention may be longer than five years. Recipients are required to maintain reports and accounting records that support the allowable expenditure of State funds and shall make available all reports and records for inspection by the NC Department of Health and Human Services, the Office of State Budget and Management, and the Office of the State Auditor for oversight, monitoring, and evaluation purposes.

Scott A. Keller, Executive Director
Name and Title of Organization Official

Signature of Organization Official

07.21.2025
Date

1. Provide a brief report of activities and accomplishments related to your Grant Project during this reporting period.

During the fourth quarter, RSI staff and residents successfully met all objectives of the Direct Grant, creating valuable opportunities for young men to engage and thrive in the community. The grant supported transformative experiences that enhanced their independence and daily living skills. Throughout this period, RSI laid a strong foundation, equipping residents with the tools and support needed to build successful, independent lives within the community.

2. Provide an update on the objectives, as outlined in your Scope of Work, of the grant project. Have you accomplished any of your objectives?

This quarter, Aberdeen Group Home continued to successfully met all direct grant objectives. Staff providing direct support completed specialized autism and resident-specific intervention training. Residents received continuous 24-hour support within Aberdeen's specialized residential setting, enabling them to live and engage in the community. The home remained fully compliant with HCBS Setting Final Rule requirements, ensuring residents' needs were met, promoting community participation, and maintaining consistent access to healthcare.

3. Provide an update on your expected results, as outlined in your Scope of Work, of the Grant Project(s). Have you accomplished any of your expected results?

Residents of RSI's Aberdeen home continue to thrive in the community with specialized supports and services tailored to their unique needs. Unlike typical community residential programs, Aberdeen provides essential, individualized care that enables residents to sustain their community living. Without these supports, the complexity of their needs and behavioral challenges could increase the risk of institutionalization, hospitalization, or law enforcement involvement.

Aberdeen operates in alignment with *Olmstead v. L.C. (1999)* and North Carolina's updated Olmstead Plan, ensuring residents live in the most inclusive, integrated setting possible. Since its inception, the program has consistently demonstrated measurable benefits—enhancing residents' well-being while generating significant cost savings compared to institutional care. By successfully supporting individuals in the community, Aberdeen not only delivers immediate financial savings but also reduces long-term costs as community-based treatment lessens the need for more expensive care over time.

4. Provide an update on your performance measures, as outlined in your Scope of Work, for the Grant Project(s).

Aberdeen staff provided comprehensive support in a specialized residential setting, maintaining full compliance with the HCBS Setting Final Rule throughout the quarter. Residents received continuous assistance, allowing them to live in the community without interruption. Every direct support employee completed autism and resident-specific intervention training during their onboarding process.

A 2025 survey of parents, guardians, and community professionals reported 100% satisfaction with Aberdeen's services. Each resident had an individualized support plan tailored to their needs and preferences, ensuring they could live fully in the community without risk of institutionalization or hospitalization. Residents actively participated in local community activities each week, and all healthcare needs were consistently met throughout the quarter.

4o

5. Provide a summary of expenditures during the reporting period (quarter).

<u>Expenditure Category</u>	<u>Amount of Expenditure</u>
Personnel/Contracted Services Expenses (e.g., program-related staffing)	\$ 119,694.67
Supplies and Materials Expenses (e.g., office supplies):	\$
Non-Fixed Operating Expenses (e.g., travel, utilities):	\$ 2,236.08
Fixed Operating Expenses (e.g., office rent, dues and subscriptions):	\$
Property and Equipment Expenses (e.g., buildings and improvements):	\$
Services/Contracts Expenses (e.g., purchase of services, contracts with service providers):	\$
Other Expenses (Specify):	\$
Total Expenses:	\$ 121,930.75

6. Provide a summary of all grant expenditures through the end of the current reporting period. The total expenditures on this table should equal the cumulative expenditures from all completed quarterly reports to date.

<u>Expenditure Category</u>	<u>Amount of Expenditure</u>
Personnel/Contracted Services Expenses (e.g., program-related staffing)	\$ 281,868.36
Supplies and Materials Expenses (e.g., office supplies):	\$
Non-Fixed Operating Expenses (e.g., travel, utilities):	\$ 8,927.21
Fixed Operating Expenses (e.g., office rent, dues and subscriptions):	\$
Property and Equipment Expenses (e.g., buildings and improvements):	\$
Services/Contracts Expenses (e.g., purchase of services, contracts with service providers):	\$
Other Expenses (Specify):	\$
Total Expenses:	\$ 290,795.57

Please contact your Contract Administrator with any questions.

ATTACHMENT D REPORTING REQUIREMENTS & TEMPLATES

Reporting Requirements: This is a subaward for financial assistance. Financial Assistance Contracts are subject to the Uniform Administration of State Awards, Oversight and Reporting Requirements for recipient and subrecipients described in [N.C. General Statue § 143C-6-23\(d\)](#) and in [09 NCAC 03M.205](#).

A non-governmental grantee who receives a combined \$500,000 or more funds from all state agencies must continue to submit a single or program-specific audit prepared and completed in accordance with Generally Accepted Government Auditing Standards, also known as a Yellow Book audit, to Risk Mitigation and Audit Monitoring at NCGrantsReporting@dhhs.nc.gov within 9 months of the grantee's fiscal year end.

DHHS encourages all of its non-governmental grantees that receive funds from other state agencies or DHHS divisions to contact their assigned contract monitor(s) to determine if year-end reports must be submitted for those particular grants/awards.

North Carolina State agencies are exempt from the reporting requirements of this section. Local governments that have reporting requirements for the Local Government Commission are exempt. All others are not exempt and must adhere to the reporting requirements of this section.

- (1) Quarterly Reports: see template in this attachment.
- (2) Reporting Timeframes and Due Dates. Once all grant funds are expended and activities concluded, a final report can be submitted and no additional reports are required:

Reporting Period	Due Date
July 1, 2024 – September 30, 2024	October 15, 2024
October 1, 2024 – December 31, 2024	January 15, 2025
January 1, 2025 – March 31, 2025	April 15, 2025
April 1, 2025 – June 30, 2025	July 15, 2025
July 1, 2025 - September 30, 2025	October 15, 2025
October 1, 2025 – December 31, 2025	January 15, 2026
January 1, 2026 – March 31, 2026	April 15, 2026
April 1, 2026 – June 30, 2026	July 15, 2026

N.C. General Statute § 143C-6-21-23. State Grant Funds: Administration; Oversight and Reporting Requirements.

§ 143C-6-21. Payments to nonprofits. Except as otherwise provided by law, an annual appropriation of one hundred thousand dollars (\$100,000) or less to or for the use of a nonprofit corporation may be made in a single annual payment, in the discretion of the Director of the Budget. An annual appropriation of more than one hundred thousand dollars (\$100,000) to or for the use of a nonprofit corporation shall be made in quarterly or monthly payments, in the discretion of the Director of the Budget. (2006-203, s. 3; 2013-360, s. 6.12(o).)

§ 143C-6-22. Use of State funds by non-State entities. (a) Disbursement and Use of State Funds. - Every non-State entity that receives, uses, or expends any State funds shall use or expend the funds only for the purposes for which they were appropriated by the General Assembly. State funds include federal funds that flow through the State Treasury. (b) Compliance by Non-State Entities. - If the Director of the Budget finds that a non-State entity has spent or encumbered State funds for an unauthorized purpose, or fails to submit or falsifies the information required by G.S. 143C-6-23 or any other provision of law, the Director shall take appropriate administrative action to ensure that no further irregularities or violations of law occur and shall report to the Attorney General any facts that pertain to an apparent violation of a criminal law or an apparent instance of malfeasance, misfeasance, or nonfeasance in connection with the use of State funds. Appropriate administrative action may include suspending or withholding the disbursement of State funds and recovering State funds previously disbursed. (c) Civil Actions. - Civil actions to recover State funds or to obtain other mandatory orders in the name of the State on relation of the Attorney General, or in the name of the Office of State Budget and Management, shall be filed in the General Court of Justice in Wake County. (2006-203, s. 3.)

§ 143C-6-23. State grant funds: administration; oversight and reporting requirements.

(a) Definitions. - The following definitions apply in this section:

(1) Grant or grant funds. - State funds disbursed as a grant by a State agency; however, the terms do not include any payment made by the Medicaid program, the State Health Plan for Teachers and State Employees, or other similar medical programs.

(2) Grantee. - A non-State entity that receives State funds as a grant from a State agency but does not include any non-State entity subject to the audit and other reporting requirements of the Local Government Commission.

(3) Encumbrance. - A financial obligation created by a purchase order, contract, salary commitment, unearned or prepaid collections for services provided, or other legally binding agreement. A financial obligation is not an encumbrance for purposes of this section unless it (i) is in writing and has been signed by a person or entity who has authority to legally bind the grantee or subgrantee to spend the funds or (ii) was created by the provision of goods or services to the grantee or subgrantee by a third party under circumstances that create a legally binding obligation to pay for the goods or services.

(4) Subgrantee. - A non-State entity that receives State funds as a grant from a grantee or from another subgrantee but does not include any non-State entity subject to the audit and other reporting requirements of the Local Government Commission.

(b) Conflict of Interest Policy. - Every grantee shall file with the State agency disbursing funds to the grantee a copy of that grantee's policy addressing conflicts of interest that may arise involving the grantee's management employees and the members of its board of directors or other governing body. The policy shall address situations in which any of these individuals may directly or indirectly benefit, except as the grantee's employees or members of its board or other governing body, from the grantee's disbursing of State funds, and shall include actions to be taken by the grantee or the individual, or both, to avoid conflicts of interest and the appearance of impropriety. The policy shall be filed before the disbursing State agency may disburse the grant funds.

(c) No Overdue Tax Debts. - Every grantee shall file with the State agency or department disbursing funds to the grantee a written statement completed by that grantee's board of directors or other governing body stating that the grantee does not have any overdue tax debts, as defined by G.S. 105-243.1, at the federal, State, or local level. The written statement shall be made under oath and shall be filed before the disbursing State agency or department may disburse the grant funds. A person who makes a false statement in violation of this subsection is guilty of a criminal offense punishable as provided by G.S. 143C-10-1.

(d) Office of State Budget Rules Must Require Uniform Administration of State Grants. - The Office of State Budget and Management shall adopt rules to ensure the uniform administration of State grants by all grantor State agencies and grantees or subgrantees. The Office of State Budget and Management shall consult with the Office of the State Auditor and the Attorney General in establishing the rules required by this

subsection. The rules shall establish policies and procedures for disbursements of State grants and for State agency oversight, monitoring, and evaluation of grantees and subgrantees. The policies and procedures shall:

- (1) Ensure that the purpose and reporting requirements of each grant are specified to the grantee.
- (2) Ensure that grantees specify the purpose and reporting requirements for grants made to subgrantees.
- (3) Ensure that State funds are spent in accordance with the purposes for which they were granted.
- (4) Hold the grantees and subgrantees accountable for the legal and appropriate expenditure of grant funds.
- (5) Provide for adequate oversight and monitoring to prevent the misuse of grant funds. These policies shall require each grantee and subgrantee to ensure that, for accounting purposes, State funds and interest earned on those funds remain separate and apart from other funds in the possession or control of the grantee or subgrantee.
- (6) Establish mandatory periodic reporting requirements for grantees and subgrantees, including methods of reporting, to provide financial and program performance information. The mandatory periodic reporting requirements shall require grantees and subgrantees to file with the State Auditor copies of reports and statements that are filed with State agencies pursuant to this subsection. Compliance with the mandatory periodic reporting requirements of this subdivision shall not require grantees and subgrantees to file with the State Auditor the information described in subsections (b) and (c) of this section.
- (7) Require grantees and subgrantees to maintain reports, records, and other information to properly account for the expenditure of all grant funds and to make such reports, records, and other information available to the grantor State agency for oversight, monitoring, and evaluation purposes.
- (8) Require grantees and subgrantees to ensure that work papers in the possession of their auditors are available to the State Auditor for the purposes set out in subsection (i) of this section.
- (9) Require grantees to be responsible for managing and monitoring each project, program, or activity supported by grant funds and each subgrantee project, program, or activity supported by grant funds.
- (10) Provide procedures for the suspension of further disbursements or use of grant funds for noncompliance with these rules or other inappropriate use of the funds.
- (11) Provide procedures for use in appropriate circumstances for reinstatement of disbursements that have been suspended for noncompliance with these rules or other inappropriate use of grant funds.
- (12) Provide procedures for the recovery and return to the grantor State agency of unexpended grant funds from a grantee or subgrantee (i) in accordance with subsection (f1) of this section or (ii) in the event that the grantee or subgrantee is unable to fulfill the purposes of the grant for a reason not set forth in that subsection.

(d1) Required Grant Terms. – The terms of each grant shall include all of the following, which shall be deemed a part of the grant:

- (1) The limitation contained in G.S. 143C-6-8 concerning the availability of appropriated funds.
- (2) The relevant provisions of any legislation authorizing or governing the administration of the grant.
- (3) The terms of this section.

(e) Rules Are Subject to the Administrative Procedure Act. – Notwithstanding the provisions of G.S. 150B-2(8a)b. rules adopted pursuant to subsection (d) of this section are subject to the provisions of Chapter 150B of the General Statutes.

(f) Suspension and Recovery of Funds to Grant Recipients for Noncompliance. – The Office of State Budget and Management, after consultation with the administering State agency, shall have the power to suspend disbursement of grant funds to grantees or subgrantees, to prevent further use of grant funds already disbursed, and to recover grant funds already disbursed for noncompliance with rules adopted pursuant to subsection (d) of this section. If the grant funds are a pass-through of funds granted by an agency of the United States, then the Office of State Budget and Management must consult with the granting agency of the United States and the State agency that is the recipient of the pass-through funds prior to taking the actions authorized by this subsection.

(f1) Return of Grant Funds. – Except as otherwise required by federal law, a grantee or subgrantee shall return to the State all affected grant funds and interest earned on those funds if any of the following occurs:

- (1) The funds are in the possession or control of a grantee and are not expended, made subject to an encumbrance, or disbursed to a subgrantee by August 31 immediately following the fiscal year in which the funds are appropriated by the General Assembly, or a different period set forth in the terms of the applicable appropriation or federal grant.
- (2) The funds remain unexpended at the time that the grantee or subgrantee dissolves, ceases operations, or otherwise indicates that it does not intend to spend the funds.
- (3) The Office of State Budget and Management seeks to recover the funds pursuant to subsection (f) of this section.

(f2) Use of Returned Grant Funds. – Encumbered funds returned to the State pursuant to subsection (f1) of this section by a grantee or subgrantee shall upon appropriation by the General Assembly be spent in accordance with the terms of the encumbrance. All other funds returned to the State by a grantee or subgrantee pursuant to subsection (f1) of this section shall be credited to the fund from which they were appropriated and shall remain unexpended and unencumbered until appropriated by the General Assembly. Nothing in this section shall be construed to authorize an expenditure pursuant to an unlawful encumbrance or in a manner that would violate the terms of the appropriation of the grant funds at issue.

(g) Audit Oversight. – The State Auditor has audit oversight, with respect to grant funds received by the grantee or subgrantee, pursuant to Article 5A of Chapter 147 of the General Statutes, of every grantee or subgrantee that receives, uses, or expends grant funds. A grantee or subgrantee must, upon request, furnish to the State Auditor for audit all books, records, and other information necessary for the State Auditor to account fully for the use and expenditure of grant funds received by the grantee or subgrantee. The grantee or subgrantee must furnish any additional financial or budgetary information requested by the State Auditor, including audit work papers in the possession of any auditor of a grantee or subgrantee directly related to the use and expenditure of grant funds.

(h) Report on Grant Recipients That Failed to Comply. – Not later than May 1, 2007, and by May 1 of every succeeding year, the Office of State Budget and Management shall report to the Joint Legislative Commission on Governmental Operations and the Fiscal Research Division on all grantees or subgrantees that failed to comply with this section with respect to grant funds received in the prior fiscal year.

(i) State Agencies to Submit Grant List to Auditor. – No later than October 1 of each year, each State agency shall submit a list to the State Auditor, in the format prescribed by the State Auditor, of every grantee to which the agency disbursed grant funds in the prior fiscal year. The list shall include the amount disbursed to each grantee and other information as required by the State Auditor to comply with the requirements of this section.

(j) Use of Interest Earned on Grant Funds. – Except as otherwise required by federal law or the terms of a federal grant, interest earned on grant funds after receipt of the funds by a grantee or subgrantee shall be credited to the grantee or subgrantee and shall be used for the same purposes for which the grant or subgrant was made.

(k) Reporting by Grantees and Subgrantees That Cease Operations. – A grantee or subgrantee that intends to dissolve or cease operations shall report that decision in writing to the Office of State Budget and Management and to the Fiscal Research Division at least 30 days prior to taking that action. (2006-203, s. 3; 2007-323, s. 28.22A(o); 2007-345, s. 12; 2014-100, s. 6.5(a); 2015-264, s. 21.)

09 NCAC 03M .0205 Minimum Reporting Requirements for Recipients and Subrecipients.

(a) For the purposes of this Subchapter, there are three reporting levels established for recipients and subrecipients receiving State financial assistance. Reporting levels are based on the level of State financial assistance from all funding sources. The reporting levels are:

- (1) Level I – A recipient or subrecipient that receives, holds, uses, or expends State financial assistance in an amount less than twenty-five thousand dollars (\$25,000) within its fiscal year.
- (2) Level II - A recipient or subrecipient that receives, holds, uses, or expends State financial assistance in an amount of at least twenty-five thousand (\$25,000) or greater, but less than five hundred thousand dollars (\$500,000) within its fiscal year.
- (3) Level III – A recipient or subrecipient that receives, holds, uses, or expends State financial assistance in an amount equal to or greater than five hundred thousand dollars (\$500,000) within its fiscal year.

(b) Agencies shall establish reporting requirements for recipients that meet the following reporting standards on an annual basis:

- (1) All recipients and subrecipients shall provide a certification that State financial assistance received or, held was used for the purposes for which it was awarded.
- (2) All recipients and subrecipients shall provide an accounting of all State financial assistance received, held, used, or expended.
- (3) Level II and III recipients and subrecipients shall report on activities and accomplishments undertaken by the recipient, including reporting on any performance measures established in the contract.
- (4) Level III recipients and subrecipients shall have a single or program-specific audit prepared and completed in accordance with Generally Accepted Government Auditing Standards, also known as the Yellow Book.

(c) All reports shall be filed with the disbursing agency in the format and method specified by the agency no later than three months after the end of the recipient's fiscal year, unless the same information is already

required through more frequent reporting. Audits must be provided to the funding agency no later than nine months after the end of the recipient's fiscal year.

(d) Agency-established reporting requirements to meet the standards set forth in Paragraph (b) of this Rule shall be specified in each recipient's contract.

(e) Unless prohibited by law, the costs of audits made in accordance with the provisions of this Rule shall be allowable charges to State and Federal awards. The charges may be considered a direct cost or an allocated indirect cost, as determined in accordance with cost principles outlined in the Code of Federal Regulations, 2 CFR Part 200. The cost of any audit not conducted in accordance with this Subchapter shall not be charged to State awards.

(f) Notwithstanding the provisions of this Subchapter, a recipient may satisfy the reporting requirements of Subparagraph (b)(4) of this Rule by submitting a copy of the report required under federal law with respect to the same funds.

QUARTERLY STATUS REPORT

Please use this reporting template for each of the quarterly reports

Recipient Name:	Special Olympics North Carolina
Recipient Tax ID #	56-1149607
Project/Activity Title:	Directed Grant
Reporting Period (Quarter):	FY25Q1
Report Completion Date:	October 7, 2024
Preparer of This Report:	Amy P. Johnson

Were grant funds expended during this reporting period? ☒ Yes ☐ No

Do you certify that all expenses to date have been submitted for this grant? ☒ Yes ☐ No

Was any interest earned on these grant funds during this reporting period? ☐ Yes ☒ No

If Yes, specify the amount of interest earned over the life of the grant through this reporting period: \$ _____

Do you certify that all funds expended to date were used for the purposes for which they were awarded and in compliance with your contract? ☒ Yes ☐ No

Did you make any subawards of this funding during this reporting period? ☐ Yes ☒ No

If yes, attach a list of subawards including subrecipient entity name, tax ID number, subaward amount, and an indication that the subrecipient is not on the State Suspension of Funding List or suspended or debarred from receiving federal funds if applicable. Please note that per the contract terms and conditions and State law, your organization is responsible for ensuring that any subrecipients comply with all requirements of your contract and provide you with adequate and timely information to fulfill your reporting obligations.

Final reports should be submitted once all funds are expended and grant activities are completed. Is this your final quarterly report? ☐ Yes ☒ No

If yes, please complete and sign below indicating that all expenses have been submitted and all funds and any interest earned were used for the purposes for which they were awarded and in compliance with the contract. Please note that all records pertaining to this grant must be retained for a minimum of five years or until all audit exceptions have been resolved, whichever is longer. If the contract is subject to federal policy and regulations, record retention may be longer than five years. Recipients are required to maintain reports and accounting records that support the allowable expenditure of State funds and shall make available all reports and records for inspection by the NC Department of Health and Human Services, the Office of State Budget and Management, and the Office of the State Auditor for oversight, monitoring, and evaluation purposes.

Name and Title of Organization Official

Signature of Organization Official

Date

1. Provide a brief report of activities and accomplishments related to your Grant Project during this reporting period.

Special Olympics North Carolina kicked off a new program year with fall season sports training and competition occurring throughout the state for children and adults with intellectual disabilities of all ages. Fall sports include bocce, bowling, softball, golf, soccer, tennis, flag football, cycling and roller skating. To make this activity possible, Special Olympics North Carolina collaborated with its strong base of volunteer leadership established in every county for delivery of programming through partnering community-based agencies such as parks and recreation departments, schools and group homes. Coaches are critical to availability of sports programming. As such, the organization offered multiple coach training opportunities, allowing volunteers to become certified in various sports, equipping them to effectively come alongside Special Olympics athletes, mentoring them and teaching new skills. Culminating competition opportunities for athletes included a statewide golf tour offered at five locations throughout the state, and a state-level equestrian championship held at the Tryon International Equestrian Center in Polk County. During this event, Special Olympics North Carolina hosted a health care access opportunity through which participating athletes were able to receive no-cost sports physicals and health education. All activity was supported by community-based volunteers.

2. Provide an update on the objectives, as outlined in your Scope of Work, of the grant project. Have you accomplished any of your objectives?

Special Olympics North Carolina made progress toward achievement of all objectives supported by the directed grant as follows:

- 1) **Address risks of compromised health faced by people with intellectual disabilities with an outreach effort that identifies eligible individuals and registers them as Special Olympics athletes:** During FY25 Q1, Special Olympics North Carolina reached 1,120 new individuals with intellectual disabilities and brought them into the Special Olympics program where they gained inclusion in structured physical activity through sports and fitness, complemented by health programming impacting health risks for the population. 2) **Support physical activity for improved aspects of physical and mental health by providing community-based training in up to 20 sports at facilities statewide for new and current athletes:** Special Olympics North Carolina worked closely with community-based partners throughout the state including schools, parks and recreation departments, group homes and other agencies to deliver Special Olympics sports training in many of its 20 sports offerings during the first quarter. While July-September is a slower time for Special Olympics due to the summer months and much of the programming occurring in collaboration with schools, athletes throughout the state still trained in sports like equestrian, golf, bocce, soccer and softball, for example. 3) **Support a network of 1,800 community-based coaches by providing 200 new coach training certifications qualifying volunteers to work alongside athletes:** 1,811 coaches were supported with new coach training and certification opportunities during Q1, with 118 new certifications awarded. 4) **Provide training and orientation for 4,000 new community-based volunteers to support the athletes in their activities:** 538 new volunteers were trained during Q1. 5) **Provide 350 culminating local/county competition opportunities during the two-year project period, and 8 state-level competitions throughout the state for athletes completing minimum training requirements:** During Q1, which is the slowest period of activity due to the summer months, at least seven culminating local-level competition opportunities were held. Primarily these events were focused on the sport of golf as part of Special Olympics North Carolina's annual statewide golf tour. Additionally, a state-level equestrian competition opportunity was held at the Tryon International Equestrian Center in Polk County. 6) **Improve healthcare accessibility for the population with a provision of fitness programming and health education, and health screenings and exams:** During Q1, Special Olympics provided three healthcare access events as follows: 9/10/24: Stokes County MedFest in partnership with Novant—athletes were provided no-cost sports physicals and health education; 9/13/24: Harnett County MedFest and FUNfitness event in partnership with Campbell University Schools of PA, PT, and Public Health provided no-cost sports physicals, and fitness screenings for athletes in attendance; 9/20-9/21/24 - During the Equestrian Tournament, athletes participated in a HealthFest, which provided sports physicals and health education activities in partnership with Vaya, Blue Ridge Health, and Polk County DHHS. Additionally, Special Olympics North Carolina kicked off the fall season of its virtual and at-home fitness and health education program. The eight-week program is supported with a fitness guide, small fitness equipment, and weekly virtual workouts complemented with a suite of fitness demonstration videos and a peer community organized through an associated mobile app and Facebook.

3. Provide an update on your expected results, as outlined in your Scope of Work, of the Grant Project(s). Have you accomplished any of your expected results?

Special Olympics North Carolina exceeded some outcomes and is on pace with others as follows:

1) 4,500 people with intellectual disabilities who register as new athletes will engage in a behavioral shift that incorporates physical activity into their lifestyles: 1,120 new athletes registered during Q1 and began training in sports, representing a shift to active living. **2) Improved aspects of health and wellness for 60% of participating athletes based on their participation in year-round sports training and competition opportunities:** While the sampling was small due to the time of year, some athletes did respond to an impact survey during Q1, and among this group, 77% reported some level of physical health improvement, notably an increase in strength (53%), and increased frequency of physical activity (44%). **3) Improved aspects of emotional health and wellbeing for at least 60% of participating athletes through coach mentoring and engagement with community volunteers contributing to happiness, confidence and socialization:** Among a sampling of athletes responding to the Special Olympics impact survey in Q1, 90% of athletes noted some aspect of improved emotional health: Increased confidence, 54%; increased happiness, 64%; increased social connectedness, 51%. **4) Increased understanding of people with intellectual disabilities for at least 70% of the general population, based on training and experience in their coaching and volunteer roles:** Among a small sampling of volunteers who completed a volunteer impact survey in Q1, 82% noted increased understanding of the population of people with intellectual disabilities as a result of their volunteer experiences. Importantly, 33% of those responding were first-time volunteers, which demonstrates Special Olympics North Carolina's ability to continually reach people in the community and educate them about the skills and value of people with intellectual disabilities. **5) 10,000 athletes annually benefit from increased healthcare accessibility through health programming outputs (health education, sports physicals and/or health screenings):** During three healthcare access events provided in Q1, 81 athletes received sports physicals, 75 received fitness screenings, and 270 participated in health education activities.

4. Provide an update on your performance measures, as outlined in your Scope of Work, for the Grant Project(s).

Special Olympics North Carolina utilized all evaluation methods noted in the Scope of Work, measuring the new athlete outreach number based on registration entered into the organization's Games Management System database (GMS). The GMS was also used to track athlete participation in health education and screenings at the equestrian tournament and this was in turn entered into a national Special Olympics reporting system. The percentage of athletes reporting improved aspects of health was measured using an online impact survey delivered using the Survey Monkey platform. This survey was also used to measure levels of understanding of the population of people with intellectual disabilities among volunteers. New coach certifications awarded were measured through coach training rosters entered into Special Olympics North Carolina's coach database. The number of new community volunteers registered and trained was measured through the organization's volunteer database (both Volunteer Local and GMS). The number of competition opportunities provided statewide was tracked through the GMS.

5. Provide a summary of expenditures during the reporting period (quarter).

<u>Expenditure Category</u>		<u>Amount of Expenditure</u>
Personnel/Contracted Services Expenses (e.g., program-related staffing)		\$10,000
Supplies and Materials Expenses (e.g., office supplies):	\$	
Non-Fixed Operating Expenses (e.g., travel, utilities):	\$	
Fixed Operating Expenses (e.g., office rent, dues and subscriptions):	\$	
Property and Equipment Expenses (e.g., buildings and improvements):	\$	
Services/Contracts Expenses (e.g., purchase of services, contracts with service providers):		\$
Other Expenses (Use of sport facilities; awards; uniforms; meals):	\$52,500	
Total Expenses:	\$62,500	

6. Provide a summary of all grant expenditures through the end of the current reporting period. The total expenditures on this table should equal the cumulative expenditures from all completed quarterly reports to date.

<u>Expenditure Category</u>	<u>Amount of Expenditure</u>
Personnel/Contracted Services Expenses (e.g., program-related staffing)	\$10,000
Supplies and Materials Expenses (e.g., office supplies):	\$
Non-Fixed Operating Expenses (e.g., travel, utilities):	\$
Fixed Operating Expenses (e.g., office rent, dues and subscriptions):	\$
Property and Equipment Expenses (e.g., buildings and improvements):	\$
Services/Contracts Expenses (e.g., purchase of services, contracts with service providers):	\$
Other Expenses (Use of sport facilities; awards; uniforms; meals):	\$52,500
Total Expenses:	\$62,500

Please contact your Contract Administrator with any questions.

ATTACHMENT D REPORTING REQUIREMENTS & TEMPLATES

Reporting Requirements: This is a subaward for financial assistance. Financial Assistance Contracts are subject to the Uniform Administration of State Awards, Oversight and Reporting Requirements for recipient and subrecipients described in [N.C. General Statute § 143C-6-23\(d\)](#) and in [09 NCAC 03M.205](#).

A non-governmental grantee who receives a combined \$500,000 or more funds from all state agencies must continue to submit a single or program-specific audit prepared and completed in accordance with Generally Accepted Government Auditing Standards, also known as a Yellow Book audit, to Risk Mitigation and Audit Monitoring at NCGrantsReporting@dhhs.nc.gov within 9 months of the grantee's fiscal year end.

DHHS encourages all of its non-governmental grantees that receive funds from other state agencies or DHHS divisions to contact their assigned contract monitor(s) to determine if year-end reports must be submitted for those particular grants/awards.

North Carolina State agencies are exempt from the reporting requirements of this section. Local governments that have reporting requirements for the Local Government Commission are exempt. All others are not exempt and must adhere to the reporting requirements of this section.

- (1) Quarterly Reports: see template in this attachment.
- (2) Reporting Timeframes and Due Dates. Once all grant funds are expended and activities concluded, a final report can be submitted and no additional reports are required:

Reporting Period	Due Date
July 1, 2024 – September 30, 2024	October 15, 2024
October 1, 2024 – December 31, 2024	January 15, 2025
January 1, 2025 – March 31, 2025	April 15, 2025
April 1, 2025 – June 30, 2025	July 15, 2025
July 1, 2025 - September 30, 2025	October 15, 2025
October 1, 2025 – December 31, 2025	January 15, 2026
January 1, 2026 – March 31, 2026	April 15, 2026
April 1, 2026 – June 30, 2026	July 15, 2026

N.C. General Statute § 143C-6-21-23. State Grant Funds: Administration; Oversight and Reporting Requirements.

§ 143C-6-21. Payments to nonprofits. Except as otherwise provided by law, an annual appropriation of one hundred thousand dollars (\$100,000) or less to or for the use of a nonprofit corporation may be made in a single annual payment, in the discretion of the Director of the Budget. An annual appropriation of more than one hundred thousand dollars (\$100,000) to or for the use of a nonprofit corporation shall be made in quarterly or monthly payments, in the discretion of the Director of the Budget. (2006-203, s. 3; 2013-360, s. 6.12(o).)

§ 143C-6-22. Use of State funds by non-State entities. (a) Disbursement and Use of State Funds. - Every non-State entity that receives, uses, or expends any State funds shall use or expend the funds only for the purposes for which they were appropriated by the General Assembly. State funds include federal funds that flow through the State Treasury. (b) Compliance by Non-State Entities. - If the Director of the Budget finds that a non-State entity has spent or encumbered State funds for an unauthorized purpose, or fails to submit or falsifies the information required by G.S. 143C-6-23 or any other provision of law, the Director shall take appropriate administrative action to ensure that no further irregularities or violations of law occur and shall report to the Attorney General any facts that pertain to an apparent violation of a criminal law or an apparent instance of malfeasance, misfeasance, or nonfeasance in connection with the use of State funds. Appropriate administrative action may include suspending or withholding the disbursement of State funds and recovering State funds previously disbursed. (c) Civil Actions. - Civil actions to recover State funds or to obtain other mandatory orders in the name of the State on relation of the Attorney General, or in the name of the Office of State Budget and Management, shall be filed in the General Court of Justice in Wake County. (2006-203, s. 3.)

§ 143C-6-23. State grant funds: administration; oversight and reporting requirements.

(a) Definitions. - The following definitions apply in this section:

(1) Grant or grant funds. - State funds disbursed as a grant by a State agency; however, the terms do not include any payment made by the Medicaid program, the State Health Plan for Teachers and State Employees, or other similar medical programs.

(2) Grantee. - A non-State entity that receives State funds as a grant from a State agency but does not include any non-State entity subject to the audit and other reporting requirements of the Local Government Commission.

(3) Encumbrance. - A financial obligation created by a purchase order, contract, salary commitment, unearned or prepaid collections for services provided, or other legally binding agreement. A financial obligation is not an encumbrance for purposes of this section unless it (i) is in writing and has been signed by a person or entity who has authority to legally bind the grantee or subgrantee to spend the funds or (ii) was created by the provision of goods or services to the grantee or subgrantee by a third party under circumstances that create a legally binding obligation to pay for the goods or services.

(4) Subgrantee. - A non-State entity that receives State funds as a grant from a grantee or from another subgrantee but does not include any non-State entity subject to the audit and other reporting requirements of the Local Government Commission.

(b) Conflict of Interest Policy. - Every grantee shall file with the State agency disbursing funds to the grantee a copy of that grantee's policy addressing conflicts of interest that may arise involving the grantee's management employees and the members of its board of directors or other governing body. The policy shall address situations in which any of these individuals may directly or indirectly benefit, except as the grantee's employees or members of its board or other governing body, from the grantee's disbursing of State funds, and shall include actions to be taken by the grantee or the individual, or both, to avoid conflicts of interest and the appearance of impropriety. The policy shall be filed before the disbursing State agency may disburse the grant funds.

(c) No Overdue Tax Debts. - Every grantee shall file with the State agency or department disbursing funds to the grantee a written statement completed by that grantee's board of directors or other governing body stating that the grantee does not have any overdue tax debts, as defined by G.S. 105-243.1, at the federal, State, or local level. The written statement shall be made under oath and shall be filed before the disbursing State agency or department may disburse the grant funds. A person who makes a false statement in violation of this subsection is guilty of a criminal offense punishable as provided by G.S. 143C-10-1.

(d) Office of State Budget Rules Must Require Uniform Administration of State Grants. - The Office of State Budget and Management shall adopt rules to ensure the uniform administration of State grants by all grantor State agencies and grantees or subgrantees. The Office of State Budget and Management shall consult with the Office of the State Auditor and the Attorney General in establishing the rules required by this

subsection. The rules shall establish policies and procedures for disbursements of State grants and for State agency oversight, monitoring, and evaluation of grantees and subgrantees. The policies and procedures shall:

- (1) Ensure that the purpose and reporting requirements of each grant are specified to the grantee.
- (2) Ensure that grantees specify the purpose and reporting requirements for grants made to subgrantees.
- (3) Ensure that State funds are spent in accordance with the purposes for which they were granted.
- (4) Hold the grantees and subgrantees accountable for the legal and appropriate expenditure of grant funds.
- (5) Provide for adequate oversight and monitoring to prevent the misuse of grant funds. These policies shall require each grantee and subgrantee to ensure that, for accounting purposes, State funds and interest earned on those funds remain separate and apart from other funds in the possession or control of the grantee or subgrantee.
- (6) Establish mandatory periodic reporting requirements for grantees and subgrantees, including methods of reporting, to provide financial and program performance information. The mandatory periodic reporting requirements shall require grantees and subgrantees to file with the State Auditor copies of reports and statements that are filed with State agencies pursuant to this subsection. Compliance with the mandatory periodic reporting requirements of this subdivision shall not require grantees and subgrantees to file with the State Auditor the information described in subsections (b) and (c) of this section.
- (7) Require grantees and subgrantees to maintain reports, records, and other information to properly account for the expenditure of all grant funds and to make such reports, records, and other information available to the grantor State agency for oversight, monitoring, and evaluation purposes.
- (8) Require grantees and subgrantees to ensure that work papers in the possession of their auditors are available to the State Auditor for the purposes set out in subsection (i) of this section.
- (9) Require grantees to be responsible for managing and monitoring each project, program, or activity supported by grant funds and each subgrantee project, program, or activity supported by grant funds.
- (10) Provide procedures for the suspension of further disbursements or use of grant funds for noncompliance with these rules or other inappropriate use of the funds.
- (11) Provide procedures for use in appropriate circumstances for reinstatement of disbursements that have been suspended for noncompliance with these rules or other inappropriate use of grant funds.
- (12) Provide procedures for the recovery and return to the grantor State agency of unexpended grant funds from a grantee or subgrantee (i) in accordance with subsection (f1) of this section or (ii) in the event that the grantee or subgrantee is unable to fulfill the purposes of the grant for a reason not set forth in that subsection.

(d1) Required Grant Terms. – The terms of each grant shall include all of the following, which shall be deemed a part of the grant:

- (1) The limitation contained in G.S. 143C-6-8 concerning the availability of appropriated funds.
- (2) The relevant provisions of any legislation authorizing or governing the administration of the grant.
- (3) The terms of this section.

(e) Rules Are Subject to the Administrative Procedure Act. – Notwithstanding the provisions of G.S. 150B-2(8a)b. rules adopted pursuant to subsection (d) of this section are subject to the provisions of Chapter 150B of the General Statutes.

(f) Suspension and Recovery of Funds to Grant Recipients for Noncompliance. – The Office of State Budget and Management, after consultation with the administering State agency, shall have the power to suspend disbursement of grant funds to grantees or subgrantees, to prevent further use of grant funds already disbursed, and to recover grant funds already disbursed for noncompliance with rules adopted pursuant to subsection (d) of this section. If the grant funds are a pass-through of funds granted by an agency of the United States, then the Office of State Budget and Management must consult with the granting agency of the United States and the State agency that is the recipient of the pass-through funds prior to taking the actions authorized by this subsection.

(f1) Return of Grant Funds. – Except as otherwise required by federal law, a grantee or subgrantee shall return to the State all affected grant funds and interest earned on those funds if any of the following occurs:

- (1) The funds are in the possession or control of a grantee and are not expended, made subject to an encumbrance, or disbursed to a subgrantee by August 31 immediately following the fiscal year in which the funds are appropriated by the General Assembly, or a different period set forth in the terms of the applicable appropriation or federal grant.
- (2) The funds remain unexpended at the time that the grantee or subgrantee dissolves, ceases operations, or otherwise indicates that it does not intend to spend the funds.
- (3) The Office of State Budget and Management seeks to recover the funds pursuant to subsection (f) of this section.

(f2) Use of Returned Grant Funds. – Encumbered funds returned to the State pursuant to subsection (f1) of this section by a grantee or subgrantee shall upon appropriation by the General Assembly be spent in accordance with the terms of the encumbrance. All other funds returned to the State by a grantee or subgrantee pursuant to subsection (f1) of this section shall be credited to the fund from which they were appropriated and shall remain unexpended and unencumbered until appropriated by the General Assembly. Nothing in this section shall be construed to authorize an expenditure pursuant to an unlawful encumbrance or in a manner that would violate the terms of the appropriation of the grant funds at issue.

(g) Audit Oversight. – The State Auditor has audit oversight, with respect to grant funds received by the grantee or subgrantee, pursuant to Article 5A of Chapter 147 of the General Statutes, of every grantee or subgrantee that receives, uses, or expends grant funds. A grantee or subgrantee must, upon request, furnish to the State Auditor for audit all books, records, and other information necessary for the State Auditor to account fully for the use and expenditure of grant funds received by the grantee or subgrantee. The grantee or subgrantee must furnish any additional financial or budgetary information requested by the State Auditor, including audit work papers in the possession of any auditor of a grantee or subgrantee directly related to the use and expenditure of grant funds.

(h) Report on Grant Recipients That Failed to Comply. – Not later than May 1, 2007, and by May 1 of every succeeding year, the Office of State Budget and Management shall report to the Joint Legislative Commission on Governmental Operations and the Fiscal Research Division on all grantees or subgrantees that failed to comply with this section with respect to grant funds received in the prior fiscal year.

(i) State Agencies to Submit Grant List to Auditor. – No later than October 1 of each year, each State agency shall submit a list to the State Auditor, in the format prescribed by the State Auditor, of every grantee to which the agency disbursed grant funds in the prior fiscal year. The list shall include the amount disbursed to each grantee and other information as required by the State Auditor to comply with the requirements of this section.

(j) Use of Interest Earned on Grant Funds. – Except as otherwise required by federal law or the terms of a federal grant, interest earned on grant funds after receipt of the funds by a grantee or subgrantee shall be credited to the grantee or subgrantee and shall be used for the same purposes for which the grant or subgrant was made.

(k) Reporting by Grantees and Subgrantees That Cease Operations. – A grantee or subgrantee that intends to dissolve or cease operations shall report that decision in writing to the Office of State Budget and Management and to the Fiscal Research Division at least 30 days prior to taking that action. (2006-203, s. 3; 2007-323, s. 28.22A(o); 2007-345, s. 12; 2014-100, s. 6.5(a); 2015-264, s. 21.)

09 NCAC 03M .0205 Minimum Reporting Requirements for Recipients and Subrecipients.

(a) For the purposes of this Subchapter, there are three reporting levels established for recipients and subrecipients receiving State financial assistance. Reporting levels are based on the level of State financial assistance from all funding sources. The reporting levels are:

(1) Level I – A recipient or subrecipient that receives, holds, uses, or expends State financial assistance in an amount less than twenty-five thousand dollars (\$25,000) within its fiscal year.

(2) Level II - A recipient or subrecipient that receives, holds, uses, or expends State financial assistance in an amount of at least twenty-five thousand (\$25,000) or greater, but less than five hundred thousand dollars (\$500,000) within its fiscal year.

(3) Level III – A recipient or subrecipient that receives, holds, uses, or expends State financial assistance in an amount equal to or greater than five hundred thousand dollars (\$500,000) within its fiscal year.

(b) Agencies shall establish reporting requirements for recipients that meet the following reporting standards on an annual basis:

(1) All recipients and subrecipients shall provide a certification that State financial assistance received or, held was used for the purposes for which it was awarded.

(2) All recipients and subrecipients shall provide an accounting of all State financial assistance received, held, used, or expended.

(3) Level II and III recipients and subrecipients shall report on activities and accomplishments undertaken by the recipient, including reporting on any performance measures established in the contract.

(4) Level III recipients and subrecipients shall have a single or program-specific audit prepared and completed in accordance with Generally Accepted Government Auditing Standards, also known as the Yellow Book.

(c) All reports shall be filed with the disbursing agency in the format and method specified by the agency no later than three months after the end of the recipient's fiscal year, unless the same information is already

required through more frequent reporting. Audits must be provided to the funding agency no later than nine months after the end of the recipient's fiscal year.

(d) Agency-established reporting requirements to meet the standards set forth in Paragraph (b) of this Rule shall be specified in each recipient's contract.

(e) Unless prohibited by law, the costs of audits made in accordance with the provisions of this Rule shall be allowable charges to State and Federal awards. The charges may be considered a direct cost or an allocated indirect cost, as determined in accordance with cost principles outlined in the Code of Federal Regulations, 2 CFR Part 200. The cost of any audit not conducted in accordance with this Subchapter shall not be charged to State awards.

(f) Notwithstanding the provisions of this Subchapter, a recipient may satisfy the reporting requirements of Subparagraph (b)(4) of this Rule by submitting a copy of the report required under federal law with respect to the same funds.

QUARTERLY STATUS REPORT

Please use this reporting template for each of the quarterly reports

Recipient Name:	Special Olympics North Carolina
Recipient Tax ID #	56-1149607
Project/Activity Title:	Directed Grant
Reporting Period (Quarter):	FY25Q2
Report Completion Date:	January 15, 2025
Preparer of This Report:	Amy P. Johnson

Were grant funds expended during this reporting period? ☒ Yes ☐ No

Do you certify that all expenses to date have been submitted for this grant? ☒ Yes ☐ No

Was any interest earned on these grant funds during this reporting period? ☐ Yes ☒ No

If Yes, specify the amount of interest earned over the life of the grant through this reporting period: \$ _____

Do you certify that all funds expended to date were used for the purposes for which they were awarded and in compliance with your contract? ☒ Yes ☐ No

Did you make any subawards of this funding during this reporting period? ☐ Yes ☒ No

If yes, attach a list of subawards including subrecipient entity name, tax ID number, subaward amount, and an indication that the subrecipient is not on the State Suspension of Funding List or suspended or debarred from receiving federal funds if applicable. Please note that per the contract terms and conditions and State law, your organization is responsible for ensuring that any subrecipients comply with all requirements of your contract and provide you with adequate and timely information to fulfill your reporting obligations.

Final reports should be submitted once all funds are expended and grant activities are completed. Is this your final quarterly report? ☐ Yes ☒ No

If yes, please complete and sign below indicating that all expenses have been submitted and all funds and any interest earned were used for the purposes for which they were awarded and in compliance with the contract. Please note that all records pertaining to this grant must be retained for a minimum of five years or until all audit exceptions have been resolved, whichever is longer. If the contract is subject to federal policy and regulations, record retention may be longer than five years. Recipients are required to maintain reports and accounting records that support the allowable expenditure of State funds and shall make available all reports and records for inspection by the NC Department of Health and Human Services, the Office of State Budget and Management, and the Office of the State Auditor for oversight, monitoring, and evaluation purposes.

Name and Title of Organization Official

Signature of Organization Official

Date

1. Provide a brief report of activities and accomplishments related to your Grant Project during this reporting period.

Special Olympics North Carolina continued with fall season sports training and competition that occurred throughout the state for children and adults with intellectual disabilities of all ages. Fall sports included bocce, bowling, softball, golf, soccer, tennis, flag football, cycling and roller skating. To make this activity possible, Special Olympics North Carolina collaborated with its strong base of volunteer leadership established in every county for delivery of programming through partnering community-based agencies such as parks and recreation departments, schools and group homes. Coaches are critical to availability of sports programming. As such, the organization relied on these trained and certified community-based volunteers to come alongside Special Olympics athletes, mentor them and teach them sport skills. Culminating competition opportunities for athletes included the Special Olympics North Carolina Fall Tournament held in Charlotte for athletes statewide. During this event, Special Olympics North Carolina hosted a healthcare access opportunity through which participating athletes were able to receive no-cost health screenings and education across multiple disciplines. All activity was supported by community-based volunteers.

2. Provide an update on the objectives, as outlined in your Scope of Work, of the grant project. Have you accomplished any of your objectives?

Special Olympics North Carolina made progress toward achievement of all objectives supported by the directed grant as follows:

- 1) **Address risks of compromised health faced by people with intellectual disabilities with an outreach effort that identifies eligible individuals and registers them as Special Olympics athletes:** During FY25 Q2, Special Olympics North Carolina reached 1,585 new individuals with intellectual disabilities and brought them into the Special Olympics program where they gained inclusion in structured physical activity through sports and fitness, complemented by health programming impacting health risks for the population. 2) **Support physical activity for improved aspects of physical and mental health by providing community-based training in up to 20 sports at facilities statewide for new and current athletes:** Special Olympics North Carolina worked closely with community-based partners throughout the state including schools, parks and recreation departments, group homes and other agencies to deliver Special Olympics sports training in many of its 20 sports offerings during the second quarter. As a result, athletes throughout the state trained in tennis, cycling, golf, roller skating, bocce, soccer, flag football and softball. 3) **Support a network of 1,800 community-based coaches by providing 200 new coach training certifications qualifying volunteers to work alongside athletes:** 1,811 coaches continued to be engaged in Special Olympics; no new certifications were awarded in Q2 as these opportunities were concentrated into Q1. 4) **Provide training and orientation for 4,000 new community-based volunteers to support the athletes in their activities:** 1,212 new volunteers were trained during Q2. 5) **Provide 350 culminating local/county competition opportunities during the two-year project period, and 8 state-level competitions throughout the state for athletes completing minimum training requirements:** During Q2, Special Olympics North Carolina hosted a state-level Fall Tournament in Charlotte, NC, featuring competition in seven sports over a two-day period. At the local level, 45 competition events in the form of invitationals and county-based competitions were held in locations throughout the state. Examples include multi-county bocce invitationals hosted in Carteret, Lincoln and Cabarrus counties; softball invitationals hosted in Forsyth, Surry and New Hanover counties; and soccer invitationals hosted in Wake, Cumberland, Mecklenburg and Durham counties, among others. 6) **Improve healthcare accessibility for the population with a provision of fitness programming and health education, and health screenings and exams:** During Q2, Special Olympics provided three healthcare access events as follows: 10/21/2024- Medfest, Gaston County in partnership with Atrium Health—Athletes were provided no-cost sports physicals and health education; 10/31/2024- Healthfest, Forsyth County in partnership with Wake Forest Medical School—Athletes were provided no-cost sports physicals, and fitness screenings; 11/13-15/24 - During the Special Olympics North Carolina Fall Tournament, athletes participated in a screening and health education event featuring Special Olympics Healthy Athletes FUNfitness, Fit Feet, Special Smiles, Health Promotion and Special Smiles disciplines. These screenings were provided with the support of health professional volunteers from various parts of the state. Additionally, Special Olympics North Carolina concluded its fall fitness season featuring virtual and at-home fitness and health education. The eight-week program was supported with a fitness guide, small fitness equipment, and weekly virtual workouts complemented with a suite of fitness demonstration videos and a peer community organized through an associated mobile app and Facebook.

3. Provide an update on your expected results, as outlined in your Scope of Work, of the Grant Project(s). Have you accomplished any of your expected results?

Special Olympics North Carolina exceeded some outcomes and is on pace with others as follows:

1) 4,500 people with intellectual disabilities who register as new athletes will engage in a behavioral shift that incorporates physical activity into their lifestyles: Special Olympics North Carolina has exceeded the goal for the two-year period, with a total of 5,655 new athletes registered since FY2024 Q1. For the most recent reporting period, FY2025 Q2, Special Olympics welcomed 1,585 new athletes to the program, providing them inclusion in sports, and opportunity to participate in active living. **2) Improved aspects of health and wellness for 60% of participating athletes based on their participation in year-round sports training and competition opportunities:** Athletes across the state responded to an impact survey during Q2, which yielded the following health picture for people with intellectual disabilities participating in Special Olympics programming: 80% reported some level of physical health improvement, notably an increase in strength (53%), and increased endurance (36%). **3) Improved aspects of emotional health and wellbeing for at least 60% of participating athletes through coach mentoring and engagement with community volunteers contributing to happiness, confidence and socialization:** Among a sampling of athletes responding to the Special Olympics impact survey in Q2, 82% noted some aspect of improved emotional health: Increased confidence, 53%; increased happiness, 67%; increased social connectedness, 31%. **4) Increased understanding of people with intellectual disabilities for at least 70% of the general population, based on training and experience in their coaching and volunteer roles:** Among a sampling of volunteers who completed a volunteer impact survey in Q2, 79% noted increased understanding of the population of people with intellectual disabilities as a result of their volunteer experiences. Importantly, 43% of those responding were first-time volunteers, which demonstrates Special Olympics North Carolina's ability to continually reach people in the community and educate them about the skills and value of people with intellectual disabilities. **5) 10,000 athletes annually benefit from increased healthcare accessibility through health programming outputs (health education, sports physicals and/or health screenings):** During healthcare access events provided in Q1 and Q2, 140 athletes received sports physicals; 452 received health screenings in the area of fitness, health promotion, dental health, audiology and podiatry; and 450 participated in health education activities. Apart from healthcare access events, 8,444 athletes participated in Special Olympics North Carolina's virtual and at-home fitness program, for a total impact of 9,486 healthcare touch points and impact on nearly 10,000 athletes. Nearly 100 volunteers representing community-based health partners made health screenings and education possible.

4. Provide an update on your performance measures, as outlined in your Scope of Work, for the Grant Project(s).

Special Olympics North Carolina utilized all evaluation methods noted in the Scope of Work, measuring the new athlete outreach number based on registration entered into the organization's Games Management System database (GMS). The GMS was also used to track athlete participation in health education and screenings at the Fall Tournament and this was in turn entered into a national Special Olympics reporting system. The percentage of athletes reporting improved aspects of health was measured using an online impact survey delivered using the Survey Monkey platform. This survey was also used to measure levels of understanding of the population of people with intellectual disabilities among volunteers. The number of new community volunteers registered and trained was measured through the organization's volunteer database (both Volunteer Local and GMS). The number of competition opportunities provided statewide was tracked through the GMS.

5. Provide a summary of expenditures during the reporting period (quarter).

<u>Expenditure Category</u>		<u>Amount of Expenditure</u>
Personnel/Contracted Services Expenses (e.g., program-related staffing)		\$10,000
Supplies and Materials Expenses (e.g., office supplies):	\$	
Non-Fixed Operating Expenses (e.g., travel, utilities):	\$	
Fixed Operating Expenses (e.g., office rent, dues and subscriptions):	\$	
Property and Equipment Expenses (e.g., buildings and improvements):	\$	
Services/Contracts Expenses (e.g., purchase of services, contracts with service providers):		\$
Other Expenses (Use of sport facilities; awards; uniforms; meals):	\$52,500	
Total Expenses:	\$62,500	

6. Provide a summary of all grant expenditures through the end of the current reporting period. The total expenditures on this table should equal the cumulative expenditures from all completed quarterly reports to date.

<u>Expenditure Category</u>	<u>Amount of Expenditure</u>
Personnel/Contracted Services Expenses (e.g., program-related staffing)	\$20,000
Supplies and Materials Expenses (e.g., office supplies):	\$
Non-Fixed Operating Expenses (e.g., travel, utilities):	\$
Fixed Operating Expenses (e.g., office rent, dues and subscriptions):	\$
Property and Equipment Expenses (e.g., buildings and improvements):	\$
Services/Contracts Expenses (e.g., purchase of services, contracts with service providers):	\$
Other Expenses (Use of sport facilities; awards; uniforms; meals):	\$105,000
Total Expenses:	\$125,000

Please contact your Contract Administrator with any questions.

ATTACHMENT D REPORTING REQUIREMENTS & TEMPLATES

Reporting Requirements: This is a subaward for financial assistance. Financial Assistance Contracts are subject to the Uniform Administration of State Awards, Oversight and Reporting Requirements for recipient and subrecipients described in N.C. General Statute § 143C-6-23(d) and in 09 NCAC 03M.205.

A non-governmental grantee who receives a combined \$500,000 or more funds from all state agencies must continue to submit a single or program-specific audit prepared and completed in accordance with Generally Accepted Government Auditing Standards, also known as a Yellow Book audit, to Risk Mitigation and Audit Monitoring at NCGrantsReporting@dhhs.nc.gov within 9 months of the grantee's fiscal year end.

DHHS encourages all of its non-governmental grantees that receive funds from other state agencies or DHHS divisions to contact their assigned contract monitor(s) to determine if year-end reports must be submitted for those particular grants/awards.

North Carolina State agencies are exempt from the reporting requirements of this section. Local governments that have reporting requirements for the Local Government Commission are exempt. All others are not exempt and must adhere to the reporting requirements of this section.

- (1) Quarterly Reports: see template in this attachment.
- (2) Reporting Timeframes and Due Dates. Once all grant funds are expended and activities concluded, a final report can be submitted and no additional reports are required:

Reporting Period	Due Date
July 1, 2024 - September 30, 2024	October 15, 2024
October 1, 2024 - December 31, 2024	January 15, 2025
January 1, 2025 - March 31, 2025	April 15, 2025
April 1, 2025-June 30, 2025	July 15, 2025
July 1, 2025 - September 30, 2025	October 15, 2025
October 1, 2025 - December 31, 2025	January 15, 2026
January 1, 2026 - March 31, 2026	April 15, 2026
April 1, 2026 - June 30, 2026	July 15, 2026

N.C. General Statute § 143C-6-21-23. State Grant Funds: Administration; Oversight and Reporting Requirements.

§ 143C-6-21. Payments to nonprofits. Except as otherwise provided by law, an annual appropriation of one hundred thousand dollars (\$100,000) or less to or for the use of a nonprofit corporation may be made in a single annual payment, in the discretion of the Director of the Budget. An annual appropriation of more than one hundred thousand dollars (\$100,000) to or for the use of a nonprofit corporation shall be made in quarterly or monthly payments, in the discretion of the Director of the Budget. (2006-203, s. 3; 2013-360, s. 6.12(0).)

§ 143C-6-22. Use of State funds by non-State entities. (a) Disbursement and Use of State Funds. - Every non-State entity that receives, uses, or expends any State funds shall use or expend the funds only for the purposes for which they were appropriated by the General Assembly. State funds include federal funds that flow through the State Treasury. (b) Compliance by Non-State Entities. - If the Director of the Budget finds that a non-State entity has spent or encumbered State funds for an unauthorized purpose, or fails to submit or falsifies the information required by G.S. 143C-6-23 or any other provision of law, the Director shall take appropriate administrative action to ensure that no further irregularities or violations of law occur and shall report to the Attorney General any facts that pertain to an apparent violation of a criminal law or an apparent instance of malfeasance, misfeasance, or nonfeasance in connection with the use of State funds. Appropriate administrative action may include suspending or withholding the disbursement of State funds and recovering State funds previously disbursed. (c) Civil Actions. - Civil actions to recover State funds or to obtain other mandatory orders in the name of the State on relation of the Attorney General, or in the name of the Office of State Budget and Management, shall be filed in the General Court of Justice in Wake County. (2006-203, s. 3.)

§ 143C-6-23. State grant funds: administration; oversight and reporting requirements.

(a) Definitions. - The following definitions apply in this section:

(1) Grant or grant funds. - State funds disbursed as a grant by a State agency; however, the terms do not include any payment made by the Medicaid program, the State Health Plan for Teachers and State Employees, or other similar medical programs.

(2) Grantee. - A non-State entity that receives State funds as a grant from a State agency but does not include any non-State entity subject to the audit and other reporting requirements of the Local Government Commission.

(3) Encumbrance. - A financial obligation created by a purchase order, contract, salary commitment, unearned or prepaid collections for services provided, or other legally binding agreement. A financial obligation is not an encumbrance for purposes of this section unless it (i) is in writing and has been signed by a person or entity who has authority to legally bind the grantee or subgrantee to spend the funds or (ii) was created by the provision of goods or services to the grantee or subgrantee by a third party under circumstances that create a legally binding obligation to pay for the goods or services.

(4) Subgrantee. - A non-State entity that receives State funds as a grant from a grantee or from another subgrantee but does not include any non-State entity subject to the audit and other reporting requirements of the Local Government Commission.

(b) Conflict of Interest Policy. - Every grantee shall file with the State agency disbursing funds to the grantee a copy of that grantee's policy addressing conflicts of interest that may arise involving the grantee's management employees and the members of its board of directors or other governing body. The policy shall address situations in which any of these individuals may directly or indirectly benefit, except as the grantee's employees or members of its board or other governing body, from the grantee's disbursing of State funds, and shall include actions to be taken by the grantee or the individual, or both, to avoid conflicts of interest and the appearance of impropriety. The policy shall be filed before the disbursing State agency may disburse the grant funds.

(c) No Overdue Tax Debts. - Every grantee shall file with the State agency or department disbursing funds to the grantee a written statement completed by that grantee's board of directors or other governing body stating that the grantee does not have any overdue tax debts, as defined by G.S. 105-243.1, at the federal, State, or local level. The written statement shall be made under oath and shall be filed before the disbursing State agency or department may disburse the grant funds. A person who makes a false statement in violation of this subsection is guilty of a criminal offense punishable as provided by G.S. 143C-10-1.

(d) Office of State Budget Rules Must Require Uniform Administration of State Grants. - The Office of State Budget and Management shall adopt rules to ensure the uniform administration of State grants by all grantor State agencies and grantees or subgrantees. The Office of State Budget and Management shall consult with the Office of the State Auditor and the Attorney General in establishing the rules required by this

subsection. The rules shall establish policies and procedures for disbursements of State grants and for State agency oversight, monitoring, and evaluation of grantees and subgrantees. The policies and procedures shall:

- (1) Ensure that the purpose and reporting requirements of each grant are specified to the grantee.
- (2) Ensure that grantees specify the purpose and reporting requirements for grants made to subgrantees.
- (3) Ensure that State funds are spent in accordance with the purposes for which they were granted.
- (4) Hold the grantees and subgrantees accountable for the legal and appropriate expenditure of grant funds.
- (5) Provide for adequate oversight and monitoring to prevent the misuse of grant funds. These policies shall require each grantee and subgrantee to ensure that, for accounting purposes, State funds and interest earned on those funds remain separate and apart from other funds in the possession or control of the grantee or subgrantee.
- (6) Establish mandatory periodic reporting requirements for grantees and subgrantees, including methods of reporting, to provide financial and program performance information. The mandatory periodic reporting requirements shall require grantees and subgrantees to file with the State Auditor copies of reports and statements that are filed with State agencies pursuant to this subsection. Compliance with the mandatory periodic reporting requirements of this subdivision shall not require grantees and subgrantees to file with the State Auditor the information described in subsections (b) and (c) of this section.
- (7) Require grantees and subgrantees to maintain reports, records, and other information to properly account for the expenditure of all grant funds and to make such reports, records, and other information available to the grantor State agency for oversight, monitoring, and evaluation purposes.
- (8) Require grantees and subgrantees to ensure that work papers in the possession of their auditors are available to the State Auditor for the purposes set out in subsection (i) of this section.
- (9) Require grantees to be responsible for managing and monitoring each project, program, or activity supported by grant funds and each subgrantee project, program, or activity supported by grant funds.
- (10) Provide procedures for the suspension of further disbursements or use of grant funds for noncompliance with these rules or other inappropriate use of the funds.
- (11) Provide procedures for use in appropriate circumstances for reinstatement of disbursements that have been suspended for noncompliance with these rules or other inappropriate use of grant funds.
- (12) Provide procedures for the recovery and return to the grantor State agency of unexpended grant funds from a grantee or subgrantee (i) in accordance with subsection (f1) of this section or (ii) in the event that the grantee or subgrantee is unable to fulfill the purposes of the grant for a reason not set forth in that subsection.

(d1) Required Grant Terms. - The terms of each grant shall include all of the following, which shall be deemed a part of the grant:

- (1) The limitation contained in G.S. 143C-6-8 concerning the availability of appropriated funds.
- (2) The relevant provisions of any legislation authorizing or governing the administration of the grant.
- (3) The terms of this section.

(e) Rules Are Subject to the Administrative Procedure Act. - Notwithstanding the provisions of G.S. 150B-2(8a)b. rules adopted pursuant to subsection (d) of this section are subject to the provisions of Chapter 150B of the General Statutes.

(f) Suspension and Recovery of Funds to Grant Recipients for Noncompliance. - The Office of State Budget and Management, after consultation with the administering State agency, shall have the power to suspend disbursement of grant funds to grantees or subgrantees, to prevent further use of grant funds already disbursed, and to recover grant funds already disbursed for noncompliance with rules adopted pursuant to subsection (d) of this section. If the grant funds are a pass-through of funds granted by an agency of the United States, then the Office of State Budget and Management must consult with the granting agency of the United States and the State agency that is the recipient of the pass-through funds prior to taking the actions authorized by this subsection.

(f1) Return of Grant Funds. - Except as otherwise required by federal law, a grantee or subgrantee shall return to the State all affected grant funds and interest earned on those funds if any of the following occurs:

- (1) The funds are in the possession or control of a grantee and are not expended, made subject to an encumbrance, or disbursed to a subgrantee by August 31 immediately following the fiscal year in which the funds are appropriated by the General Assembly, or a different period set forth in the terms of the applicable appropriation or federal grant.
- (2) The funds remain unexpended at the time that the grantee or subgrantee dissolves, ceases operations, or otherwise indicates that it does not intend to spend the funds.
- (3) The Office of State Budget and Management seeks to recover the funds pursuant to subsection (f) of this section.

(f2) Use of Returned Grant Funds. - Encumbered funds returned to the State pursuant to subsection (f1) of this section by a grantee or subgrantee shall upon appropriation by the General Assembly be spent in accordance with the terms of the encumbrance. All other funds returned to the State by a grantee or subgrantee pursuant to subsection (f1) of this section shall be credited to the fund from which they were appropriated and shall remain unexpended and unencumbered until appropriated by the General Assembly. Nothing in this section shall be construed to authorize an expenditure pursuant to an unlawful encumbrance or in a manner that would violate the terms of the appropriation of the grant funds at issue.

(g) Audit Oversight. - The State Auditor has audit oversight, with respect to grant funds received by the grantee or subgrantee, pursuant to Article SA of Chapter 147 of the General Statutes, of every grantee or subgrantee that receives, uses, or expends grant funds. A grantee or subgrantee must, upon request, furnish to the State Auditor for audit all books, records, and other information necessary for the State Auditor to account fully for the use and expenditure of grant funds received by the grantee or subgrantee. The grantee or subgrantee must furnish any additional financial or budgetary information requested by the State Auditor, including audit work papers in the possession of any auditor of a grantee or subgrantee directly related to the use and expenditure of grant funds.

(h) Report on Grant Recipients That Failed to Comply. - Not later than May 1, 2007, and by May 1 of every succeeding year, the Office of State Budget and Management shall report to the Joint Legislative Commission on Governmental Operations and the Fiscal Research Division on all grantees or subgrantees that failed to comply with this section with respect to grant funds received in the prior fiscal year.

(i) State Agencies to Submit Grant List to Auditor. - No later than October 1 of each year, each State agency shall submit a list to the State Auditor, in the format prescribed by the State Auditor, of every grantee to which the agency disbursed grant funds in the prior fiscal year. The list shall include the amount disbursed to each grantee and other information as required by the State Auditor to comply with the requirements of this section.

(j) Use of Interest Earned on Grant Funds. - Except as otherwise required by federal law or the terms of a federal grant, interest earned on grant funds after receipt of the funds by a grantee or subgrantee shall be credited to the grantee or subgrantee and shall be used for the same purposes for which the grant or subgrant was made.

(k) Reporting by Grantees and Subgrantees That Cease Operations. -A grantee or subgrantee that intends to dissolve or cease operations shall report that decision in writing to the Office of State Budget and Management and to the Fiscal Research Division at least 30 days prior to taking that action. (2006-203, s. 3; 2007-323, s. 28.22A(o); 2007-345, s. 12; 2014-100, s. 6.S(a); 2015-264, s. 21.)

09 NCAC 03M .0205 Minimum Reporting Requirements for Recipients and Subrecipients.

(a) For the purposes of this Subchapter, there are three reporting levels established for recipients and subrecipients receiving State financial assistance. Reporting levels are based on the level of State financial assistance from all funding sources. The reporting levels are:

(1) Level I-A recipient or subrecipient that receives, holds, uses, or expends State financial assistance in an amount less than twenty-five thousand dollars (\$25,000) within its fiscal year.

(2) Level II -A recipient or subrecipient that receives, holds, uses, or expends State financial assistance in an amount of at least twenty-five thousand (\$25,000) or greater, but less than five hundred thousand dollars (\$500,000) within its fiscal year.

(3) Level III -A recipient or subrecipient that receives, holds, uses, or expends State financial assistance in an amount equal to or greater than five hundred thousand dollars (\$500,000) within its fiscal year.

(b) Agencies shall establish reporting requirements for recipients that meet the following reporting standards on an annual basis:

(1) All recipients and subrecipients shall provide a certification that State financial assistance received or, held was used for the purposes for which it was awarded.

(2) All recipients and subrecipients shall provide an accounting of all State financial assistance received, held, used, or expended.

(3) Level II and III recipients and subrecipients shall report on activities and accomplishments undertaken by the recipient, including reporting on any performance measures established in the contract.

(4) Level III recipients and subrecipients shall have a single or program-specific audit prepared and completed in accordance with Generally Accepted Government Auditing Standards, also known as the Yellow Book.

(c) All reports shall be filed with the disbursing agency in the format and method specified by the agency no later than three months after the end of the recipient's fiscal year, unless the same information is already

required through more frequent reporting. Audits must be provided to the funding agency no later than nine months after the end of the recipient's fiscal year.

(d) Agency-established reporting requirements to meet the standards set forth in Paragraph (b) of this Rule shall be specified in each recipient's contract.

(e) Unless prohibited by law, the costs of audits made in accordance with the provisions of this Rule shall be allowable charges to State and Federal awards. The charges may be considered a direct cost or an allocated indirect cost, as determined in accordance with cost principles outlined in the Code of Federal Regulations, 2 CFR Part 200. The cost of any audit not conducted in accordance with this Subchapter shall not be charged to State awards.

(f) Notwithstanding the provisions of this Subchapter, a recipient may satisfy the reporting requirements of Subparagraph (b)(4) of this Rule by submitting a copy of the report required under federal law with respect to the same funds.

QUARTERLY STATUS REPORT

Please use this reporting template for each of the quarterly reports

Recipient Name:	Special Olympics North Carolina
Recipient Tax ID#	56-1149607
Project/Activity Title:	Directed Grant
Reporting Period (Quarter):	FY25Q3
Report Completion Date:	April 14, 2025
Preparer of This Report:	my P. Johnson

Were grant funds expended during this reporting period? ☒ Yes ☐ No

Do you certify that all expenses to date have been submitted for this grant? ☒ Yes ☐ No

Was any interest earned on these grant funds during this reporting period? ☐ Yes ☒ No

If Yes, specify the amount of interest earned over the life of the grant through this reporting period: \$ _____

Do you certify that all funds expended to date were used for the purposes for which they were awarded and in compliance with your contract? ☒ Yes ☐ No

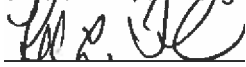
Did you make any subawards of this funding during this reporting period? ☐ Yes ☒ No

If yes, attach a list of subawards including subrecipient entity name, tax ID number, subaward amount, and an indication that the subrecipient is not on the State Suspension of Funding List or suspended or debarred from receiving federal funds if applicable. Please note that per the contract terms and conditions and State law, your organization is responsible for ensuring that any subrecipients comply with all requirements of your contract and provide you with adequate and timely information to fulfill your reporting obligations.

Final reports should be submitted once all funds are expended and grant activities are completed. Is this your final quarterly report? ☐ Yes ☒ No

If yes, please complete and sign below indicating that all expenses have been submitted and all funds and any interest earned were used for the purposes for which they were awarded and in compliance with the contract. Please note that all records pertaining to this grant must be retained for a minimum of five years or until all audit exceptions have been resolved, whichever is longer. If the contract is subject to federal policy and regulations, record retention may be longer than five years. Recipients are required to maintain reports and accounting records that support the allowable expenditure of State funds and shall make available all reports and records for inspection by the NC Department of Health and Human Services, the Office of State Budget and Management, and the Office of the State Auditor for oversight, monitoring, and evaluation purposes.

Kath L. Fishburne, President
Name/and Title of Organization Official


Signature of Organization Official

Date _____

1. Provide a brief report of activities and accomplishments related to your Grant Project during this reporting period.

During third quarter, athletes trained weekly in their choice of winter sports including Alpine skiing, snowboarding, ice skating and cheerleading. Additionally, athletes statewide began training in spring sports, practicing weekly in preparation for Spring Games competition events that will be hosted in communities throughout the state in April and May. Beyond sports opportunities organized by local, county-based volunteer leadership, Special Olympics North Carolina hosted a Southeast Region Winter Games Alpine Skiing and Snowboarding event in Blowing Rock in February, and a Southeast Region Ice Skating competition in Indian Trail in March for Special Olympics athletes representing North Carolina, Florida, Georgia, Tennessee and South Carolina. A state-level Cheerleading Tournament was also held in March in Mocksville, NC. To make this activity possible, Special Olympics North Carolina collaborated with its strong base of volunteer leadership established in every county for delivery of programming through partnering community-based agencies such as parks and recreation departments, schools and group homes. Coaches are critical to availability of sports programming. As such, the organization relied on these trained and certified community-based volunteers to come alongside Special Olympics athletes, mentor them and teach them sport skills. Fitness training complementing sports activity resumed for the spring season, beginning March 10. Participants have been provided a fitness playbook including exercise instruction, health education, weekly progress trackers, and access to complementary live, virtual workouts with two sessions offered weekly, tailored to both school-aged and adult athletes. Additional video resources are available as bonus material for athletes to participate in on their own. Nearly 6,400 students including more than 4,000 athletes are participating in this inclusive fitness training program this spring. Building on the health programming that is important to every athlete's sport participation experience, Special Olympics North Carolina has been able to offer health education, screenings and exams for athletes during both competition and stand-alone events. During the Special Olympics Southeast Region Winter Games, Special Olympics North Carolina, in collaboration with Appalachian State University Nutrition and Health department, provided screenings in Health Promotion, providing athletes with education and resources for healthy life choices including sun safety, tobacco cessation, and healthy diet. Health education events were also hosted in conjunction with the state-level cheerleading tournament, and the local Wake County Spring Games. Additionally, Special Olympics North Carolina provided a stand-alone event in Mecklenburg County in partnership with Atrium Health Carolinas Rehabilitation and NCDHHS Oral Health division, featuring sports physicals and oral health screenings and education.

2. Provide an update on the objectives, as outlined in your Scope of Work, of the grant project. Have you accomplished any of your objectives?

Special Olympics North Carolina made progress toward achievement of all objectives supported by the directed grant as follows:

- 1) **Address risks of compromised health faced by people with intellectual disabilities with an outreach effort that identifies eligible individuals and registers them as Special Olympics athletes:** During FY25 03, Special Olympics North Carolina reached 1,288 new individuals with intellectual disabilities and brought them into the Special Olympics program where they gained inclusion in structured physical activity through sports and fitness, complemented by health programming impacting health risks for the population.
- 2) **Support physical activity for improved aspects of physical and mental health by providing community-based training in up to 20 sports at facilities statewide for new and current athletes:** Special Olympics North Carolina worked closely with community-based partners throughout the state including schools, parks and recreation departments, group homes and other agencies to deliver Special Olympics sports training in several of its 20 sports offerings during the third quarter; athletes throughout the state trained in Alpine skiing, snowboarding, ice skating, swimming, basketball, cheerleading and pickleball.
- 3) **Support a network of 1,800 community-based coaches by providing 200 new coach training certifications qualifying volunteers to work alongside athletes:** 1,190 coaches were engaged in Special Olympics, with 93 new certifications awarded in 03 during two training schools each offering coach training in the sports of track & field, basketball, bowling, gymnastics, powerlifting, swimming and volleyball. Collectively, coaches hold more than 1,900 sport certifications.
- 4) **Provide training and orientation for 4,000 new community-based volunteers to support the athletes in their activities:** 652 new volunteers were trained during 03, bringing the FY2025 total to 2,402. The total for FY2024 was 4,812.
- 5) **Provide 350 culminating local/county competition opportunities during the two-year project period, and 8 state-level competitions throughout the state for athletes completing minimum training requirements:** During Q3, Special Olympics North Carolina hosted state-level competition in cheerleading, Alpine skiing, snowboarding and ice skating. At the local level, 10 events were held in the form of invitationals and county-based competitions at venues throughout the state. Examples include a multi-county pickleball invitational hosted in Watauga County; basketball invitationals held in Mecklenburg, Iredell and Durham counties; and swimming invitationals in Dare County and the Lake Norman area, among others.
- 6) **Improve healthcare accessibility for the population with a provision of fitness programming and health education, and health screenings and exams:** During 03, Special Olympics provided four healthcare access events as follows: 2/9/2025 - Southeastern Winter Games Health Promotion screening (84 athletes screened); 3/21/2025 - Mecklenburg County Medfest (49 athletes received sports physicals, 125 athletes were provided health education, and 40 athletes received an oral health screening); 3/22/2025 - Cheerleading Tournament (250 athletes received health/fitness education); 3/26/2025 - Wake County Spring Games (150 athletes received health/fitness education). Collectively, these opportunities were staffed by more than 54 health volunteers including 16 residents, and 24 staff representing Atrium Health. Additionally, Special Olympics North Carolina kicked off its spring fitness season featuring virtual and at-home fitness and health education. The eight-week program is being supported with a fitness guide, small fitness equipment, and weekly virtual workouts complemented with a suite of fitness demonstration videos and a peer community organized through an associated mobile app and Facebook.



3. Provide an update on your expected results, as outlined in your Scope of Work, of the Grant Project(s). Have you accomplished any of your expected results?

Special Olympics North Carolina exceeded some outcomes and is on pace with others as follows:

1) 4,500 people with intellectual disabilities who register as new athletes will engage in a behavioral shift that incorporates physical activity into their lifestyles: Special Olympics North Carolina has exceeded the goal for the two-year period, with a total of 6,943 new athletes registered since FY2024 01. For the most recent reporting period, FY2025 03, Special Olympics welcomed 1,288 new athletes to the program, providing them inclusion in sports and opportunity to participate in active living. **2) Improved aspects of health and wellness for 60% of participating athletes based on their participation in year-round sports training and competition opportunities:** Athletes across the state responding to an impact survey throughout FY2025 have revealed the following health picture for people with intellectual disabilities participating in Special Olympics: 82% reported some level of physical health improvement notably an increase in strength (52%), and increased endurance (48%). **3) Improved aspects of emotional health and wellbeing for at least 60% of participating athletes through coach mentoring and engagement with community volunteers contributing to happiness, confidence and socialization:** Among a sampling of athletes responding to the Special Olympics impact survey in FY2025, 87% noted some aspect of improved emotional health: Increased confidence, 53%; increased happiness, 71%; increased social connectedness, 40%. **4) Increased understanding of people with intellectual disabilities for at least 70% of the general population, based on training and experience in their coaching and volunteer roles:** Among a sampling of volunteers who completed a volunteer impact survey so far in FY2025, 76% noted increased understanding of the population of people with intellectual disabilities as a result of their volunteer experiences. Importantly, 43% of those responding were first-time volunteers, which demonstrates Special Olympics North Carolina's ability to continually reach people in the community and educate them about the skills and value of people with intellectual disabilities. **5) 10,000 athletes annually benefit from increased healthcare accessibility through health programming outputs (health education, sports physicals and/or health screenings):** During healthcare access events provided in 03, 698 athletes participated in health screenings and health education activities. Apart from healthcare access events, more than 4,000 athletes began participating in Special Olympics North Carolina's spring fitness and health education season.

4. Provide an update on your performance measures, as outlined in your Scope of Work, for the Grant Project(s).

Special Olympics North Carolina utilized all evaluation methods noted in the Scope of Work, measuring the new athlete outreach number based on registration entered into the organization's Games Management System database (GMS). The GMS was also used to track athlete participation in health education and screenings at the Fall Tournament and this was in turn entered into a national Special Olympics reporting system. The percentage of athletes reporting improved aspects of health was measured using an online impact survey delivered using the Survey Monkey platform. This survey was also used to measure levels of understanding of the population of people with intellectual disabilities among volunteers. The number of new community volunteers registered and trained was measured through the organization's volunteer database (both Volunteer Local and GMS). The number of competition opportunities provided statewide was tracked through the GMS.

5. Provide a summary of expenditures during the reporting period (quarter).

<u>Expenditure Category</u>	<u>Amount of Expenditure</u>
Personnel/Contracted Services Expenses (e.g., program-related staffing)	\$10,000
Supplies and Materials Expenses (e.g., office supplies):	\$
Non-Fixed Operating Expenses (e.g., travel, utilities):	\$
Fixed Operating Expenses (e.g., office rent, dues and subscriptions):	\$
Property and Equipment Expenses (e.g., buildings and improvements):	\$
Services/Contracts Expenses (e.g., purchase of services, contracts with service providers):	\$
Other Expenses (Use of sport facilities; awards; uniforms; meals):	\$52,500
Total Expenses:	\$62,500

6. Provide a summary of all grant expenditures through the end of the current reporting period. The total expenditures on this table should equal the cumulative expenditures from all completed quarterly reports to date.

<u>Expenditure Category</u>	<u>Amount of Expenditure</u>
Personnel/Contracted Services Expenses (e.g., program-related staffing)	\$30,000
Supplies and Materials Expenses (e.g., office supplies):	\$
Non-Fixed Operating Expenses (e.g., travel, utilities):	\$
Fixed Operating Expenses (e.g., office rent, dues and subscriptions):	\$
Property and Equipment Expenses (e.g., buildings and improvements):	\$
Services/Contracts Expenses (e.g., purchase of services, contracts with service providers):	\$
Other Expenses (Use of sport facilities; awards; uniforms; meals):	\$157,500
Total Expenses:	\$187,500

ATTACHMENT D REPORTING REQUIREMENTS & TEMPLATES

QUARTERLY STATUS REPORT

Please use this reporting template for each of the quarterly reports

Recipient Name:	Special Olympics North Carolina
Recipient Tax ID#	56-1149607
Project/Activity Title:	Directed Grant
Reporting Period (Quarter):	FY25Q4
Report Completion Date:	July 14, 2025
Preparer of This Report:	Amy P. Johnson

Were grant funds expended during this reporting period? ☐ Yes ☒ No

Do you certify that all expenses to date have been submitted for this grant? ☒ Yes ☐ No

Was any interest earned on these grant funds during this reporting period? ☐ Yes ☒ No

If Yes, specify the amount of interest earned over the life of the grant through this reporting period: \$ _____

Do you certify that all funds expended to date were used for the purposes for which they were awarded and in compliance with your contract? ☒ Yes ☐ No

Did you make any subawards of this funding during this reporting period? ☐ Yes ☒ No

If yes, attach a list of subawards including subrecipient entity name, tax ID number, subaward amount, and an indication that the subrecipient is not on the State Suspension of Funding List or suspended or debarred from receiving federal funds if applicable. Please note that per the contract terms and conditions and State law, your organization is responsible for ensuring that any subrecipients comply with all requirements of your contract and provide you with adequate and timely information to fulfill your reporting obligations.

Final reports should be submitted once all funds are expended and grant activities are completed. Is this your final quarterly report? ☒ Yes ☐ No

If yes, please complete and sign below indicating that all expenses have been submitted and all funds and any interest earned were used for the purposes for which they were awarded and in compliance with the contract. Please note that all records pertaining to this grant must be retained for a minimum of five years or until all audit exceptions have been resolved, whichever is longer. If the contract is subject to federal policy and regulations, record retention may be longer than five years. Recipients are required to maintain reports and accounting records that support the allowable expenditure of State funds and shall make available all reports and records for inspection by the NC Department of Health and Human Services, the Office of State Budget and Management, and the Office of the State Auditor for oversight, monitoring, and evaluation purposes.

Keirn L. Fismourne, President/CEO

Name/Title, Of Organization Official



Signature of Organization Official

7/14/25

Date

1. Provide a brief report of activities and accomplishments related to your Grant Project during this reporting period.

During fourth quarter, athletes trained weekly in their choice of spring/summer sports including powerlifting, gymnastics, basketball, volleyball, bowling, swimming and track & field. Culminating competition opportunities were held in communities statewide, with Spring Games events being the highlight, and convening the greatest number of athletes. Beyond sports opportunities organized by local, county-based volunteer leadership, Special Olympics North Carolina hosted regional invitationals in bowling, swimming, track & field, basketball, volleyball and golf in communities throughout the state. Spring/summer training culminated in the state-level Summer Games showcase in Raleigh, Cary, Morrisville and Holly Springs, convening more than 1,500 athletes and Unified partners along with their coaches and family members from across the state for the three-day event. To make this activity possible, Special Olympics North Carolina collaborated with its strong base of volunteer leadership established in every county for delivery of programming through partnering community-based agencies such as parks and recreation departments, schools and group homes. Coaches are critical to availability of sports programming. As such, the organization relied on these trained and certified community-based volunteers to come alongside Special Olympics athletes, mentor them, and teach them sport skills. Fitness training complementing sports activity continued through the spring season, concluding May 5. Participants were provided a fitness playbook including exercise instruction, health education, weekly progress trackers, and access to complementary live, virtual workouts with two sessions offered weekly, tailored to both school-aged and adult athletes. Additional video resources were made available as bonus material for athletes to participate in on their own. More than 9,400 athletes and Unified partners participated in this inclusive fitness training program in the spring. Building on the health programming that is important to every athlete's sport participation experience, Special Olympics North Carolina offered health education, screenings and exams for athletes during the Special Olympics North Carolina Summer Games in collaboration with community-based healthcare partners, and provided health education during Spring Games events that took place in counties throughout the state in April and May.

2. Provide an update on the objectives, as outlined in your Scope of Work, of the grant project. Have you accomplished any of your objectives?

Special Olympics North Carolina met or exceeded all objectives supported by the directed grant as follows:

- 1) **Address risks of compromised health faced by people with intellectual disabilities with an outreach effort that identifies eligible individuals and registers them as Special Olympics athletes:** During FY 2025 Q4, Special Olympics North Carolina reached 1,067 new individuals with intellectual disabilities and brought them into the Special Olympics program where they gained inclusion in structured physical activity through sports and fitness, complemented by health programming impacting health risks for the population.
- 2) **Support physical activity for improved aspects of physical and mental health by providing community-based training in up to 20 sports at facilities statewide for new and current athletes:** Special Olympics North Carolina worked closely with community-based partners throughout the state including schools, parks and recreation departments, group homes and other agencies to deliver Special Olympics sports training in several of its 20 sports offerings during the fourth quarter; athletes throughout the state trained in swimming, basketball, powerlifting, volleyball, gymnastics, track & field and bowling, equating to 20 sports offered over the course of FY 2025.
- 3) **Support a network of 1,800 community-based coaches by providing 200 new coach training certifications qualifying volunteers to work alongside athletes:** 1,192 coaches were engaged in Special Olympics, with nine new certifications awarded in Q4 during a training school offering coach training in the sport of equestrian. Collectively, coaches hold 1,913 sport certifications.
- 4) **Provide training and orientation for 4,000 new community-based volunteers to support the athletes in their activities:** 2,214 new volunteers were trained during Q4, bringing the FY 2025 total to 4,616. The total for FY 2024 was 4,812.
- 5) **Provide 350 culminating local/county competition opportunities during the two-year project period, and 8 state-level competitions throughout the state for athletes completing minimum training requirements:** During Q4, Special Olympics North Carolina hosted state-level competition in powerlifting, basketball, bowling, track & field, volleyball, gymnastics and swimming, equating to 19 state-level competition opportunities concentrated into six state-level competition events for the year. At the local level, more than 350 events were held in the form of Unified competitions, scrimmages, invitationals and county-based competitions at venues throughout the state. Examples include 101 Spring Games competitions held in April and May in counties statewide; a multi-county regional swim invitational in Greensboro; regional basketball invitationals held in Gaston, Lincoln and Dare counties; bowling invitationals hosted in Gaston and Mecklenburg counties; and track & field invitationals hosted in Wake and Orange counties, among others.
- 6) **Improve healthcare accessibility for the population with a provision of fitness programming and health education, and health screenings and exams:** During Q4, Special Olympics provided healthcare access during the Summer Games Healthy Athletes event featuring the following screenings: vision for 106 athletes (19 athletes received new glasses); dental for 111 athletes (29 athletes referred for follow-up care); podiatry for 111 athletes (23 referrals made); audiology for 78 athletes (25 referrals for follow-up care); and fitness for 101 athletes (four referrals made). Health partners included NCDHHS Oral Health division, Duke, UNC, ECU, Methodist University, and High Point University. Assistance with referrals is occurring in partnership with the National Care Advisors care coordination team. Additionally, Special Olympics North Carolina provided health education stations at 16 local Spring Games events for more than 3,000 athletes across the state. Health education was also provided as part of the continuing spring fitness season. The eight-week program was supported with a fitness guide, small fitness equipment, and weekly virtual workouts complemented with a suite of fitness demonstration videos and a peer community organized through an associated mobile app and private Facebook group.

3. Provide an update on your expected results, as outlined In your Scope of Work, of the Grant Project(s). Have you accomplished any of your expected results?

Special Olympics North Carolina exceeded an projected outcomes as follows:

- 1) **4,500 people with intellectual disabilities who register as new athletes will engage in a behavioral shift that incorporates phys/cal activity Into their lifestyles:** Special Olympics North Carolina has exceeded the goal for the two-year periOd, with a total of 8,010 new athletes registered since FY 2024 Q1. For the most recent reporting period, FY 2025 Q4, Special Olympics welcomed 1,067 new athletes to the program, providing them inclusion in sports, and opportunity to participate in active living. 2) **Improved aspects of health and wellness for 60% of participating athletes based on their participation In year-round sports training and competition opportunities:** Athletes across the state responding to an Impact survey during FY 2025 revealed the following health picture for people with intellectual disabilities participating in Special Olympics: 77% reported some level of physical health improvement, notably an increase in strength (52%). 3) **Improved aspects of emotional health and wellbeing for at least 60% of participating athletes through coach mentoring and engagement with community volunteers contributing to happiness, confidence and socialization:** Among a sampling of athletes responding to the Special Olympics impact survey in FY 2025, 93% noted some aspect of improved emotional health: Increased confidence, 59%; increased happiness, 65%; increased social connectedness, 62%. 4) **Increased understanding of people with intellectual disabilities for at least 70% of the general population, based on training and experience in their coaching and volunteer roles:** Among a sampling of more than 400 volunteers statewide who completed a volunteer impact survey during FY 2025, 78% noted increased understanding of the population of people with intellectual disabilities as a result of their volunteer experiences. Importantly, nearly half (49%) of those responding were first-time volunteers, which demonstrates Special Olympics North Carolina's ability to continually reach people in the community and educate them about the skills and value of people with intellectual disabilities. 5) **10,000 athletes annually benefit from increased healthcare accessiblJlity through health programming outputs (health education, sports physicals and/or health screenings):** During healthcare access events provided in conjunction with the Summer Games in Q4, 401 athletes participated in health screenings and health education activities. Apart from the Summer Games, more than 3,000 athletes had access to health education at Spring Games events, and more than 9,400 athletes and Unified partners participated in Special Olympics North Carolina's spring fitness and health education season.

4. Provide an update on your performance measures, as outlined in your Scope of Work, for the Grant Project(s).

Special Olympics North Carolina utilized all evaluation methods noted In the Scope of Work, measuring the new athlete outreach number based on registration entered into the organization's Games Management System database (GMS). The GMS was also used to track athlete participation in health education and screenings at the Summer Games, and this was in turn entered into a national Special Olympics reporting system. The percentage of athletes reporting improved aspects of health was measured using an online impact survey delivered using the Survey Monkey platform. This survey was also used to measure levels of understanding of the population of people with intellectual disabilities among volunteers. The number of new community volunteers registered and trained was measured through the organization's volunteer database (both Volunteer Local and GMS). The number of competition opportunities provided statewide was tracked through the GMS.

5. Provide a summary of expenditures during the reporting period (quarter).

<u>Expenditure category</u>	<u>Amount of Expenditure</u>
Personnel/Contracted Services Expenses (e.g., program-related staffing)	\$10,000
Supplies and Materials Expenses (e.g., office supplies):	\$
Non-Fixed Operating Expenses (e.g., travel, utilities):	\$
Fixed Operating Expenses (e.g., office rent, dues and subscriptions):	\$
Property and Equipment Expenses (e.g., buildings and improvements):	\$
Services/Contracts Expenses (e.g., purchase of services, contracts with service providers):	\$
Other Expenses (Use of sport facilities; awards; uniforms; meals):	\$52,500
Total Expenses:	\$62,500

6. Provide a summary of all grant expenditures through the end of the current reporting period. The total expenditures on this table should equal the cumulative expenditures from all completed quarterly reports to date.

<u>Expenditure category</u>	<u>Amount of Expenditure</u>
Personnel/Contracted Services Expenses (e.g., program-related staffing)	\$40,000
Supplies and Materials Expenses (e.g., office supplies):	\$
Non-Fixed Operating Expenses (e.g., travel, utilities):	\$
Fixed Operating Expenses (e.g., office rent, dues and subscriptions):	\$
Property and Equipment Expenses (e.g., buildings and improvements):	\$
Services/Contracts Expenses (e.g., purchase of services, contracts with service providers):	\$
Other Expenses (Use of sport facilities; awards; uniforms; meals):	\$210,000
Total Expenses:	\$250,000

ATTACHMENT D REPORTING REQUIREMENTS & TEMPLATES

Reporting Requirements: This is a subaward for financial assistance. Financial Assistance Contracts are subject to the Uniform Administration of State Awards, Oversight and Reporting Requirements for recipient and subrecipients described in [N.C. General Statute § 143C-6-23\(d\)](#) and in [09 NCAC 03M.205](#).

A non-governmental grantee who receives a combined \$500,000 or more funds from all state agencies must continue to submit a single or program-specific audit prepared and completed in accordance with Generally Accepted Government Auditing Standards, also known as a Yellow Book audit, to Risk Mitigation and Audit Monitoring at NCGrantsReporting@dhhs.nc.gov within 9 months of the grantee's fiscal year end.

DHHS encourages all of its non-governmental grantees that receive funds from other state agencies or DHHS divisions to contact their assigned contract monitor(s) to determine if year-end reports must be submitted for those particular grants/awards.

North Carolina State agencies are exempt from the reporting requirements of this section. Local governments that have reporting requirements for the Local Government Commission are exempt. All others are not exempt and must adhere to the reporting requirements of this section.

- (1) Quarterly Reports: see template in this attachment.
- (2) Reporting Timeframes and Due Dates. Once all grant funds are expended and activities concluded, a final report can be submitted and no additional reports are required:

Reporting Period	Due Date
July 1, 2024 – September 30, 2024	October 15, 2024
October 1, 2024 – December 31, 2024	January 15, 2025
January 1, 2025 – March 31, 2025	April 15, 2025
April 1, 2025 – June 30, 2025	July 15, 2025
July 1, 2025 - September 30, 2025	October 15, 2025
October 1, 2025 – December 31, 2025	January 15, 2026
January 1, 2026 – March 31, 2026	April 15, 2026
April 1, 2026 – June 30, 2026	July 15, 2026

N.C. General Statute § 143C-6-21-23. State Grant Funds: Administration; Oversight and Reporting Requirements.

§ 143C-6-21. Payments to nonprofits. Except as otherwise provided by law, an annual appropriation of one hundred thousand dollars (\$100,000) or less to or for the use of a nonprofit corporation may be made in a single annual payment, in the discretion of the Director of the Budget. An annual appropriation of more than one hundred thousand dollars (\$100,000) to or for the use of a nonprofit corporation shall be made in quarterly or monthly payments, in the discretion of the Director of the Budget. (2006-203, s. 3; 2013-360, s. 6.12(o).)

§ 143C-6-22. Use of State funds by non-State entities. (a) Disbursement and Use of State Funds. - Every non-State entity that receives, uses, or expends any State funds shall use or expend the funds only for the purposes for which they were appropriated by the General Assembly. State funds include federal funds that flow through the State Treasury. (b) Compliance by Non-State Entities. - If the Director of the Budget finds that a non-State entity has spent or encumbered State funds for an unauthorized purpose, or fails to submit or falsifies the information required by G.S. 143C-6-23 or any other provision of law, the Director shall take appropriate administrative action to ensure that no further irregularities or violations of law occur and shall report to the Attorney General any facts that pertain to an apparent violation of a criminal law or an apparent instance of malfeasance, misfeasance, or nonfeasance in connection with the use of State funds. Appropriate administrative action may include suspending or withholding the disbursement of State funds and recovering State funds previously disbursed. (c) Civil Actions. - Civil actions to recover State funds or to obtain other mandatory orders in the name of the State on relation of the Attorney General, or in the name of the Office of State Budget and Management, shall be filed in the General Court of Justice in Wake County. (2006-203, s. 3.)

§ 143C-6-23. State grant funds: administration; oversight and reporting requirements.

(a) Definitions. - The following definitions apply in this section:

(1) Grant or grant funds. - State funds disbursed as a grant by a State agency; however, the terms do not include any payment made by the Medicaid program, the State Health Plan for Teachers and State Employees, or other similar medical programs.

(2) Grantee. - A non-State entity that receives State funds as a grant from a State agency but does not include any non-State entity subject to the audit and other reporting requirements of the Local Government Commission.

(3) Encumbrance. - A financial obligation created by a purchase order, contract, salary commitment, unearned or prepaid collections for services provided, or other legally binding agreement. A financial obligation is not an encumbrance for purposes of this section unless it (i) is in writing and has been signed by a person or entity who has authority to legally bind the grantee or subgrantee to spend the funds or (ii) was created by the provision of goods or services to the grantee or subgrantee by a third party under circumstances that create a legally binding obligation to pay for the goods or services.

(4) Subgrantee. - A non-State entity that receives State funds as a grant from a grantee or from another subgrantee but does not include any non-State entity subject to the audit and other reporting requirements of the Local Government Commission.

(b) Conflict of Interest Policy. - Every grantee shall file with the State agency disbursing funds to the grantee a copy of that grantee's policy addressing conflicts of interest that may arise involving the grantee's management employees and the members of its board of directors or other governing body. The policy shall address situations in which any of these individuals may directly or indirectly benefit, except as the grantee's employees or members of its board or other governing body, from the grantee's disbursing of State funds, and shall include actions to be taken by the grantee or the individual, or both, to avoid conflicts of interest and the appearance of impropriety. The policy shall be filed before the disbursing State agency may disburse the grant funds.

(c) No Overdue Tax Debts. - Every grantee shall file with the State agency or department disbursing funds to the grantee a written statement completed by that grantee's board of directors or other governing body stating that the grantee does not have any overdue tax debts, as defined by G.S. 105-243.1, at the federal, State, or local level. The written statement shall be made under oath and shall be filed before the disbursing State agency or department may disburse the grant funds. A person who makes a false statement in violation of this subsection is guilty of a criminal offense punishable as provided by G.S. 143C-10-1.

(d) Office of State Budget Rules Must Require Uniform Administration of State Grants. - The Office of State Budget and Management shall adopt rules to ensure the uniform administration of State grants by all grantor State agencies and grantees or subgrantees. The Office of State Budget and Management shall consult with the Office of the State Auditor and the Attorney General in establishing the rules required by this

subsection. The rules shall establish policies and procedures for disbursements of State grants and for State agency oversight, monitoring, and evaluation of grantees and subgrantees. The policies and procedures shall:

- (1) Ensure that the purpose and reporting requirements of each grant are specified to the grantee.
- (2) Ensure that grantees specify the purpose and reporting requirements for grants made to subgrantees.
- (3) Ensure that State funds are spent in accordance with the purposes for which they were granted.
- (4) Hold the grantees and subgrantees accountable for the legal and appropriate expenditure of grant funds.
- (5) Provide for adequate oversight and monitoring to prevent the misuse of grant funds. These policies shall require each grantee and subgrantee to ensure that, for accounting purposes, State funds and interest earned on those funds remain separate and apart from other funds in the possession or control of the grantee or subgrantee.
- (6) Establish mandatory periodic reporting requirements for grantees and subgrantees, including methods of reporting, to provide financial and program performance information. The mandatory periodic reporting requirements shall require grantees and subgrantees to file with the State Auditor copies of reports and statements that are filed with State agencies pursuant to this subsection. Compliance with the mandatory periodic reporting requirements of this subdivision shall not require grantees and subgrantees to file with the State Auditor the information described in subsections (b) and (c) of this section.
- (7) Require grantees and subgrantees to maintain reports, records, and other information to properly account for the expenditure of all grant funds and to make such reports, records, and other information available to the grantor State agency for oversight, monitoring, and evaluation purposes.
- (8) Require grantees and subgrantees to ensure that work papers in the possession of their auditors are available to the State Auditor for the purposes set out in subsection (i) of this section.
- (9) Require grantees to be responsible for managing and monitoring each project, program, or activity supported by grant funds and each subgrantee project, program, or activity supported by grant funds.
- (10) Provide procedures for the suspension of further disbursements or use of grant funds for noncompliance with these rules or other inappropriate use of the funds.
- (11) Provide procedures for use in appropriate circumstances for reinstatement of disbursements that have been suspended for noncompliance with these rules or other inappropriate use of grant funds.
- (12) Provide procedures for the recovery and return to the grantor State agency of unexpended grant funds from a grantee or subgrantee (i) in accordance with subsection (f1) of this section or (ii) in the event that the grantee or subgrantee is unable to fulfill the purposes of the grant for a reason not set forth in that subsection.

(d1) Required Grant Terms. – The terms of each grant shall include all of the following, which shall be deemed a part of the grant:

- (1) The limitation contained in G.S. 143C-6-8 concerning the availability of appropriated funds.
- (2) The relevant provisions of any legislation authorizing or governing the administration of the grant.
- (3) The terms of this section.

(e) Rules Are Subject to the Administrative Procedure Act. – Notwithstanding the provisions of G.S. 150B-2(8a)b. rules adopted pursuant to subsection (d) of this section are subject to the provisions of Chapter 150B of the General Statutes.

(f) Suspension and Recovery of Funds to Grant Recipients for Noncompliance. – The Office of State Budget and Management, after consultation with the administering State agency, shall have the power to suspend disbursement of grant funds to grantees or subgrantees, to prevent further use of grant funds already disbursed, and to recover grant funds already disbursed for noncompliance with rules adopted pursuant to subsection (d) of this section. If the grant funds are a pass-through of funds granted by an agency of the United States, then the Office of State Budget and Management must consult with the granting agency of the United States and the State agency that is the recipient of the pass-through funds prior to taking the actions authorized by this subsection.

(f1) Return of Grant Funds. – Except as otherwise required by federal law, a grantee or subgrantee shall return to the State all affected grant funds and interest earned on those funds if any of the following occurs:

- (1) The funds are in the possession or control of a grantee and are not expended, made subject to an encumbrance, or disbursed to a subgrantee by August 31 immediately following the fiscal year in which the funds are appropriated by the General Assembly, or a different period set forth in the terms of the applicable appropriation or federal grant.
- (2) The funds remain unexpended at the time that the grantee or subgrantee dissolves, ceases operations, or otherwise indicates that it does not intend to spend the funds.
- (3) The Office of State Budget and Management seeks to recover the funds pursuant to subsection (f) of this section.

(f2) Use of Returned Grant Funds. – Encumbered funds returned to the State pursuant to subsection (f1) of this section by a grantee or subgrantee shall upon appropriation by the General Assembly be spent in accordance with the terms of the encumbrance. All other funds returned to the State by a grantee or subgrantee pursuant to subsection (f1) of this section shall be credited to the fund from which they were appropriated and shall remain unexpended and unencumbered until appropriated by the General Assembly. Nothing in this section shall be construed to authorize an expenditure pursuant to an unlawful encumbrance or in a manner that would violate the terms of the appropriation of the grant funds at issue.

(g) Audit Oversight. – The State Auditor has audit oversight, with respect to grant funds received by the grantee or subgrantee, pursuant to Article 5A of Chapter 147 of the General Statutes, of every grantee or subgrantee that receives, uses, or expends grant funds. A grantee or subgrantee must, upon request, furnish to the State Auditor for audit all books, records, and other information necessary for the State Auditor to account fully for the use and expenditure of grant funds received by the grantee or subgrantee. The grantee or subgrantee must furnish any additional financial or budgetary information requested by the State Auditor, including audit work papers in the possession of any auditor of a grantee or subgrantee directly related to the use and expenditure of grant funds.

(h) Report on Grant Recipients That Failed to Comply. – Not later than May 1, 2007, and by May 1 of every succeeding year, the Office of State Budget and Management shall report to the Joint Legislative Commission on Governmental Operations and the Fiscal Research Division on all grantees or subgrantees that failed to comply with this section with respect to grant funds received in the prior fiscal year.

(i) State Agencies to Submit Grant List to Auditor. – No later than October 1 of each year, each State agency shall submit a list to the State Auditor, in the format prescribed by the State Auditor, of every grantee to which the agency disbursed grant funds in the prior fiscal year. The list shall include the amount disbursed to each grantee and other information as required by the State Auditor to comply with the requirements of this section.

(j) Use of Interest Earned on Grant Funds. – Except as otherwise required by federal law or the terms of a federal grant, interest earned on grant funds after receipt of the funds by a grantee or subgrantee shall be credited to the grantee or subgrantee and shall be used for the same purposes for which the grant or subgrant was made.

(k) Reporting by Grantees and Subgrantees That Cease Operations. – A grantee or subgrantee that intends to dissolve or cease operations shall report that decision in writing to the Office of State Budget and Management and to the Fiscal Research Division at least 30 days prior to taking that action. (2006-203, s. 3; 2007-323, s. 28.22A(o); 2007-345, s. 12; 2014-100, s. 6.5(a); 2015-264, s. 21.)

09 NCAC 03M .0205 Minimum Reporting Requirements for Recipients and Subrecipients.

(a) For the purposes of this Subchapter, there are three reporting levels established for recipients and subrecipients receiving State financial assistance. Reporting levels are based on the level of State financial assistance from all funding sources. The reporting levels are:

- (1) Level I – A recipient or subrecipient that receives, holds, uses, or expends State financial assistance in an amount less than twenty-five thousand dollars (\$25,000) within its fiscal year.
- (2) Level II - A recipient or subrecipient that receives, holds, uses, or expends State financial assistance in an amount of at least twenty-five thousand (\$25,000) or greater, but less than five hundred thousand dollars (\$500,000) within its fiscal year.
- (3) Level III – A recipient or subrecipient that receives, holds, uses, or expends State financial assistance in an amount equal to or greater than five hundred thousand dollars (\$500,000) within its fiscal year.

(b) Agencies shall establish reporting requirements for recipients that meet the following reporting standards on an annual basis:

- (1) All recipients and subrecipients shall provide a certification that State financial assistance received or, held was used for the purposes for which it was awarded.
- (2) All recipients and subrecipients shall provide an accounting of all State financial assistance received, held, used, or expended.
- (3) Level II and III recipients and subrecipients shall report on activities and accomplishments undertaken by the recipient, including reporting on any performance measures established in the contract.
- (4) Level III recipients and subrecipients shall have a single or program-specific audit prepared and completed in accordance with Generally Accepted Government Auditing Standards, also known as the Yellow Book.

(c) All reports shall be filed with the disbursing agency in the format and method specified by the agency no later than three months after the end of the recipient's fiscal year, unless the same information is already

required through more frequent reporting. Audits must be provided to the funding agency no later than nine months after the end of the recipient's fiscal year.

(d) Agency-established reporting requirements to meet the standards set forth in Paragraph (b) of this Rule shall be specified in each recipient's contract.

(e) Unless prohibited by law, the costs of audits made in accordance with the provisions of this Rule shall be allowable charges to State and Federal awards. The charges may be considered a direct cost or an allocated indirect cost, as determined in accordance with cost principles outlined in the Code of Federal Regulations, 2 CFR Part 200. The cost of any audit not conducted in accordance with this Subchapter shall not be charged to State awards.

(f) Notwithstanding the provisions of this Subchapter, a recipient may satisfy the reporting requirements of Subparagraph (b)(4) of this Rule by submitting a copy of the report required under federal law with respect to the same funds.

QUARTERLY STATUS REPORT

Please use this reporting template for each of the quarterly reports

Recipient Name:	Special Olympics North Carolina
Recipient Tax ID #	56-1149607
Project/Activity Title:	Directed Grant
Reporting Period (Quarter):	FY25Q1
Report Completion Date:	October 7, 2024
Preparer of This Report:	Amy P. Johnson

Were grant funds expended during this reporting period? ☒ Yes ☐ No

Do you certify that all expenses to date have been submitted for this grant? ☒ Yes ☐ No

Was any interest earned on these grant funds during this reporting period? ☐ Yes ☒ No

If Yes, specify the amount of interest earned over the life of the grant through this reporting period: \$ _____

Do you certify that all funds expended to date were used for the purposes for which they were awarded and in compliance with your contract? ☒ Yes ☐ No

Did you make any subawards of this funding during this reporting period? ☐ Yes ☒ No

If yes, attach a list of subawards including subrecipient entity name, tax ID number, subaward amount, and an indication that the subrecipient is not on the State Suspension of Funding List or suspended or debarred from receiving federal funds if applicable. Please note that per the contract terms and conditions and State law, your organization is responsible for ensuring that any subrecipients comply with all requirements of your contract and provide you with adequate and timely information to fulfill your reporting obligations.

Final reports should be submitted once all funds are expended and grant activities are completed. Is this your final quarterly report? ☐ Yes ☒ No

If yes, please complete and sign below indicating that all expenses have been submitted and all funds and any interest earned were used for the purposes for which they were awarded and in compliance with the contract. Please note that all records pertaining to this grant must be retained for a minimum of five years or until all audit exceptions have been resolved, whichever is longer. If the contract is subject to federal policy and regulations, record retention may be longer than five years. Recipients are required to maintain reports and accounting records that support the allowable expenditure of State funds and shall make available all reports and records for inspection by the NC Department of Health and Human Services, the Office of State Budget and Management, and the Office of the State Auditor for oversight, monitoring, and evaluation purposes.

Name and Title of Organization Official

Signature of Organization Official

Date

1. Provide a brief report of activities and accomplishments related to your Grant Project during this reporting period.

Special Olympics North Carolina kicked off a new program year with fall season sports training and competition occurring throughout the state for children and adults with intellectual disabilities of all ages. Fall sports include bocce, bowling, softball, golf, soccer, tennis, flag football, cycling and roller skating. To make this activity possible, Special Olympics North Carolina collaborated with its strong base of volunteer leadership established in every county for delivery of programming through partnering community-based agencies such as parks and recreation departments, schools and group homes. Coaches are critical to availability of sports programming. As such, the organization offered multiple coach training opportunities, allowing volunteers to become certified in various sports, equipping them to effectively come alongside Special Olympics athletes, mentoring them and teaching new skills. Culminating competition opportunities for athletes included a statewide golf tour offered at five locations throughout the state, and a state-level equestrian championship held at the Tryon International Equestrian Center in Polk County. During this event, Special Olympics North Carolina hosted a health care access opportunity through which participating athletes were able to receive no-cost sports physicals and health education. All activity was supported by community-based volunteers.

2. Provide an update on the objectives, as outlined in your Scope of Work, of the grant project. Have you accomplished any of your objectives?

Special Olympics North Carolina made progress toward achievement of all objectives supported by the directed grant as follows:

- 1) **Address risks of compromised health faced by people with intellectual disabilities with an outreach effort that identifies eligible individuals and registers them as Special Olympics athletes:** During FY25 Q1, Special Olympics North Carolina reached 1,120 new individuals with intellectual disabilities and brought them into the Special Olympics program where they gained inclusion in structured physical activity through sports and fitness, complemented by health programming impacting health risks for the population. 2) **Support physical activity for improved aspects of physical and mental health by providing community-based training in up to 20 sports at facilities statewide for new and current athletes:** Special Olympics North Carolina worked closely with community-based partners throughout the state including schools, parks and recreation departments, group homes and other agencies to deliver Special Olympics sports training in many of its 20 sports offerings during the first quarter. While July-September is a slower time for Special Olympics due to the summer months and much of the programming occurring in collaboration with schools, athletes throughout the state still trained in sports like equestrian, golf, bocce, soccer and softball, for example. 3) **Support a network of 1,800 community-based coaches by providing 200 new coach training certifications qualifying volunteers to work alongside athletes:** 1,811 coaches were supported with new coach training and certification opportunities during Q1, with 118 new certifications awarded. 4) **Provide training and orientation for 4,000 new community-based volunteers to support the athletes in their activities:** 538 new volunteers were trained during Q1. 5) **Provide 350 culminating local/county competition opportunities during the two-year project period, and 8 state-level competitions throughout the state for athletes completing minimum training requirements:** During Q1, which is the slowest period of activity due to the summer months, at least seven culminating local-level competition opportunities were held. Primarily these events were focused on the sport of golf as part of Special Olympics North Carolina's annual statewide golf tour. Additionally, a state-level equestrian competition opportunity was held at the Tryon International Equestrian Center in Polk County. 6) **Improve healthcare accessibility for the population with a provision of fitness programming and health education, and health screenings and exams:** During Q1, Special Olympics provided three healthcare access events as follows: 9/10/24: Stokes County MedFest in partnership with Novant—athletes were provided no-cost sports physicals and health education; 9/13/24: Harnett County MedFest and FUNfitness event in partnership with Campbell University Schools of PA, PT, and Public Health provided no-cost sports physicals, and fitness screenings for athletes in attendance; 9/20-9/21/24 - During the Equestrian Tournament, athletes participated in a HealthFest, which provided sports physicals and health education activities in partnership with Vaya, Blue Ridge Health, and Polk County DHHS. Additionally, Special Olympics North Carolina kicked off the fall season of its virtual and at-home fitness and health education program. The eight-week program is supported with a fitness guide, small fitness equipment, and weekly virtual workouts complemented with a suite of fitness demonstration videos and a peer community organized through an associated mobile app and Facebook.

3. Provide an update on your expected results, as outlined in your Scope of Work, of the Grant Project(s). Have you accomplished any of your expected results?

Special Olympics North Carolina exceeded some outcomes and is on pace with others as follows:

1) 4,500 people with intellectual disabilities who register as new athletes will engage in a behavioral shift that incorporates physical activity into their lifestyles: 1,120 new athletes registered during Q1 and began training in sports, representing a shift to active living. **2) Improved aspects of health and wellness for 60% of participating athletes based on their participation in year-round sports training and competition opportunities:** While the sampling was small due to the time of year, some athletes did respond to an impact survey during Q1, and among this group, 77% reported some level of physical health improvement, notably an increase in strength (53%), and increased frequency of physical activity (44%). **3) Improved aspects of emotional health and wellbeing for at least 60% of participating athletes through coach mentoring and engagement with community volunteers contributing to happiness, confidence and socialization:** Among a sampling of athletes responding to the Special Olympics impact survey in Q1, 90% of athletes noted some aspect of improved emotional health: Increased confidence, 54%; increased happiness, 64%; increased social connectedness, 51%. **4) Increased understanding of people with intellectual disabilities for at least 70% of the general population, based on training and experience in their coaching and volunteer roles:** Among a small sampling of volunteers who completed a volunteer impact survey in Q1, 82% noted increased understanding of the population of people with intellectual disabilities as a result of their volunteer experiences. Importantly, 33% of those responding were first-time volunteers, which demonstrates Special Olympics North Carolina's ability to continually reach people in the community and educate them about the skills and value of people with intellectual disabilities. **5) 10,000 athletes annually benefit from increased healthcare accessibility through health programming outputs (health education, sports physicals and/or health screenings):** During three healthcare access events provided in Q1, 81 athletes received sports physicals, 75 received fitness screenings, and 270 participated in health education activities.

4. Provide an update on your performance measures, as outlined in your Scope of Work, for the Grant Project(s).

Special Olympics North Carolina utilized all evaluation methods noted in the Scope of Work, measuring the new athlete outreach number based on registration entered into the organization's Games Management System database (GMS). The GMS was also used to track athlete participation in health education and screenings at the equestrian tournament and this was in turn entered into a national Special Olympics reporting system. The percentage of athletes reporting improved aspects of health was measured using an online impact survey delivered using the Survey Monkey platform. This survey was also used to measure levels of understanding of the population of people with intellectual disabilities among volunteers. New coach certifications awarded were measured through coach training rosters entered into Special Olympics North Carolina's coach database. The number of new community volunteers registered and trained was measured through the organization's volunteer database (both Volunteer Local and GMS). The number of competition opportunities provided statewide was tracked through the GMS.

5. Provide a summary of expenditures during the reporting period (quarter).

<u>Expenditure Category</u>		<u>Amount of Expenditure</u>
Personnel/Contracted Services Expenses (e.g., program-related staffing)		\$10,000
Supplies and Materials Expenses (e.g., office supplies):	\$	
Non-Fixed Operating Expenses (e.g., travel, utilities):	\$	
Fixed Operating Expenses (e.g., office rent, dues and subscriptions):	\$	
Property and Equipment Expenses (e.g., buildings and improvements):	\$	
Services/Contracts Expenses (e.g., purchase of services, contracts with service providers):		\$
Other Expenses (Use of sport facilities; awards; uniforms; meals):	\$52,500	
Total Expenses:	\$62,500	

6. Provide a summary of all grant expenditures through the end of the current reporting period. The total expenditures on this table should equal the cumulative expenditures from all completed quarterly reports to date.

<u>Expenditure Category</u>	<u>Amount of Expenditure</u>
Personnel/Contracted Services Expenses (e.g., program-related staffing)	\$10,000
Supplies and Materials Expenses (e.g., office supplies):	\$
Non-Fixed Operating Expenses (e.g., travel, utilities):	\$
Fixed Operating Expenses (e.g., office rent, dues and subscriptions):	\$
Property and Equipment Expenses (e.g., buildings and improvements):	\$
Services/Contracts Expenses (e.g., purchase of services, contracts with service providers):	\$
Other Expenses (Use of sport facilities; awards; uniforms; meals):	\$52,500
Total Expenses:	\$62,500

Please contact your Contract Administrator with any questions.

ATTACHMENT D REPORTING REQUIREMENTS & TEMPLATES

Reporting Requirements: This is a subaward for financial assistance. Financial Assistance Contracts are subject to the Uniform Administration of State Awards, Oversight and Reporting Requirements for recipient and subrecipients described in [N.C. General Statute § 143C-6-23\(d\)](#) and in [09 NCAC 03M.205](#).

A non-governmental grantee who receives a combined \$500,000 or more funds from all state agencies must continue to submit a single or program-specific audit prepared and completed in accordance with Generally Accepted Government Auditing Standards, also known as a Yellow Book audit, to Risk Mitigation and Audit Monitoring at NCGrantsReporting@dhhs.nc.gov within 9 months of the grantee's fiscal year end.

DHHS encourages all of its non-governmental grantees that receive funds from other state agencies or DHHS divisions to contact their assigned contract monitor(s) to determine if year-end reports must be submitted for those particular grants/awards.

North Carolina State agencies are exempt from the reporting requirements of this section. Local governments that have reporting requirements for the Local Government Commission are exempt. All others are not exempt and must adhere to the reporting requirements of this section.

- (1) Quarterly Reports: see template in this attachment.
- (2) Reporting Timeframes and Due Dates. Once all grant funds are expended and activities concluded, a final report can be submitted and no additional reports are required:

Reporting Period	Due Date
July 1, 2024 – September 30, 2024	October 15, 2024
October 1, 2024 – December 31, 2024	January 15, 2025
January 1, 2025 – March 31, 2025	April 15, 2025
April 1, 2025 – June 30, 2025	July 15, 2025
July 1, 2025 - September 30, 2025	October 15, 2025
October 1, 2025 – December 31, 2025	January 15, 2026
January 1, 2026 – March 31, 2026	April 15, 2026
April 1, 2026 – June 30, 2026	July 15, 2026

N.C. General Statute § 143C-6-21-23. State Grant Funds: Administration; Oversight and Reporting Requirements.

§ 143C-6-21. Payments to nonprofits. Except as otherwise provided by law, an annual appropriation of one hundred thousand dollars (\$100,000) or less to or for the use of a nonprofit corporation may be made in a single annual payment, in the discretion of the Director of the Budget. An annual appropriation of more than one hundred thousand dollars (\$100,000) to or for the use of a nonprofit corporation shall be made in quarterly or monthly payments, in the discretion of the Director of the Budget. (2006-203, s. 3; 2013-360, s. 6.12(o).)

§ 143C-6-22. Use of State funds by non-State entities. (a) Disbursement and Use of State Funds. - Every non-State entity that receives, uses, or expends any State funds shall use or expend the funds only for the purposes for which they were appropriated by the General Assembly. State funds include federal funds that flow through the State Treasury. (b) Compliance by Non-State Entities. - If the Director of the Budget finds that a non-State entity has spent or encumbered State funds for an unauthorized purpose, or fails to submit or falsifies the information required by G.S. 143C-6-23 or any other provision of law, the Director shall take appropriate administrative action to ensure that no further irregularities or violations of law occur and shall report to the Attorney General any facts that pertain to an apparent violation of a criminal law or an apparent instance of malfeasance, misfeasance, or nonfeasance in connection with the use of State funds. Appropriate administrative action may include suspending or withholding the disbursement of State funds and recovering State funds previously disbursed. (c) Civil Actions. - Civil actions to recover State funds or to obtain other mandatory orders in the name of the State on relation of the Attorney General, or in the name of the Office of State Budget and Management, shall be filed in the General Court of Justice in Wake County. (2006-203, s. 3.)

§ 143C-6-23. State grant funds: administration; oversight and reporting requirements.

(a) Definitions. – The following definitions apply in this section:

(1) Grant or grant funds. – State funds disbursed as a grant by a State agency; however, the terms do not include any payment made by the Medicaid program, the State Health Plan for Teachers and State Employees, or other similar medical programs.

(2) Grantee. – A non-State entity that receives State funds as a grant from a State agency but does not include any non-State entity subject to the audit and other reporting requirements of the Local Government Commission.

(3) Encumbrance. – A financial obligation created by a purchase order, contract, salary commitment, unearned or prepaid collections for services provided, or other legally binding agreement. A financial obligation is not an encumbrance for purposes of this section unless it (i) is in writing and has been signed by a person or entity who has authority to legally bind the grantee or subgrantee to spend the funds or (ii) was created by the provision of goods or services to the grantee or subgrantee by a third party under circumstances that create a legally binding obligation to pay for the goods or services.

(4) Subgrantee. – A non-State entity that receives State funds as a grant from a grantee or from another subgrantee but does not include any non-State entity subject to the audit and other reporting requirements of the Local Government Commission.

(b) Conflict of Interest Policy. – Every grantee shall file with the State agency disbursing funds to the grantee a copy of that grantee's policy addressing conflicts of interest that may arise involving the grantee's management employees and the members of its board of directors or other governing body. The policy shall address situations in which any of these individuals may directly or indirectly benefit, except as the grantee's employees or members of its board or other governing body, from the grantee's disbursing of State funds, and shall include actions to be taken by the grantee or the individual, or both, to avoid conflicts of interest and the appearance of impropriety. The policy shall be filed before the disbursing State agency may disburse the grant funds.

(c) No Overdue Tax Debts. – Every grantee shall file with the State agency or department disbursing funds to the grantee a written statement completed by that grantee's board of directors or other governing body stating that the grantee does not have any overdue tax debts, as defined by G.S. 105-243.1, at the federal, State, or local level. The written statement shall be made under oath and shall be filed before the disbursing State agency or department may disburse the grant funds. A person who makes a false statement in violation of this subsection is guilty of a criminal offense punishable as provided by G.S. 143C-10-1.

(d) Office of State Budget Rules Must Require Uniform Administration of State Grants. – The Office of State Budget and Management shall adopt rules to ensure the uniform administration of State grants by all grantor State agencies and grantees or subgrantees. The Office of State Budget and Management shall consult with the Office of the State Auditor and the Attorney General in establishing the rules required by this

subsection. The rules shall establish policies and procedures for disbursements of State grants and for State agency oversight, monitoring, and evaluation of grantees and subgrantees. The policies and procedures shall:

- (1) Ensure that the purpose and reporting requirements of each grant are specified to the grantee.
- (2) Ensure that grantees specify the purpose and reporting requirements for grants made to subgrantees.
- (3) Ensure that State funds are spent in accordance with the purposes for which they were granted.
- (4) Hold the grantees and subgrantees accountable for the legal and appropriate expenditure of grant funds.
- (5) Provide for adequate oversight and monitoring to prevent the misuse of grant funds. These policies shall require each grantee and subgrantee to ensure that, for accounting purposes, State funds and interest earned on those funds remain separate and apart from other funds in the possession or control of the grantee or subgrantee.
- (6) Establish mandatory periodic reporting requirements for grantees and subgrantees, including methods of reporting, to provide financial and program performance information. The mandatory periodic reporting requirements shall require grantees and subgrantees to file with the State Auditor copies of reports and statements that are filed with State agencies pursuant to this subsection. Compliance with the mandatory periodic reporting requirements of this subdivision shall not require grantees and subgrantees to file with the State Auditor the information described in subsections (b) and (c) of this section.
- (7) Require grantees and subgrantees to maintain reports, records, and other information to properly account for the expenditure of all grant funds and to make such reports, records, and other information available to the grantor State agency for oversight, monitoring, and evaluation purposes.
- (8) Require grantees and subgrantees to ensure that work papers in the possession of their auditors are available to the State Auditor for the purposes set out in subsection (i) of this section.
- (9) Require grantees to be responsible for managing and monitoring each project, program, or activity supported by grant funds and each subgrantee project, program, or activity supported by grant funds.
- (10) Provide procedures for the suspension of further disbursements or use of grant funds for noncompliance with these rules or other inappropriate use of the funds.
- (11) Provide procedures for use in appropriate circumstances for reinstatement of disbursements that have been suspended for noncompliance with these rules or other inappropriate use of grant funds.
- (12) Provide procedures for the recovery and return to the grantor State agency of unexpended grant funds from a grantee or subgrantee (i) in accordance with subsection (f1) of this section or (ii) in the event that the grantee or subgrantee is unable to fulfill the purposes of the grant for a reason not set forth in that subsection.

(d1) Required Grant Terms. – The terms of each grant shall include all of the following, which shall be deemed a part of the grant:

- (1) The limitation contained in G.S. 143C-6-8 concerning the availability of appropriated funds.
- (2) The relevant provisions of any legislation authorizing or governing the administration of the grant.
- (3) The terms of this section.

(e) Rules Are Subject to the Administrative Procedure Act. – Notwithstanding the provisions of G.S. 150B-2(8a)b. rules adopted pursuant to subsection (d) of this section are subject to the provisions of Chapter 150B of the General Statutes.

(f) Suspension and Recovery of Funds to Grant Recipients for Noncompliance. – The Office of State Budget and Management, after consultation with the administering State agency, shall have the power to suspend disbursement of grant funds to grantees or subgrantees, to prevent further use of grant funds already disbursed, and to recover grant funds already disbursed for noncompliance with rules adopted pursuant to subsection (d) of this section. If the grant funds are a pass-through of funds granted by an agency of the United States, then the Office of State Budget and Management must consult with the granting agency of the United States and the State agency that is the recipient of the pass-through funds prior to taking the actions authorized by this subsection.

(f1) Return of Grant Funds. – Except as otherwise required by federal law, a grantee or subgrantee shall return to the State all affected grant funds and interest earned on those funds if any of the following occurs:

- (1) The funds are in the possession or control of a grantee and are not expended, made subject to an encumbrance, or disbursed to a subgrantee by August 31 immediately following the fiscal year in which the funds are appropriated by the General Assembly, or a different period set forth in the terms of the applicable appropriation or federal grant.
- (2) The funds remain unexpended at the time that the grantee or subgrantee dissolves, ceases operations, or otherwise indicates that it does not intend to spend the funds.
- (3) The Office of State Budget and Management seeks to recover the funds pursuant to subsection (f) of this section.

(f2) Use of Returned Grant Funds. – Encumbered funds returned to the State pursuant to subsection (f1) of this section by a grantee or subgrantee shall upon appropriation by the General Assembly be spent in accordance with the terms of the encumbrance. All other funds returned to the State by a grantee or subgrantee pursuant to subsection (f1) of this section shall be credited to the fund from which they were appropriated and shall remain unexpended and unencumbered until appropriated by the General Assembly. Nothing in this section shall be construed to authorize an expenditure pursuant to an unlawful encumbrance or in a manner that would violate the terms of the appropriation of the grant funds at issue.

(g) Audit Oversight. – The State Auditor has audit oversight, with respect to grant funds received by the grantee or subgrantee, pursuant to Article 5A of Chapter 147 of the General Statutes, of every grantee or subgrantee that receives, uses, or expends grant funds. A grantee or subgrantee must, upon request, furnish to the State Auditor for audit all books, records, and other information necessary for the State Auditor to account fully for the use and expenditure of grant funds received by the grantee or subgrantee. The grantee or subgrantee must furnish any additional financial or budgetary information requested by the State Auditor, including audit work papers in the possession of any auditor of a grantee or subgrantee directly related to the use and expenditure of grant funds.

(h) Report on Grant Recipients That Failed to Comply. – Not later than May 1, 2007, and by May 1 of every succeeding year, the Office of State Budget and Management shall report to the Joint Legislative Commission on Governmental Operations and the Fiscal Research Division on all grantees or subgrantees that failed to comply with this section with respect to grant funds received in the prior fiscal year.

(i) State Agencies to Submit Grant List to Auditor. – No later than October 1 of each year, each State agency shall submit a list to the State Auditor, in the format prescribed by the State Auditor, of every grantee to which the agency disbursed grant funds in the prior fiscal year. The list shall include the amount disbursed to each grantee and other information as required by the State Auditor to comply with the requirements of this section.

(j) Use of Interest Earned on Grant Funds. – Except as otherwise required by federal law or the terms of a federal grant, interest earned on grant funds after receipt of the funds by a grantee or subgrantee shall be credited to the grantee or subgrantee and shall be used for the same purposes for which the grant or subgrant was made.

(k) Reporting by Grantees and Subgrantees That Cease Operations. – A grantee or subgrantee that intends to dissolve or cease operations shall report that decision in writing to the Office of State Budget and Management and to the Fiscal Research Division at least 30 days prior to taking that action. (2006-203, s. 3; 2007-323, s. 28.22A(o); 2007-345, s. 12; 2014-100, s. 6.5(a); 2015-264, s. 21.)

09 NCAC 03M .0205 Minimum Reporting Requirements for Recipients and Subrecipients.

(a) For the purposes of this Subchapter, there are three reporting levels established for recipients and subrecipients receiving State financial assistance. Reporting levels are based on the level of State financial assistance from all funding sources. The reporting levels are:

- (1) Level I – A recipient or subrecipient that receives, holds, uses, or expends State financial assistance in an amount less than twenty-five thousand dollars (\$25,000) within its fiscal year.
- (2) Level II - A recipient or subrecipient that receives, holds, uses, or expends State financial assistance in an amount of at least twenty-five thousand (\$25,000) or greater, but less than five hundred thousand dollars (\$500,000) within its fiscal year.
- (3) Level III – A recipient or subrecipient that receives, holds, uses, or expends State financial assistance in an amount equal to or greater than five hundred thousand dollars (\$500,000) within its fiscal year.

(b) Agencies shall establish reporting requirements for recipients that meet the following reporting standards on an annual basis:

- (1) All recipients and subrecipients shall provide a certification that State financial assistance received or, held was used for the purposes for which it was awarded.
- (2) All recipients and subrecipients shall provide an accounting of all State financial assistance received, held, used, or expended.
- (3) Level II and III recipients and subrecipients shall report on activities and accomplishments undertaken by the recipient, including reporting on any performance measures established in the contract.
- (4) Level III recipients and subrecipients shall have a single or program-specific audit prepared and completed in accordance with Generally Accepted Government Auditing Standards, also known as the Yellow Book.

(c) All reports shall be filed with the disbursing agency in the format and method specified by the agency no later than three months after the end of the recipient's fiscal year, unless the same information is already

required through more frequent reporting. Audits must be provided to the funding agency no later than nine months after the end of the recipient's fiscal year.

(d) Agency-established reporting requirements to meet the standards set forth in Paragraph (b) of this Rule shall be specified in each recipient's contract.

(e) Unless prohibited by law, the costs of audits made in accordance with the provisions of this Rule shall be allowable charges to State and Federal awards. The charges may be considered a direct cost or an allocated indirect cost, as determined in accordance with cost principles outlined in the Code of Federal Regulations, 2 CFR Part 200. The cost of any audit not conducted in accordance with this Subchapter shall not be charged to State awards.

(f) Notwithstanding the provisions of this Subchapter, a recipient may satisfy the reporting requirements of Subparagraph (b)(4) of this Rule by submitting a copy of the report required under federal law with respect to the same funds.

QUARTERLY STATUS REPORT

Please use this reporting template for each of the quarterly reports

Recipient Name:	Special Olympics North Carolina
Recipient Tax ID #	56-1149607
Project/Activity Title:	Directed Grant
Reporting Period (Quarter):	FY25Q2
Report Completion Date:	January 15, 2025
Preparer of This Report:	Amy P. Johnson

Were grant funds expended during this reporting period? ☒ Yes ☐ No

Do you certify that all expenses to date have been submitted for this grant? ☒ Yes ☐ No

Was any interest earned on these grant funds during this reporting period? ☐ Yes ☒ No

If Yes, specify the amount of interest earned over the life of the grant through this reporting period: \$ _____

Do you certify that all funds expended to date were used for the purposes for which they were awarded and in compliance with your contract? ☒ Yes ☐ No

Did you make any subawards of this funding during this reporting period? ☐ Yes ☒ No

If yes, attach a list of subawards including subrecipient entity name, tax ID number, subaward amount, and an indication that the subrecipient is not on the State Suspension of Funding List or suspended or debarred from receiving federal funds if applicable. Please note that per the contract terms and conditions and State law, your organization is responsible for ensuring that any subrecipients comply with all requirements of your contract and provide you with adequate and timely information to fulfill your reporting obligations.

Final reports should be submitted once all funds are expended and grant activities are completed. Is this your final quarterly report? ☐ Yes ☒ No

If yes, please complete and sign below indicating that all expenses have been submitted and all funds and any interest earned were used for the purposes for which they were awarded and in compliance with the contract. Please note that all records pertaining to this grant must be retained for a minimum of five years or until all audit exceptions have been resolved, whichever is longer. If the contract is subject to federal policy and regulations, record retention may be longer than five years. Recipients are required to maintain reports and accounting records that support the allowable expenditure of State funds and shall make available all reports and records for inspection by the NC Department of Health and Human Services, the Office of State Budget and Management, and the Office of the State Auditor for oversight, monitoring, and evaluation purposes.

Name and Title of Organization Official

Signature of Organization Official

Date

1. Provide a brief report of activities and accomplishments related to your Grant Project during this reporting period.

Special Olympics North Carolina continued with fall season sports training and competition that occurred throughout the state for children and adults with intellectual disabilities of all ages. Fall sports included bocce, bowling, softball, golf, soccer, tennis, flag football, cycling and roller skating. To make this activity possible, Special Olympics North Carolina collaborated with its strong base of volunteer leadership established in every county for delivery of programming through partnering community-based agencies such as parks and recreation departments, schools and group homes. Coaches are critical to availability of sports programming. As such, the organization relied on these trained and certified community-based volunteers to come alongside Special Olympics athletes, mentor them and teach them sport skills. Culminating competition opportunities for athletes included the Special Olympics North Carolina Fall Tournament held in Charlotte for athletes statewide. During this event, Special Olympics North Carolina hosted a healthcare access opportunity through which participating athletes were able to receive no-cost health screenings and education across multiple disciplines. All activity was supported by community-based volunteers.

2. Provide an update on the objectives, as outlined in your Scope of Work, of the grant project. Have you accomplished any of your objectives?

Special Olympics North Carolina made progress toward achievement of all objectives supported by the directed grant as follows:

- 1) **Address risks of compromised health faced by people with intellectual disabilities with an outreach effort that identifies eligible individuals and registers them as Special Olympics athletes:** During FY25 Q2, Special Olympics North Carolina reached 1,585 new individuals with intellectual disabilities and brought them into the Special Olympics program where they gained inclusion in structured physical activity through sports and fitness, complemented by health programming impacting health risks for the population. 2) **Support physical activity for improved aspects of physical and mental health by providing community-based training in up to 20 sports at facilities statewide for new and current athletes:** Special Olympics North Carolina worked closely with community-based partners throughout the state including schools, parks and recreation departments, group homes and other agencies to deliver Special Olympics sports training in many of its 20 sports offerings during the second quarter. As a result, athletes throughout the state trained in tennis, cycling, golf, roller skating, bocce, soccer, flag football and softball. 3) **Support a network of 1,800 community-based coaches by providing 200 new coach training certifications qualifying volunteers to work alongside athletes:** 1,811 coaches continued to be engaged in Special Olympics; no new certifications were awarded in Q2 as these opportunities were concentrated into Q1. 4) **Provide training and orientation for 4,000 new community-based volunteers to support the athletes in their activities:** 1,212 new volunteers were trained during Q2. 5) **Provide 350 culminating local/county competition opportunities during the two-year project period, and 8 state-level competitions throughout the state for athletes completing minimum training requirements:** During Q2, Special Olympics North Carolina hosted a state-level Fall Tournament in Charlotte, NC, featuring competition in seven sports over a two-day period. At the local level, 45 competition events in the form of invitationals and county-based competitions were held in locations throughout the state. Examples include multi-county bocce invitationals hosted in Carteret, Lincoln and Cabarrus counties; softball invitationals hosted in Forsyth, Surry and New Hanover counties; and soccer invitationals hosted in Wake, Cumberland, Mecklenburg and Durham counties, among others. 6) **Improve healthcare accessibility for the population with a provision of fitness programming and health education, and health screenings and exams:** During Q2, Special Olympics provided three healthcare access events as follows: 10/21/2024- Medfest, Gaston County in partnership with Atrium Health—Athletes were provided no-cost sports physicals and health education; 10/31/2024- Healthfest, Forsyth County in partnership with Wake Forest Medical School—Athletes were provided no-cost sports physicals, and fitness screenings; 11/13-15/24 - During the Special Olympics North Carolina Fall Tournament, athletes participated in a screening and health education event featuring Special Olympics Healthy Athletes FUNfitness, Fit Feet, Special Smiles, Health Promotion and Special Smiles disciplines. These screenings were provided with the support of health professional volunteers from various parts of the state. Additionally, Special Olympics North Carolina concluded its fall fitness season featuring virtual and at-home fitness and health education. The eight-week program was supported with a fitness guide, small fitness equipment, and weekly virtual workouts complemented with a suite of fitness demonstration videos and a peer community organized through an associated mobile app and Facebook.

3. Provide an update on your expected results, as outlined in your Scope of Work, of the Grant Project(s). Have you accomplished any of your expected results?

Special Olympics North Carolina exceeded some outcomes and is on pace with others as follows:

1) 4,500 people with intellectual disabilities who register as new athletes will engage in a behavioral shift that incorporates physical activity into their lifestyles: Special Olympics North Carolina has exceeded the goal for the two-year period, with a total of 5,655 new athletes registered since FY2024 Q1. For the most recent reporting period, FY2025 Q2, Special Olympics welcomed 1,585 new athletes to the program, providing them inclusion in sports, and opportunity to participate in active living. **2) Improved aspects of health and wellness for 60% of participating athletes based on their participation in year-round sports training and competition opportunities:** Athletes across the state responded to an impact survey during Q2, which yielded the following health picture for people with intellectual disabilities participating in Special Olympics programming: 80% reported some level of physical health improvement, notably an increase in strength (53%), and increased endurance (36%). **3) Improved aspects of emotional health and wellbeing for at least 60% of participating athletes through coach mentoring and engagement with community volunteers contributing to happiness, confidence and socialization:** Among a sampling of athletes responding to the Special Olympics impact survey in Q2, 82% noted some aspect of improved emotional health: Increased confidence, 53%; increased happiness, 67%; increased social connectedness, 31%. **4) Increased understanding of people with intellectual disabilities for at least 70% of the general population, based on training and experience in their coaching and volunteer roles:** Among a sampling of volunteers who completed a volunteer impact survey in Q2, 79% noted increased understanding of the population of people with intellectual disabilities as a result of their volunteer experiences. Importantly, 43% of those responding were first-time volunteers, which demonstrates Special Olympics North Carolina's ability to continually reach people in the community and educate them about the skills and value of people with intellectual disabilities. **5) 10,000 athletes annually benefit from increased healthcare accessibility through health programming outputs (health education, sports physicals and/or health screenings):** During healthcare access events provided in Q1 and Q2, 140 athletes received sports physicals; 452 received health screenings in the area of fitness, health promotion, dental health, audiology and podiatry; and 450 participated in health education activities. Apart from healthcare access events, 8,444 athletes participated in Special Olympics North Carolina's virtual and at-home fitness program, for a total impact of 9,486 healthcare touch points and impact on nearly 10,000 athletes. Nearly 100 volunteers representing community-based health partners made health screenings and education possible.

4. Provide an update on your performance measures, as outlined in your Scope of Work, for the Grant Project(s).

Special Olympics North Carolina utilized all evaluation methods noted in the Scope of Work, measuring the new athlete outreach number based on registration entered into the organization's Games Management System database (GMS). The GMS was also used to track athlete participation in health education and screenings at the Fall Tournament and this was in turn entered into a national Special Olympics reporting system. The percentage of athletes reporting improved aspects of health was measured using an online impact survey delivered using the Survey Monkey platform. This survey was also used to measure levels of understanding of the population of people with intellectual disabilities among volunteers. The number of new community volunteers registered and trained was measured through the organization's volunteer database (both Volunteer Local and GMS). The number of competition opportunities provided statewide was tracked through the GMS.

5. Provide a summary of expenditures during the reporting period (quarter).

<u>Expenditure Category</u>		<u>Amount of Expenditure</u>
Personnel/Contracted Services Expenses (e.g., program-related staffing)		\$10,000
Supplies and Materials Expenses (e.g., office supplies):	\$	
Non-Fixed Operating Expenses (e.g., travel, utilities):	\$	
Fixed Operating Expenses (e.g., office rent, dues and subscriptions):	\$	
Property and Equipment Expenses (e.g., buildings and improvements):	\$	
Services/Contracts Expenses (e.g., purchase of services, contracts with service providers):		\$
Other Expenses (Use of sport facilities; awards; uniforms; meals):	\$52,500	
Total Expenses:	\$62,500	

6. Provide a summary of all grant expenditures through the end of the current reporting period. The total expenditures on this table should equal the cumulative expenditures from all completed quarterly reports to date.

<u>Expenditure Category</u>	<u>Amount of Expenditure</u>
Personnel/Contracted Services Expenses (e.g., program-related staffing)	\$20,000
Supplies and Materials Expenses (e.g., office supplies):	\$
Non-Fixed Operating Expenses (e.g., travel, utilities):	\$
Fixed Operating Expenses (e.g., office rent, dues and subscriptions):	\$
Property and Equipment Expenses (e.g., buildings and improvements):	\$
Services/Contracts Expenses (e.g., purchase of services, contracts with service providers):	\$
Other Expenses (Use of sport facilities; awards; uniforms; meals):	\$105,000
Total Expenses:	\$125,000

Please contact your Contract Administrator with any questions.

ATTACHMENT D REPORTING REQUIREMENTS & TEMPLATES

Reporting Requirements: This is a subaward for financial assistance. Financial Assistance Contracts are subject to the Uniform Administration of State Awards, Oversight and Reporting Requirements for recipient and subrecipients described in N.C. General Statute § 143C-6-23(d) and in 09 NCAC 03M.205.

A non-governmental grantee who receives a combined \$500,000 or more funds from all state agencies must continue to submit a single or program-specific audit prepared and completed in accordance with Generally Accepted Government Auditing Standards, also known as a Yellow Book audit, to Risk Mitigation and Audit Monitoring at NCGrantsReporting@dhhs.nc.gov within 9 months of the grantee's fiscal year end.

DHHS encourages all of its non-governmental grantees that receive funds from other state agencies or DHHS divisions to contact their assigned contract monitor(s) to determine if year-end reports must be submitted for those particular grants/awards.

North Carolina State agencies are exempt from the reporting requirements of this section. Local governments that have reporting requirements for the Local Government Commission are exempt. All others are not exempt and must adhere to the reporting requirements of this section.

- (1) Quarterly Reports: see template in this attachment.
- (2) Reporting Timeframes and Due Dates. Once all grant funds are expended and activities concluded, a final report can be submitted and no additional reports are required:

Reporting Period	Due Date
July 1, 2024 - September 30, 2024	October 15, 2024
October 1, 2024 - December 31, 2024	January 15, 2025
January 1, 2025 - March 31, 2025	April 15, 2025
April 1, 2025-June 30, 2025	July 15, 2025
July 1, 2025 - September 30, 2025	October 15, 2025
October 1, 2025 - December 31, 2025	January 15, 2026
January 1, 2026 - March 31, 2026	April 15, 2026
April 1, 2026 - June 30, 2026	July 15, 2026

N.C. General Statute § 143C-6-21-23. State Grant Funds: Administration; Oversight and Reporting Requirements.

§ 143C-6-21. Payments to nonprofits. Except as otherwise provided by law, an annual appropriation of one hundred thousand dollars (\$100,000) or less to or for the use of a nonprofit corporation may be made in a single annual payment, in the discretion of the Director of the Budget. An annual appropriation of more than one hundred thousand dollars (\$100,000) to or for the use of a nonprofit corporation shall be made in quarterly or monthly payments, in the discretion of the Director of the Budget. (2006-203, s. 3; 2013-360, s. 6.12(0).)

§ 143C-6-22. Use of State funds by non-State entities. (a) Disbursement and Use of State Funds. - Every non-State entity that receives, uses, or expends any State funds shall use or expend the funds only for the purposes for which they were appropriated by the General Assembly. State funds include federal funds that flow through the State Treasury. (b) Compliance by Non-State Entities. - If the Director of the Budget finds that a non-State entity has spent or encumbered State funds for an unauthorized purpose, or fails to submit or falsifies the information required by G.S. 143C-6-23 or any other provision of law, the Director shall take appropriate administrative action to ensure that no further irregularities or violations of law occur and shall report to the Attorney General any facts that pertain to an apparent violation of a criminal law or an apparent instance of malfeasance, misfeasance, or nonfeasance in connection with the use of State funds. Appropriate administrative action may include suspending or withholding the disbursement of State funds and recovering State funds previously disbursed. (c) Civil Actions. - Civil actions to recover State funds or to obtain other mandatory orders in the name of the State on relation of the Attorney General, or in the name of the Office of State Budget and Management, shall be filed in the General Court of Justice in Wake County. (2006-203, s. 3.)

§ 143C-6-23. State grant funds: administration; oversight and reporting requirements.

(a) Definitions. - The following definitions apply in this section:

- (1) Grant or grant funds. - State funds disbursed as a grant by a State agency; however, the terms do not include any payment made by the Medicaid program, the State Health Plan for Teachers and State Employees, or other similar medical programs.
- (2) Grantee. - A non-State entity that receives State funds as a grant from a State agency but does not include any non-State entity subject to the audit and other reporting requirements of the Local Government Commission.
- (3) Encumbrance. - A financial obligation created by a purchase order, contract, salary commitment, unearned or prepaid collections for services provided, or other legally binding agreement. A financial obligation is not an encumbrance for purposes of this section unless it (i) is in writing and has been signed by a person or entity who has authority to legally bind the grantee or subgrantee to spend the funds or (ii) was created by the provision of goods or services to the grantee or subgrantee by a third party under circumstances that create a legally binding obligation to pay for the goods or services.
- (4) Subgrantee. - A non-State entity that receives State funds as a grant from a grantee or from another subgrantee but does not include any non-State entity subject to the audit and other reporting requirements of the Local Government Commission.

(b) Conflict of Interest Policy. - Every grantee shall file with the State agency disbursing funds to the grantee a copy of that grantee's policy addressing conflicts of interest that may arise involving the grantee's management employees and the members of its board of directors or other governing body. The policy shall address situations in which any of these individuals may directly or indirectly benefit, except as the grantee's employees or members of its board or other governing body, from the grantee's disbursing of State funds, and shall include actions to be taken by the grantee or the individual, or both, to avoid conflicts of interest and the appearance of impropriety. The policy shall be filed before the disbursing State agency may disburse the grant funds.

(c) No Overdue Tax Debts. - Every grantee shall file with the State agency or department disbursing funds to the grantee a written statement completed by that grantee's board of directors or other governing body stating that the grantee does not have any overdue tax debts, as defined by G.S. 105-243.1, at the federal, State, or local level. The written statement shall be made under oath and shall be filed before the disbursing State agency or department may disburse the grant funds. A person who makes a false statement in violation of this subsection is guilty of a criminal offense punishable as provided by G.S. 143C-10-1.

(d) Office of State Budget Rules Must Require Uniform Administration of State Grants. - The Office of State Budget and Management shall adopt rules to ensure the uniform administration of State grants by all grantor State agencies and grantees or subgrantees. The Office of State Budget and Management shall consult with the Office of the State Auditor and the Attorney General in establishing the rules required by this

subsection. The rules shall establish policies and procedures for disbursements of State grants and for State agency oversight, monitoring, and evaluation of grantees and subgrantees. The policies and procedures shall:

- (1) Ensure that the purpose and reporting requirements of each grant are specified to the grantee.
- (2) Ensure that grantees specify the purpose and reporting requirements for grants made to subgrantees.
- (3) Ensure that State funds are spent in accordance with the purposes for which they were granted.
- (4) Hold the grantees and subgrantees accountable for the legal and appropriate expenditure of grant funds.
- (5) Provide for adequate oversight and monitoring to prevent the misuse of grant funds. These policies shall require each grantee and subgrantee to ensure that, for accounting purposes, State funds and interest earned on those funds remain separate and apart from other funds in the possession or control of the grantee or subgrantee.
- (6) Establish mandatory periodic reporting requirements for grantees and subgrantees, including methods of reporting, to provide financial and program performance information. The mandatory periodic reporting requirements shall require grantees and subgrantees to file with the State Auditor copies of reports and statements that are filed with State agencies pursuant to this subsection. Compliance with the mandatory periodic reporting requirements of this subdivision shall not require grantees and subgrantees to file with the State Auditor the information described in subsections (b) and (c) of this section.
- (7) Require grantees and subgrantees to maintain reports, records, and other information to properly account for the expenditure of all grant funds and to make such reports, records, and other information available to the grantor State agency for oversight, monitoring, and evaluation purposes.
- (8) Require grantees and subgrantees to ensure that work papers in the possession of their auditors are available to the State Auditor for the purposes set out in subsection (i) of this section.
- (9) Require grantees to be responsible for managing and monitoring each project, program, or activity supported by grant funds and each subgrantee project, program, or activity supported by grant funds.
- (10) Provide procedures for the suspension of further disbursements or use of grant funds for noncompliance with these rules or other inappropriate use of the funds.
- (11) Provide procedures for use in appropriate circumstances for reinstatement of disbursements that have been suspended for noncompliance with these rules or other inappropriate use of grant funds.
- (12) Provide procedures for the recovery and return to the grantor State agency of unexpended grant funds from a grantee or subgrantee (i) in accordance with subsection (f1) of this section or (ii) in the event that the grantee or subgrantee is unable to fulfill the purposes of the grant for a reason not set forth in that subsection.

(d1) Required Grant Terms. - The terms of each grant shall include all of the following, which shall be deemed a part of the grant:

- (1) The limitation contained in G.S. 143C-6-8 concerning the availability of appropriated funds.
- (2) The relevant provisions of any legislation authorizing or governing the administration of the grant.
- (3) The terms of this section.

(e) Rules Are Subject to the Administrative Procedure Act. - Notwithstanding the provisions of G.S. 150B-2(8a)b. rules adopted pursuant to subsection (d) of this section are subject to the provisions of Chapter 150B of the General Statutes.

(f) Suspension and Recovery of Funds to Grant Recipients for Noncompliance. - The Office of State Budget and Management, after consultation with the administering State agency, shall have the power to suspend disbursement of grant funds to grantees or subgrantees, to prevent further use of grant funds already disbursed, and to recover grant funds already disbursed for noncompliance with rules adopted pursuant to subsection (d) of this section. If the grant funds are a pass-through of funds granted by an agency of the United States, then the Office of State Budget and Management must consult with the granting agency of the United States and the State agency that is the recipient of the pass-through funds prior to taking the actions authorized by this subsection.

(f1) Return of Grant Funds. - Except as otherwise required by federal law, a grantee or subgrantee shall return to the State all affected grant funds and interest earned on those funds if any of the following occurs:

- (1) The funds are in the possession or control of a grantee and are not expended, made subject to an encumbrance, or disbursed to a subgrantee by August 31 immediately following the fiscal year in which the funds are appropriated by the General Assembly, or a different period set forth in the terms of the applicable appropriation or federal grant.
- (2) The funds remain unexpended at the time that the grantee or subgrantee dissolves, ceases operations, or otherwise indicates that it does not intend to spend the funds.
- (3) The Office of State Budget and Management seeks to recover the funds pursuant to subsection (f) of this section.

(f2) Use of Returned Grant Funds. - Encumbered funds returned to the State pursuant to subsection (f1) of this section by a grantee or subgrantee shall upon appropriation by the General Assembly be spent in accordance with the terms of the encumbrance. All other funds returned to the State by a grantee or subgrantee pursuant to subsection (f1) of this section shall be credited to the fund from which they were appropriated and shall remain unexpended and unencumbered until appropriated by the General Assembly. Nothing in this section shall be construed to authorize an expenditure pursuant to an unlawful encumbrance or in a manner that would violate the terms of the appropriation of the grant funds at issue.

(g) Audit Oversight. - The State Auditor has audit oversight, with respect to grant funds received by the grantee or subgrantee, pursuant to Article SA of Chapter 147 of the General Statutes, of every grantee or subgrantee that receives, uses, or expends grant funds. A grantee or subgrantee must, upon request, furnish to the State Auditor for audit all books, records, and other information necessary for the State Auditor to account fully for the use and expenditure of grant funds received by the grantee or subgrantee. The grantee or subgrantee must furnish any additional financial or budgetary information requested by the State Auditor, including audit work papers in the possession of any auditor of a grantee or subgrantee directly related to the use and expenditure of grant funds.

(h) Report on Grant Recipients That Failed to Comply. - Not later than May 1, 2007, and by May 1 of every succeeding year, the Office of State Budget and Management shall report to the Joint Legislative Commission on Governmental Operations and the Fiscal Research Division on all grantees or subgrantees that failed to comply with this section with respect to grant funds received in the prior fiscal year.

(i) State Agencies to Submit Grant List to Auditor. - No later than October 1 of each year, each State agency shall submit a list to the State Auditor, in the format prescribed by the State Auditor, of every grantee to which the agency disbursed grant funds in the prior fiscal year. The list shall include the amount disbursed to each grantee and other information as required by the State Auditor to comply with the requirements of this section.

(j) Use of Interest Earned on Grant Funds. - Except as otherwise required by federal law or the terms of a federal grant, interest earned on grant funds after receipt of the funds by a grantee or subgrantee shall be credited to the grantee or subgrantee and shall be used for the same purposes for which the grant or subgrant was made.

(k) Reporting by Grantees and Subgrantees That Cease Operations. -A grantee or subgrantee that intends to dissolve or cease operations shall report that decision in writing to the Office of State Budget and Management and to the Fiscal Research Division at least 30 days prior to taking that action. (2006-203, s. 3; 2007-323, s. 28.22A(o); 2007-345, s. 12; 2014-100, s. 6.S(a); 2015-264, s. 21.)

09 NCAC 03M .0205 Minimum Reporting Requirements for Recipients and Subrecipients.

(a) For the purposes of this Subchapter, there are three reporting levels established for recipients and subrecipients receiving State financial assistance. Reporting levels are based on the level of State financial assistance from all funding sources. The reporting levels are:

(1) Level I-A recipient or subrecipient that receives, holds, uses, or expends State financial assistance in an amount less than twenty-five thousand dollars (\$25,000) within its fiscal year.

(2) Level II -A recipient or subrecipient that receives, holds, uses, or expends State financial assistance in an amount of at least twenty-five thousand (\$25,000) or greater, but less than five hundred thousand dollars (\$500,000) within its fiscal year.

(3) Level III -A recipient or subrecipient that receives, holds, uses, or expends State financial assistance in an amount equal to or greater than five hundred thousand dollars (\$500,000) within its fiscal year.

(b) Agencies shall establish reporting requirements for recipients that meet the following reporting standards on an annual basis:

(1) All recipients and subrecipients shall provide a certification that State financial assistance received or, held was used for the purposes for which it was awarded.

(2) All recipients and subrecipients shall provide an accounting of all State financial assistance received, held, used, or expended.

(3) Level II and III recipients and subrecipients shall report on activities and accomplishments undertaken by the recipient, including reporting on any performance measures established in the contract.

(4) Level III recipients and subrecipients shall have a single or program-specific audit prepared and completed in accordance with Generally Accepted Government Auditing Standards, also known as the Yellow Book.

(c) All reports shall be filed with the disbursing agency in the format and method specified by the agency no later than three months after the end of the recipient's fiscal year, unless the same information is already

required through more frequent reporting. Audits must be provided to the funding agency no later than nine months after the end of the recipient's fiscal year.

(d) Agency-established reporting requirements to meet the standards set forth in Paragraph (b) of this Rule shall be specified in each recipient's contract.

(e) Unless prohibited by law, the costs of audits made in accordance with the provisions of this Rule shall be allowable charges to State and Federal awards. The charges may be considered a direct cost or an allocated indirect cost, as determined in accordance with cost principles outlined in the Code of Federal Regulations, 2 CFR Part 200. The cost of any audit not conducted in accordance with this Subchapter shall not be charged to State awards.

(f) Notwithstanding the provisions of this Subchapter, a recipient may satisfy the reporting requirements of Subparagraph (b)(4) of this Rule by submitting a copy of the report required under federal law with respect to the same funds.

QUARTERLY STATUS REPORT

Please use this reporting template for each of the quarterly reports

Recipient Name:	Special Olympics North Carolina
Recipient Tax ID#	56-1149607
Project/Activity Title:	Directed Grant
Reporting Period (Quarter):	FY25Q3
Report Completion Date:	April 14, 2025
Preparer of This Report:	my P. Johnson

Were grant funds expended during this reporting period? ☒ Yes ☐ No

Do you certify that all expenses to date have been submitted for this grant? ☒ Yes ☐ No

Was any interest earned on these grant funds during this reporting period? ☐ Yes ☒ No

If Yes, specify the amount of interest earned over the life of the grant through this reporting period: \$ _____

Do you certify that all funds expended to date were used for the purposes for which they were awarded and in compliance with your contract? ☒ Yes ☐ No

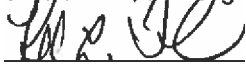
Did you make any subawards of this funding during this reporting period? ☐ Yes ☒ No

If yes, attach a list of subawards including subrecipient entity name, tax ID number, subaward amount, and an indication that the subrecipient is not on the State Suspension of Funding List or suspended or debarred from receiving federal funds if applicable. Please note that per the contract terms and conditions and State law, your organization is responsible for ensuring that any subrecipients comply with all requirements of your contract and provide you with adequate and timely information to fulfill your reporting obligations.

Final reports should be submitted once all funds are expended and grant activities are completed. Is this your final quarterly report? ☐ Yes ☒ No

If yes, please complete and sign below indicating that all expenses have been submitted and all funds and any interest earned were used for the purposes for which they were awarded and in compliance with the contract. Please note that all records pertaining to this grant must be retained for a minimum of five years or until all audit exceptions have been resolved, whichever is longer. If the contract is subject to federal policy and regulations, record retention may be longer than five years. Recipients are required to maintain reports and accounting records that support the allowable expenditure of State funds and shall make available all reports and records for inspection by the NC Department of Health and Human Services, the Office of State Budget and Management, and the Office of the State Auditor for oversight, monitoring, and evaluation purposes.

Kath L. Fishburne, President
Name/and Title of Organization Official


Signature of Organization Official

Date _____

1. Provide a brief report of activities and accomplishments related to your Grant Project during this reporting period.

During third quarter, athletes trained weekly in their choice of winter sports including Alpine skiing, snowboarding, ice skating and cheerleading. Additionally, athletes statewide began training in spring sports, practicing weekly in preparation for Spring Games competition events that will be hosted in communities throughout the state in April and May. Beyond sports opportunities organized by local, county-based volunteer leadership, Special Olympics North Carolina hosted a Southeast Region Winter Games Alpine Skiing and Snowboarding event in Blowing Rock in February, and a Southeast Region Ice Skating competition in Indian Trail in March for Special Olympics athletes representing North Carolina, Florida, Georgia, Tennessee and South Carolina. A state-level Cheerleading Tournament was also held in March in Mocksville, NC. To make this activity possible, Special Olympics North Carolina collaborated with its strong base of volunteer leadership established in every county for delivery of programming through partnering community-based agencies such as parks and recreation departments, schools and group homes. Coaches are critical to availability of sports programming. As such, the organization relied on these trained and certified community-based volunteers to come alongside Special Olympics athletes, mentor them and teach them sport skills. Fitness training complementing sports activity resumed for the spring season, beginning March 10. Participants have been provided a fitness playbook including exercise instruction, health education, weekly progress trackers, and access to complementary live, virtual workouts with two sessions offered weekly, tailored to both school-aged and adult athletes. Additional video resources are available as bonus material for athletes to participate in on their own. Nearly 6,400 students including more than 4,000 athletes are participating in this inclusive fitness training program this spring. Building on the health programming that is important to every athlete's sport participation experience, Special Olympics North Carolina has been able to offer health education, screenings and exams for athletes during both competition and stand-alone events. During the Special Olympics Southeast Region Winter Games, Special Olympics North Carolina, in collaboration with Appalachian State University Nutrition and Health department, provided screenings in Health Promotion, providing athletes with education and resources for healthy life choices including sun safety, tobacco cessation, and healthy diet. Health education events were also hosted in conjunction with the state-level cheerleading tournament, and the local Wake County Spring Games. Additionally, Special Olympics North Carolina provided a stand-alone event in Mecklenburg County in partnership with Atrium Health Carolinas Rehabilitation and NCDHHS Oral Health division, featuring sports physicals and oral health screenings and education.

2. Provide an update on the objectives, as outlined in your Scope of Work, of the grant project. Have you accomplished any of your objectives?

Special Olympics North Carolina made progress toward achievement of all objectives supported by the directed grant as follows:

- 1) **Address risks of compromised health faced by people with intellectual disabilities with an outreach effort that identifies eligible individuals and registers them as Special Olympics athletes:** During FY25 03, Special Olympics North Carolina reached 1,288 new individuals with intellectual disabilities and brought them into the Special Olympics program where they gained inclusion in structured physical activity through sports and fitness, complemented by health programming impacting health risks for the population.
- 2) **Support physical activity for improved aspects of physical and mental health by providing community-based training in up to 20 sports at facilities statewide for new and current athletes:** Special Olympics North Carolina worked closely with community-based partners throughout the state including schools, parks and recreation departments, group homes and other agencies to deliver Special Olympics sports training in several of its 20 sports offerings during the third quarter; athletes throughout the state trained in Alpine skiing, snowboarding, ice skating, swimming, basketball, cheerleading and pickleball.
- 3) **Support a network of 1,800 community-based coaches by providing 200 new coach training certifications qualifying volunteers to work alongside athletes:** 1,190 coaches were engaged in Special Olympics, with 93 new certifications awarded in 03 during two training schools each offering coach training in the sports of track & field, basketball, bowling, gymnastics, powerlifting, swimming and volleyball. Collectively, coaches hold more than 1,900 sport certifications.
- 4) **Provide training and orientation for 4,000 new community-based volunteers to support the athletes in their activities:** 652 new volunteers were trained during 03, bringing the FY2025 total to 2,402. The total for FY2024 was 4,812.
- 5) **Provide 350 culminating local county competition opportunities during the two-year project period, and 8 state-level competitions throughout the state for athletes completing minimum training requirements:** During Q3, Special Olympics North Carolina hosted state-level competition in cheerleading, Alpine skiing, snowboarding and ice skating. At the local level, 10 events were held in the form of invitationals and county-based competitions at venues throughout the state. Examples include a multi-county pickleball invitational hosted in Watauga County; basketball invitationals held in Mecklenburg, Iredell and Durham counties; and swimming invitationals in Dare County and the Lake Norman area, among others.
- 6) **Improve healthcare accessibility for the population with a provision of fitness programming and health education, and health screenings and exams:** During 03, Special Olympics provided four healthcare access events as follows: 2/9/2025 - Southeastern Winter Games Health Promotion screening (84 athletes screened); 3/21/2025 - Mecklenburg County Medfest (49 athletes received sports physicals, 125 athletes were provided health education, and 40 athletes received an oral health screening); 3/22/2025 - Cheerleading Tournament (250 athletes received health/fitness education); 3/26/2025 - Wake County Spring Games (150 athletes received health/fitness education). Collectively, these opportunities were staffed by more than 54 health volunteers including 16 residents, and 24 staff representing Atrium Health. Additionally, Special Olympics North Carolina kicked off its spring fitness season featuring virtual and at-home fitness and health education. The eight-week program is being supported with a fitness guide, small fitness equipment, and weekly virtual workouts complemented with a suite of fitness demonstration videos and a peer community organized through an associated mobile app and Facebook.



3. Provide an update on your expected results, as outlined in your Scope of Work, of the Grant Project(s). Have you accomplished any of your expected results?

Special Olympics North Carolina exceeded some outcomes and is on pace with others as follows:

1) 4,500 people with intellectual disabilities who register as new athletes will engage in a behavioral shift that incorporates physical activity into their lifestyles: Special Olympics North Carolina has exceeded the goal for the two-year period, with a total of 6,943 new athletes registered since FY2024 01. For the most recent reporting period, FY2025 03, Special Olympics welcomed 1,288 new athletes to the program, providing them inclusion in sports and opportunity to participate in active living. **2) Improved aspects of health and wellness for 60% of participating athletes based on their participation in year-round sports training and competition opportunities:** Athletes across the state responding to an impact survey throughout FY2025 have revealed the following health picture for people with intellectual disabilities participating in Special Olympics: 82% reported some level of physical health improvement notably an increase in strength (52%), and increased endurance (48%). **3) Improved aspects of emotional health and wellbeing for at least 60% of participating athletes through coach mentoring and engagement with community volunteers contributing to happiness, confidence and socialization:** Among a sampling of athletes responding to the Special Olympics impact survey in FY2025, 87% noted some aspect of improved emotional health: Increased confidence, 53%; increased happiness, 71%; increased social connectedness, 40%. **4) Increased understanding of people with intellectual disabilities for at least 70% of the general population, based on training and experience in their coaching and volunteer roles:** Among a sampling of volunteers who completed a volunteer impact survey so far in FY2025, 76% noted increased understanding of the population of people with intellectual disabilities as a result of their volunteer experiences. Importantly, 43% of those responding were first-time volunteers, which demonstrates Special Olympics North Carolina's ability to continually reach people in the community and educate them about the skills and value of people with intellectual disabilities. **5) 10,000 athletes annually benefit from increased healthcare accessibility through health programming outputs (health education, sports physicals and/or health screenings):** During healthcare access events provided in 03, 698 athletes participated in health screenings and health education activities. Apart from healthcare access events, more than 4,000 athletes began participating in Special Olympics North Carolina's spring fitness and health education season.

4. Provide an update on your performance measures, as outlined in your Scope of Work, for the Grant Project(s).

Special Olympics North Carolina utilized all evaluation methods noted in the Scope of Work, measuring the new athlete outreach number based on registration entered into the organization's Games Management System database (GMS). The GMS was also used to track athlete participation in health education and screenings at the Fall Tournament and this was in turn entered into a national Special Olympics reporting system. The percentage of athletes reporting improved aspects of health was measured using an online impact survey delivered using the Survey Monkey platform. This survey was also used to measure levels of understanding of the population of people with intellectual disabilities among volunteers. The number of new community volunteers registered and trained was measured through the organization's volunteer database (both Volunteer Local and GMS). The number of competition opportunities provided statewide was tracked through the GMS.

5. Provide a summary of expenditures during the reporting period (quarter).

<u>Expenditure Category</u>	<u>Amount of Expenditure</u>
Personnel/Contracted Services Expenses (e.g., program-related staffing)	\$10,000
Supplies and Materials Expenses (e.g., office supplies):	\$
Non-Fixed Operating Expenses (e.g., travel, utilities):	\$
Fixed Operating Expenses (e.g., office rent, dues and subscriptions):	\$
Property and Equipment Expenses (e.g., buildings and improvements):	\$
Services/Contracts Expenses (e.g., purchase of services, contracts with service providers):	\$
Other Expenses (Use of sport facilities; awards; uniforms; meals):	\$52,500
Total Expenses:	\$62,500

6. Provide a summary of all grant expenditures through the end of the current reporting period. The total expenditures on this table should equal the cumulative expenditures from all completed quarterly reports to date.

<u>Expenditure Category</u>	<u>Amount of Expenditure</u>
Personnel/Contracted Services Expenses (e.g., program-related staffing)	\$30,000
Supplies and Materials Expenses (e.g., office supplies):	\$
Non-Fixed Operating Expenses (e.g., travel, utilities):	\$
Fixed Operating Expenses (e.g., office rent, dues and subscriptions):	\$
Property and Equipment Expenses (e.g., buildings and improvements):	\$
Services/Contracts Expenses (e.g., purchase of services, contracts with service providers):	\$
Other Expenses (Use of sport facilities; awards; uniforms; meals):	\$157,500
Total Expenses:	\$187,500

ATTACHMENT D REPORTING REQUIREMENTS & TEMPLATES

QUARTERLY STATUS REPORT

Please use this reporting template for each of the quarterly reports

Recipient Name:	Special Olympics North Carolina
Recipient Tax ID#	56-1149607
Project/Activity Title:	Directed Grant
Reporting Period (Quarter):	FY25Q4
Report Completion Date:	July 14, 2025
Preparer of This Report:	Amy P. Johnson

Were grant funds expended during this reporting period? ☐ Yes ☒ No

Do you certify that all expenses to date have been submitted for this grant? ☒ Yes ☐ No

Was any interest earned on these grant funds during this reporting period? ☐ Yes ☒ No

If Yes, specify the amount of interest earned over the life of the grant through this reporting period: \$ _____

Do you certify that all funds expended to date were used for the purposes for which they were awarded and in compliance with your contract? ☒ Yes ☐ No

Did you make any subawards of this funding during this reporting period? ☐ Yes ☒ No

If yes, attach a list of subawards including subrecipient entity name, tax ID number, subaward amount, and an indication that the subrecipient is not on the State Suspension of Funding List or suspended or debarred from receiving federal funds if applicable. Please note that per the contract terms and conditions and State law, your organization is responsible for ensuring that any subrecipients comply with all requirements of your contract and provide you with adequate and timely information to fulfill your reporting obligations.

Final reports should be submitted once all funds are expended and grant activities are completed. Is this your final quarterly report? ☒ Yes ☐ No

If yes, please complete and sign below indicating that all expenses have been submitted and all funds and any interest earned were used for the purposes for which they were awarded and in compliance with the contract. Please note that all records pertaining to this grant must be retained for a minimum of five years or until all audit exceptions have been resolved, whichever is longer. If the contract is subject to federal policy and regulations, record retention may be longer than five years. Recipients are required to maintain reports and accounting records that support the allowable expenditure of State funds and shall make available all reports and records for inspection by the NC Department of Health and Human Services, the Office of State Budget and Management, and the Office of the State Auditor for oversight, monitoring, and evaluation purposes.

Keirn L. Fismourne, President/CEO

Name/and Title, Of Organization Official



Signature of Organization Official

7/14/25

Date

1. Provide a brief report of activities and accomplishments related to your Grant Project during this reporting period.

During fourth quarter, athletes trained weekly in their choice of spring/summer sports including powerlifting, gymnastics, basketball, volleyball, bowling, swimming and track & field. Culminating competition opportunities were held in communities statewide, with Spring Games events being the highlight, and convening the greatest number of athletes. Beyond sports opportunities organized by local, county-based volunteer leadership, Special Olympics North Carolina hosted regional invitationals in bowling, swimming, track & field, basketball, volleyball and golf in communities throughout the state. Spring/summer training culminated in the state-level Summer Games showcase in Raleigh, Cary, Morrisville and Holly Springs, convening more than 1,500 athletes and Unified partners along with their coaches and family members from across the state for the three-day event. To make this activity possible, Special Olympics North Carolina collaborated with its strong base of volunteer leadership established in every county for delivery of programming through partnering community-based agencies such as parks and recreation departments, schools and group homes. Coaches are critical to availability of sports programming. As such, the organization relied on these trained and certified community-based volunteers to come alongside Special Olympics athletes, mentor them, and teach them sport skills. Fitness training complementing sports activity continued through the spring season, concluding May 5. Participants were provided a fitness playbook including exercise instruction, health education, weekly progress trackers, and access to complementary live, virtual workouts with two sessions offered weekly, tailored to both school-aged and adult athletes. Additional video resources were made available as bonus material for athletes to participate in on their own. More than 9,400 athletes and Unified partners participated in this inclusive fitness training program in the spring. Building on the health programming that is important to every athlete's sport participation experience, Special Olympics North Carolina offered health education, screenings and exams for athletes during the Special Olympics North Carolina Summer Games in collaboration with community-based healthcare partners, and provided health education during Spring Games events that took place in counties throughout the state in April and May.

2. Provide an update on the objectives, as outlined in your Scope of Work, of the grant project. Have you accomplished any of your objectives?

Special Olympics North Carolina met or exceeded all objectives supported by the directed grant as follows:

- 1) **Address risks of compromised health faced by people with intellectual disabilities with an outreach effort that identifies eligible individuals and registers them as Special Olympics athletes:** During FY 2025 Q4, Special Olympics North Carolina reached 1,067 new individuals with intellectual disabilities and brought them into the Special Olympics program where they gained inclusion in structured physical activity through sports and fitness, complemented by health programming impacting health risks for the population.
- 2) **Support physical activity for improved aspects of physical and mental health by providing community-based training in up to 20 sports at facilities statewide for new and current athletes:** Special Olympics North Carolina worked closely with community-based partners throughout the state including schools, parks and recreation departments, group homes and other agencies to deliver Special Olympics sports training in several of its 20 sports offerings during the fourth quarter; athletes throughout the state trained in swimming, basketball, powerlifting, volleyball, gymnastics, track & field and bowling, equating to 20 sports offered over the course of FY 2025.
- 3) **Support a network of 1,800 community-based coaches by providing 200 new coach training certifications qualifying volunteers to work alongside athletes:** 1,192 coaches were engaged in Special Olympics, with nine new certifications awarded in Q4 during a training school offering coach training in the sport of equestrian. Collectively, coaches hold 1,913 sport certifications.
- 4) **Provide training and orientation for 4,000 new community-based volunteers to support the athletes in their activities:** 2,214 new volunteers were trained during Q4, bringing the FY 2025 total to 4,616. The total for FY 2024 was 4,812.
- 5) **Provide 350 culminating local/county competition opportunities during the two-year project period, and 8 state-level competitions throughout the state for athletes completing minimum training requirements:** During Q4, Special Olympics North Carolina hosted state-level competition in powerlifting, basketball, bowling, track & field, volleyball, gymnastics and swimming, equating to 19 state-level competition opportunities concentrated into six state-level competition events for the year. At the local level, more than 350 events were held in the form of Unified competitions, scrimmages, invitationals and county-based competitions at venues throughout the state. Examples include 101 Spring Games competitions held in April and May in counties statewide; a multi-county regional swim invitational in Greensboro; regional basketball invitationals held in Gaston, Lincoln and Dare counties; bowling invitationals hosted in Gaston and Mecklenburg counties; and track & field invitationals hosted in Wake and Orange counties, among others.
- 6) **Improve healthcare accessibility for the population with a provision of fitness programming and health education, and health screenings and exams:** During Q4, Special Olympics provided healthcare access during the Summer Games Healthy Athletes event featuring the following screenings: vision for 106 athletes (19 athletes received new glasses); dental for 111 athletes (29 athletes referred for follow-up care); podiatry for 111 athletes (23 referrals made); audiology for 78 athletes (25 referrals for follow-up care); and fitness for 101 athletes (four referrals made). Health partners included NCDHHS Oral Health division, Duke, UNC, ECU, Methodist University, and High Point University. Assistance with referrals is occurring in partnership with the National Care Advisors care coordination team. Additionally, Special Olympics North Carolina provided health education stations at 16 local Spring Games events for more than 3,000 athletes across the state. Health education was also provided as part of the continuing spring fitness season. The eight-week program was supported with a fitness guide, small fitness equipment, and weekly virtual workouts complemented with a suite of fitness demonstration videos and a peer community organized through an associated mobile app and private Facebook group.

3. Provide an update on your expected results, as outlined In your Scope of Work, of the Grant Project(s). Have you accomplished any of your expected results?

Special Olympics North Carolina exceeded an projected outcomes as follows:

- 1) **4,500 people with intellectual disabilities who register as new athletes will engage in a behavioral shift that incorporates phys/cal activity Into their lifestyles:** Special Olympics North Carolina has exceeded the goal for the two-year periOd, with a total of 8,010 new athletes registered since FY 2024 Q1. For the most recent reporting period, FY 2025 Q4, Special Olympics welcomed 1,067 new athletes to the program, providing them inclusion in sports, and opportunity to participate in active living. 2) **Improved aspects of health and wellness for 60% of participating athletes based on their participation In year-round sports training and competition opportunities:** Athletes across the state responding to an Impact survey during FY 2025 revealed the following health picture for people with intellectual disabilities participating in Special Olympics: 77% reported some level of physical health improvement, notably an increase in strength (52%). 3) **Improved aspects of emotional health and wellbeing for at least 60% of participating athletes through coach mentoring and engagement with community volunteers contributing to happiness, confidence and socialization:** Among a sampling of athletes responding to the Special Olympics impact survey in FY 2025, 93% noted some aspect of improved emotional health: Increased confidence, 59%; increased happiness, 65%; increased social connectedness, 62%. 4) **Increased understanding of people with intellectual disabilities for at least 70% of the general population, based on training and experience in their coaching and volunteer roles:** Among a sampling of more than 400 volunteers statewide who completed a volunteer impact survey during FY 2025, 78% noted increased understandNng of the population of people with intellectual disabilities as a result of their volunteer experiences. Importantly, nearly half (49%) of those responding were first-time volunteers, which demonstrates Special Olympics North Carolina's ability to continually reach people in the community and educate them about the skills and value of people with intellectual disabilities. 5) **10,000 athletes annually benefit from increased healthcare accesslbility through health programming outputs (health education, sports physicals and/or health screenings):** During healthcare access events provided in conjunction with the Summer Games in Q4, 401 athletes participated in health screenings and health education activities. Apart from the Summer Games, more than 3,000 athletes had access to health education at Spring Games events, and more than 9,400 athletes and Unified partners participated nSpecial OlympicsNorth Carolina's spring fitness and health education season.

4. Provide an update on your performance measures, as outlined in your Scope of Work, for the Grant Project(s).

Special Olympics North Carolina utilized all evaluation methods noted In the Scope of Work, measuring the new athlete outreach number based on registration entered into the organization's Games Management System database (GMS). The GMS was also used to track athlete participation in health education and screenings at the Summer Games, and this was in turn entered into a national Special Olympics reporting system. The percentage of athletes reporting improved aspects of health was measured using an online impact survey delivered using the Survey Monkey platform. This survey was also used to measure levels of understanding of the population of people with intellectual disabilities among volunteers. The number of new community volunteers registered and trained was measured through the organization's volunteer database (both Volunteer Local and GMS). The number of competition opportunities provided statewide was tracked through the GMS.

5. Provide a summary of expenditures during the reporting period (quarter).

<u>Expenditure category</u>		<u>Amount of Expenditure</u>
Personnel/Contracted Services Expenses (e.g., program-related staffing)		\$10,000
Supplies and Materials Expenses (e.g., office supplies):	\$	
Non-Fixed Operating Expenses (e.g., travel, utilities):	\$	
Fixed Operating Expenses (e.g., office rent, dues and subscriptions):	\$	
Property and Equipment Expenses (e.g., buildings and improvements):	\$	
Services/Contracts Expenses (e.g., purchase of services, contracts with service providers):		\$
Other Expenses (Use of sport facilities; awards; uniforms; meals):	\$52,500	
Total Expenses:	\$62,500	

6. Provide a summary of all grant expenditures through the end of the current reporting period. The total expenditures on this table should equal the cumulative expenditures from all completed quarterly reports to date.

<u>Expenditure category</u>		<u>Amount of Expenditure</u>
Personnel/Contracted Services Expenses (e.g., program-related staffing)		\$40,000
Supplies and Materials Expenses (e.g., office supplies):	\$	
Non-Fixed Operating Expenses (e.g., travel, utilities):	\$	
Fixed Operating Expenses (e.g., office rent, dues and subscriptions):	\$	
Property and Equipment Expenses (e.g., buildings and improvements):	\$	
Services/Contracts Expenses (e.g., purchase of services, contracts with service providers):		\$
Other Expenses (Use of sport facilities; awards; uniforms; meals):	\$210,000	
Total Expenses:	\$250,000	

ATTACHMENT D REPORTING REQUIREMENTS & TEMPLATES

Reporting Requirements: This is a subaward for financial assistance. Financial Assistance Contracts are subject to the Uniform Administration of State Awards, Oversight and Reporting Requirements for recipient and subrecipients described in [N.C. General Statue § 143C-6-23\(d\)](#) and in [09 NCAC 03M.205](#).

A non-governmental grantee who receives a combined \$500,000 or more funds from all state agencies must continue to submit a single or program-specific audit prepared and completed in accordance with Generally Accepted Government Auditing Standards, also known as a Yellow Book audit, to Risk Mitigation and Audit Monitoring at NCGrantsReporting@dhhs.nc.gov within 9 months of the grantee's fiscal year end.

DHHS encourages all of its non-governmental grantees that receive funds from other state agencies or DHHS divisions to contact their assigned contract monitor(s) to determine if year-end reports must be submitted for those particular grants/awards.

North Carolina State agencies are exempt from the reporting requirements of this section. Local governments that have reporting requirements for the Local Government Commission are exempt. All others are not exempt and must adhere to the reporting requirements of this section.

(1) Quarterly Reports: see template in this attachment.

(2) Reporting Timeframes and Due Dates. Once all grant funds are expended and activities concluded, a final report can be submitted and no additional reports are required:

Reporting Period	Due Date
July 1, 2024 – September 30, 2024	October 15, 2024
October 1, 2024 – December 31, 2024	January 15, 2025
January 1, 2025 – March 31, 2025	April 15, 2025
April 1, 2025 – June 30, 2025	July 15, 2025
July 1, 2025 - September 30, 2025	October 15, 2025
October 1, 2025 – December 31, 2025	January 15, 2026
January 1, 2026 – March 31, 2026	April 15, 2026
April 1, 2026 – June 30, 2026	July 15, 2026

N.C. General Statute § 143C-6-21-23. State Grant Funds: Administration; Oversight and Reporting Requirements.

§ 143C-6-21. Payments to nonprofits. Except as otherwise provided by law, an annual appropriation of one hundred thousand dollars (\$100,000) or less to or for the use of a nonprofit corporation may be made in a single annual payment, in the discretion of the Director of the Budget. An annual appropriation of more than one hundred thousand dollars (\$100,000) to or for the use of a nonprofit corporation shall be made in quarterly or monthly payments, in the discretion of the Director of the Budget. (2006-203, s. 3; 2013-360, s. 6.12(o).)

§ 143C-6-22. Use of State funds by non-State entities. (a) Disbursement and Use of State Funds. - Every non-State entity that receives, uses, or expends any State funds shall use or expend the funds only for the purposes for which they were appropriated by the General Assembly. State funds include federal funds that flow through the State Treasury. (b) Compliance by Non-State Entities. - If the Director of the Budget finds that a non-State entity has spent or encumbered State funds for an unauthorized purpose, or fails to submit or falsifies the information required by G.S. 143C-6-23 or any other provision of law, the Director shall take appropriate administrative action to ensure that no further irregularities or violations of law occur and shall report to the Attorney General any facts that pertain to an apparent violation of a criminal law or an apparent instance of malfeasance, misfeasance, or nonfeasance in connection with the use of State funds. Appropriate administrative action may include suspending or withholding the disbursement of State funds and recovering State funds previously disbursed. (c) Civil Actions. - Civil actions to recover State funds or to obtain other mandatory orders in the name of the State on relation of the Attorney General, or in the name of the Office of State Budget and Management, shall be filed in the General Court of Justice in Wake County. (2006-203, s. 3.)

§ 143C-6-23. State grant funds: administration; oversight and reporting requirements.

(a) Definitions. – The following definitions apply in this section:

- (1) Grant or grant funds. – State funds disbursed as a grant by a State agency; however, the terms do not include any payment made by the Medicaid program, the State Health Plan for Teachers and State Employees, or other similar medical programs.
- (2) Grantee. – A non-State entity that receives State funds as a grant from a State agency but does not include any non-State entity subject to the audit and other reporting requirements of the Local Government Commission.
- (3) Encumbrance. – A financial obligation created by a purchase order, contract, salary commitment, unearned or prepaid collections for services provided, or other legally binding agreement. A financial obligation is not an encumbrance for purposes of this section unless it (i) is in writing and has been signed by a person or entity who has authority to legally bind the grantee or subgrantee to spend the funds or (ii) was created by the provision of goods or services to the grantee or subgrantee by a third party under circumstances that create a legally binding obligation to pay for the goods or services.
- (4) Subgrantee. – A non-State entity that receives State funds as a grant from a grantee or from another subgrantee but does not include any non-State entity subject to the audit and other reporting requirements of the Local Government Commission.

(b) Conflict of Interest Policy. – Every grantee shall file with the State agency disbursing funds to the grantee a copy of that grantee's policy addressing conflicts of interest that may arise involving the grantee's management employees and the members of its board of directors or other governing body. The policy shall address situations in which any of these individuals may directly or indirectly benefit, except as the grantee's employees or members of its board or other governing body, from the grantee's disbursing of State funds, and shall include actions to be taken by the grantee or the individual, or both, to avoid conflicts of interest and the appearance of impropriety. The policy shall be filed before the disbursing State agency may disburse the grant funds.

(c) No Overdue Tax Debts. – Every grantee shall file with the State agency or department disbursing funds to the grantee a written statement completed by that grantee's board of directors or other governing body stating that the grantee does not have any overdue tax debts, as defined by G.S. 105-243.1, at the federal, State, or local level. The written statement shall be made under oath and shall be filed before the disbursing State agency or department may disburse the grant funds. A person who makes a false statement in violation of this subsection is guilty of a criminal offense punishable as provided by G.S. 143C-10-1.

(d) Office of State Budget Rules Must Require Uniform Administration of State Grants. – The Office of State Budget and Management shall adopt rules to ensure the uniform administration of State grants by all

grantor State agencies and grantees or subgrantees. The Office of State Budget and Management shall consult with the Office of the State Auditor and the Attorney General in establishing the rules required by this subsection. The rules shall establish policies and procedures for disbursements of State grants and for State agency oversight, monitoring, and evaluation of grantees and subgrantees. The policies and procedures shall:

- (1) Ensure that the purpose and reporting requirements of each grant are specified to the grantee.
- (2) Ensure that grantees specify the purpose and reporting requirements for grants made to subgrantees.
- (3) Ensure that State funds are spent in accordance with the purposes for which they were granted.
- (4) Hold the grantees and subgrantees accountable for the legal and appropriate expenditure of grant funds.
- (5) Provide for adequate oversight and monitoring to prevent the misuse of grant funds. These policies shall require each grantee and subgrantee to ensure that, for accounting purposes, State funds and interest earned on those funds remain separate and apart from other funds in the possession or control of the grantee or subgrantee.
- (6) Establish mandatory periodic reporting requirements for grantees and subgrantees, including methods of reporting, to provide financial and program performance information. The mandatory periodic reporting requirements shall require grantees and subgrantees to file with the State Auditor copies of reports and statements that are filed with State agencies pursuant to this subsection. Compliance with the mandatory periodic reporting requirements of this subdivision shall not require grantees and subgrantees to file with the State Auditor the information described in subsections (b) and (c) of this section.
- (7) Require grantees and subgrantees to maintain reports, records, and other information to properly account for the expenditure of all grant funds and to make such reports, records, and other information available to the grantor State agency for oversight, monitoring, and evaluation purposes.
- (8) Require grantees and subgrantees to ensure that work papers in the possession of their auditors are available to the State Auditor for the purposes set out in subsection (i) of this section.
- (9) Require grantees to be responsible for managing and monitoring each project, program, or activity supported by grant funds and each subgrantee project, program, or activity supported by grant funds.
- (10) Provide procedures for the suspension of further disbursements or use of grant funds for noncompliance with these rules or other inappropriate use of the funds.
- (11) Provide procedures for use in appropriate circumstances for reinstatement of disbursements that have been suspended for noncompliance with these rules or other inappropriate use of grant funds.
- (12) Provide procedures for the recovery and return to the grantor State agency of unexpended grant funds from a grantee or subgrantee (i) in accordance with subsection (f1) of this section or (ii) in the event that the grantee or subgrantee is unable to fulfill the purposes of the grant for a reason not set forth in that subsection.

(d1) Required Grant Terms. – The terms of each grant shall include all of the following, which shall be deemed a part of the grant:

- (1) The limitation contained in G.S. 143C-6-8 concerning the availability of appropriated funds.
- (2) The relevant provisions of any legislation authorizing or governing the administration of the grant.
- (3) The terms of this section.

(e) Rules Are Subject to the Administrative Procedure Act. – Notwithstanding the provisions of G.S. 150B-2(8a)b. rules adopted pursuant to subsection (d) of this section are subject to the provisions of Chapter 150B of the General Statutes.

(f) Suspension and Recovery of Funds to Grant Recipients for Noncompliance. – The Office of State Budget and Management, after consultation with the administering State agency, shall have the power to suspend disbursement of grant funds to grantees or subgrantees, to prevent further use of grant funds already disbursed, and to recover grant funds already disbursed for noncompliance with rules adopted pursuant to subsection (d) of this section. If the grant funds are a pass-through of funds granted by an agency of the United States, then the Office of State Budget and Management must consult with the granting agency of the United States and the State agency that is the recipient of the pass-through funds prior to taking the actions authorized by this subsection.

(f1) Return of Grant Funds. – Except as otherwise required by federal law, a grantee or subgrantee shall return to the State all affected grant funds and interest earned on those funds if any of the following occurs:

- (1) The funds are in the possession or control of a grantee and are not expended, made subject to an encumbrance, or disbursed to a subgrantee by August 31 immediately following the fiscal year in which

the funds are appropriated by the General Assembly, or a different period set forth in the terms of the applicable appropriation or federal grant.

(2) The funds remain unexpended at the time that the grantee or subgrantee dissolves, ceases operations, or otherwise indicates that it does not intend to spend the funds.

(3) The Office of State Budget and Management seeks to recover the funds pursuant to subsection (f) of this section.

(f2) Use of Returned Grant Funds. – Encumbered funds returned to the State pursuant to subsection (f1) of this section by a grantee or subgrantee shall upon appropriation by the General Assembly be spent in accordance with the terms of the encumbrance. All other funds returned to the State by a grantee or subgrantee pursuant to subsection (f1) of this section shall be credited to the fund from which they were appropriated and shall remain unexpended and unencumbered until appropriated by the General Assembly. Nothing in this section shall be construed to authorize an expenditure pursuant to an unlawful encumbrance or in a manner that would violate the terms of the appropriation of the grant funds at issue.

(g) Audit Oversight. – The State Auditor has audit oversight, with respect to grant funds received by the grantee or subgrantee, pursuant to Article 5A of Chapter 147 of the General Statutes, of every grantee or subgrantee that receives, uses, or expends grant funds. A grantee or subgrantee must, upon request, furnish to the State Auditor for audit all books, records, and other information necessary for the State Auditor to account fully for the use and expenditure of grant funds received by the grantee or subgrantee. The grantee or subgrantee must furnish any additional financial or budgetary information requested by the State Auditor, including audit work papers in the possession of any auditor of a grantee or subgrantee directly related to the use and expenditure of grant funds.

(h) Report on Grant Recipients That Failed to Comply. – Not later than May 1, 2007, and by May 1 of every succeeding year, the Office of State Budget and Management shall report to the Joint Legislative Commission on Governmental Operations and the Fiscal Research Division on all grantees or subgrantees that failed to comply with this section with respect to grant funds received in the prior fiscal year.

(i) State Agencies to Submit Grant List to Auditor. – No later than October 1 of each year, each State agency shall submit a list to the State Auditor, in the format prescribed by the State Auditor, of every grantee to which the agency disbursed grant funds in the prior fiscal year. The list shall include the amount disbursed to each grantee and other information as required by the State Auditor to comply with the requirements of this section.

(j) Use of Interest Earned on Grant Funds. – Except as otherwise required by federal law or the terms of a federal grant, interest earned on grant funds after receipt of the funds by a grantee or subgrantee shall be credited to the grantee or subgrantee and shall be used for the same purposes for which the grant or subgrant was made.

(k) Reporting by Grantees and Subgrantees That Cease Operations. – A grantee or subgrantee that intends to dissolve or cease operations shall report that decision in writing to the Office of State Budget and Management and to the Fiscal Research Division at least 30 days prior to taking that action. (2006-203, s. 3; 2007-323, s. 28.22A(o); 2007-345, s. 12; 2014-100, s. 6.5(a); 2015-264, s. 21.)

09 NCAC 03M .0205 Minimum Reporting Requirements for Recipients and Subrecipients.

(a) For the purposes of this Subchapter, there are three reporting levels established for recipients and subrecipients receiving State financial assistance. Reporting levels are based on the level of State financial assistance from all funding sources. The reporting levels are:

(1) Level I – A recipient or subrecipient that receives, holds, uses, or expends State financial assistance in an amount less than twenty-five thousand dollars (\$25,000) within its fiscal year.

(2) Level II - A recipient or subrecipient that receives, holds, uses, or expends State financial assistance in an amount of at least twenty-five thousand (\$25,000) or greater, but less than five hundred thousand dollars (\$500,000) within its fiscal year.

(3) Level III – A recipient or subrecipient that receives, holds, uses, or expends State financial assistance in an amount equal to or greater than five hundred thousand dollars (\$500,000) within its fiscal year.

(b) Agencies shall establish reporting requirements for recipients that meet the following reporting standards on an annual basis:

(1) All recipients and subrecipients shall provide a certification that State financial assistance received or, held was used for the purposes for which it was awarded.

(2) All recipients and subrecipients shall provide an accounting of all State financial assistance received, held, used, or expended.

(3) Level II and III recipients and subrecipients shall report on activities and accomplishments undertaken by the recipient, including reporting on any performance measures established in the contract.

(4) Level III recipients and subrecipients shall have a single or program-specific audit prepared and completed in accordance with Generally Accepted Government Auditing Standards, also known as the Yellow Book.

(c) All reports shall be filed with the disbursing agency in the format and method specified by the agency no later than three months after the end of the recipient's fiscal year, unless the same information is already required through more frequent reporting. Audits must be provided to the funding agency no later than nine months after the end of the recipient's fiscal year.

(d) Agency-established reporting requirements to meet the standards set forth in Paragraph (b) of this Rule shall be specified in each recipient's contract.

(e) Unless prohibited by law, the costs of audits made in accordance with the provisions of this Rule shall be allowable charges to State and Federal awards. The charges may be considered a direct cost or an allocated indirect cost, as determined in accordance with cost principles outlined in the Code of Federal Regulations, 2 CFR Part 200. The cost of any audit not conducted in accordance with this Subchapter shall not be charged to State awards.

(f) Notwithstanding the provisions of this Subchapter, a recipient may satisfy the reporting requirements of Subparagraph (b)(4) of this Rule by submitting a copy of the report required under federal law with respect to the same funds.

QUARTERLY STATUS REPORT

Please use this reporting template for each of the quarterly reports

Recipient Name:	The Arc of North Carolina
Recipient Tax ID #	56-753097
Project/Activity Title:	Directed Grant
Reporting Period (Quarter):	7/1/2024 – 9/30/2024
Report Completion Date:	10/28/2024
Preparer of This Report:	Shela Sapp

Were grant funds expended during this reporting period? ☒ Yes ☐ No

Do you certify that all expenses to date have been submitted for this grant? ☒ Yes ☐ No

Was any interest earned on these grant funds during this reporting period? ☐ Yes ☒ No

If Yes, specify the amount of interest earned over the life of the grant through this reporting period: \$ 0.00

Do you certify that all funds expended to date were used for the purposes for which they were awarded and in compliance with your contract? ☒ Yes ☐ No

Did you make any subawards of this funding during this reporting period? ☐ Yes ☒ No

If yes, attach a list of subawards including subrecipient entity name, tax ID number, subaward amount, and an indication that the subrecipient is not on the State Suspension of Funding List or suspended or debarred from receiving federal funds if applicable. Please note that per the contract terms and conditions and State law, your organization is responsible for ensuring that any subrecipients comply with all requirements of your contract and provide you with adequate and timely information to fulfill your reporting obligations.

Final reports should be submitted once all funds are expended and grant activities are completed. Is this your final quarterly report? ☐ Yes ☒ No

If yes, please complete and sign below indicating that all expenses have been submitted and all funds and any interest earned were used for the purposes for which they were awarded and in compliance with the contract. Please note that all records pertaining to this grant must be retained for a minimum of five years or until all audit exceptions have been resolved, whichever is longer. If the contract is subject to federal policy and regulations, record retention may be longer than five years. Recipients are required to maintain reports and accounting records that support the allowable expenditure of State funds and shall make available all reports and records for inspection by the NC Department of Health and Human Services, the Office of State Budget and Management, and the Office of the State Auditor for oversight, monitoring, and evaluation purposes.

Name and Title of Organization Official

Signature of Organization Official

Date

1. Provide a brief report of activities and accomplishments related to your Grant Project during this reporting period.

During this quarter, The Arc held 1 Lunch and Learn to discuss Progress Outcomes, met with one of the MCO to discuss a process for EOR trainings for families transitioning from CAP-C to the Innovations Waiver and our team is training 2 families for this transition, reached out our contact with UNCG – Beyond Academics to discuss meeting so that The Arc could provide education about self-direction to students in the program and their parents, communicated with internal teams across the state to schedule a training about Self-direction. Attended meetings with one of the FSSA's to learn more information regarding the non-profit that is being developed along with the Statewide Conference that is scheduled for 3/13/25. The Arc volunteered to be a presenter at the conference as well.

2. Provide an update on the objectives, as outlined in your Scope of Work, of the grant project. Have you accomplished any of your objectives?

During this reporting period, The Arc provides an opportunity for those attending the Lunch and Learn to have an open discussion with all attending – this could be asking questions, sharing idea and a great learning opportunity. Families are always asked to please share topics that they would like to learn more about.

Our team attended Stake Holder meetings with MCO's and FSSA's to gain knowledge and be able to share that information to those families that were not able to attend the meetings.

MCO Care Manager continues to reach out to The Arc team to gain knowledge about self-direction. The Arc will assist in every way possible to help educate, but Care Managers are always asked to double check with their supervisors.

3. Provide an update on your expected results, as outlined in your Scope of Work, of the Grant Project(s). Have you accomplished any of your expected results?

The Arc self-direction team continues to educate and support our TCM team or any other TCM provider regarding self-direction. As mentioned above we are hearing from MCO Care Managers for guidance. There are new staff and some staff that we have worked with have shared with our team that they have not received training and really do not understand the process.

The Arc will continue to facilitate Lunch and Learn opportunities. Collaborating with the FSSA agencies is very beneficial and very much appreciated.

4. Provide an update on your performance measures, as outlined in your Scope of Work, for the Grant Project(s).

1. The Arc of NC will identify, train and support 3-4 staff members of The Arc, each contract year to become trainers to provide one-on-one and group trainings on AWC and EOR. The Arc of North Carolina is in the process of setting up these trainings that will begin next quarter.
2. The Arc of NC will develop advanced training materials on 3-4 topics, per contract year for people already utilizing AWC or EOR to self-direct their services. The Lunch and Learn provided training on progress outcomes – the importance of this report. The Arc also provided those attending a copy of a Comprehensive Functional Assessment and discussed how this tool can be used in developing goals.
3. These advanced training materials will be utilized to facilitate 3-4 micro-trainings/discussions for 5-6 individuals and families, during each contract year, who are already utilizing the AWC and EOR models to self-direct their services. The Arc completed one of those trainings this quarter – Progress Outcomes.
4. AWC and EOR training materials will be utilized to provide introductory training for 15 additional Supports Managers, Resource Advocates/Extenders and Care Managers, during each contract year on self-direction and how to empower the people they support to take a more active role in directing their own services. The Arc of North Carolina is in the process of scheduling these trainings in our Regions that will begin next quarter.
5. The Arc of NC will develop 2 short videos featuring individuals with IDD and/or their family members who are self-directing their services. The Arc of North Carolina working to identify the families and topics.
6. The Arc of NC will partner with the Beyond Academics Program at the University of North Carolina Greensboro to provide students, staff and families education about self-direction. The Arc of North Carolina reached out to our contact with this program via email – the email was received but have not heard back. Another attempt will be made.
7. The Arc of NC will identify, train, and support 2-4 "peer mentors" who are already self-directing services for themselves or a family member. The Arc currently has 3 peer mentors that are doing a great job.

5. Provide a summary of expenditures during the reporting period (quarter).

Expenditure Category	Amount of Expenditure
Personnel/Contracted Services Expenses (e.g., program-related staffing)	\$ 15,861.36
Supplies and Materials Expenses (e.g., office supplies):	\$ 50.00
Non-Fixed Operating Expenses (e.g., travel, utilities):	\$0.00
Fixed Operating Expenses (e.g., office rent, dues and subscriptions):	\$375.00
Property and Equipment Expenses (e.g., buildings and improvements):	\$0.00
Services/Contracts Expenses (e.g., purchase of services, contracts with service providers):	\$625.00
Other Expenses (Specify):	\$0.00
Total Expenses:	\$16,911.36

6. Provide a summary of all grant expenditures through the end of the current reporting period. The total expenditures on this table should equal the cumulative expenditures from all completed quarterly reports to date.

Expenditure Category	Amount of Expenditure
Personnel/Contracted Services Expenses (e.g., program-related staffing)	\$ 15,861.36
Supplies and Materials Expenses (e.g., office supplies):	\$50.00
Non-Fixed Operating Expenses (e.g., travel, utilities):	\$0.00
Fixed Operating Expenses (e.g., office rent, dues and subscriptions):	\$375.00
Property and Equipment Expenses (e.g., buildings and improvements):	\$0.00
Services/Contracts Expenses (e.g., purchase of services, contracts with service providers):	\$625.00
Other Expenses (Specify):	\$0.00
Total Expenses:	\$16,911.36

Please contact your Contract Administrator with any questions.

ATTACHMENT D REPORTING REQUIREMENTS & TEMPLATES

Reporting Requirements: This is a subaward for financial assistance. Financial Assistance Contracts are subject to the Uniform Administration of State Awards, Oversight and Reporting Requirements for recipient and subrecipients described in [N.C. General Statue § 143C-6-23\(d\)](#) and in [09 NCAC 03M.205](#).

A non-governmental grantee who receives a combined \$500,000 or more funds from all state agencies must continue to submit a single or program-specific audit prepared and completed in accordance with Generally Accepted Government Auditing Standards, also known as a Yellow Book audit, to Risk Mitigation and Audit Monitoring at NCGrantsReporting@dhhs.nc.gov within 9 months of the grantee's fiscal year end.

DHHS encourages all of its non-governmental grantees that receive funds from other state agencies or DHHS divisions to contact their assigned contract monitor(s) to determine if year-end reports must be submitted for those particular grants/awards.

North Carolina State agencies are exempt from the reporting requirements of this section. Local governments that have reporting requirements for the Local Government Commission are exempt. All others are not exempt and must adhere to the reporting requirements of this section.

(1) Quarterly Reports: see template in this attachment.

(2) Reporting Timeframes and Due Dates. Once all grant funds are expended and activities concluded, a final report can be submitted and no additional reports are required:

Reporting Period	Due Date
July 1, 2024 – September 30, 2024	October 15, 2024
October 1, 2024 – December 31, 2024	January 15, 2025
January 1, 2025 – March 31, 2025	April 15, 2025
April 1, 2025 – June 30, 2025	July 15, 2025
July 1, 2025 - September 30, 2025	October 15, 2025
October 1, 2025 – December 31, 2025	January 15, 2026
January 1, 2026 – March 31, 2026	April 15, 2026
April 1, 2026 – June 30, 2026	July 15, 2026

N.C. General Statute § 143C-6-21-23. State Grant Funds: Administration; Oversight and Reporting Requirements.

§ 143C-6-21. Payments to nonprofits. Except as otherwise provided by law, an annual appropriation of one hundred thousand dollars (\$100,000) or less to or for the use of a nonprofit corporation may be made in a single annual payment, in the discretion of the Director of the Budget. An annual appropriation of more than one hundred thousand dollars (\$100,000) to or for the use of a nonprofit corporation shall be made in quarterly or monthly payments, in the discretion of the Director of the Budget. (2006-203, s. 3; 2013-360, s. 6.12(o).)

§ 143C-6-22. Use of State funds by non-State entities. (a) Disbursement and Use of State Funds. - Every non-State entity that receives, uses, or expends any State funds shall use or expend the funds only for the purposes for which they were appropriated by the General Assembly. State funds include federal funds that flow through the State Treasury. (b) Compliance by Non-State Entities. - If the Director of the Budget finds that a non-State entity has spent or encumbered State funds for an unauthorized purpose, or fails to submit or falsifies the information required by G.S. 143C-6-23 or any other provision of law, the Director shall take appropriate administrative action to ensure that no further irregularities or violations of law occur and shall report to the Attorney General any facts that pertain to an apparent violation of a criminal law or an apparent instance of malfeasance, misfeasance, or nonfeasance in connection with the use of State funds. Appropriate administrative action may include suspending or withholding the disbursement of State funds and recovering State funds previously disbursed. (c) Civil Actions. - Civil actions to recover State funds or to obtain other mandatory orders in the name of the State on relation of the Attorney General, or in the name of the Office of State Budget and Management, shall be filed in the General Court of Justice in Wake County. (2006-203, s. 3.)

§ 143C-6-23. State grant funds: administration; oversight and reporting requirements.

(a) Definitions. – The following definitions apply in this section:

- (1) Grant or grant funds. – State funds disbursed as a grant by a State agency; however, the terms do not include any payment made by the Medicaid program, the State Health Plan for Teachers and State Employees, or other similar medical programs.
- (2) Grantee. – A non-State entity that receives State funds as a grant from a State agency but does not include any non-State entity subject to the audit and other reporting requirements of the Local Government Commission.
- (3) Encumbrance. – A financial obligation created by a purchase order, contract, salary commitment, unearned or prepaid collections for services provided, or other legally binding agreement. A financial obligation is not an encumbrance for purposes of this section unless it (i) is in writing and has been signed by a person or entity who has authority to legally bind the grantee or subgrantee to spend the funds or (ii) was created by the provision of goods or services to the grantee or subgrantee by a third party under circumstances that create a legally binding obligation to pay for the goods or services.
- (4) Subgrantee. – A non-State entity that receives State funds as a grant from a grantee or from another subgrantee but does not include any non-State entity subject to the audit and other reporting requirements of the Local Government Commission.

(b) Conflict of Interest Policy. – Every grantee shall file with the State agency disbursing funds to the grantee a copy of that grantee's policy addressing conflicts of interest that may arise involving the grantee's management employees and the members of its board of directors or other governing body. The policy shall address situations in which any of these individuals may directly or indirectly benefit, except as the grantee's employees or members of its board or other governing body, from the grantee's disbursing of State funds, and shall include actions to be taken by the grantee or the individual, or both, to avoid conflicts of interest and the appearance of impropriety. The policy shall be filed before the disbursing State agency may disburse the grant funds.

(c) No Overdue Tax Debts. – Every grantee shall file with the State agency or department disbursing funds to the grantee a written statement completed by that grantee's board of directors or other governing body stating that the grantee does not have any overdue tax debts, as defined by G.S. 105-243.1, at the federal, State, or local level. The written statement shall be made under oath and shall be filed before the disbursing State agency or department may disburse the grant funds. A person who makes a false statement in violation of this subsection is guilty of a criminal offense punishable as provided by G.S. 143C-10-1.

(d) Office of State Budget Rules Must Require Uniform Administration of State Grants. – The Office of State Budget and Management shall adopt rules to ensure the uniform administration of State grants by all

grantor State agencies and grantees or subgrantees. The Office of State Budget and Management shall consult with the Office of the State Auditor and the Attorney General in establishing the rules required by this subsection. The rules shall establish policies and procedures for disbursements of State grants and for State agency oversight, monitoring, and evaluation of grantees and subgrantees. The policies and procedures shall:

- (1) Ensure that the purpose and reporting requirements of each grant are specified to the grantee.
- (2) Ensure that grantees specify the purpose and reporting requirements for grants made to subgrantees.
- (3) Ensure that State funds are spent in accordance with the purposes for which they were granted.
- (4) Hold the grantees and subgrantees accountable for the legal and appropriate expenditure of grant funds.
- (5) Provide for adequate oversight and monitoring to prevent the misuse of grant funds. These policies shall require each grantee and subgrantee to ensure that, for accounting purposes, State funds and interest earned on those funds remain separate and apart from other funds in the possession or control of the grantee or subgrantee.
- (6) Establish mandatory periodic reporting requirements for grantees and subgrantees, including methods of reporting, to provide financial and program performance information. The mandatory periodic reporting requirements shall require grantees and subgrantees to file with the State Auditor copies of reports and statements that are filed with State agencies pursuant to this subsection. Compliance with the mandatory periodic reporting requirements of this subdivision shall not require grantees and subgrantees to file with the State Auditor the information described in subsections (b) and (c) of this section.
- (7) Require grantees and subgrantees to maintain reports, records, and other information to properly account for the expenditure of all grant funds and to make such reports, records, and other information available to the grantor State agency for oversight, monitoring, and evaluation purposes.
- (8) Require grantees and subgrantees to ensure that work papers in the possession of their auditors are available to the State Auditor for the purposes set out in subsection (i) of this section.
- (9) Require grantees to be responsible for managing and monitoring each project, program, or activity supported by grant funds and each subgrantee project, program, or activity supported by grant funds.
- (10) Provide procedures for the suspension of further disbursements or use of grant funds for noncompliance with these rules or other inappropriate use of the funds.
- (11) Provide procedures for use in appropriate circumstances for reinstatement of disbursements that have been suspended for noncompliance with these rules or other inappropriate use of grant funds.
- (12) Provide procedures for the recovery and return to the grantor State agency of unexpended grant funds from a grantee or subgrantee (i) in accordance with subsection (f1) of this section or (ii) in the event that the grantee or subgrantee is unable to fulfill the purposes of the grant for a reason not set forth in that subsection.

(d1) Required Grant Terms. – The terms of each grant shall include all of the following, which shall be deemed a part of the grant:

- (1) The limitation contained in G.S. 143C-6-8 concerning the availability of appropriated funds.
- (2) The relevant provisions of any legislation authorizing or governing the administration of the grant.
- (3) The terms of this section.

(e) Rules Are Subject to the Administrative Procedure Act. – Notwithstanding the provisions of G.S. 150B-2(8a)b. rules adopted pursuant to subsection (d) of this section are subject to the provisions of Chapter 150B of the General Statutes.

(f) Suspension and Recovery of Funds to Grant Recipients for Noncompliance. – The Office of State Budget and Management, after consultation with the administering State agency, shall have the power to suspend disbursement of grant funds to grantees or subgrantees, to prevent further use of grant funds already disbursed, and to recover grant funds already disbursed for noncompliance with rules adopted pursuant to subsection (d) of this section. If the grant funds are a pass-through of funds granted by an agency of the United States, then the Office of State Budget and Management must consult with the granting agency of the United States and the State agency that is the recipient of the pass-through funds prior to taking the actions authorized by this subsection.

(f1) Return of Grant Funds. – Except as otherwise required by federal law, a grantee or subgrantee shall return to the State all affected grant funds and interest earned on those funds if any of the following occurs:

- (1) The funds are in the possession or control of a grantee and are not expended, made subject to an encumbrance, or disbursed to a subgrantee by August 31 immediately following the fiscal year in which

the funds are appropriated by the General Assembly, or a different period set forth in the terms of the applicable appropriation or federal grant.

(2) The funds remain unexpended at the time that the grantee or subgrantee dissolves, ceases operations, or otherwise indicates that it does not intend to spend the funds.

(3) The Office of State Budget and Management seeks to recover the funds pursuant to subsection (f) of this section.

(f2) Use of Returned Grant Funds. – Encumbered funds returned to the State pursuant to subsection (f1) of this section by a grantee or subgrantee shall upon appropriation by the General Assembly be spent in accordance with the terms of the encumbrance. All other funds returned to the State by a grantee or subgrantee pursuant to subsection (f1) of this section shall be credited to the fund from which they were appropriated and shall remain unexpended and unencumbered until appropriated by the General Assembly. Nothing in this section shall be construed to authorize an expenditure pursuant to an unlawful encumbrance or in a manner that would violate the terms of the appropriation of the grant funds at issue.

(g) Audit Oversight. – The State Auditor has audit oversight, with respect to grant funds received by the grantee or subgrantee, pursuant to Article 5A of Chapter 147 of the General Statutes, of every grantee or subgrantee that receives, uses, or expends grant funds. A grantee or subgrantee must, upon request, furnish to the State Auditor for audit all books, records, and other information necessary for the State Auditor to account fully for the use and expenditure of grant funds received by the grantee or subgrantee. The grantee or subgrantee must furnish any additional financial or budgetary information requested by the State Auditor, including audit work papers in the possession of any auditor of a grantee or subgrantee directly related to the use and expenditure of grant funds.

(h) Report on Grant Recipients That Failed to Comply. – Not later than May 1, 2007, and by May 1 of every succeeding year, the Office of State Budget and Management shall report to the Joint Legislative Commission on Governmental Operations and the Fiscal Research Division on all grantees or subgrantees that failed to comply with this section with respect to grant funds received in the prior fiscal year.

(i) State Agencies to Submit Grant List to Auditor. – No later than October 1 of each year, each State agency shall submit a list to the State Auditor, in the format prescribed by the State Auditor, of every grantee to which the agency disbursed grant funds in the prior fiscal year. The list shall include the amount disbursed to each grantee and other information as required by the State Auditor to comply with the requirements of this section.

(j) Use of Interest Earned on Grant Funds. – Except as otherwise required by federal law or the terms of a federal grant, interest earned on grant funds after receipt of the funds by a grantee or subgrantee shall be credited to the grantee or subgrantee and shall be used for the same purposes for which the grant or subgrant was made.

(k) Reporting by Grantees and Subgrantees That Cease Operations. – A grantee or subgrantee that intends to dissolve or cease operations shall report that decision in writing to the Office of State Budget and Management and to the Fiscal Research Division at least 30 days prior to taking that action. (2006-203, s. 3; 2007-323, s. 28.22A(o); 2007-345, s. 12; 2014-100, s. 6.5(a); 2015-264, s. 21.)

09 NCAC 03M .0205 Minimum Reporting Requirements for Recipients and Subrecipients.

(a) For the purposes of this Subchapter, there are three reporting levels established for recipients and subrecipients receiving State financial assistance. Reporting levels are based on the level of State financial assistance from all funding sources. The reporting levels are:

(1) Level I – A recipient or subrecipient that receives, holds, uses, or expends State financial assistance in an amount less than twenty-five thousand dollars (\$25,000) within its fiscal year.

(2) Level II - A recipient or subrecipient that receives, holds, uses, or expends State financial assistance in an amount of at least twenty-five thousand (\$25,000) or greater, but less than five hundred thousand dollars (\$500,000) within its fiscal year.

(3) Level III – A recipient or subrecipient that receives, holds, uses, or expends State financial assistance in an amount equal to or greater than five hundred thousand dollars (\$500,000) within its fiscal year.

(b) Agencies shall establish reporting requirements for recipients that meet the following reporting standards on an annual basis:

(1) All recipients and subrecipients shall provide a certification that State financial assistance received or, held was used for the purposes for which it was awarded.

(2) All recipients and subrecipients shall provide an accounting of all State financial assistance received, held, used, or expended.

(3) Level II and III recipients and subrecipients shall report on activities and accomplishments undertaken by the recipient, including reporting on any performance measures established in the contract.

(4) Level III recipients and subrecipients shall have a single or program-specific audit prepared and completed in accordance with Generally Accepted Government Auditing Standards, also known as the Yellow Book.

(c) All reports shall be filed with the disbursing agency in the format and method specified by the agency no later than three months after the end of the recipient's fiscal year, unless the same information is already required through more frequent reporting. Audits must be provided to the funding agency no later than nine months after the end of the recipient's fiscal year.

(d) Agency-established reporting requirements to meet the standards set forth in Paragraph (b) of this Rule shall be specified in each recipient's contract.

(e) Unless prohibited by law, the costs of audits made in accordance with the provisions of this Rule shall be allowable charges to State and Federal awards. The charges may be considered a direct cost or an allocated indirect cost, as determined in accordance with cost principles outlined in the Code of Federal Regulations, 2 CFR Part 200. The cost of any audit not conducted in accordance with this Subchapter shall not be charged to State awards.

(f) Notwithstanding the provisions of this Subchapter, a recipient may satisfy the reporting requirements of Subparagraph (b)(4) of this Rule by submitting a copy of the report required under federal law with respect to the same funds.

QUARTERLY STATUS REPORT

Please use this reporting template for each of the quarterly reports

Recipient Name:	The Arc of North Carolina
Recipient Tax ID #	56-753097
Project/Activity Title:	Directed Grant
Reporting Period (Quarter):	10/1/2024 – 12/31/2024
Report Completion Date:	1/14/2025
Preparer of This Report:	Shela Sapp

Were grant funds expended during this reporting period? ☒ Yes ☐ No

Do you certify that all expenses to date have been submitted for this grant? ☒ Yes ☐ No

Was any interest earned on these grant funds during this reporting period? ☐ Yes ☒ No

If Yes, specify the amount of interest earned over the life of the grant through this reporting period: \$ 0.00

Do you certify that all funds expended to date were used for the purposes for which they were awarded and in compliance with your contract? ☒ Yes ☐ No

Did you make any subawards of this funding during this reporting period? ☐ Yes ☒ No

If yes, attach a list of subawards including subrecipient entity name, tax ID number, subaward amount, and an indication that the subrecipient is not on the State Suspension of Funding List or suspended or debarred from receiving federal funds if applicable. Please note that per the contract terms and conditions and State law, your organization is responsible for ensuring that any subrecipients comply with all requirements of your contract and provide you with adequate and timely information to fulfill your reporting obligations.

Final reports should be submitted once all funds are expended and grant activities are completed. Is this your final quarterly report? ☐ Yes ☒ No

If yes, please complete and sign below indicating that all expenses have been submitted and all funds and any interest earned were used for the purposes for which they were awarded and in compliance with the contract. Please note that all records pertaining to this grant must be retained for a minimum of five years or until all audit exceptions have been resolved, whichever is longer. If the contract is subject to federal policy and regulations, record retention may be longer than five years. Recipients are required to maintain reports and accounting records that support the allowable expenditure of State funds and shall make available all reports and records for inspection by the NC Department of Health and Human Services, the Office of State Budget and Management, and the Office of the State Auditor for oversight, monitoring, and evaluation purposes.

Name and Title of Organization Official

Signature of Organization Official

Date

1. Provide a brief report of activities and accomplishments related to your Grant Project during this reporting period.

During this quarter, a meeting was held with representative from Beyond Academics at UNCG discuss the next steps in providing students, families and staff education about Self-Direction. With the holidays coming up, the representative wanted to wait until school resumes after the holidays. Attended the webinar for the EOR-DSP Recruitment & Retention Grants – shared this notice with families to attend and staff took the information and shared with families that were not able to attend and assisted families as needed to complete. The Arc met one of the MCO's to discuss The Arc of NC training materials, met with one of the FSSA and 2 Community Navigator agencies – collaborating to put together a consistent audit tool for families to follow along with the state tool. Hosted a Lunch and Learn to provide information about the upcoming Self-Direction Conference in North Carolina.

2. Provide an update on the objectives, as outlined in your Scope of Work, of the grant project. Have you accomplished any of your objectives?

The Arc is pleased with connections and resources that families self-directing were provided and those who actually attended webinars and meetings. The Arc hosted a Lunch and Learn for families regarding the newly developing Self-Direction Coalition Group in North Carolina. It was shared with those attending and also with families who could not attend. information about the upcoming Conference in March 2025. The Arc will also be one of the vendors at this conference. We were also able to provide families with an invitation to attend a webinar on Special Needs Trust and ABLE Act.

The Arc is collaborating with one of the FSSA agencies and 2 other Community Navigator Agencies as a committee to plan on things that can be pulled together for EOR/AWC families – this is new and will focus more on details in this upcoming quarter.

Our Staff were able to attend the Recruitment and Retention Webinar for EOR's. Staff were able to share this information with EOR's and encourage families to attend – some were able to attend and for those that could not staff provided each family with the information and assisted some families to complete the applications.

The Arc was contacted by one of the MCO wanting to discuss our training materials and how the materials lined up with the MCO's manual. The Arc also shared with the MCO a very detailed list of training that a family must complete.

The discussion with Beyond Academics at UNCG continues – will resume that conversation after the holidays.

3. Provide an update on your expected results, as outlined in your Scope of Work, of the Grant Project(s). Have you accomplished any of your expected results?

There is a continued struggle with Care Managers at MCO's who do not understand the process of self-direction. Our team continues to provide education and guidance. The Arc has set up an internal office training for TCM Care Managers and Extenders in the Wilmington area to educate and provide information. This will also take place in other regions within our agency. With staff coming and going – it is important to keep this going.

The Arc will continue to facilitate Lunch and Learn opportunities. The Arc will also continue to collaborate with other stakeholders: FSSA agency, Community Guide Agencies and the Self-Direction Coalition to name a few.

Working together will help build a better program.

4. Provide an update on your performance measures, as outlined in your Scope of Work, for the Grant Project(s).

1. The Arc of NC will identify, train and support 3-4 staff members of The Arc, each contract year to become trainers to provide one-on-one and group trainings on AWC and EOR. The Arc has another staff person who is going to be joining the team to become a trainer – this brings us to 4 total trainers across the state.
2. The Arc of NC will develop advanced training materials on 3-4 topics, per contract year for people already utilizing AWC or EOR to self-direct their services. The Arc of NC continues to train and educate families with updates from the MCO's – forms that are accessible on the websites for reporting purposes. The Arc also met with one of the MCO discuss our trainings – the materials, handbooks etc. The Arc also met with one of the FSSA agencies and 2 Community Navigator Agencies, this group is working together to pull a consistent audit tool for families to work along side the state audit tool
3. These advanced training materials will be utilized to facilitate 3-4 micro-trainings/discussions for 5-6 individuals and families, during each contract year, who are already utilizing the AWC and EOR models to self-direct their services. During this quarter, The Arc shared with families information about a webinar regarding the information for the EOR DSP Recruitment and Retention Grant. Staff attended this webinar and then made sure all families that The Arc works with got a copy of the information and we also assisted families with the application process. The Arc also provided information regarding Special Needs Trusts and the ABLE Act. The Arc also invited Self-Direction Coalition Group of NC to share and discuss their upcoming conference in North Carolina.
4. AWC and EOR training materials will be utilized to provide introductory training for 15 additional Supports Managers, Resource Advocates/Extenders and Care Managers, during each contract year on self-direction and how to empower the people they support to take a more active role in directing their own services. A training was set to take place in January with one of the regional offices – This is going to focus around the TCM team.
5. The Arc of NC will develop 2 short videos featuring individuals with IDD and/or their family members who are self-directing their services. One of the video's was completed right at the beginning of this grant cycle. The Arc is in the process of setting up the final video for this grant.
6. The Arc of NC will partner with the Beyond Academics Program at the University of North Carolina Greensboro to provide students more education about self-direction. The Arc met with our contact in November to discuss next steps, and the contact shared that with the holidays coming, he wanted to wait until classes resume in January.
7. The Arc of NC will identify, train, and support 2-4 "peer mentors" who are already self-directing services for themselves or a family member. To date, The Arc of NC has 3 peer mentors that are doing a great job.

5. Provide a summary of expenditures during the reporting period (quarter).

Expenditure Category	Amount of Expenditure
Personnel/Contracted Services Expenses (e.g., program-related staffing)	\$15,861.36
Supplies and Materials Expenses (e.g., office supplies):	\$ 50.00
Non-Fixed Operating Expenses (e.g., travel, utilities):	\$ 258.50
Fixed Operating Expenses (e.g., office rent, dues and subscriptions):	\$ 550.00
Property and Equipment Expenses (e.g., buildings and improvements):	\$
Services/Contracts Expenses (e.g., purchase of services, contracts with service providers):	\$ 625.00
Other Expenses (Specify):	\$
Total Expenses:	\$17,344.86

6. Provide a summary of all grant expenditures through the end of the current reporting period. The total expenditures on this table should equal the cumulative expenditures from all completed quarterly reports to date.

Expenditure Category	Amount of Expenditure
Personnel/Contracted Services Expenses (e.g., program-related staffing)	\$31,722.72
Supplies and Materials Expenses (e.g., office supplies):	\$ 100.00
Non-Fixed Operating Expenses (e.g., travel, utilities):	\$ 258.50
Fixed Operating Expenses (e.g., office rent, dues and subscriptions):	\$ 925.00
Property and Equipment Expenses (e.g., buildings and improvements):	\$0.00
Services/Contracts Expenses (e.g., purchase of services, contracts with service providers):	\$ 1250.00
Other Expenses (Specify):	\$0.00
Total Expenses:	\$34,256.22

Please contact your Contract Administrator with any questions.

ATTACHMENT D REPORTING REQUIREMENTS & TEMPLATES

Reporting Requirements: This is a subaward for financial assistance. Financial Assistance Contracts are subject to the Uniform Administration of State Awards, Oversight and Reporting Requirements for recipient and subrecipients described in [N.C. General Statue § 143C-6-23\(d\)](#) and in [09 NCAC 03M.205](#).

A non-governmental grantee who receives a combined \$500,000 or more funds from all state agencies must continue to submit a single or program-specific audit prepared and completed in accordance with Generally Accepted Government Auditing Standards, also known as a Yellow Book audit, to Risk Mitigation and Audit Monitoring at NCGrantsReporting@dhhs.nc.gov within 9 months of the grantee's fiscal year end.

DHHS encourages all of its non-governmental grantees that receive funds from other state agencies or DHHS divisions to contact their assigned contract monitor(s) to determine if year-end reports must be submitted for those particular grants/awards.

North Carolina State agencies are exempt from the reporting requirements of this section. Local governments that have reporting requirements for the Local Government Commission are exempt. All others are not exempt and must adhere to the reporting requirements of this section.

- (1) Quarterly Reports: see template in this attachment.
- (2) Reporting Timeframes and Due Dates. Once all grant funds are expended and activities concluded, a final report can be submitted and no additional reports are required:

Reporting Period	Due Date
July 1, 2024 – September 30, 2024	October 15, 2024
October 1, 2024 – December 31, 2024	January 15, 2025
January 1, 2025 – March 31, 2025	April 15, 2025
April 1, 2025 – June 30, 2025	July 15, 2025
July 1, 2025 - September 30, 2025	October 15, 2025
October 1, 2025 – December 31, 2025	January 15, 2026
January 1, 2026 – March 31, 2026	April 15, 2026
April 1, 2026 – June 30, 2026	July 15, 2026

N.C. General Statute § 143C-6-21-23. State Grant Funds: Administration; Oversight and Reporting Requirements.

§ 143C-6-21. Payments to nonprofits. Except as otherwise provided by law, an annual appropriation of one hundred thousand dollars (\$100,000) or less to or for the use of a nonprofit corporation may be made in a single annual payment, in the discretion of the Director of the Budget. An annual appropriation of more than one hundred thousand dollars (\$100,000) to or for the use of a nonprofit corporation shall be made in quarterly or monthly payments, in the discretion of the Director of the Budget. (2006-203, s. 3; 2013-360, s. 6.12(o).)

§ 143C-6-22. Use of State funds by non-State entities. (a) Disbursement and Use of State Funds. - Every non-State entity that receives, uses, or expends any State funds shall use or expend the funds only for the purposes for which they were appropriated by the General Assembly. State funds include federal funds that flow through the State Treasury. (b) Compliance by Non-State Entities. - If the Director of the Budget finds that a non-State entity has spent or encumbered State funds for an unauthorized purpose, or fails to submit or falsifies the information required by G.S. 143C-6-23 or any other provision of law, the Director shall take appropriate administrative action to ensure that no further irregularities or violations of law occur and shall report to the Attorney General any facts that pertain to an apparent violation of a criminal law or an apparent instance of malfeasance, misfeasance, or nonfeasance in connection with the use of State funds. Appropriate administrative action may include suspending or withholding the disbursement of State funds and recovering State funds previously disbursed. (c) Civil Actions. - Civil actions to recover State funds or to obtain other mandatory orders in the name of the State on relation of the Attorney General, or in the name of the Office of State Budget and Management, shall be filed in the General Court of Justice in Wake County. (2006-203, s. 3.)

§ 143C-6-23. State grant funds: administration; oversight and reporting requirements.

(a) Definitions. – The following definitions apply in this section:

- (1) Grant or grant funds. – State funds disbursed as a grant by a State agency; however, the terms do not include any payment made by the Medicaid program, the State Health Plan for Teachers and State Employees, or other similar medical programs.
- (2) Grantee. – A non-State entity that receives State funds as a grant from a State agency but does not include any non-State entity subject to the audit and other reporting requirements of the Local Government Commission.
- (3) Encumbrance. – A financial obligation created by a purchase order, contract, salary commitment, unearned or prepaid collections for services provided, or other legally binding agreement. A financial obligation is not an encumbrance for purposes of this section unless it (i) is in writing and has been signed by a person or entity who has authority to legally bind the grantee or subgrantee to spend the funds or (ii) was created by the provision of goods or services to the grantee or subgrantee by a third party under circumstances that create a legally binding obligation to pay for the goods or services.
- (4) Subgrantee. – A non-State entity that receives State funds as a grant from a grantee or from another subgrantee but does not include any non-State entity subject to the audit and other reporting requirements of the Local Government Commission.

(b) Conflict of Interest Policy. – Every grantee shall file with the State agency disbursing funds to the grantee a copy of that grantee's policy addressing conflicts of interest that may arise involving the grantee's management employees and the members of its board of directors or other governing body. The policy shall address situations in which any of these individuals may directly or indirectly benefit, except as the grantee's employees or members of its board or other governing body, from the grantee's disbursing of State funds, and shall include actions to be taken by the grantee or the individual, or both, to avoid conflicts of interest and the appearance of impropriety. The policy shall be filed before the disbursing State agency may disburse the grant funds.

(c) No Overdue Tax Debts. – Every grantee shall file with the State agency or department disbursing funds to the grantee a written statement completed by that grantee's board of directors or other governing body stating that the grantee does not have any overdue tax debts, as defined by G.S. 105-243.1, at the federal, State, or local level. The written statement shall be made under oath and shall be filed before the disbursing State agency or department may disburse the grant funds. A person who makes a false statement in violation of this subsection is guilty of a criminal offense punishable as provided by G.S. 143C-10-1.

(d) Office of State Budget Rules Must Require Uniform Administration of State Grants. – The Office of State Budget and Management shall adopt rules to ensure the uniform administration of State grants by all

grantor State agencies and grantees or subgrantees. The Office of State Budget and Management shall consult with the Office of the State Auditor and the Attorney General in establishing the rules required by this subsection. The rules shall establish policies and procedures for disbursements of State grants and for State agency oversight, monitoring, and evaluation of grantees and subgrantees. The policies and procedures shall:

- (1) Ensure that the purpose and reporting requirements of each grant are specified to the grantee.
- (2) Ensure that grantees specify the purpose and reporting requirements for grants made to subgrantees.
- (3) Ensure that State funds are spent in accordance with the purposes for which they were granted.
- (4) Hold the grantees and subgrantees accountable for the legal and appropriate expenditure of grant funds.
- (5) Provide for adequate oversight and monitoring to prevent the misuse of grant funds. These policies shall require each grantee and subgrantee to ensure that, for accounting purposes, State funds and interest earned on those funds remain separate and apart from other funds in the possession or control of the grantee or subgrantee.
- (6) Establish mandatory periodic reporting requirements for grantees and subgrantees, including methods of reporting, to provide financial and program performance information. The mandatory periodic reporting requirements shall require grantees and subgrantees to file with the State Auditor copies of reports and statements that are filed with State agencies pursuant to this subsection. Compliance with the mandatory periodic reporting requirements of this subdivision shall not require grantees and subgrantees to file with the State Auditor the information described in subsections (b) and (c) of this section.
- (7) Require grantees and subgrantees to maintain reports, records, and other information to properly account for the expenditure of all grant funds and to make such reports, records, and other information available to the grantor State agency for oversight, monitoring, and evaluation purposes.
- (8) Require grantees and subgrantees to ensure that work papers in the possession of their auditors are available to the State Auditor for the purposes set out in subsection (i) of this section.
- (9) Require grantees to be responsible for managing and monitoring each project, program, or activity supported by grant funds and each subgrantee project, program, or activity supported by grant funds.
- (10) Provide procedures for the suspension of further disbursements or use of grant funds for noncompliance with these rules or other inappropriate use of the funds.
- (11) Provide procedures for use in appropriate circumstances for reinstatement of disbursements that have been suspended for noncompliance with these rules or other inappropriate use of grant funds.
- (12) Provide procedures for the recovery and return to the grantor State agency of unexpended grant funds from a grantee or subgrantee (i) in accordance with subsection (f1) of this section or (ii) in the event that the grantee or subgrantee is unable to fulfill the purposes of the grant for a reason not set forth in that subsection.

(d1) Required Grant Terms. – The terms of each grant shall include all of the following, which shall be deemed a part of the grant:

- (1) The limitation contained in G.S. 143C-6-8 concerning the availability of appropriated funds.
- (2) The relevant provisions of any legislation authorizing or governing the administration of the grant.
- (3) The terms of this section.

(e) Rules Are Subject to the Administrative Procedure Act. – Notwithstanding the provisions of G.S. 150B-2(8a)b. rules adopted pursuant to subsection (d) of this section are subject to the provisions of Chapter 150B of the General Statutes.

(f) Suspension and Recovery of Funds to Grant Recipients for Noncompliance. – The Office of State Budget and Management, after consultation with the administering State agency, shall have the power to suspend disbursement of grant funds to grantees or subgrantees, to prevent further use of grant funds already disbursed, and to recover grant funds already disbursed for noncompliance with rules adopted pursuant to subsection (d) of this section. If the grant funds are a pass-through of funds granted by an agency of the United States, then the Office of State Budget and Management must consult with the granting agency of the United States and the State agency that is the recipient of the pass-through funds prior to taking the actions authorized by this subsection.

(f1) Return of Grant Funds. – Except as otherwise required by federal law, a grantee or subgrantee shall return to the State all affected grant funds and interest earned on those funds if any of the following occurs:

- (1) The funds are in the possession or control of a grantee and are not expended, made subject to an encumbrance, or disbursed to a subgrantee by August 31 immediately following the fiscal year in which

the funds are appropriated by the General Assembly, or a different period set forth in the terms of the applicable appropriation or federal grant.

(2) The funds remain unexpended at the time that the grantee or subgrantee dissolves, ceases operations, or otherwise indicates that it does not intend to spend the funds.

(3) The Office of State Budget and Management seeks to recover the funds pursuant to subsection (f) of this section.

(f2) Use of Returned Grant Funds. – Encumbered funds returned to the State pursuant to subsection (f1) of this section by a grantee or subgrantee shall upon appropriation by the General Assembly be spent in accordance with the terms of the encumbrance. All other funds returned to the State by a grantee or subgrantee pursuant to subsection (f1) of this section shall be credited to the fund from which they were appropriated and shall remain unexpended and unencumbered until appropriated by the General Assembly. Nothing in this section shall be construed to authorize an expenditure pursuant to an unlawful encumbrance or in a manner that would violate the terms of the appropriation of the grant funds at issue.

(g) Audit Oversight. – The State Auditor has audit oversight, with respect to grant funds received by the grantee or subgrantee, pursuant to Article 5A of Chapter 147 of the General Statutes, of every grantee or subgrantee that receives, uses, or expends grant funds. A grantee or subgrantee must, upon request, furnish to the State Auditor for audit all books, records, and other information necessary for the State Auditor to account fully for the use and expenditure of grant funds received by the grantee or subgrantee. The grantee or subgrantee must furnish any additional financial or budgetary information requested by the State Auditor, including audit work papers in the possession of any auditor of a grantee or subgrantee directly related to the use and expenditure of grant funds.

(h) Report on Grant Recipients That Failed to Comply. – Not later than May 1, 2007, and by May 1 of every succeeding year, the Office of State Budget and Management shall report to the Joint Legislative Commission on Governmental Operations and the Fiscal Research Division on all grantees or subgrantees that failed to comply with this section with respect to grant funds received in the prior fiscal year.

(i) State Agencies to Submit Grant List to Auditor. – No later than October 1 of each year, each State agency shall submit a list to the State Auditor, in the format prescribed by the State Auditor, of every grantee to which the agency disbursed grant funds in the prior fiscal year. The list shall include the amount disbursed to each grantee and other information as required by the State Auditor to comply with the requirements of this section.

(j) Use of Interest Earned on Grant Funds. – Except as otherwise required by federal law or the terms of a federal grant, interest earned on grant funds after receipt of the funds by a grantee or subgrantee shall be credited to the grantee or subgrantee and shall be used for the same purposes for which the grant or subgrant was made.

(k) Reporting by Grantees and Subgrantees That Cease Operations. – A grantee or subgrantee that intends to dissolve or cease operations shall report that decision in writing to the Office of State Budget and Management and to the Fiscal Research Division at least 30 days prior to taking that action. (2006-203, s. 3; 2007-323, s. 28.22A(o); 2007-345, s. 12; 2014-100, s. 6.5(a); 2015-264, s. 21.)

09 NCAC 03M .0205 Minimum Reporting Requirements for Recipients and Subrecipients.

(a) For the purposes of this Subchapter, there are three reporting levels established for recipients and subrecipients receiving State financial assistance. Reporting levels are based on the level of State financial assistance from all funding sources. The reporting levels are:

(1) Level I – A recipient or subrecipient that receives, holds, uses, or expends State financial assistance in an amount less than twenty-five thousand dollars (\$25,000) within its fiscal year.

(2) Level II - A recipient or subrecipient that receives, holds, uses, or expends State financial assistance in an amount of at least twenty-five thousand (\$25,000) or greater, but less than five hundred thousand dollars (\$500,000) within its fiscal year.

(3) Level III – A recipient or subrecipient that receives, holds, uses, or expends State financial assistance in an amount equal to or greater than five hundred thousand dollars (\$500,000) within its fiscal year.

(b) Agencies shall establish reporting requirements for recipients that meet the following reporting standards on an annual basis:

(1) All recipients and subrecipients shall provide a certification that State financial assistance received or, held was used for the purposes for which it was awarded.

(2) All recipients and subrecipients shall provide an accounting of all State financial assistance received, held, used, or expended.

(3) Level II and III recipients and subrecipients shall report on activities and accomplishments undertaken by the recipient, including reporting on any performance measures established in the contract.

(4) Level III recipients and subrecipients shall have a single or program-specific audit prepared and completed in accordance with Generally Accepted Government Auditing Standards, also known as the Yellow Book.

(c) All reports shall be filed with the disbursing agency in the format and method specified by the agency no later than three months after the end of the recipient's fiscal year, unless the same information is already required through more frequent reporting. Audits must be provided to the funding agency no later than nine months after the end of the recipient's fiscal year.

(d) Agency-established reporting requirements to meet the standards set forth in Paragraph (b) of this Rule shall be specified in each recipient's contract.

(e) Unless prohibited by law, the costs of audits made in accordance with the provisions of this Rule shall be allowable charges to State and Federal awards. The charges may be considered a direct cost or an allocated indirect cost, as determined in accordance with cost principles outlined in the Code of Federal Regulations, 2 CFR Part 200. The cost of any audit not conducted in accordance with this Subchapter shall not be charged to State awards.

(f) Notwithstanding the provisions of this Subchapter, a recipient may satisfy the reporting requirements of Subparagraph (b)(4) of this Rule by submitting a copy of the report required under federal law with respect to the same funds.

QUARTERLY STATUS REPORT

Please use this reporting template for each of the quarterly reports

Recipient Name:	The Arc of North Carolina
Recipient Tax ID #	56-753097
Project/Activity Title:	Directed Grant
Reporting Period (Quarter):	1/1/2025 – 3/31/2025
Report Completion Date:	4/10/2025
Preparer of This Report:	Shela Sapp

Were grant funds expended during this reporting period? ☒ Yes ☐ No

Do you certify that all expenses to date have been submitted for this grant? ☒ Yes ☐ No

Was any interest earned on these grant funds during this reporting period? ☐ Yes ☒ No

If Yes, specify the amount of interest earned over the life of the grant through this reporting period: \$ 0.00

Do you certify that all funds expended to date were used for the purposes for which they were awarded and in compliance with your contract? ☒ Yes ☐ No

Did you make any subawards of this funding during this reporting period? ☐ Yes ☒ No

If yes, attach a list of subawards including subrecipient entity name, tax ID number, subaward amount, and an indication that the subrecipient is not on the State Suspension of Funding List or suspended or debarred from receiving federal funds if applicable. Please note that per the contract terms and conditions and State law, your organization is responsible for ensuring that any subrecipients comply with all requirements of your contract and provide you with adequate and timely information to fulfill your reporting obligations.

Final reports should be submitted once all funds are expended and grant activities are completed. Is this your final quarterly report? ☐ Yes ☒ No

If yes, please complete and sign below indicating that all expenses have been submitted and all funds and any interest earned were used for the purposes for which they were awarded and in compliance with the contract. Please note that all records pertaining to this grant must be retained for a minimum of five years or until all audit exceptions have been resolved, whichever is longer. If the contract is subject to federal policy and regulations, record retention may be longer than five years. Recipients are required to maintain reports and accounting records that support the allowable expenditure of State funds and shall make available all reports and records for inspection by the NC Department of Health and Human Services, the Office of State Budget and Management, and the Office of the State Auditor for oversight, monitoring, and evaluation purposes.

Name and Title of Organization Official

Signature of Organization Official

Date

1. Provide a brief report of activities and accomplishments related to your Grant Project during this reporting period.

During this quarter our team attended Stakeholder work groups and took this information to share with EOR's who were not able to attend the meetings due to time conflicts. The Arc gained another trainer who is focusing on AWC families. Attended and networked with self-advocates and providers at the Self Direction Conference in Greensboro. The Arc was a vendor at this conference and got to network with EOR's, Representatives and Providers. Met with Peer Mentors who are working hard and helping other EOR's.

A couple of EOR's transferred services to The Arc, after talking with families, to determine training items that they had, our team was able to provide each family with training materials, handbooks, templates that the families stated they did not have.

Provided 22 staff from our TCM department in the Wilmington, Greensboro and Salisbury offices, introductory training on Self-Direction and how to empower self-advocate and families they support to take a more active role in directing their own services. There are a couple of EOR's families that The Arc is their TCM Provider.

Tried reaching out to the contact at UMCG – Beyond Academics Program twice – no response

2. Provide an update on the objectives, as outlined in your Scope of Work, of the grant project. Have you accomplished any of your objectives?

Adding an addition staff to our team from another Region who is working with families with AWC is great – this brings 2 staff that can assist families.

The Arc continues to collaborate with one of the FSSA agencies and 2 other Community Navigator Agencies as the committee continues to work together in bringing things to share with EOR/AWC families.

The Arc is a training resource for EOR families being able to provide families with CPR/FA along with our Communication and Interaction training – equivalent to the NCI Training.

The continue work and attendance at Stakeholder Groups, working with the Self-Direction Coalition Group – allows our team to bring information and share. Our team continues to work with Care Managers from MCO's in helping with understanding self-direction and answering any questions they might have.

Met with Peer Mentor who shared how she is doing with families that are reaching out to her with questions, ideas and sharing knowledge.

3. Provide an update on your expected results, as outlined in your Scope of Work, of the Grant Project(s). Have you accomplished any of your expected results?

The Arc continues to work with Care Managers at MCO's with processes of Self-Direction. The Arc met with the TCM teams in the Wilmington Office, Greensboro Office and Salisbury Office to educate and provide information regarding self-direction for members and families – to empower families with information and educate about Self-Direction.

The Arc will continue to participate in Stake Holder meetings and share information learned to those families that are not able to attend these meetings.

4. Provide an update on your performance measures, as outlined in your Scope of Work, for the Grant Project(s).

1. The Arc of NC will identify, train and support 3-4 staff members of The Arc, each contract year to become trainers to provide one-on-one and group trainings on AWC and EOR. The Arc lost one of our trainers, but gained a staff person who is addressing and focusing on families under the AWC option
2. The Arc of NC will develop advanced training materials on 3-4 topics, per contract year for people already utilizing AWC or EOR to self-direct their services. This goal has been achieved during this grant period. Through this grant period, The Arc will continue train and educate families with updates from the MCO's – forms that are accessible on the websites for reporting purposes. The Arc also met with one of the FSSA agencies and 2 Community Navigator Agencies. The Arc is also able to provide EOR families who have staff that need CPR/FA along with Communications and Interactions Training.
3. These advanced training materials will be utilized to facilitate 3-4 micro-trainings/discussions for 5-6 individuals and families, during each contract year, who are already utilizing the AWC and EOR models to self-direct their services. This goal has been achieved for this grant period. The Arc is in the works to try an set one more training up for the next quarter.
4. AWC and EOR training materials will be utilized to provide introductory training for 15 additional Supports Managers, Resource Advocates/Extenders and Care Managers, during each contract year on self-direction and how to empower the people they support to take a more active role in directing their own services. Trainings were provided to TCM staff in 3 offices at The Arc – 22 staff attended.
5. The Arc of NC will develop 2 short videos featuring individuals with IDD and/or their family members who are self-directing their services. Two videos have been completed for this grant two-year grant period. The Arc is currently working to pull together a Round Table Discussion with EOR's Representatives and Self-Advocates.
6. The Arc of NC will partner with the Beyond Academics Program at the University of North Carolina Greensboro to provide students with more education about self-direction. The Arc continues to reach out to the contact at UNCG – 2 attempts were made this quarter with no response.
7. The Arc of NC will identify, train, and support 2-4 "peer mentors" who are already self-directing services for themselves or a family member. To date, The Arc of NC has met with our peer mentors and had discussion about how things were going. Peer Mentors shared that they continue to hear and assist families as requested. All is going well. We have the 2-4 peer mentors.

5. Provide a summary of expenditures during the reporting period (quarter).

Expenditure Category	Amount of Expenditure
Personnel/Contracted Services Expenses (e.g., program-related staffing)	\$ 15,861.36
Supplies and Materials Expenses (e.g., office supplies):	\$ 50.00
Non-Fixed Operating Expenses (e.g., travel, utilities):	\$ 129.25
Fixed Operating Expenses (e.g., office rent, dues and subscriptions):	\$ 1,775.00
Property and Equipment Expenses (e.g., buildings and improvements):	\$
Services/Contracts Expenses (e.g., purchase of services, contracts with service providers):	\$ 625.00
Other Expenses (Specify): Staff Training and Conferences	\$ 638.00
Total Expenses:	\$ 19,078.61

6. Provide a summary of all grant expenditures through the end of the current reporting period. The total expenditures on this table should equal the cumulative expenditures from all completed quarterly reports to date.

Expenditure Category	Amount of Expenditure
Personnel/Contracted Services Expenses (e.g., program-related staffing)	\$ 47,584.08
Supplies and Materials Expenses (e.g., office supplies):	\$ 150.00
Non-Fixed Operating Expenses (e.g., travel, utilities):	\$ 387.75
Fixed Operating Expenses (e.g., office rent, dues and subscriptions):	\$ 2,700.00
Property and Equipment Expenses (e.g., buildings and improvements):	\$
Services/Contracts Expenses (e.g., purchase of services, contracts with service providers):	\$ 1,875.00
Other Expenses (Specify): Staff Training and Conferences	\$ 638.00
Total Expenses:	\$ 53,334.83

Please contact your Contract Administrator with any questions.

ATTACHMENT D REPORTING REQUIREMENTS & TEMPLATES

Reporting Requirements: This is a subaward for financial assistance. Financial Assistance Contracts are subject to the Uniform Administration of State Awards, Oversight and Reporting Requirements for recipient and subrecipients described in [N.C. General Statue § 143C-6-23\(d\)](#) and in [09 NCAC 03M.205](#).

A non-governmental grantee who receives a combined \$500,000 or more funds from all state agencies must continue to submit a single or program-specific audit prepared and completed in accordance with Generally Accepted Government Auditing Standards, also known as a Yellow Book audit, to Risk Mitigation and Audit Monitoring at NCGrantsReporting@dhhs.nc.gov within 9 months of the grantee's fiscal year end.

DHHS encourages all of its non-governmental grantees that receive funds from other state agencies or DHHS divisions to contact their assigned contract monitor(s) to determine if year-end reports must be submitted for those particular grants/awards.

North Carolina State agencies are exempt from the reporting requirements of this section. Local governments that have reporting requirements for the Local Government Commission are exempt. All others are not exempt and must adhere to the reporting requirements of this section.

- (1) Quarterly Reports: see template in this attachment.
- (2) Reporting Timeframes and Due Dates. Once all grant funds are expended and activities concluded, a final report can be submitted and no additional reports are required:

Reporting Period	Due Date
July 1, 2024 – September 30, 2024	October 15, 2024
October 1, 2024 – December 31, 2024	January 15, 2025
January 1, 2025 – March 31, 2025	April 15, 2025
April 1, 2025 – June 30, 2025	July 15, 2025
July 1, 2025 - September 30, 2025	October 15, 2025
October 1, 2025 – December 31, 2025	January 15, 2026
January 1, 2026 – March 31, 2026	April 15, 2026
April 1, 2026 – June 30, 2026	July 15, 2026

N.C. General Statute § 143C-6-21-23. State Grant Funds: Administration; Oversight and Reporting Requirements.

§ 143C-6-21. Payments to nonprofits. Except as otherwise provided by law, an annual appropriation of one hundred thousand dollars (\$100,000) or less to or for the use of a nonprofit corporation may be made in a single annual payment, in the discretion of the Director of the Budget. An annual appropriation of more than one hundred thousand dollars (\$100,000) to or for the use of a nonprofit corporation shall be made in quarterly or monthly payments, in the discretion of the Director of the Budget. (2006-203, s. 3; 2013-360, s. 6.12(o).)

§ 143C-6-22. Use of State funds by non-State entities. (a) Disbursement and Use of State Funds. - Every non-State entity that receives, uses, or expends any State funds shall use or expend the funds only for the purposes for which they were appropriated by the General Assembly. State funds include federal funds that flow through the State Treasury. (b) Compliance by Non-State Entities. - If the Director of the Budget finds that a non-State entity has spent or encumbered State funds for an unauthorized purpose, or fails to submit or falsifies the information required by G.S. 143C-6-23 or any other provision of law, the Director shall take appropriate administrative action to ensure that no further irregularities or violations of law occur and shall report to the Attorney General any facts that pertain to an apparent violation of a criminal law or an apparent instance of malfeasance, misfeasance, or nonfeasance in connection with the use of State funds. Appropriate administrative action may include suspending or withholding the disbursement of State funds and recovering State funds previously disbursed. (c) Civil Actions. - Civil actions to recover State funds or to obtain other mandatory orders in the name of the State on relation of the Attorney General, or in the name of the Office of State Budget and Management, shall be filed in the General Court of Justice in Wake County. (2006-203, s. 3.)

§ 143C-6-23. State grant funds: administration; oversight and reporting requirements.

(a) Definitions. – The following definitions apply in this section:

- (1) Grant or grant funds. – State funds disbursed as a grant by a State agency; however, the terms do not include any payment made by the Medicaid program, the State Health Plan for Teachers and State Employees, or other similar medical programs.
- (2) Grantee. – A non-State entity that receives State funds as a grant from a State agency but does not include any non-State entity subject to the audit and other reporting requirements of the Local Government Commission.
- (3) Encumbrance. – A financial obligation created by a purchase order, contract, salary commitment, unearned or prepaid collections for services provided, or other legally binding agreement. A financial obligation is not an encumbrance for purposes of this section unless it (i) is in writing and has been signed by a person or entity who has authority to legally bind the grantee or subgrantee to spend the funds or (ii) was created by the provision of goods or services to the grantee or subgrantee by a third party under circumstances that create a legally binding obligation to pay for the goods or services.
- (4) Subgrantee. – A non-State entity that receives State funds as a grant from a grantee or from another subgrantee but does not include any non-State entity subject to the audit and other reporting requirements of the Local Government Commission.

(b) Conflict of Interest Policy. – Every grantee shall file with the State agency disbursing funds to the grantee a copy of that grantee's policy addressing conflicts of interest that may arise involving the grantee's management employees and the members of its board of directors or other governing body. The policy shall address situations in which any of these individuals may directly or indirectly benefit, except as the grantee's employees or members of its board or other governing body, from the grantee's disbursing of State funds, and shall include actions to be taken by the grantee or the individual, or both, to avoid conflicts of interest and the appearance of impropriety. The policy shall be filed before the disbursing State agency may disburse the grant funds.

(c) No Overdue Tax Debts. – Every grantee shall file with the State agency or department disbursing funds to the grantee a written statement completed by that grantee's board of directors or other governing body stating that the grantee does not have any overdue tax debts, as defined by G.S. 105-243.1, at the federal, State, or local level. The written statement shall be made under oath and shall be filed before the disbursing State agency or department may disburse the grant funds. A person who makes a false statement in violation of this subsection is guilty of a criminal offense punishable as provided by G.S. 143C-10-1.

(d) Office of State Budget Rules Must Require Uniform Administration of State Grants. – The Office of State Budget and Management shall adopt rules to ensure the uniform administration of State grants by all

grantor State agencies and grantees or subgrantees. The Office of State Budget and Management shall consult with the Office of the State Auditor and the Attorney General in establishing the rules required by this subsection. The rules shall establish policies and procedures for disbursements of State grants and for State agency oversight, monitoring, and evaluation of grantees and subgrantees. The policies and procedures shall:

- (1) Ensure that the purpose and reporting requirements of each grant are specified to the grantee.
- (2) Ensure that grantees specify the purpose and reporting requirements for grants made to subgrantees.
- (3) Ensure that State funds are spent in accordance with the purposes for which they were granted.
- (4) Hold the grantees and subgrantees accountable for the legal and appropriate expenditure of grant funds.
- (5) Provide for adequate oversight and monitoring to prevent the misuse of grant funds. These policies shall require each grantee and subgrantee to ensure that, for accounting purposes, State funds and interest earned on those funds remain separate and apart from other funds in the possession or control of the grantee or subgrantee.
- (6) Establish mandatory periodic reporting requirements for grantees and subgrantees, including methods of reporting, to provide financial and program performance information. The mandatory periodic reporting requirements shall require grantees and subgrantees to file with the State Auditor copies of reports and statements that are filed with State agencies pursuant to this subsection. Compliance with the mandatory periodic reporting requirements of this subdivision shall not require grantees and subgrantees to file with the State Auditor the information described in subsections (b) and (c) of this section.
- (7) Require grantees and subgrantees to maintain reports, records, and other information to properly account for the expenditure of all grant funds and to make such reports, records, and other information available to the grantor State agency for oversight, monitoring, and evaluation purposes.
- (8) Require grantees and subgrantees to ensure that work papers in the possession of their auditors are available to the State Auditor for the purposes set out in subsection (i) of this section.
- (9) Require grantees to be responsible for managing and monitoring each project, program, or activity supported by grant funds and each subgrantee project, program, or activity supported by grant funds.
- (10) Provide procedures for the suspension of further disbursements or use of grant funds for noncompliance with these rules or other inappropriate use of the funds.
- (11) Provide procedures for use in appropriate circumstances for reinstatement of disbursements that have been suspended for noncompliance with these rules or other inappropriate use of grant funds.
- (12) Provide procedures for the recovery and return to the grantor State agency of unexpended grant funds from a grantee or subgrantee (i) in accordance with subsection (f1) of this section or (ii) in the event that the grantee or subgrantee is unable to fulfill the purposes of the grant for a reason not set forth in that subsection.

(d1) Required Grant Terms. – The terms of each grant shall include all of the following, which shall be deemed a part of the grant:

- (1) The limitation contained in G.S. 143C-6-8 concerning the availability of appropriated funds.
- (2) The relevant provisions of any legislation authorizing or governing the administration of the grant.
- (3) The terms of this section.

(e) Rules Are Subject to the Administrative Procedure Act. – Notwithstanding the provisions of G.S. 150B-2(8a)b. rules adopted pursuant to subsection (d) of this section are subject to the provisions of Chapter 150B of the General Statutes.

(f) Suspension and Recovery of Funds to Grant Recipients for Noncompliance. – The Office of State Budget and Management, after consultation with the administering State agency, shall have the power to suspend disbursement of grant funds to grantees or subgrantees, to prevent further use of grant funds already disbursed, and to recover grant funds already disbursed for noncompliance with rules adopted pursuant to subsection (d) of this section. If the grant funds are a pass-through of funds granted by an agency of the United States, then the Office of State Budget and Management must consult with the granting agency of the United States and the State agency that is the recipient of the pass-through funds prior to taking the actions authorized by this subsection.

(f1) Return of Grant Funds. – Except as otherwise required by federal law, a grantee or subgrantee shall return to the State all affected grant funds and interest earned on those funds if any of the following occurs:

- (1) The funds are in the possession or control of a grantee and are not expended, made subject to an encumbrance, or disbursed to a subgrantee by August 31 immediately following the fiscal year in which

the funds are appropriated by the General Assembly, or a different period set forth in the terms of the applicable appropriation or federal grant.

(2) The funds remain unexpended at the time that the grantee or subgrantee dissolves, ceases operations, or otherwise indicates that it does not intend to spend the funds.

(3) The Office of State Budget and Management seeks to recover the funds pursuant to subsection (f) of this section.

(f2) Use of Returned Grant Funds. – Encumbered funds returned to the State pursuant to subsection (f1) of this section by a grantee or subgrantee shall upon appropriation by the General Assembly be spent in accordance with the terms of the encumbrance. All other funds returned to the State by a grantee or subgrantee pursuant to subsection (f1) of this section shall be credited to the fund from which they were appropriated and shall remain unexpended and unencumbered until appropriated by the General Assembly. Nothing in this section shall be construed to authorize an expenditure pursuant to an unlawful encumbrance or in a manner that would violate the terms of the appropriation of the grant funds at issue.

(g) Audit Oversight. – The State Auditor has audit oversight, with respect to grant funds received by the grantee or subgrantee, pursuant to Article 5A of Chapter 147 of the General Statutes, of every grantee or subgrantee that receives, uses, or expends grant funds. A grantee or subgrantee must, upon request, furnish to the State Auditor for audit all books, records, and other information necessary for the State Auditor to account fully for the use and expenditure of grant funds received by the grantee or subgrantee. The grantee or subgrantee must furnish any additional financial or budgetary information requested by the State Auditor, including audit work papers in the possession of any auditor of a grantee or subgrantee directly related to the use and expenditure of grant funds.

(h) Report on Grant Recipients That Failed to Comply. – Not later than May 1, 2007, and by May 1 of every succeeding year, the Office of State Budget and Management shall report to the Joint Legislative Commission on Governmental Operations and the Fiscal Research Division on all grantees or subgrantees that failed to comply with this section with respect to grant funds received in the prior fiscal year.

(i) State Agencies to Submit Grant List to Auditor. – No later than October 1 of each year, each State agency shall submit a list to the State Auditor, in the format prescribed by the State Auditor, of every grantee to which the agency disbursed grant funds in the prior fiscal year. The list shall include the amount disbursed to each grantee and other information as required by the State Auditor to comply with the requirements of this section.

(j) Use of Interest Earned on Grant Funds. – Except as otherwise required by federal law or the terms of a federal grant, interest earned on grant funds after receipt of the funds by a grantee or subgrantee shall be credited to the grantee or subgrantee and shall be used for the same purposes for which the grant or subgrant was made.

(k) Reporting by Grantees and Subgrantees That Cease Operations. – A grantee or subgrantee that intends to dissolve or cease operations shall report that decision in writing to the Office of State Budget and Management and to the Fiscal Research Division at least 30 days prior to taking that action. (2006-203, s. 3; 2007-323, s. 28.22A(o); 2007-345, s. 12; 2014-100, s. 6.5(a); 2015-264, s. 21.)

09 NCAC 03M .0205 Minimum Reporting Requirements for Recipients and Subrecipients.

(a) For the purposes of this Subchapter, there are three reporting levels established for recipients and subrecipients receiving State financial assistance. Reporting levels are based on the level of State financial assistance from all funding sources. The reporting levels are:

(1) Level I – A recipient or subrecipient that receives, holds, uses, or expends State financial assistance in an amount less than twenty-five thousand dollars (\$25,000) within its fiscal year.

(2) Level II - A recipient or subrecipient that receives, holds, uses, or expends State financial assistance in an amount of at least twenty-five thousand (\$25,000) or greater, but less than five hundred thousand dollars (\$500,000) within its fiscal year.

(3) Level III – A recipient or subrecipient that receives, holds, uses, or expends State financial assistance in an amount equal to or greater than five hundred thousand dollars (\$500,000) within its fiscal year.

(b) Agencies shall establish reporting requirements for recipients that meet the following reporting standards on an annual basis:

(1) All recipients and subrecipients shall provide a certification that State financial assistance received or, held was used for the purposes for which it was awarded.

(2) All recipients and subrecipients shall provide an accounting of all State financial assistance received, held, used, or expended.

(3) Level II and III recipients and subrecipients shall report on activities and accomplishments undertaken by the recipient, including reporting on any performance measures established in the contract.

(4) Level III recipients and subrecipients shall have a single or program-specific audit prepared and completed in accordance with Generally Accepted Government Auditing Standards, also known as the Yellow Book.

(c) All reports shall be filed with the disbursing agency in the format and method specified by the agency no later than three months after the end of the recipient's fiscal year, unless the same information is already required through more frequent reporting. Audits must be provided to the funding agency no later than nine months after the end of the recipient's fiscal year.

(d) Agency-established reporting requirements to meet the standards set forth in Paragraph (b) of this Rule shall be specified in each recipient's contract.

(e) Unless prohibited by law, the costs of audits made in accordance with the provisions of this Rule shall be allowable charges to State and Federal awards. The charges may be considered a direct cost or an allocated indirect cost, as determined in accordance with cost principles outlined in the Code of Federal Regulations, 2 CFR Part 200. The cost of any audit not conducted in accordance with this Subchapter shall not be charged to State awards.

(f) Notwithstanding the provisions of this Subchapter, a recipient may satisfy the reporting requirements of Subparagraph (b)(4) of this Rule by submitting a copy of the report required under federal law with respect to the same funds.

QUARTERLY STATUS REPORT

Please use this reporting template for each of the quarterly reports

Recipient Name:	The Arc of North Carolina
Recipient Tax ID #	56-753097
Project/Activity Title:	Direct Grant
Reporting Period (Quarter):	4/1/2025 – 6/30/2025
Report Completion Date:	7/14/2025
Preparer of This Report:	Shela Sapp

Were grant funds expended during this reporting period? ☒ Yes ☐ No

Do you certify that all expenses to date have been submitted for this grant? ☐ Yes ☐ No

Was any interest earned on these grant funds during this reporting period? ☐ Yes ☒ No

If Yes, specify the amount of interest earned over the life of the grant through this reporting period: \$ _____

Do you certify that all funds expended to date were used for the purposes for which they were awarded and in compliance with your contract? ☒ Yes ☐ No

Did you make any subawards of this funding during this reporting period? ☐ Yes ☒ No

If yes, attach a list of subawards including subrecipient entity name, tax ID number, subaward amount, and an indication that the subrecipient is not on the State Suspension of Funding List or suspended or debarred from receiving federal funds if applicable. Please note that per the contract terms and conditions and State law, your organization is responsible for ensuring that any subrecipients comply with all requirements of your contract and provide you with adequate and timely information to fulfill your reporting obligations.

Final reports should be submitted once all funds are expended and grant activities are completed. Is this your final quarterly report? ☐ Yes ☒ No

If yes, please complete and sign below indicating that all expenses have been submitted and all funds and any interest earned were used for the purposes for which they were awarded and in compliance with the contract. Please note that all records pertaining to this grant must be retained for a minimum of five years or until all audit exceptions have been resolved, whichever is longer. If the contract is subject to federal policy and regulations, record retention may be longer than five years. Recipients are required to maintain reports and accounting records that support the allowable expenditure of State funds and shall make available all reports and records for inspection by the NC Department of Health and Human Services, the Office of State Budget and Management, and the Office of the State Auditor for oversight, monitoring, and evaluation purposes.

Name and Title of Organization Official

Signature of Organization Official

Date

I have not signed the final report – due to waiting on word regarding a re-allocation of funds.

1. Provide a brief report of activities and accomplishments related to your Grant Project during this reporting period.

The Arc hosted one Lunch and Learn this quarter; there was a guest speaker to discuss/educate/share information about Supported Living with Self-Direction Model. Met with the representative from UNCG, she was very apologetic for not getting back with me, shared that there was too much going on, but we did discuss meeting once the new school year starts in the fall. Met with one of the FSSA agencies to review their process for Vendor Request Forms, to be able to share with families. Met with one of the MCO regarding training to clarify the timelines for EOR training. The team attended EOR Stakeholder group meeting and shared information with families. We have also provided guidance and resources to another Community Navigator provider agency about Self-Direction.

2. Provide an update on the objectives, as outlined in your Scope of Work, of the grant project. Have you accomplished any of your objectives?

The Arc continues to be a training resource for EOR families, being able to provide CPR/FA along with our Communications and Interactions training.

The continued collaboration with one of the FSSA agencies, MCO's and peer mentors and TCM teams is appreciated. Our team continues to empower families and our community partners to assist EOR families and providers in understanding self-direction. The Arc has a wonderful training system/curriculum as well.

The Lunch and Learn series have been a tremendous success. Asking EOR families to suggest topics of interest has been great. These sessions have allowed interested families and families already doing self-directed services to connect and learn from one another. It is great to see the ideas discussed, samples of documents shared and stories – The Arc feels like this has been a great opportunity for all.

3. Provide an update on your expected results, as outlined in your Scope of work, of the Grant Project(s). Have you accomplished any of your expected results?

The Arc of NC self-direction team continues to help Care Managers at MCO's understand the process of self-direction. There are still so many new Care Managers who are asking our team for advice and guidance stating they know nothing about self-direction. Our team spends time explaining and answering their questions. The MCO staff are also encouraged to talk with their supervisors as well.

Our team continues to attend Stake Holder meetings to pass things along to EOR families. Each MCO does things differently and with our team attending the meetings – we are able to share information to EOR's that are not able to attend the meetings.

Having another Community Navigator Provider reach out to our team with questions and requesting resources is something that The Arc is proud of.

4. Provide an update on your performance measures, as outlined in your Scope of Work, for the Grant Project(s).

1. The Arc of NC will identify, train and support 3-4 staff members of The Arc, each contract year to become trainers to provide one-on-one and group trainings on AWC and EOR. The Arc has a total of 4 staff members who are working with Self-Direction. Three focus on EOR and then we have one staff that focuses on AWC. For the grant year, this goal was met
2. The Arc of NC will develop advanced training materials on 3-4 topics, per contract year for people already utilizing AWC or EOR to self-direct their services. This goal was met. The team met with one of the FSSA agencies to discuss on-line forms they have for EOR Families – this training was shared with EOR families working with this FSSA agency. For the grant year, this goal was met
3. These advanced training materials will be utilized to facilitate 3-4 micro-trainings/discussions for 5-6 individuals and families, during each contract year, who are already utilizing the AWC and EOR models to self-direct their services. During this quarter, The Arc worked with families who utilize one of the FSSA websites about on-line forms. The Arc also sponsored a Lunch and Learn session to provide families with information about Supported Living under the Self-Direction model. For the grant year, this goal was met.
4. AWC and EOR training materials will be utilized to provide introductory training for 15 additional Supports Managers, Resource Advocates/Extenders and Care Managers, during each contract year on self-direction and how to empower the people they support to take a more active role in directing their own services. This goal was met with The Arc providing training to 22 staff members. For the grant year, this goal was met.
5. The Arc of NC will develop 2 short videos featuring individuals with IDD and/or their family members who are self-directing their services. The video's for this grant were completed already. For the grant period, this goal was met.
6. The Arc of NC will partner with the Beyond Academics Program at the University of North Carolina Greensboro to provide students with more education about self-direction. Met with the representative from UNCG in April 2025, she was very apologetic for not getting back with me, shared that there was too much going on, we did discuss meeting once the new school year starts in the fall.
7. The Arc of NC will identify, train, and support 2-4 "peer mentors" who are already self-directing services for themselves or a family member. To date, The Arc of NC has 3 peer mentors that are doing a great job. For the grant year, this goal was met.

5. Provide a summary of expenditures during the reporting period (quarter).

Expenditure Category	Amount of Expenditure
Personnel/Contracted Services Expenses (e.g., program-related staffing)	\$
Supplies and Materials Expenses (e.g., office supplies):	\$
Non-Fixed Operating Expenses (e.g., travel, utilities):	\$
Fixed Operating Expenses (e.g., office rent, dues and subscriptions):	\$
Property and Equipment Expenses (e.g., buildings and improvements):	\$
Services/Contracts Expenses (e.g., purchase of services, contracts with service providers):	\$
Other Expenses (Specify):	\$
Total Expenses:	\$

6. Provide a summary of all grant expenditures through the end of the current reporting period. The total expenditures on this table should equal the cumulative expenditures from all completed quarterly reports to date.

Expenditure Category	Amount of Expenditure
Personnel/Contracted Services Expenses (e.g., program-related staffing)	\$
Supplies and Materials Expenses (e.g., office supplies):	\$
Non-Fixed Operating Expenses (e.g., travel, utilities):	\$
Fixed Operating Expenses (e.g., office rent, dues and subscriptions):	\$
Property and Equipment Expenses (e.g., buildings and improvements):	\$
Services/Contracts Expenses (e.g., purchase of services, contracts with service providers):	\$
Other Expenses (Specify):	\$
Total Expenses:	\$

Please contact your Contract Administrator with any questions.

**Report to the General Assembly and NC Office of Rural Health on the Appropriated
Funds for the Medical Assistant Apprenticeship Initiative, S.L. 2023-134
From the North Carolina Community Health Center Association**

As part of SL 2023-134, the General Assembly awarded the North Carolina Community Health Center Association (NCCHCA) \$1,703,250 for state fiscal year 2023-24 to expand the Medical Assistant Apprenticeship Initiative (MAAI). An additional \$1,703,250 was awarded for SFY 2024-25.

Pursuant to the legislation and contract with the Office of Rural Health, NCCHCA is pleased to submit this report detailing the information requested regarding program implementation. We extend our gratitude to the North Carolina General Assembly for their leadership and support of workforce development at Community Health Centers. We also extend gratitude to the Office of Rural Health for their support and skilled implementation of the direct funding appropriation.

Itemized List of Program Expenditures

Please see Appendix A for an itemized list of program expenditures. Current expenditures are lower than initially anticipated, but we expect to spend all the SFY 2023-2024 allocation by the October 2025 deadline. The contract for these funds was fully executed in January 2024, six months after the start of the fiscal year. After the contract award, NCCHCA required additional time to set up the program, recruit staff, recruit health centers, and recruit participating apprentices. The first cohort of apprentices funded with the NCGA appropriation began in June 2024. This cohort began with a lower number of apprentices than anticipated, in part due to the requests of health centers participating for the first time to begin with fewer apprentices. After this initial growth year, we anticipate scaling up the program much more

rapidly in 2025 with many additional participating health centers and placements. We anticipate reaching the goal of 50 new apprentice placements specified in the legislation.

Apprentice Placements

Apprentices complete the program in cohorts, with all apprentices in the cohort beginning the program at the same time. Cohorts last for 12 months and include placements at multiple health center sites. NCCHCA plans to begin a new cohort every six months, in January and June. One cohort funded by the NCGA appropriation began in June 2024. Table 1 describes the placement sites and number of apprentice placements. The second cohort funded by NCGA appropriation will begin in January 2025.

Four of the health centers participating in the June 2024 cohort were participants in MAAI for the first time. Two health centers (OIC Family Medical Center and Rural Health Group) previously participated in the pilot cohort.

Table 1. Apprentice Placements June 2024-July 2025			
Health Center Name	Site(s) Name	Counties	Number of Placements
Cabarrus-Rowan Community Health Center	McGill Family Medicine & Dental Clinic	Cabarrus	1
Compassion Health Care	Compassion Health Care Yanceyville	Caswell	1
OIC Family Medical Center	OIC Fairview	Edgecombe	1
Rural Health Group	Halifax Medical Specialists	Halifax	1
Triad Adult and Pediatric Medicine	Pediatrics at Wendover Family Medicine at Northwood	Guilford	2

United Health Centers	United Health Centers at Peters Creek United Health Centers at The Commons	Forsyth	2

Appendix B includes pictures from training sessions for the June 2024 cohort.

Planned Apprentice Placements

At least two additional cohorts are planned with the NCGA funding (SFY 2023-24 and 2024-25). For the cohort beginning January 2025, we are anticipating placements in at least six health centers, including four health centers new to the program.

At the time of report submission, NCCHCA is still recruiting health centers for this cohort. The following health centers have confirmed participation:

- Appalachian Mountain Health, with sites in Buncombe, Cherokee, Graham, and Jackson counties
- Black River Health Services, with sites in Pender County
- Cabarrus Rowan Community Health Center, with sites in Cabarrus, Mecklenburg, and Rowan counties
- Kinston Community Health Center, with sites in Lenoir County
- MedNorth Health Center, with sites in New Hanover County
- Triad Adult and Pediatric Medicine, with sites in Guilford County

We have not yet had apprentice placements at one health center named in the legislation, Kintegra Health. We are still working with Kintegra to confirm participation in an upcoming cohort.

Medical Assistant Apprentices Completing Program

None of the cohorts funded by the NCGA funding have completed the program at this time, due to the start time of June 2024 and the twelve months minimum required to complete the program. The first cohort funded by the NCGA appropriation is scheduled to finish in July 2025. Apprentices will take the National Healthcareer Certified Clinical Medical Assistant Exam by August 2025. While not funded by the NCGA appropriation, NCCHCA is pleased to report that the pilot cohort of MAAI ended in May 2024. Six out of nine apprentices completed all requirements of the program. The three apprentices who did not complete left the program to pursue other opportunities: two took other roles at the health center and one took a role at another organization. All six apprentices who took the National Healthcareer Certification Exam passed and became Certified Clinical Medical Assistants. This pass rate is above the national average. Appendix B includes pictures of apprentices during a graduation ceremony.

Description of Benefits Derived by Community Health Centers

Health centers have described numerous benefits to participation in MAAI. The experience has been so positive for health centers that NCCHCA has seen the program expand to additional health centers much more quickly than anticipated, as participating health centers speak positively about the program to their fellow health centers. While we planned to host apprentices at five sites in 2024 and 2025, we will at least double that to at least ten total health centers participating across all cohorts.

Health centers have shared that the main benefits of participation are as follows:

1) Training specific to Community Health Centers

Health centers have shared that the MAAI model of training apprentices at the community health center leads the apprentices to come out of the program with unique understanding of health

center patients, primary care, and a commitment to working at the health center. This differs from other medical assistant training programs that train MAs in non-CHC settings.

One of the health centers who participated in the pilot cohort expressed the unique benefits of the MAAI model:

“The program has been invaluable. It has allowed us to offer high quality clinical care to our patients from day 1 of receiving their certification. The apprentices are trained to [our health center’s] standards of patient care expectations...Out of the gate the apprentices understand the impact of social drivers on health care. It has been the best training experience as they are trained specifically to understand primary care versus-acute or long-term care. Excellent training program.”

Another participating CHC leader shared:

“From a leadership perspective, I would like to share the greatest benefit for us is the ability to groom the apprentice from the beginning to instill our organization values with them as they grow in the role of the CMA [Certified Medical Assistant]. The training they obtain while working alongside our staff is invaluable. They are able to learn skills while also learning the clinic workflow specific to our organization. This allows them to be successful once the program is completed and they are hired as a CMA.”

2) Addressing workforce challenges

Another benefit CHCs have found from the program is having additional MA candidates to fill vacant roles and having support for tasks while the apprentices complete their training. Once the apprentice is trained, they have a three-year commitment to work at the health center. This allows the health center to fill vacant roles with candidates they have trained and can immediately support patient care. In addition, many apprentices have referred family or friends in the area to employment at the health center. One of the clinical leaders at a CHC with MAAI placements said:

“It helps that they remain with us for a length of time after they complete the program which helps to relieve some of our staffing challenges. Students also often refer people they know to our facility. It is also an upspoken benefit that we get to help the students who are individuals from the local area help themselves and their families build a better financial foundation.”

3) Seamless Integration and Centralized Support

Health centers have emphasized that the training and support the health center and apprentices received from NCCHCA are invaluable. NCCHCA has designed the program to minimize the burden on health center staff. This includes offering required in-person training in the health centers' region to minimize travel burden, providing an electronic system to track time dedicated to specific skills, offering support to clinical preceptors, and following each apprentice closely to identify any issues early on and maximize success. This has been key to allowing health centers to participate, as they do not have to reassign staff from other roles for the training program.

Other Relevant Information

In addition to the outcomes described above, the investment from the NCGA has allowed NCCHCA to secure other funding for the expansion of MAAI to more health center sites and begun to develop a Dental Assistant Apprenticeship Initiative. In mid-2024, NCCHCA secured philanthropic funding to hire additional Medical Assistant Trainers. This role is key to being able to expand the geographic reach of health centers with placement sites, as the Medical Assistant Trainer is required to travel to health centers to provide on-site training and support. The NCGA's investment allowed NCCHCA to demonstrate the value of MAAI and make the case for additional funding to expand sites. By expanding to additional health center sites more rapidly, NCCHCA can expand impact, address workforce issues, and develop uses cases for sustainability. This funding will also allow NCCHCA to conduct a rigorous evaluation of the program and identify any changes needed. Finally, NCCHCA will take lessons learned from MAAI to launch a companion Dental Assistant Apprenticeship Initiative. The pilot of this program will begin in 2025.

Conclusion

NCCHCA is looking forward to continued implementation of MAAI and scaling this initiative to include more apprentice placements and community health center sites. We are grateful for the support of the General Assembly and look forward to future collaboration on this and other initiatives to grow the health care workforce in North Carolina. Should you have any questions about this report or implementation of MAAI, please contact Alice Pollard, Vice President of Member Services and Operations at pollarda@ncchca.org.

Appendix A

Program Expenditures

The following are program expenditures for MAAI through September 30, 2024.

NCCHCA Employees	
Salary Expenses <i>The NCCHCA Work-based Learning and Apprenticeship Director began work in February 2024. The Medical Assistant Preceptor Trainer began work in May 2024. These are full time positions supporting MAAI.</i>	97,467.91
Recruitment Expense	336.86
Employer FICA	7,194.32
Employee Health Insurance	1,524.02
Dental, Disability, Life	212.66
Employee Travel <i>Travel has supported the Program Director and MA Preceptor Training to travel to each regional training site. There are three regional training sites in total for the June 2024 Cohort. The MA Preceptor Trainer visits each site for in-person training of apprentices approximately every three weeks.</i>	
Travel-Hotel-In State	331.21
Travel-Hotel-Out of State	145.96
Travel-Mileage-In State	558.29
Travel-Per Diem-In State	50.00
Other NCCHCA Costs	
Conference and Training <i>This amount supported the Program Director to attend the Apprenticeship NC Conference in 2024.</i>	316.63
General Insurance	1,458.00

Program Expenses and Apprentice Placements	
Contractual <i>Contractual placements are costs for the apprentice placements to the health centers participating in the June 2024 cohort, according to Table 1. The funding goes directly to the apprentice wages. This amount reflects the cost from the start of the cohort in June through September 30.</i>	85,219.85
Staff Development- National Health Career Association Learning Platform <i>This learning platform supports asynchronous learning of the MA competencies by the apprentices.</i>	8,494.22
TOTAL	203, 815.93

Appendix B

Photographs from MAAI Cohorts



Apprentices from the June 2024 Cohort and NCCHCA Staff



Apprentices from the June 2024 Cohort and NCCHCA Staff during training



Apprentices from the Pilot Cohort at their graduation event



Apprentices from the Pilot Cohort at their graduation event with Rural Health Group staff

QUARTERLY STATUS REPORT**Please use this reporting template for each of the quarterly reports**

Recipient Name:	North Carolina Community Health Center Association
Recipient Tax ID #	56-1240332
Project/Activity Title:	Medical Assistant Apprenticeship Initiative
Reporting Period (Quarter):	October 1, 2024 – December 31, 2024
Report Completion Date:	October 27, 2025
Preparer of This Report:	J'mi Walker

Were grant funds expended during this reporting period? ☒ Yes ☐ No

Do you certify that all expenses to date have been submitted for this grant? ☒ Yes ☐ No

Was any interest earned on these grant funds during this reporting period? ☐ Yes ☒ No

If Yes, specify the amount of interest earned over the life of the grant through this reporting period: \$ _____

Do you certify that all funds expended to date were used for the purposes for which they were awarded and in compliance with your contract? ☒ Yes ☐ No

Did you make any subawards of this funding during this reporting period? ☒ Yes ☐ No

If yes, attach a list of subawards including subrecipient entity name, tax ID number, subaward amount, and an indication that the subrecipient is not on the [State Suspension of Funding List](#) or suspended or debarred from receiving federal funds if applicable. Please note that per the contract terms and conditions and State law, your organization is responsible for ensuring that any subrecipients comply with all requirements of your contract and provide you with adequate and timely information to fulfill your reporting obligations.

Final reports should be submitted once all funds are expended and grant activities are completed. Is this your final quarterly report? ☐ Yes ☒ No

If yes, please complete and sign below indicating that all expenses have been submitted and all funds and any interest earned were used for the purposes for which they were awarded and in compliance with the contract. Please note that all records pertaining to this grant must be retained for a minimum of five years or until all audit exceptions have been resolved, whichever is longer. If the contract is subject to federal policy and regulations, record retention may be longer than five years. Recipients are required to maintain reports and accounting records that support the allowable expenditure of State funds and shall make available all reports and records for inspection by the NC Department of Health and Human Services, the Office of State Budget and Management, and the Office of the State Auditor for oversight, monitoring, and evaluation purposes.

Alice Pollard, Vice President of Member Services and Operations

Name and Title of Organization Official



Signature of Organization Official

10.29.2025

Date

1. Provide a brief report of activities and accomplishments related to your Grant Project during this reporting period.

During this reporting period, NCCHCA advanced the Medical Assistant Apprenticeship Initiative (MAAI) by supporting apprentices through didactic coursework, clinical hours, and preparation for the Certified Clinical Medical Assistant exam. Foundational trainings were held across participating health centers, and communication with CHC administrators and preceptors was strengthened to ensure apprentices remained on track.

Apprentices enter the MAAI program with a cohort of other apprentices all beginning and ending the program at the same time. NCCHCA has launched the following cohorts to begin since the start of this funding:

- Summer 2024: Eight apprentices beginning in Summer 2024 are on track to completion by July 2025
- Winter 2025: Ten apprentices are scheduled to begin in February 2025

2. Provide an update on the objectives, as outlined in your Scope of Work, of the grant project. Have you accomplished any of your objectives?

Expansion of Medical Assistant Apprentices through MAAI program to 50 placements per year

During this reporting period, we continued to recruit, train, and support apprentices across multiple community health centers (CHCs). While we have not yet reached the target of 50 placements within the current year, we have steadily increased the number of active apprentices compared to prior cohorts. Recruitment for the next cohort (to begin in February 2025) is already underway, and we remain on track to continue building toward the 50-placement goal through successive cohorts.

Expansion of number of CHCs with MA apprentice placement to at least five

This objective has been met and exceeded. Apprentices are currently placed across more than our target of five participating CHCs, reflecting strong partnership and interest from health centers in the program. Engagement continues to expand, and CHCs are working closely with NCCHCA to sustain placements, support preceptors, and integrate apprentices into care teams. To date we have placed 18 apprentices across 8 CHCs.

3. Provide an update on your expected results, as outlined in your Scope of Work, of the Grant Project(s). Have you accomplished any of your expected results?

Expected results are being achieved in alignment with program goals:

- Apprentices are progressing toward full completion of clinical hours and exam certification.
- Apprenticeship partners (CHCs) from our pilot cohort have reported improved staffing capacity and positive impact on patient care workflows.

4. Provide an update on your performance measures, as outlined in your Scope of Work, for the Grant Project(s).

Number of staff hired by NCCHCA to support the program:

NCCHCA has hired two staff members to directly support the program: a Program Director and one Medical Assistant Clinical Preceptor. These staff provide program coordination, instruction, and oversight to ensure apprentices and CHCs receive structured support.

Number and location of all apprentice placements:

Apprentices have been placed across two cohorts and multiple CHCs statewide, representing both rural and urban communities:

Cohort 2 – Rural Health Group (1), OIC Family Medical Center (1), Cabarrus Rowan (1), Triad Adult & Pediatric Medicine (2), United Health Centers (2), Compassion Health (1)

Cohort 3 –TAPM (2), RHG (1), Commwell (2), Cabarrus Rowan (1), Amity (4)

Number and Location of New Pilot Program Sites:

The program has successfully expanded beyond initial sites. To date, apprentices are hosted at more than five distinct CHCs, reflecting broadening participation across the state.

Number of medical assistant apprentices who have successfully completed the program and attain certification:

No graduates to date under this funding.

Cohort 2- 8 graduates expected in July 2025

Benefits derived by community health centers as a result of participation, including number of new permanent Medical Assistant hires:

Participating CHCs report workforce gains and improved capacity to serve patients. Cohort 1 (Pilot Cohort) graduates have already transitioned into permanent Medical Assistant roles within their CHCs, contributing to workforce stability and reducing strain on existing staff.

5. Provide a summary of expenditures during the reporting period (quarter).

Expenditure Category	Amount of Expenditure
Personnel/Contracted Services Expenses (e.g., program-related staffing)	\$43,104.11
Supplies and Materials Expenses (e.g., office supplies):	\$250.79
Non-Fixed Operating Expenses (e.g., travel, utilities):	\$941.48
Fixed Operating Expenses (e.g., office rent, dues and subscriptions):	\$
Property and Equipment Expenses (e.g., buildings and improvements):	\$
Services/Contracts Expenses (e.g., purchase of services, contracts with service providers):	\$30,923.37
Other Expenses (Specify):	\$
Total Expenses:	\$75,219.75

6. Provide a summary of all grant expenditures through the end of the current reporting period. The total expenditures on this table should equal the cumulative expenditures from all completed quarterly reports to date.

Expenditure Category	Amount of Expenditure
Personnel/Contracted Services Expenses (e.g., program-related staffing)	\$160,140.20
Supplies and Materials Expenses (e.g., office supplies):	\$968.05
Non-Fixed Operating Expenses (e.g., travel, utilities):	\$4,049.68
Fixed Operating Expenses (e.g., office rent, dues and subscriptions):	\$1,440
Property and Equipment Expenses (e.g., buildings and improvements):	\$
Services/Contracts Expenses (e.g., purchase of services, contracts with service providers):	\$91,299.87
Other Expenses (Specify):	\$8,831.08
Total Expenses:	\$266,728.88

Please contact your Contract Administrator with any questions.

QUARTERLY STATUS REPORT

Please use this reporting template for each of the quarterly reports

Recipient Name:	North Carolina Community Health Center Association
Recipient Tax ID #	56-1240332
Project/Activity Title:	Medical Assistant Apprenticeship Initiative
Reporting Period (Quarter):	January 1, 2025 – March 31, 2025
Report Completion Date:	October 24 ,2025
Preparer of This Report:	J'mi Walker

Were grant funds expended during this reporting period? ☒ Yes ☐ No

Do you certify that all expenses to date have been submitted for this grant? ☒ Yes ☐ No

Was any interest earned on these grant funds during this reporting period? ☐ Yes ☒ No

If Yes, specify the amount of interest earned over the life of the grant through this reporting period: \$ _____

Do you certify that all funds expended to date were used for the purposes for which they were awarded and in compliance with your contract? ☒ Yes ☐ No

Did you make any subawards of this funding during this reporting period? ☒ Yes ☐ No

If yes, attach a list of subawards including subrecipient entity name, tax ID number, subaward amount, and an indication that the subrecipient is not on the [State Suspension of Funding List](#) or suspended or debarred from receiving federal funds if applicable. Please note that per the contract terms and conditions and State law, your organization is responsible for ensuring that any subrecipients comply with all requirements of your contract and provide you with adequate and timely information to fulfill your reporting obligations.

Final reports should be submitted once all funds are expended and grant activities are completed. Is this your final quarterly report? ☐ Yes ☒ No

If yes, please complete and sign below indicating that all expenses have been submitted and all funds and any interest earned were used for the purposes for which they were awarded and in compliance with the contract. Please note that all records pertaining to this grant must be retained for a minimum of five years or until all audit exceptions have been resolved, whichever is longer. If the contract is subject to federal policy and regulations, record retention may be longer than five years. Recipients are required to maintain reports and accounting records that support the allowable expenditure of State funds and shall make available all reports and records for inspection by the NC Department of Health and Human Services, the Office of State Budget and Management, and the Office of the State Auditor for oversight, monitoring, and evaluation purposes.

Alice Pollard, Vice President of Member Services and Operations

Name and Title of Organization Official



Signature of Organization Official

October 24, 2025 _____
Date

1. Provide a brief report of activities and accomplishments related to your Grant Project during this reporting period.

During this reporting period, NCCHCA advanced the Medical Assistant Apprenticeship Initiative (MAAI) by supporting apprentices through didactic coursework, clinical hours, and preparation for the Certified Clinical Medical Assistant exam. Foundational trainings were held across participating health centers, and communication with CHC administrators and preceptors was strengthened to ensure apprentices remained on track.

Apprentices enter the MAAI program with a cohort of other apprentices all beginning and ending the program at the same time. NCCHCA has launched the following cohorts to begin since the start of this funding:

- Summer 2024: Eight apprentices beginning in Summer 2024 are on track to complete the program by September 2025.
- Winter 2025: Five apprentices began in February 2025 are on track to completion by February 2026.

2. Provide an update on the objectives, as outlined in your Scope of Work, of the grant project. Have you accomplished any of your objectives?

Expansion of Medical Assistant Apprentices through MAAI program to 50 placements per year

During this reporting period, we continued to recruit, train, and support apprentices across multiple community health centers (CHCs). While we have not yet reached the target of 50 placements within the current year, we have steadily increased the number of active apprentices compared to prior cohorts. Recruitment for the next cohort (to begin in July 2025) is already underway, and we remain on track to continue building toward the 50-placement goal through successive cohorts.

Expansion of number of CHCs with MA apprentice placement to at least five

This objective has been met and exceeded. Apprentices are currently placed across more than our target of five participating CHCs, reflecting strong partnership and interest from health centers in the program. Engagement continues to expand, and CHCs are working closely with NCCHCA to sustain placements, support preceptors, and integrate apprentices into care teams. To date we have placed 13 apprentices across 8 CHCs.

3. Provide an update on your expected results, as outlined in your Scope of Work, of the Grant Project(s). Have you accomplished any of your expected results?

Expected results are being achieved in alignment with program goals:

- Apprentices are progressing toward full completion of clinical hours and exam certification.
- Cohort 2 graduation is scheduled for September 2025, demonstrating successful retention and program completion. Eight apprentices will graduate with this cohort and will begin their three-year employment placement at their training CHC.
- Apprenticeship partners (CHCs) have reported improved staffing capacity and positive impact on patient care workflows.

4. Provide an update on your performance measures, as outlined in your Scope of Work, for the Grant Project(s).

Number of staff hired by NCCHCA to support the program:

NCCHCA has hired three staff members to directly support the program: a Program Director and two Medical Assistant Clinical Preceptors. These staff provide program coordination, instruction, and oversight to ensure apprentices and CHCs receive structured support.

Number and location of all apprentice placements:

Apprentices have been placed across 2 cohorts and multiple CHCs statewide, representing both rural and urban communities:

Cohort 2 (Summer 2024)– Rural Health Group (1), OIC Family Medical Center (1), Cabarrus Rowan (1), Triad Adult & Pediatric Medicine (2), United Health Centers (2), Compassion Health (1)

Cohort 3 (Winter 2025) – Commwell (2), Cabarrus Rowan (1), Amity (2)

Number and Location of New Pilot Program Sites:

The program has successfully expanded beyond initial sites. To date, apprentices are hosted at more than five distinct CHCs, reflecting broadening participation across the state.

Number of medical assistant apprentices who have successfully completed the program and attain certification:

Cohort 2 Graduation: Will be held in September 2025, potentially celebrating 8 graduates.

Benefits derived by community health centers as a result of participation, including number of new permanent Medical Assistant hires:

Participating CHCs report workforce gains and improved capacity to serve patients. Cohort 1 (Pilot) graduates have already transitioned into permanent Medical Assistant roles within their CHCs, contributing to workforce stability and reducing strain on existing staff.

5. Provide a summary of expenditures during the reporting period (quarter).

Expenditure Category	Amount of Expenditure
Personnel/Contracted Services Expenses (e.g., program-related staffing)	\$41,730.96
Supplies and Materials Expenses (e.g., office supplies):	\$664.17
Non-Fixed Operating Expenses (e.g., travel, utilities):	\$1,360.20
Fixed Operating Expenses (e.g., office rent, dues and subscriptions):	\$
Property and Equipment Expenses (e.g., buildings and improvements):	\$
Services/Contracts Expenses (e.g., purchase of services, contracts with service providers):	\$93,215.55
Other Expenses (Specify):	\$
Total Expenses:	\$136,970.88

6. Provide a summary of all grant expenditures through the end of the current reporting period. The total expenditures on this table should equal the cumulative expenditures from all completed quarterly reports to date.

Expenditure Category	Amount of Expenditure
Personnel/Contracted Services Expenses (e.g., program-related staffing)	\$201,871.16
Supplies and Materials Expenses (e.g., office supplies):	\$1,632.22
Non-Fixed Operating Expenses (e.g., travel, utilities):	\$5,409.88
Fixed Operating Expenses (e.g., office rent, dues and subscriptions):	\$1,440
Property and Equipment Expenses (e.g., buildings and improvements):	\$
Services/Contracts Expenses (e.g., purchase of services, contracts with service providers):	\$184,515.42
Other Expenses (Specify):	\$8,831.08
Total Expenses:	\$403,699.76

Please contact your Contract Administrator with any questions.

QUARTERLY STATUS REPORT

Please use this reporting template for each of the quarterly reports

Recipient Name:	North Carolina Community Health Center Association
Recipient Tax ID #	56-1240332
Project/Activity Title:	Medical Assistant Apprenticeship Initiative
Reporting Period (Quarter):	April 1, 2025 – June 30, 2025
Report Completion Date:	October 24 ,2025
Preparer of This Report:	J'mi Walker

Were grant funds expended during this reporting period? ☒ Yes ☐ No

Do you certify that all expenses to date have been submitted for this grant? ☒ Yes ☐ No

Was any interest earned on these grant funds during this reporting period? ☐ Yes ☒ No

If Yes, specify the amount of interest earned over the life of the grant through this reporting period: \$ _____

Do you certify that all funds expended to date were used for the purposes for which they were awarded and in compliance with your contract? ☒ Yes ☐ No

Did you make any subawards of this funding during this reporting period? ☒ Yes ☐ No

If yes, attach a list of subawards including subrecipient entity name, tax ID number, subaward amount, and an indication that the subrecipient is not on the [State Suspension of Funding List](#) or suspended or debarred from receiving federal funds if applicable. Please note that per the contract terms and conditions and State law, your organization is responsible for ensuring that any subrecipients comply with all requirements of your contract and provide you with adequate and timely information to fulfill your reporting obligations.

Final reports should be submitted once all funds are expended and grant activities are completed. Is this your final quarterly report? ☐ Yes ☒ No

If yes, please complete and sign below indicating that all expenses have been submitted and all funds and any interest earned were used for the purposes for which they were awarded and in compliance with the contract. Please note that all records pertaining to this grant must be retained for a minimum of five years or until all audit exceptions have been resolved, whichever is longer. If the contract is subject to federal policy and regulations, record retention may be longer than five years. Recipients are required to maintain reports and accounting records that support the allowable expenditure of State funds and shall make available all reports and records for inspection by the NC Department of Health and Human Services, the Office of State Budget and Management, and the Office of the State Auditor for oversight, monitoring, and evaluation purposes.

Alice Pollard, Vice President of Member Services and Operations

Name and Title of Organization Official



Signature of Organization Official

Date

1. Provide a brief report of activities and accomplishments related to your Grant Project during this reporting period.

During this reporting period, NCCHCA advanced the Medical Assistant Apprenticeship Initiative (MAAI) by supporting apprentices through didactic coursework, clinical hours, and preparation for the Certified Clinical Medical Assistant exam. Foundational trainings were held across participating health centers, and communication with CHC administrators and preceptors was strengthened to ensure apprentices remained on track.

Apprentices enter the MAAI program with a cohort of other apprentices all beginning and ending the program at the same time. NCCHCA has launched the following cohorts to begin or are set to start since the start of this funding:

- Summer 2024: Eight apprentices beginning in Summer 2024 completed the program and celebrated their graduation on September 23, 2025.
- Winter 2025: Five apprentices beginning in February 2025 are on track to completion by February 2026.
- Summer 2025: Thirteen apprentices will start the program in July 2025

2. Provide an update on the objectives, as outlined in your Scope of Work, of the grant project. Have you accomplished any of your objectives?

Expansion of Medical Assistant Apprentices through MAAI program to 50 placements per year

During this reporting period, we continued to recruit, train, and support apprentices across multiple community health centers (CHCs). While we have not yet reached the target of 50 placements within the current year, we have steadily increased the number of active apprentices compared to prior cohorts. Recruitment for the next cohort (to begin in January 2026) is already underway, and we remain on track to continue building toward the 50-placement goal through successive cohorts.

Expansion of number of CHCs with MA apprentice placement to at least five

This objective has been met and exceeded. Apprentices are currently placed across more than our target of five participating CHCs, reflecting strong partnership and interest from health centers in the program. Engagement continues to expand, and CHCs are working closely with NCCHCA to sustain placements, support preceptors, and integrate apprentices into care teams. Once the Summer 2025 Cohort begins, we will have placed 26 apprentices across 13 CHCs.

3. Provide an update on your expected results, as outlined in your Scope of Work, of the Grant Project(s). Have you accomplished any of your expected results?

Expected results are being achieved in alignment with program goals:

- Apprentices are progressing toward full completion of clinical hours and exam certification.
- Cohort 2 graduation is scheduled for September 23, 2025, demonstrating successful retention and program completion. Eight apprentices will graduate with this cohort and will begin their three year employment placement at their training CHC.
- Apprenticeship partners (CHCs) have reported improved staffing capacity and positive impact on patient care workflows.

4. Provide an update on your performance measures, as outlined in your Scope of Work, for the Grant Project(s).

Number of staff hired by NCCHCA to support the program:

NCCHCA has hired three staff members to directly support the program: a Program Director and two Medical Assistant Clinical Preceptors. These staff provide program coordination, instruction, and oversight to ensure apprentices and CHCs receive structured support.

Number and location of all apprentice placements:

Apprentices have been placed across three cohorts and multiple CHCs statewide, representing both rural and urban communities:

Cohort 2 – Rural Health Group (1), OIC Family Medical Center (1), Cabarrus Rowan (1), Triad Adult & Pediatric Medicine (2), United Health Centers (2), Compassion Health (1)

Cohort 3 – Commwell (2), Cabarrus Rowan (1), Amity (2)

Cohort 4 – Lincoln Community Health Center (1), MedNorth (2), Piedmont (5), Cabarrus Rowan (1), Appalachian Mountain Health (3), Metropolitan CHC (Agape) (1)- Since this cohort has not yet started, there may be changes in location and number of placements

Number and Location of New Pilot Program Sites:

The program has successfully expanded beyond initial sites. To date, apprentices are hosted at more than five distinct CHCs, reflecting broadening participation across the state.

Number of medical assistant apprentices who have successfully completed the program and attain certification:

Cohort 2 Graduation: Will be held on September 23, 2025, potentially celebrating 8 graduates.

Benefits derived by community health centers as a result of participation, including number of new permanent Medical Assistant hires:

Participating CHCs report workforce gains and improved capacity to serve patients. Cohort 2 apprentices have already transitioned into permanent Medical Assistant roles within their CHCs, contributing to workforce stability and reducing strain on existing staff.

5. Provide a summary of expenditures during the reporting period (quarter).

Expenditure Category	Amount of Expenditure
Personnel/Contracted Services Expenses (e.g., program-related staffing)	\$50,087.83
Supplies and Materials Expenses (e.g., office supplies):	\$45.91
Non-Fixed Operating Expenses (e.g., travel, utilities):	\$
Fixed Operating Expenses (e.g., office rent, dues and subscriptions):	\$
Property and Equipment Expenses (e.g., buildings and improvements):	\$
Services/Contracts Expenses (e.g., purchase of services, contracts with service providers):	\$85,534.49
Other Expenses (Specify):	\$
Total Expenses:	\$135,688.23

6. Provide a summary of all grant expenditures through the end of the current reporting period. The total expenditures on this table should equal the cumulative expenditures from all completed quarterly reports to date.

Expenditure Category	Amount of Expenditure
Personnel/Contracted Services Expenses (e.g., program-related staffing)	\$251,958.99
Supplies and Materials Expenses (e.g., office supplies):	\$1,678.13
Non-Fixed Operating Expenses (e.g., travel, utilities):	\$5,409.88
Fixed Operating Expenses (e.g., office rent, dues and subscriptions):	\$1,440.00
Property and Equipment Expenses (e.g., buildings and improvements):	\$
Services/Contracts Expenses (e.g., purchase of services, contracts with service providers):	\$270,049.91
Other Expenses (Specify):	\$8,831.08
Total Expenses:	\$539,367.99

Please contact your Contract Administrator with any questions.