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**Related State-Funded Service Definition Policies**

Refer to <https://www.ncdhhs.gov/providers/provider-information/mental-health-development-disabilities-and-substance-use-services/service-definitions> for the related policies listed below:

State-Funded Telehealth and Virtual Communication Services  
State-Funded Enhanced Mental Health and Substance Use Services  
State-Funded Community Support Team (CST)  
State-Funded Diagnostic Assessment  
State-Funded Assertive Community Treatment (ACT)  
State-Funded Inpatient Behavioral Health Services  
State-Funded Opioid Treatment Program Services (OTP)  
State-Funded Outpatient Behavioral Health Services  
State-Funded Peer Support Services

## **1.0 Description of the Service**

Substance Abuse Intensive Outpatient Program (SAIOP) provides a structured program of skilled treatment services for adults or adolescents with a primary substance use disorder (SUD) diagnosis. SAIOP is an American Society of Addiction Medicine (ASAM), Third Edition, Level 2.1 service that provides nine to nineteen (9-19) hours of skilled treatment services per week for adults, and six to nineteen (6-19) hours of skilled treatment services per week for adolescents.

SAIOP treatment services include individual, group, and family counseling, medication management through consultation and referral, educational groups, and service coordination activities provided in amounts, frequencies, and intensities appropriate to the objectives of the individual's Person-Centered Plan (PCP). SAIOP treatment services can be delivered during the day, weekend, or evening.

### **1.1 Definitions**

#### **The ASAM Criteria, Third Edition**

The American Society of Addiction Medicine Criteria is a comprehensive set of treatment standards for addictive, substance-related, and co-occurring conditions. The ASAM Criteria, Third Edition uses six dimensions to create a holistic, biopsychosocial assessment to be used for service planning and treatment. The six dimensions are:

1. Acute Intoxication and Withdrawal Potential;
2. Biomedical Conditions and Complications;
3. Emotional, Behavioral, or Cognitive Conditions and Complications;
4. Readiness to Change;
5. Relapse, Continued Use, or Continued Problem Potential; and
6. Recovery and Living Environment.

### **Medication Assisted Treatment (MAT)**

As defined by the Substance Abuse and Mental Health Services Administration (SAMHSA), Medication Assisted Treatment (MAT) is “the use of medications, in combination with counseling and behavioral therapies, to provide a ‘whole patient’ approach to the treatment of substance use disorders. Medications used are approved by the Food and Drug Administration (FDA) and are clinically driven and tailored to meet each individual’s needs.”

### **Telehealth**

Per the **State-Funded Telehealth and Virtual Communication Services** clinical policy, “Telehealth is the use of two-way real-time interactive audio and video to provide and support health care services when participants are in different physical locations.”

## **2.0 Eligibility Requirements**

### **2.1 Provisions**

#### **2.1.1 General**

*(The term “General” found throughout this policy applies to all policies)*

- a. An eligible individual shall be enrolled with the LME/MCO on or prior to the date of service, meet criteria for a state-funded Benefit Plan and shall meet the criteria in **Section 3.0 of this policy**.
- b. Provider(s) shall verify each individual’s eligibility each time a service is rendered.

#### **2.1.2 Specific**

*(The term “Specific” found throughout this policy only applies to this policy)*

State funds shall cover Substance Abuse Intensive Outpatient Program for an eligible individual who is either an adolescent aged ten to seventeen (10-17) or an adult 18 years of age and older who meets the criteria in **Section 3.0** of this policy.

## **3.0 When the Service Is Covered**

### **3.1 General Criteria Covered**

State funds shall cover the service related to this policy when medically necessary, and:

- a. the service is individualized, specific, and consistent with symptoms or confirmed diagnosis of the illness or injury under treatment, and not in excess of the individual’s needs;
- b. the service can be safely furnished, and no equally effective and more conservative or less costly treatment is available statewide; and
- c. the service is furnished in a manner not primarily intended for the convenience of the individual, the individual’s caretaker, or the provider.

### 3.1.1 Telehealth

- a. Services within this clinical coverage policy may be provided via telehealth. Services delivered via telehealth must follow the requirements and guidance in the **State-Funded Telehealth and Virtual Communication Services clinical policy**, at <https://www.ncdhhs.gov/providers/provider-information/mental-health-development-disabilities-and-substance-use-services/service-definitions>.
- b. The determination to provide services via telehealth must be for the benefit of the individual receiving services.
- c. A provider shall consider the individual's behavioral, physical, and cognitive abilities to participate in services provided via telehealth.
- d. An individual is not required to seek services through telehealth, and shall have access to in person services.
- e. This service must be provided in person a minimum of two (2) days per week and may be provided via telehealth a maximum of one (1) day per week, for each individual.
- f. Services must be provided in person at least five (5) days per week.
- g. In person services may not be billed on the same day as telehealth services.
- h. Services may be provided via telehealth for more than one (1) day per week if an individual meets one of the following exceptions:
  1. has a documented transportation barrier, and the provider is working with the individual to address the transportation need;
  2. has documentation from a medical provider stating the individual is unable to attend services in person due to a time-limited medical condition; or
  3. has documentation indicating the individual has an active, communicable infection that poses a risk to others in the SAIOP setting.

## 3.2 Specific Criteria Covered

### 3.2.1 Specific Criteria Covered by State Funds

State funds shall cover SAIOP services when the individual meets the following specific criteria:

- a. has a current substance use disorder (SUD) diagnosis as defined by the Diagnostic and Statistical Manual of Mental Disorders Fifth Edition (DSM-5) or any subsequent editions of this reference manual; and
- b. meets the American Society of Addiction Medicine (ASAM) Level 2.1 Substance Abuse Intensive Outpatient Program (SAIOP) admission criteria as defined in the ASAM Criteria, Third Edition, 2013.

### 3.2.2 State Funds Additional Criteria Covered

None Apply.

### 3.2.3 Admission Criteria

A comprehensive clinical assessment (CCA) or diagnostic assessment (DA) must be completed by a licensed professional to determine an ASAM level of care for admission and discharge planning. The CCA or DA, which demonstrates medical

necessity, must be completed prior to the provision of this service. If a substantially equivalent assessment is available, reflects the current level of functioning, and contains all the required elements as outlined in community practice standards as well as in all applicable federal and state requirements, it may be used as part of the current CCA or DA. Relevant diagnostic information must be obtained and documented in the individual's Person-Centered Plan (PCP). The assessment and PCP must be updated as changes and new strengths and barriers are observed during the treatment process.

A service order for SAIOP must be completed by a physician, physician assistant, nurse practitioner, or licensed psychologist according to their scope of practice, prior to or on the first day that SAIOP services are provided. Refer to **Section 5.4** for service order requirements.

The amount, duration, frequency, and intensity of SAIOP services must be documented in the individual's PCP. Services must not be offered less frequently than the structured program set forth in the service description in **Section 1.0** of this policy.

### 3.2.4 Continued Stay and Discharge Criteria

Each of the six dimensions of the ASAM criteria must be reviewed and documented in the individual's service record to document the determination for continued stay, discharge, or transfer to another level of care.

- a. The individual shall meet the criteria for continued stay at the present level of care if any ONE of the following applies:
  1. The individual has achieved initial PCP goals and requires this present level of care in order to meet additional goals;
  2. The individual is making some progress, but hasn't achieved goals yet, so continuing at the present level of care is indicated;
  3. The individual is not making progress, is regressing, or new symptoms have been identified and the individual has the capacity to resolve these problems; or
  4. The individual is actively working towards goals so continuation at the present level of care is indicated, and the PCP must be modified to identify more effective interventions.
- b. The individual meets the criteria for discharge if any ONE of the following applies:
  1. The substance use disorder (SUD) signs and symptoms are resolved such that the individual can participate in self-directed recovery or ongoing treatment without the need for SAIOP services;
  2. The signs and symptoms of SUD have failed to respond to treatment, and have intensified, indicating a transfer to a more intensive level of SUD treatment services is indicated; or

3. The individual or their legally responsible person requests a discharge from the service.

## **4.0 When the Service Is Not Covered**

### **4.1 General Criteria Not Covered**

State funds shall not cover the service related to this policy when:

- a. the individual does not meet the eligibility requirements listed in **Section 2.0**;
- b. the individual does not meet the criteria listed in **Section 3.0**;
- c. the service duplicates another provider's service; or
- d. the service is experimental, investigational, or part of a clinical trial.

### **4.2 Specific Criteria Not Covered**

#### **4.2.1 Specific Criteria Not Covered by State Funds**

State funds shall not cover these activities:

- a. Transportation for the individual or family members;
- b. Any habilitation activities;
- c. Time spent doing, attending, or participating in recreational activities unless tied to specific planned social skill building or therapy;
- d. Clinical and administrative supervision of SAIOP staff, which is covered as an indirect cost and part of the rate;
- e. Covered services that have not been rendered;
- f. Childcare services or services provided as a substitute for the parent or other individuals responsible for providing care and supervision;
- g. Services provided to teach academic subjects or as a substitute for education personnel;
- h. Interventions not identified on the individual's PCP;
- i. Services provided to children, spouse, parents, or siblings of the individual under treatment or others in the individual's life to address problems not directly related to the individual's needs and not listed on the PCP; and
- j. Payment for room and board.

#### **4.2.2 State Funds Additional Criteria Not Covered**

None Apply.

## **5.0 Requirements for and Limitations on Coverage**

### **5.1 Prior Approval**

State funds shall not require prior approval for SAIOP upon admission through the first 30 calendar days of service. The pass through for SAIOP is available once per fiscal year for an individual.

### **5.2 Prior Approval Requirements**

#### **5.2.1 General**

None Apply.

**5.2.2 Specific**  
None Apply.

### **5.3 Utilization Management and Additional Limitations**

#### **5.3.1 Utilization Management**

Utilization management of covered services is a part of the assurance of medically necessary service provision. Authorization is an aspect of utilization management and validates approval to provide a medically necessary covered service to an eligible individual. All utilization review activity must be documented in the service record and be maintained by the program.

Services are based upon a finding of medical necessity, must be directly related to the individual's diagnostic and clinical needs, and are expected to achieve the specific rehabilitative goals detailed in the individual's PCP. Medical necessity is determined by North Carolina community practice standards according to 10A NCAC 25A .0201, as verified by the LME/MCO or utilization management contractor who evaluates the request to determine if medical necessity supports intensive services.

Medically necessary services are authorized in the most cost-effective modes, if the treatment that is made available is similarly effective as services requested by the individual's physician, therapist, or another licensed practitioner. The medically necessary service must be recognized as an accepted method of medical practice or treatment.

#### **5.3.2 Initial Authorization**

To request an initial authorization, the CCA or DA, service order for medical necessity, the PCP, and the required LME/MCO authorization request form must be submitted to the LME/MCO or utilization management contractor within the first 30 calendar days of service initiation.

Concurrent reviews determine the ongoing medical necessity for the service or a lower or higher level of care. The provider shall submit an updated PCP and any authorization or reauthorization forms required by the LME/MCO or utilization management contractor.

#### **5.3.3 Additional Limitations**

SAIOP must not be provided and billed during the same authorization period (except on the day of admission or discharge) as:

- ~~b.a.~~ Substance Abuse Comprehensive Outpatient Treatment (SACOT)
- ~~e.b.~~ Individual, family or group therapy for treatment of substance use disorder
- ~~d.c.~~ Clinically Managed Residential Withdrawal Management (ASAM Criteria, Level 3.2 WM)
- ~~e.d.~~ Medically Monitored Inpatient Withdrawal Management (ASAM Criteria, Level 3.7 WM)
- ~~f.e.~~ Clinically Managed Population-Specific High-Intensity Residential Programs (ASAM Criteria, Level 3.3)

- ~~g-f.~~ Clinically Managed Residential Services (ASAM Criteria, Level 3.5)
- ~~h-g.~~ Medically Monitored Intensive Inpatient Services (ASAM Criteria, Level 3.7)
- ~~i-h.~~ Psychiatric Residential Treatment Facilities (PRTF)
- ~~j-i.~~ Partial Hospitalization (PH)

An individual can receive SAIOP services from only one provider organization during any authorization period.

An individual may receive Peer Support Services in the same authorization period as SAIOP.

For the purposes of helping an individual who is transitioning to or from Assertive Community Treatment (ACT) or Community Support Team (CST), the case management component of these services may be billed concurrently with SAIOP, for the first and last 30 days, in accordance with the individual's Person-Centered Plan (PCP) and the clinical coverage policies.

## 5.4 Service Order

A service order is a mechanism to demonstrate medical necessity for a service and is based upon an assessment of the individual's needs. A signed service order must be completed by a physician, physician assistant, nurse practitioner, or licensed psychologist per their scope of practice. A service order is valid for twelve (12) months. Medical necessity must be revisited, and service must be ordered at least annually, based on the date of the original service order.

### **ALL the following apply to a service order:**

- a. Backdating of the service order is not allowed;
- b. Each service order must be signed and dated by the authorizing professional and must indicate the date on which the service was ordered; and
- c. A service order must be in place prior to or on the first day that the service is initially provided, to bill state funds for the service.

## 5.5 Documentation Requirements

The service record documents the nature and course of an individual's progress in treatment. To bill state funds, a provider shall ensure that their documentation is consistent with the requirements contained in this policy. The staff member who provides the service is responsible for accurately documenting the services billed to and reimbursed with state funds. Service notes must meet the requirements of the DHHS Records Management and Documentation Manual.

## 6.0 Provider(s) Eligible to Bill for the Service

To be eligible to bill for the service related to this policy, the provider(s) shall:

- a. meet State-Funded Benefit Plan qualifications for participation;

- b. have a current and signed Department of Health and Human Services (DHHS) Provider Administrative Participation Agreement; and
- c. bill only for services that are within the scope of their clinical practice, as defined by the appropriate licensing entity.

### 6.1 Provider Qualifications and Occupational Licensing Entity Regulations

SAIOP services must be delivered by a provider employed by an organization that:

- a. meets the provider qualification policies, procedures, and standards established by the Division of MH/DD/SUS;
- b. meets the requirements of 10A NCAC 27G;
- c. demonstrates that it meets these standards by being credentialed and contracted by the DHHS designated contractor;
- d. achieves national accreditation with at least one of the designated accrediting agencies within one (1) year of enrollment as a provider with the LME/MCO; and
- e. becomes established as a legally constituted entity capable of meeting all the requirements of the Provider Certification Enrollment Agreement, DMH/DD/SUS Bulletins, and service implementation standards.

This service must be provided in a facility licensed by the [NC Division of Health Service Regulation Mental Health Licensure and Certification Section](#) under 10A NCAC 27G .4400 Substance Abuse Intensive Outpatient Program licensure rules waiver. Refer to [Tribal & Urban Indian Health Centers | HRSA](#) when the service is provided by an Indian Health Service (IHS) or 638 contract or compact operated by a Federally Recognized Tribe as allowed in 25 USC Ch. 18: INDIAN HEALTH CARE §1621t Licensing and §1647a Nondiscrimination under federal health care programs in qualifications for reimbursement services.

Providers and organizations that provide SAIOP shall provide crisis response 24-hours-a-day, seven-days-a-week, to an individual who is receiving SAIOP services, either in person or virtually, based on the individual’s need.

### 6.2 Provider Certifications Staffing Requirements

Required Position	Minimum Qualifications	Responsibilities
<b>Clinical Supervisor</b>	<p><b>Licensed Clinical Addictions Specialist (LCAS) or Certified Clinical Supervisor (CCS)</b></p> <p>Clinical Supervisor position may be</p>	<p>The <b>Clinical Supervisor</b> is responsible for clinical oversight of the program ensuring that staff supervision is in place, managing admission and discharges, and ensuring that the program is adhering to the policy, rules, and statutes, as well as providing direct clinical care.</p> <p>In addition to the above, the Clinical Supervisor is responsible for the following:</p>

	<p>divided by up to two staff.</p> <p>Shall be licensed and in good standing with the NC Addictions Specialist Professional Practice Board.</p>	<ul style="list-style-type: none"> <li>• Oversee the clinical operation of the SAIOP and ensure on-site backup coverage;</li> <li>• Supervise Clinical Staff to ensure the delivery of best and ethical practices;</li> <li>• Maintain and review service notes and documentation to ensure accuracy and completeness;</li> <li>• Conduct staff meetings and treatment team meetings;</li> <li>• Develop and monitor the implementation of a programming calendar that ensures the individual has access to the intensity and frequency of services indicated in their PCP;</li> <li>• Track services offered to ensure all required program elements are available to the individual;</li> <li>• Complete routine monitoring to ensure services identified in the PCP are offered;</li> <li>• Monitor and evaluate the services, interventions, and activities provided by the team;</li> <li>• Facilitate individual, group, and family therapy sessions;</li> <li>• Assist with crisis interventions;</li> <li>• Participate in PCP development and updates;</li> <li>• Facilitate service and discharge planning meetings;</li> <li>• Facilitate transition to the next level of care and community-based resources;</li> <li>• Work with the individual’s natural supports, with the individual’s consent;</li> <li>• Develop collaborative working relationships with community-based providers and organizations to facilitate discharge;</li> <li>• Facilitate program clinical meetings;</li> <li>• Develop and implement supervision plans for staff that meet the requirements of 10A NCAC 27G .0203 and .0204</li> </ul>
<p><b>Clinical Staff</b></p>	<p><b>LCAS, LCAS-A</b></p> <p>Shall be licensed and in good standing with the NC Addictions Specialist Professional Practice Board.</p>	<p>The <b>Licensed Clinical Addictions Specialist (LCAS) or Licensed Clinical Addictions Specialist-Associate (LCAS-A)</b> is responsible for providing substance use focused and co-occurring assessment services, developing an ASAM Level of Care determination, providing substance use disorder treatment services or referral, and coordinating medically necessary substance use disorder treatment and recovery resources.</p>

		<p>In addition to the above, the LCAS or LCAS-A is responsible for the following:</p> <ul style="list-style-type: none"> <li>• Discharge planning must begin upon admission;</li> <li>• Lead the development of an individualized PCP and ongoing revisions;</li> <li>• Provide ongoing assessment and reassessment of the individual based on their PCP goals;</li> <li>• Facilitate individual, group, and family therapy sessions;</li> <li>• Facilitate service coordination to address the needs of the individual;</li> <li>• Monitor signs and symptoms of substance use, intoxication, and withdrawal, as well as the clinically appropriate treatment and monitoring of those conditions;</li> <li>• Provide crisis interventions, when clinically appropriate;</li> <li>• Engage with family members or significant others and provide education regarding SUD treatment and the recovery process and supports, with consent;</li> <li>• Provide coordination and consultation with medical, clinical, familial, and ancillary relevant parties, with the individual's consent;</li> <li>• Assess and determine clinically appropriate services that support recovery;</li> <li>• Coordinate with Care Management or care coordination provider(s) to ensure the individual is informed about benefits, community resources, and services;</li> <li>• Monitor and document the status of the individual's progress and the effectiveness of the strategies and interventions outlined in the PCP;</li> <li>• Maintain accurate service notes and documentation for all interventions provided;</li> <li>• Participate in staff meetings and treatment team meetings.</li> </ul>
<p><b>Clinical Staff</b></p>	<p><b>CADC, CSAC, CADC-I, CSAC-I, Registrant (Alcohol and Drug Counselor)*</b></p> <p>Shall be licensed and in good standing with the NC Addictions</p>	<p>The <b>Certified Alcohol and Drug Counselor (CADC), Certified Substance Abuse Counselor (CSAC), Certified Alcohol and Drug Counselor Intern (CADC-I), Certified Substance Abuse Counselor Intern (CSAC-I), and Registrant (Alcohol and Drug Counselor)*</b> coordinates with the LCAS or LCAS-A and Clinical Supervisor to ensure that</p>

	<p>Specialist Professional Practice Board.</p> <p>*An individual who is a Registrant with the NC Addictions Specialist Professional Practice Board (NCASPPB) in accordance with 21 NCAC 68 .0202 (d) shall be designated as an Alcohol and Drug Counselor Intern no later than October 31, 2024, by the NCASPPB.</p>	<p>the individual has access to counseling supports, psychoeducation, and crisis interventions. The CADC, CSAC, CADC-I, CSAC-I, and Registrant* play a lead role in case management and coordination of care functions.</p> <p>In addition to the above, the CADC, CSAC, CADC-I, CSAC-I, and Registrant* is responsible for the following:</p> <ul style="list-style-type: none"> <li>• Participate in the initial development, implementation, and ongoing revision of the PCP;</li> <li>• Provide ongoing assessment and reassessment of the individual based on their PCP and goals;</li> <li>• Facilitate individual and group counseling sessions;</li> <li>• Monitor signs and symptoms of substance use, intoxication, and withdrawal, as well as the appropriate treatment and monitoring of those conditions;</li> <li>• Coordinate with Medication Assisted Treatment (MAT) providers;</li> <li>• Provide crisis interventions, when clinically appropriate;</li> <li>• Provide psychoeducation as indicated in the PCP;</li> <li>• Monitor and document the status of the individual’s progress and the effectiveness of the strategies and interventions outlined in the PCP;</li> <li>• Provide substance use, health, and community services education;</li> <li>• Assist with the development of relapse prevention and disease management strategies;</li> <li>• Communicate the individual’s progress and the effectiveness of the strategies and interventions to the LCAS or LCAS-A and Clinical Supervisor as outlined in the PCP;</li> <li>• Provide education to family members or significant others regarding the recovery process, with the individual’s consent;</li> </ul>
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		<ul style="list-style-type: none"> <li>• Coordinate with Care Management provider(s) to ensure that the individual is informed about benefits, community resources, and services;</li> <li>• Coordinate with Care Management provider(s) to ensure the individual is provided appropriate linkage and referrals for needed services and supports;</li> <li>• Participate in staff meetings and treatment team meetings.</li> </ul>
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For an adult SAIOP, there must be at least one (1) Clinical Staff as identified in **Section 6.2** for every twelve (12) or fewer adults, when facilitating a group counseling session.

For an adolescent SAIOP, there must be at least one (1) Clinical Staff as identified in **Section 6.2** for every six (6) or fewer adolescents, when facilitating a group counseling session.

The Clinical Supervisor may cover caseloads on a temporary basis in emergency situations as a result of staffing shortages and counts towards the staff to individual ratio for group counseling sessions.

**Note:** To comply with the NC General Assembly Session Law 2019-240 Senate Bill 537, the certification name for Certified Substance Abuse Counselor (CSAC) is amended to Certified Alcohol and Drug Counselor (CADC). Policy amendments(s) will be effective the date the related rule for 10A NCAC 27G is finalized.

**Note:** According to 25 U.S.C. 1621t, licensed health professionals employed by a tribal health program are exempt, if licensed in any state, from the licensing requirements of the State (North Carolina) in which the tribal health program performs the services described in the contract or compact of the tribal health program under the Indian Self-Determination and Education Assistance Act (25 U.S.C. 450 et seq.).

### **6.46.3 Program Requirements**

SAIOP must be provided in person at least five days per week, with no more than two consecutive days without services available to achieve nine to nineteen (9-19) hours of services per week for adults and six to nineteen (6-19) hours of services per week for adolescents. SAIOP shall schedule a minimum of three (3) service days per week for each individual with a minimum of three (3) hours per service day for adults, and a minimum of two (2) hours per service day for adolescents. A licensed professional (CCS, LCAS, LCAS-A) included in **Section 6.2 of this policy** shall be on site when SAIOP is in operation.

Required components of this service must contain the following:

- a. Individual counseling, therapy, and support;
- b. Group counseling, therapy, and support;

- c. Family counseling and support, which involves family members, guardians, or significant other(s) in the assessment, treatment, and continuing care of the individual, with informed consent;
- d. Coordination and referral for ancillary services;
- e. Biomedical testing to evaluate recent drug use (such as urine drug screens);
- f. Education on relapse prevention and development of support systems in treatment;
- g. Education on life skills and crisis contingency planning;
- h. Education on physical health management;
- i. Reproductive planning and health education;
- j. A planned format of therapies, delivered on an individual and group basis and adapted to the individual's developmental stage and comprehension level; and
- k. Service coordination activities.

SAIOP shall support an individual who is prescribed or would benefit from medications, including Medication Assisted Treatment (MAT), to address their substance use or mental health diagnosis. Coordination of care with a prescribing physician is required.

A SAIOP provider shall ensure that all staff have access to naloxone or other Federal Food and Drug Administration approved opioid antagonist for drug overdose on site, and that all staff have training and education on the use of naloxone in suspected opioid overdoses. SAIOP shall develop policies that detail the use, storage and education provided to staff regarding naloxone.

A comprehensive clinical assessment (CCA), diagnostic assessment (DA), or reassessment must be completed by a licensed professional to determine an ASAM level of care for discharge planning. Relevant diagnostic information must be obtained in the assessment or reassessment and documented in the individual's PCP. CCA, DA, and psychiatric services can be billed separate from SAIOP.

**6.56.4 Staff Training Requirements**

<b>Time Frame</b>	<b>Training Required</b>	<b>Who</b>
<b>Upon Hire, Prior to First Day Worked</b>	<ul style="list-style-type: none"> <li>▪ Crisis Response*</li> <li>▪ Opioid Antagonist administration (Administering Naloxone or other Federal Food and Drug Administration approved opioid antagonist for drug overdose)</li> <li>▪ Harm Reduction</li> <li>▪ Substance Abuse Intensive Outpatient Program (SAIOP) ASAM Level 2.1 Definition Required Components</li> </ul>	All Staff
<b>Within 90 calendar days of hire to provide service</b>	<ul style="list-style-type: none"> <li>▪ ASAM Criteria</li> <li>▪ PCP Instructional Elements</li> <li>▪ Trauma informed care*</li> <li>▪ Co-occurring conditions*</li> </ul>	All Staff

Time Frame	Training Required	Who
<b>Upon Hire, Prior to First Day Worked</b>	<ul style="list-style-type: none"> <li>▪ Crisis Response*</li> <li>▪ Opioid Antagonist administration (Administering Naloxone or other Federal Food and Drug Administration approved opioid antagonist for drug overdose)</li> <li>▪ Harm Reduction</li> <li>▪ Substance Abuse Intensive Outpatient Program (SAIOP) ASAM Level 2.1 Definition Required Components</li> </ul>	All Staff
<b>Within 180 calendar days of hire to provide this service</b>	<ul style="list-style-type: none"> <li>▪ Introductory Motivational Interviewing</li> <li>▪ Pregnancy and Substance Use Disorder (SUD)</li> <li>▪ Designated therapies, practices, or modalities specific to the population(s) served in SAIOP*</li> </ul>	All Staff
<b>Annually</b>	<ul style="list-style-type: none"> <li>▪ Continuing education in evidence-based treatment practices including crisis response and cultural competency *</li> </ul>	All Staff

The initial training requirements may be waived by the hiring agency if staff can produce documentation certifying that training appropriate for the population being served was completed no more than 48 months prior to hire date.

Staff hired prior to the effective date of this policy shall complete the required training identified in the above Staff Training Requirements chart. Training must be completed within one (1) year of the original effective date of this policy. **Refer to Section 8.0 of this policy for original effective date.**

\* Training must be approved and certified by a nationally recognized program that issues continuing education for licensed or clinical professionals. Approved programs include North Carolina Addictions Specialist Professional Practice Board (NCASPPB), National Association for Addiction Professionals (NAADAC), National Board for Certified Counselors (NBCC), Approved Continuing Education Provider (ACEP), National Association of Social Work (NASW), North Carolina Social Work Certification and Licensure Board (NCSWCLB), American Psychological Association (APA), and Motivational Interviewing Network of Trainers (MINT).

Documentation of staff training activities must be maintained by the program.

### 6-66.5 **Expected Outcomes**

The expected clinical outcomes for SAIOP are specific to recommendations resulting from clinical assessments and meeting the identified goals in the individual’s PCP. Expected outcomes are as follows:

- a. Reduction or elimination of substance use and substance use disorder symptoms;
- b. Sustained improvement in health and psychosocial functioning;
- c. Reduction in involvement in the justice system;
- d. Reduction of risk of relapse, continued problems, or continued use;

- e. Reintegration of the individual into the community;
- f. Linkage to other necessary treatment services concurrently and upon discharge;
- g. Identification and linkage to community-based resources to address unmet social determinants of health; and
- h. Increase in the identification and use of healthy coping skills.

## **7.0 Additional Requirements**

### **7.1 Compliance**

Provider(s) shall comply with the following in effect at the time the service is rendered:

- a. All applicable agreements, federal, state, and local laws and regulations including the Health Insurance Portability and Accountability Act (HIPAA) and record retention requirements; and
- b. All NC Division of MH/DD/SUS’s clinical (service definition) policies, guidelines, policies, provider manuals, implementation updates, and bulletins published by DHHS, DHHS division(s) or fiscal contractor(s). All providers shall be in compliance with 42 CFR Part 2- Confidentiality of Substance Use Disorder Patient Records. Federally recognized tribal and IHS providers may be exempt to one or more of these items in accordance with federal laws and regulations.

## **8.0 Policy Implementation and History**

**Original Effective Date:** 05/01/2024

**History:**

<b>Date</b>	<b>Section or Subsection Amended</b>	<b>Change</b>
05/01/2024	All Sections and Attachment(s)	Initial implementation of stand-alone Substance Abuse Intensive Outpatient Program (SAIOP) policy.

## Attachment A: Claims-Related Information

Provider(s) shall comply with the, *NCTracks Provider Claims and Billing Assistance Guide*, Division of MH/DD/SUS bulletins, fee schedules, NC Division of MH/DD/SUS's clinical (service definition) policies and any other relevant documents for specific coverage and reimbursement for state funds. Federally recognized tribal and Indian Health Service providers may be exempt from one or more of these items in accordance with federal laws and regulations.

### A. Claim Type

Professional (CMS-1500/837P transaction)

Unless directed otherwise, Institutional Claims must be billed according to the National Uniform Billing Guidelines. All claims must comply with National Coding Guidelines.

### B. International Classification of Diseases and Related Health Problems, Tenth Revisions, Clinical Modification (ICD-10-CM) and Procedural Coding System (PCS)

Provider(s) shall report the ICD-10-CM and Procedural Coding System (PCS) to the highest level of specificity that supports medical necessity. Provider(s) shall use the current ICD-10 edition and any subsequent editions in effect at the time of service. Provider(s) shall refer to the applicable edition for code description, as it is no longer documented in the policy.

### C. Code(s)

Provider(s) shall report the most specific billing code that accurately and completely describes the procedure, product or service provided. Provider(s) shall use the Current Procedural Terminology (CPT), Health Care Procedure Coding System (HCPCS), and UB-04 Data Specifications Manual (for a complete listing of valid revenue codes) and any subsequent editions in effect at the time of service. Provider(s) shall refer to the applicable edition for the code description, as it is no longer documented in the policy.

If no such specific CPT or HCPCS code exists, then the provider(s) shall report the procedure, product or service using the appropriate unlisted procedure or service code.

HCPCS Code(s)	
H0015	1 unit = 1 event per day

#### Unlisted Procedure or Service

**CPT:** The provider(s) shall refer to and comply with the Instructions for Use of the CPT Codebook, Unlisted Procedure or Service, and Special Report as documented in the current CPT in effect at the time of service.

**HCPCS:** The provider(s) shall refer to and comply with the Instructions for Use of HCPCS National Level II codes, Unlisted Procedure or Service and Special Report as documented in the current HCPCS edition in effect at the time of service.

**D. Modifiers**

Provider(s) shall follow applicable modifier guidelines.

Telehealth Claims: Modifier GT must be appended to the HCPCS code to indicate that a service has been provided via interactive audio-visual communication.

**E. Billing Units**

Provider(s) shall report the appropriate code(s) used which determines the billing unit(s).

SAIOP service is billed as a daily unit.

LME/MCOs and provider agencies shall monitor utilization of service by conducting service record reviews and internal audits of units of service billed. LME/MCOs shall assess network providers' adherence to service guidelines to assure quality services for an individual.

**F. Place of Service**

SAIOP must be provided in a licensed facility licensed under 10A NCAC 27G .4400.

**G. Co-payments**

Not Applicable

**H. Reimbursement**

Provider(s) shall bill their usual and customary charges in accordance with LME/MCO policy.

Outpatient therapy services can be billed separately when the individual needs specialized therapy that cannot be provided by the SAIOP provider (Dialectical Behavioral Therapy, exposure therapy, Eye Movement Desensitization and Reprocessing).

Note: The North Carolina Division of MH/DD/SUS will not reimburse for conversion therapy.